

# DAG- $\Sigma$ : A DAG-based Sigma Protocol for Relations in CNF

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**Abstract.** At CRYPTO 1994, Cramer, Damgård and Schoenmakers proposed a general method to construct proofs of knowledge (PoKs), especially for  $k$ -out-of- $n$  partial knowledge, of which relations can be expressed in disjunctive normal form (DNF). Since then, proofs of  $k$ -out-of- $n$  partial knowledge have attracted much attention and some efficient constructions have been proposed. However, many practical scenarios require efficient PoK protocols for partial knowledge in other forms.

In this paper, we mainly focus on PoK protocols for  $k$ -conjunctive normal form ( $k$ -CNF) relations, which have  $n$  statements and can be expressed as follows: (i)  $k$  statements constitute a clause via “OR” operations, and (ii) the relation consists of multiple clauses via “AND” operations. We propose an alternative Sigma protocol (called DAG- $\Sigma$  protocol) for  $k$ -CNF relations (in the discrete logarithm setting), by converting these relations to directed acyclic graphs (DAGs). Our DAG- $\Sigma$  protocol achieves less communication cost and smaller computational overhead compared with Cramer et al.’s general method.

**Keywords:** Sigma protocol · Proof of partial knowledge · Conjunctive normal form · Directed acyclic graph · Disjunctive normal form.

## 1 Introduction

*Proofs of partial knowledge* demonstrate the possession of certain subsets of witnesses for a given collection of statements. In 1994, Cramer, Damgård and Schoenmakers [14] showed a *general* method with access structures to construct proofs of partial knowledge for compound statements, from “atomic” *Sigma protocols* for the individual statements.

During the last decades, most works of proofs of partial knowledge [20, 1, 2, 5] focus on  $k$ -out-of- $n$  partial knowledge (i.e., proving knowledge of witnesses for  $k$  out of  $n$  statements). The relations of  $k$ -out-of- $n$  partial knowledge can be

expressed in the following *disjunctive normal form (DNF)* on  $n$  statements: every  $k$  different statements are combined with operation “AND” (we call such a combination of  $k$  statements a “Type- $\wedge$  clause”), and  $C_n^k$  different Type- $\wedge$  clauses are combined with operation “OR”. An informal expression when  $k = 2$  and  $n = 3$  is  $(y_1 \wedge y_2) \vee (y_1 \wedge y_3) \vee (y_2 \wedge y_3)$ , where  $y_1, y_2, y_3$  are 3 statements, and  $(y_1 \wedge y_2), (y_1 \wedge y_3), (y_2 \wedge y_3)$  are 3 Type- $\wedge$  clauses. We call this kind of relations *complete  $k$ -DNF relations*, since each of them contains  $C_n^k$  Type- $\wedge$  clauses for some specific  $k$  and  $n$ .

However, many practical scenarios require proofs of partial knowledge in other forms, such as a variant of the aforementioned DNF relations, which are very similar to complete  $k$ -DNF relations but the number of Type- $\wedge$  clauses is smaller than  $C_n^k$  (e.g., when  $k = 2$  and  $n = 3$ ,  $(y_1 \wedge y_2) \vee (y_1 \wedge y_3)$ ). We call this kind of relations *incomplete  $k$ -DNF relations*.

Relations expressed in *conjunctive normal form (CNF)* are another important collection of relations in practice. For instance, many access control policies are naturally set in CNF and they have been discussed in some attribute-based encryption schemes [25, 27, 8, 33]. Another class of examples is the collection of instances of the  $k$ -SAT problem [24], e.g., a start-up company wants to show the investors a business plan (building at least a shopping mall in every  $k$  neighbouring blocks) in a zero-knowledge manner, avoiding the business roadmap being leaked. Some other applications about relations in CNF are also mentioned in [2], e.g., proof of possession of white money, where given a transaction graph, a user proves that the money are transferred among some white organizations while preserving the organizations’ pseudonymity.

In this paper, we mainly focus on  *$k$ -CNF relations*<sup>1</sup>:  $k$  different statements are combined with operation “OR” (similarly, we call such a combination a “Type- $\vee$ ” clause), and many Type- $\vee$  clauses are combined with operation “AND”. An example expression when  $k = 2$  and  $n = 3$  is  $(y_1 \vee y_2) \wedge (y_1 \vee y_3)$ , where  $(y_1 \vee y_2)$  and  $(y_1 \vee y_3)$  are 2 Type- $\vee$  clauses.

Note that given some witnesses and statements, in order to determine whether they belongs to a  $k$ -CNF relation, one has to check every Type- $\vee$  clause. But if for a  $k$ -DNF relation, once a Type- $\wedge$  clause is satisfied, the other Type- $\wedge$  clauses do not need to be checked anymore. It seems that the above difference results in the failure of applying most approaches of Sigma protocols for complete  $k$ -DNF relations to  $k$ -CNF relations.

To the best of our knowledge, only Cramer et al. [14] shows constructions of Sigma protocols for  $k$ -CNF relations. However, it may lead to super-polynomial communication cost. Acyclicity program, proposed by Abe et al. [2], also works for  $k$ -CNF relations, but it is designed for non-interactive zero-knowledge proofs (NIZK), not Sigma protocols. More importantly, it seems impossible to transfer their scheme [2] into a standard Sigma protocol, so acyclicity program [2] does

<sup>1</sup> In this paper, when we refer to  $k$ -CNF relations, we usually mean incomplete  $k$ -CNF relations (i.e., the number of Type- $\vee$  clauses  $num$  is smaller than  $C_n^k$ ), since complete  $k$ -CNF relations (i.e.,  $num = C_n^k$ ) can be trivially converted to complete  $(n - k + 1)$ -DNF relations.

not have the strengths of Sigma protocols. For example, Sigma protocols often enjoy low soundness error by design, have high efficiency relative to their generic counterparts, and are more flexible. Using the Fiat-Shamir transform [15], Sigma protocols can be transferred to NIZK, so they are widely adopted in both non-interactive algorithms [7, 31, 4] and interactive protocols [10, 18]. Some protocols [10, 18] even enjoy round complexity improvement benefit from delayed-input Sigma protocols, which can be transferred from ordinary Sigma protocols using the method in [11]. But the acyclicity program does not enjoy these advantages.

Therefore, a question is raised naturally: *Is it possible to construct a more efficient Sigma protocol for  $k$ -CNF relations?*

**Our Contributions.** This paper gives an affirmative answer to the above question in the discrete-logarithm (DL) setting. More concretely, we systematically study proofs of partial knowledge for  $k$ -CNF relations, showing constructions of Sigma protocols for these relations and extensions.

We firstly formally define *partial knowledge for  $k$ -CNF relations*. Then, we propose a construction of a Sigma protocol for  $k$ -CNF relations and we call it DAG- $\Sigma$  protocol. More specifically, we first put forth an efficient deterministic algorithm  $k\text{CNFtoDAG}$  to convert a  $k$ -CNF relation to a directed acyclic graph (DAG). Then, we construct the DAG- $\Sigma$  protocol by composing a collection of Schnorr's Sigma protocols [32] according to the DAG. With this approach, we succeed in reducing the size of the transcripts and improving the efficiency.

As an extension, we apply our DAG- $\Sigma$  protocols to construct Sigma protocols for incomplete  $k$ -DNF relations. We prove theoretically that a Sigma protocol for incomplete  $k$ -DNF relations can be obtained from two Sigma protocols: one for  $k$ -CNF relations and the other one for complete  $k$ -DNF relations. Then we construct a Sigma protocol for incomplete  $k$ -DNF relations in the DL setting, by restricting the choices of statements.

A comparison of communication costs of some existing protocols for three kinds of relations ( $k$ -CNF, incomplete  $k$ -DNF and complete  $k$ -DNF) is shown in Table 1. To compare these schemes, we consider them in the DL setting where given a group  $\mathbb{G}$  of order  $p$ , the secret (or witness) of each statement is the corresponding discrete logarithm. For the Sigma protocols (i.e., except [2]), we consider the size of the data transmitted during the communication between the prover and the verifier. For the others (i.e., [2]), we consider the proof size.

For  $k$ -CNF relations, the communication cost of our protocol (in Sec. 5.2) is  $O((n - k)|\mathbb{G}| + O(|V|)|\mathbb{Z}_p^*|)$ . Note that  $V$  in Table 1 denotes the vertices of the DAG in our DAG- $\Sigma$  protocol. A discussion on upper bound of  $|V|$  shows that the size of our solution is smaller (actually is much smaller in most cases) than that of [14], which implies that our solution enjoys a better performance when compared with [14]. Although the communication cost of [2] is linear in  $n$ , it is a non-interactive protocol, so it lacks some general extensions for standard Sigma protocols as discussed before.

For incomplete  $k$ -DNF relations, only a few protocols work for them. As shown in Table 1, the communication costs of our protocol (in Sec. 6) and [1]

Table 1: Comparison of some existing protocols (in the DL setting)\*

Schemes	$\Sigma$ protocol?	$k$ -CNF	incomplete $k$ -DNF	complete $k$ -DNF
Cramer et al.[14]	Yes	$O(k \cdot \text{num})( \mathbb{G}  +  \mathbb{Z}_p^* )$	$O(k \cdot \text{num})( \mathbb{G}  +  \mathbb{Z}_p^* )$	$O(n)( \mathbb{G}  +  \mathbb{Z}_p^* )$
Groth et al.[20]**	Yes	$\backslash$	$\backslash$	$O(\log n)( \mathbb{G}  +  \mathbb{Z}_p^* )$
Abe et al.[1]	Yes	$\backslash$	$O(n) \mathbb{G}  + O(\text{num}) \mathbb{Z}_p^* $	$O(n) \mathbb{G}  + O(C_n^k) \mathbb{Z}_p^* $
Abe et al.[2]	No	$O(n)( \mathbb{G}  +  \mathbb{Z}_p^* )$	$\backslash$	$\backslash$
Attema et al.[5]	Yes	$\backslash$	$\backslash$	$O(\log(2n - k)) \mathbb{G}  + 4 \times  \mathbb{Z}_p^* $
Goel et al.[17]	Yes	$\backslash$	$\backslash$	$O(k \cdot n)^{***}$
Ours (Sec. 5.2)	Yes	$O(n - k) \mathbb{G}  + O( V ) \mathbb{Z}_p^* $	$\backslash$	$O(k) \mathbb{G}  + O( V ) \mathbb{Z}_p^* ^{\dagger}$
Ours (Sec. 6) <sup>‡</sup>	Yes	$\backslash$	$O(n) \mathbb{G}  + O( V ) \mathbb{Z}_p^* $	$\backslash$

\* The results here are obtained by trivially applying the corresponding protocols. There are  $n$  statements and  $\text{num}$  clauses in the expression of the  $k$ -CNF or (in)complete  $k$ -DNF relations, where each clause contains  $k$  different statements.  $V$  denotes the vertices of the DAG in our DAG- $\Sigma$  protocol ( $|V| \leq k \cdot \text{num}$ ).

\*\* The solution in [20] only works for  $k = 1$ .

\*\*\* [17] presents a discussion on this kind of relation and the result is directly obtained from the discussion. It involves a special commitment scheme, so we do not have  $|\mathbb{G}|$  and  $|\mathbb{Z}_p^*|$  here.

<sup>†</sup> The result is obtained from Remark 1.

<sup>‡</sup> Our solution in Sec. 6 only works for special language.

are both less than [14]. In the case of  $|V| < \text{num}$ , our protocol (in Sec. 6) has less communication cost than that of [1].

Compared with those protocols for complete  $k$ -DNF relations with general  $k$  ([14,5]), [5] does not consider  $k$ -CNF relations, and the protocol in [14] for  $k$ -CNF relations has more communication cost than ours.

Finally, we provide an implementation of our DAG- $\Sigma$  protocol based on elliptic curve groups with key size of 512 bits. It shows that our DAG- $\Sigma$  protocol saves more than 95% communication costs and more than 90% running time, compared with [14], when proving the relations in our experiments.

*Discussion: non-discrete-logarithm setting.* In this paper, we mainly focus on the DL setting (exactly running Schnorr’s Sigma protocol [32] for each statement). Our solution can be extended to non-discrete-logarithm setting. We describe the DAG- $\Sigma$  protocol by using many algorithm interfaces of a modified Schnorr’s Sigma protocol. If similar modification can also be applied to other non-discrete-logarithm-based Sigma protocols [29,9,23], then using the framework of our DAG- $\Sigma$  protocol and embedding other non-discrete-logarithm Sigma protocols, the new protocol can work in non-discrete-logarithm setting.

**Technical overview.** Recall that a Sigma protocol is an interactive protocol run by a prover  $\mathcal{P}$  and a verifier  $\mathcal{V}$ , and during the execution, a commitment  $a$ , a challenge  $c$  and a response  $z$  are sent in turn by  $\mathcal{P}$  and  $\mathcal{V}$ , where  $c$  is randomly picked by  $\mathcal{V}$ . In the literature, a composite Sigma protocol for compound NP relations is constructed by composing “atomic” Sigma protocols for the individual relations securely. Our DAG- $\Sigma$  protocol follows this general idea. Generally, to run the composite Sigma protocol,  $\mathcal{P}$  firstly runs each of the “atomic” Sigma protocols to generate the individual commitment  $a_{\text{atm}}$ , and then sends  $a$  to  $\mathcal{V}$ , where  $a$  derives from all the  $a_{\text{atm}}$ ’s as per the rule of the composite protocol. After receiving a randomly sampled  $c$  from  $\mathcal{V}$ ,  $\mathcal{P}$  prepares the challenges  $c_{\text{atm}}$ ’s, based on what she sees (including  $c$ ), for all the “atomic” Sigma protocols to generate the responses  $z_{\text{atm}}$ ’s for all statements. Finally,  $\mathcal{P}$  packs the responses

$z_{\text{atm}}$ 's and some  $c_{\text{atm}}$ 's as  $z$  (e.g., [14,1]) and sends  $z$  to  $\mathcal{V}$ . Correctness usually requires that having  $c$  and  $z$ ,  $\mathcal{V}$  can compute a result  $a'$  that equals  $a$ .

Our starting point is the most trivial solution, i.e.,  $a$  contains all commitments  $a_{\text{atm}}$ 's, and  $z$  contains all challenges  $c_{\text{atm}}$ 's and all responses  $z_{\text{atm}}$ 's. Then, we show step by step how to reduce the size of the communication, i.e., reducing the numbers of  $a_{\text{atm}}$ 's in  $a$ , and the number of  $c_{\text{atm}}$ 's and  $z_{\text{atm}}$ 's in  $z$ .

Step I: reduce the number of  $a_{\text{atm}}$ 's and  $c_{\text{atm}}$ 's. Inspired by the ring signature [4], in a Type- $\vee$  clause with  $k$  statements, we take the hash value of the commitment for the  $(j+1)^{\text{th}}$  statement as the challenge for the  $j^{\text{th}}$  ( $1 \leq j < k$ ) statement, i.e.,  $c_j = \text{Hash}(a_{j+1})$ , where  $c_j$  denotes one of  $c_{\text{atm}}$ 's and  $a_{j+1}$  denotes one of  $a_{\text{atm}}$ 's. Further, all Type- $\vee$  clauses share the challenge  $c$  picked by  $\mathcal{V}$ , and for each Type- $\vee$  clause, the  $k^{\text{th}}$  statement takes  $c$  as the challenge. In this way,  $\mathcal{V}$  can also compute all the challenges by himself when verification. Hence, only *one* challenge (i.e.,  $c$ ) needs to be transmitted, reducing the number of  $c_{\text{atm}}$ 's in  $z$ . Moreover, we informally require that the underlying “atomic” Sigma protocols can have the verifier compute  $a_{\text{atm}}$  from the corresponding  $c_{\text{atm}}$  and  $z_{\text{atm}}$ . Then for each clause, considering the property that “ $c_j = \text{Hash}(a_{j+1})$  ( $1 \leq j < k$ )”, the commitment  $a_{\text{atm}}$  of the first statement essentially can be computed by  $c$  and corresponding  $z_{\text{atm}}$ 's. Thus, we just put the  $a_{\text{atm}}$ 's of the first statement of all “Type- $\vee$ ” clauses in  $a$  to reduce the number of  $a_{\text{atm}}$ 's. To guarantee the correctness, we employ a variant of Schnorr’s Sigma protocol and following we take the proof of 1-out-of- $k$  partial knowledge (i.e., there is only one Type- $\vee$  clause) for example to highlight the main idea.

An example relation in the DL setting is in Fig. 1, where  $\mathbf{x} = (x_1, \dots, x_k)$  and  $\mathbf{y} = (y_1, \dots, y_k)$  denote the witnesses and statements respectively, and the witness  $x_\mu$  for statement  $y_\mu$  is known by the prover. In Fig. 1, the prover in the first step of the Sigma protocol (i.e.,  $\mathcal{P}_1$ ) randomly picks  $(z_1, \dots, z_{k-1}, r)$  to compute  $(a_1, \dots, a_k)$ , and then sends only  $a_1$  as the commitment  $a$  to the verifier. Note that except the last statement, we take the hash value of commitment  $a_{j+1}$  ( $1 \leq j < k$ ) as the challenge for the  $j^{\text{th}}$  statement, i.e.,  $c_j = \text{H}(a_{j+1})$ , where  $\text{H} : \mathbb{G} \rightarrow \mathbb{Z}_p^*$  is a collision-resistance hash function. After receiving the challenge  $c$  from the verifier, the prover in the third step of the Sigma protocol (i.e.,  $\mathcal{P}_2$ ) re-computes the commitments by randomly picking  $z'_k, \dots, z'_{\mu+1}$  until the  $\mu^{\text{th}}$  statement  $y_\mu$ , of which  $\mathcal{P}_2$  knows the discrete logarithm  $x_\mu$ . For the  $\mu^{\text{th}}$  statement, when given  $a_\mu$  and  $x_\mu$ , we can re-compute  $z'_\mu$  for  $y_\mu$  by the property of *Chameleon  $\Sigma$ -protocol* [12] (Schnorr’s Sigma protocol is also a Chameleon  $\Sigma$ -protocol and more details are in Sec. 5.1), such that the value of  $z'_\mu$  guarantees  $a_\mu = a'_\mu$ . Then when  $1 \leq i < \mu$ , we just set  $z'_i = z_i$ . By induction on  $1 \leq i < \mu$  (i.e.,  $\text{H}(a_{i+1}) = \text{H}(a'_{i+1})$  and  $z_i = z'_i$  imply  $a_i = a'_i$ , and the latter further implies  $\text{H}(a_i) = \text{H}(a'_i)$ ), we have  $a = a_1 = a'_1$ . Hence, the verifier will accept the proof. The detailed algorithm can be found in Sec. 5.1.

If applying the above method directly to each Type- $\vee$  clause of a  $k$ -CNF relation, then the size of the response  $z$  (resp., the commitment  $a$ ) would be  $O(k \cdot \text{num})$  (resp.,  $O(\text{num})$ ), where  $\text{num}$  is the number of Type- $\vee$  clauses. Hence, the

$$\begin{array}{l}
\mathcal{R} = \{(\mathbf{x}, \mathbf{y}) : y_1 = g^{x_1} \vee \dots \vee y_k = g^{x_k}\} \\
\mathcal{P}_1 \quad a_1 = g^{z_1} / y_1^{\mathbf{H}(a_2)} \leftarrow \dots \leftarrow a_\mu = g^{z_\mu} / y_\mu^{\mathbf{H}(a_{\mu+1})} \dots \leftarrow a_{k-1} = g^{z_{k-1}} / y_{k-1}^{\mathbf{H}(a_k)} \leftarrow a_k = g^r \\
\mathcal{P}_2 \quad \underbrace{a'_1 = g^{z'_1} / y_1^{\mathbf{H}(a'_2)} \leftarrow \dots \leftarrow a'_\mu = g^{z'_\mu} / y_\mu^{\mathbf{H}(a'_{\mu+1})}}_{a_i = a'_i (1 \leq i \leq \mu)} \dots \leftarrow a'_{k-1} = g^{z'_{k-1}} / y_{k-1}^{\mathbf{H}(a'_k)} \leftarrow a'_k = g^{z'_k} / y_k^c \\
(z'_1 = z_1, \dots, z'_{\mu-1} = z_{\mu-1}, \lceil \overline{z'_\mu} = z_\mu + (\mathbf{H}(\overline{a'_{\mu+1}}) - \mathbf{H}(\overline{a_{\mu+1}}))x_u \rceil, z'_{\mu+1} \leftarrow \mathbb{Z}_p^*, \dots, z'_k \leftarrow \mathbb{Z}_p^*)
\end{array}$$

Fig. 1: An example of the proof of 1-out-of- $k$  partial knowledge

complexity is theoretically equal to that of [14] as shown in Table 1. Therefore, we further consider to reduce the number of  $a_{\text{atm}}$ 's and  $z_{\text{atm}}$ 's.

*Step II: reduce the number of  $a_{\text{atm}}$ 's and  $z_{\text{atm}}$ 's.* Given a  $k$ -CNF relation, there may be many duplicate statements in different Type- $\vee$  clauses. If these duplicate statements can share the commitments  $a_{\text{atm}}$ 's and responses  $z_{\text{atm}}$ 's, then we can reduce the numbers. To this end, we convert the relation to a DAG, requiring that (i) every Type- $\vee$  clause is converted to a directed path with  $k$  vertices and each vertex represents a statement; (ii) the maximum length of paths is  $k$ , and the number of paths with length  $k$  equals the number of the Type- $\vee$  clauses  $\text{num}$ . We merge the vertices in the graph while the above requirements are preserved. For the details of the rules of merging, please refer to the transfer algorithm  $\text{kCNFtoDAG}$  in Sec. 4. Our composite Sigma protocol is run over the DAG. As a result, the size of the commitment  $a$  is  $O(n - k)$ , and the size of the response  $z$  is  $O(|V|)$ , where  $V$  is the vertex set of the DAG. Through a theoretic analysis, we will show that  $|V| \leq (k \cdot \text{num})$ , even  $|V| \ll (k \cdot \text{num})$  in most cases.

To illustrate the idea more clearly, we take the  $k$ -CNF relation in Eq. (1) for example and the relation is informally denoted as

$$(y_1 \vee y_2) \wedge (y_2 \vee y_3) \wedge (y_3 \vee y_4) \wedge (y_1 \vee y_4). \quad (1)$$

Fig. 2 is the DAG output by  $\text{kCNFtoDAG}$  when inputting the relation in Eq. (1), which has 4 directed paths, just equal to the number of the Type- $\vee$  clauses in Eq. (1). Node  $i, i'$  ( $i \in [1, 4]$ ) represents the corresponding statement  $y_i$ . For each Type- $\vee$  clause, we have a corresponding directed path with length  $k$  (e.g., for  $(y_1 \vee y_2)$ , we have path  $2 \rightarrow 1$ ). There are 4 different statements and each has 2 duplicates in Eq. (1). Note that in Fig. 2, there is only one node representing  $y_1$  (similar for  $y_4$ ), because we merge some nodes by the algorithm  $\text{kCNFtoDAG}$ . We also note that not all nodes corresponding to the duplicate statements can be merged, e.g., node 3 and node  $3'$  for  $y_3$ .

Based on the DAG output by  $\text{kCNFtoDAG}$ , we compose the “atomic” Sigma protocols for individual relations. Informally, we run a “atomic” Sigma protocol over each node in the DAG. In a nutshell, for each node, we generate a commitment for the corresponding statement of the node, and then generate a response after receiving the challenge.

Note that the DAG affects the generation of the challenges for statements. In Fig. 1, we note that the challenges are generated sequentially and only one

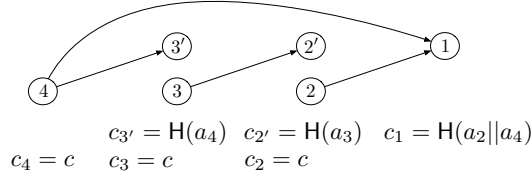


Fig. 2: An example of our scheme

commitment influences the computation of a challenge (i.e., informally,  $c_j = H(a_{j+1})$ ). However, in Fig. 2, there may be multiple arrows pointing to a node  $v$  (e.g., node 1). For convenience, we call the nodes that these arrows point from *the predecessor nodes* of node  $v$ . So here we have the challenge for the corresponding statement of node  $v$  being influenced by multiple commitments, which are generated for the statements of the predecessor nodes of  $v$ . More exactly, to compute the challenge, the hash function will take these commitments as the input (e.g.,  $c_1 = H(a_2 || a_4)$ ). For those nodes that no arrows point to, we directly take  $c$  as the challenge for their corresponding statements (e.g.,  $c_4 = c$ ). With this approach, we preserve the effect of Step I for reducing the number of  $a_{\text{atm}}$ 's and  $c_{\text{atm}}$ 's.

**Related works.** A general composition technique of Sigma protocol was proposed by Cramer, Damgård and Schoenmakers [14]. The idea is to secret-share the challenge according to the access structure and then use the shares as challenges in the corresponding Sigma protocols for each of the “atomic” statements. Another composition technique, to sequentially generate the challenge as we do in Step I, is introduced in [4] and recently revisited in [16, 2]. Some more discussion on constructing proofs for  $k$ -CNF relations using the techniques of [14] and [2] can be found in the full version of this paper.

Composition is also a hot topic in NIZKs in the common reference string model. Numbers of works [19, 21, 3, 28, 30] are proposed to implement disjunctive relations for the Groth-Sahai proofs [22] and Quasi-Adaptive NIZKs [26].

Composite Sigma protocol for 1-out-of- $n$  partial knowledge (or complete  $k$ -DNF relations) have been studied for a long time, since Cramer et al. [14] achieves linear communication complexity. Later, Groth and Kohlweiss [20] show how to achieve logarithmic (in  $n$ ) communication when  $k = 1$ , while Attema, Cramer and Fehr [5] achieve logarithmic communication for general  $k$  and  $n$  in the DL setting. Recently, Aarushi Goel et al. [17] propose stacking Sigmas to compose Sigma protocols for disjunctions. The resulting Sigma protocol has communication complexity proportional to the communication required by the largest clause.

**Roadmap.** The rest of paper is organised as follows. We review preliminaries in Sec. 2. The definition of  $k$ -CNF relations is introduced in Sec. 3 and a transfer algorithm  $k\text{CNFtoDAG}$  is presented in Sec. 4. We formally present the DAG- $\Sigma$  protocol in Sec. 5 and an extension on incomplete  $k$ -DNF relations in Sec. 6. Finally, we show the experimental results in Sec. 7.



## 2 Preliminary

**Notations.** Throughout this paper, let  $\lambda$  denote the security parameter. For any  $k \in \mathbb{N}$ , let  $[k] := \{1, 2, \dots, k\}$ . For a finite set  $S$ , we denote by  $a \leftarrow S$  the process of uniformly sampling  $a$  from  $S$ . For a distribution  $X$ , we denote by  $a \leftarrow X$  the process of sampling  $a$  from  $X$ . For any probabilistic polynomial-time (PPT) algorithm  $\text{Alg}$ , we write  $\text{Alg}(x; r)$  for the process of  $\text{Alg}$  on input  $x$  and with inner randomness  $r$ , and use  $y \leftarrow \text{Alg}(x)$  to denote the process of running  $\text{Alg}$  on input  $x$  and with uniformly sampled inner randomness  $r$ , and assigning  $y$  the result. We also use the symbol “ $\leftarrow$ ” to assign the value of a variable or the result of a formula on the right-hand side to the variable on the left-hand side. We write vectors in  $\mathbb{Z}_q^n$  or  $\mathbb{G}^n$  in boldface, e.g.,  $\mathbf{x} = (x_1, \dots, x_n) \in \mathbb{Z}_q^n$ . In addition, let  $(a||b)$  denote the concatenation of  $a$  and  $b$ .

**Sigma protocol.** Let  $\mathcal{R}$  be a polynomial-time-decidable binary relation. The corresponding language  $L$  consists of statement  $y$  such that there exists a witness  $x$  satisfying  $(x, y) \in \mathcal{R}$ . We specify  $L$  as an NP language. A Sigma protocol  $\Sigma = (\mathcal{P}, \mathcal{V})$  for polynomial-time-decidable relation  $\mathcal{R}$  is a three-move protocol and consists of two efficient interactive protocol algorithms  $(\mathcal{P}, \mathcal{V})$ , where  $\mathcal{P} = (\mathcal{P}_1, \mathcal{P}_2)$  is the prover and  $\mathcal{V} = (\mathcal{V}_1, \mathcal{V}_2)$  is the verifier, associated with a challenge space  $\mathcal{CL}$ . Specifically, for any  $(x, y) \in \mathcal{R}$ , the commitment  $a$ , the challenge  $c$  and the response  $z$  are sent in turn by the prover and verifier, where  $c$  is randomly picked over  $\mathcal{CL}$  by the verifier. It enjoys completeness if for any  $(x, y) \in \mathcal{R}$  and any transcript  $(a, c, z)$  output by the protocol, the verifier (i.e.,  $\mathcal{V}_2$ ) outputs 1. It also has the security requirements of knowledge soundness, special honest verifier zero knowledge (special HVZK) and witness indistinguishability. In this paper, we relax the requirement of knowledge soundness to *computational* knowledge soundness. Due to page limitations, formal definitions of these security requirements will be given in the full version of this paper. Without loss of generality, when there are multiple Sigma protocols, for  $\Sigma = (\mathcal{P}, \mathcal{V})$ , we use  $\Sigma.\mathcal{P}$  and  $\Sigma.\mathcal{V}$  to specify the prover and verifier of  $\Sigma$ , respectively.

**Graphs.** A directed graph is a tuple  $G = (V, E)$  where  $V$  is a set of elements called vertices (or nodes) and  $E$  is a set of vertices pairs,  $E \subseteq V \times V$ , called directed edges or arrows. Given an edge  $e = (u, v)$ , it is pointed from vertex  $u$  to vertex  $v$ , and  $u$  is called the head of  $e$  and  $v$  is called the tail of  $e$ . A cycle in  $G$  is a finite sequence of edges  $(e_1, \dots, e_l)$  satisfying that the tail of edge  $e_i$  is the head of edge  $e_{i+1}$  for  $\forall i \in [l]$  (we set  $e_{l+1} = e_1$ ). A graph with no cycles is called acyclic. Given an acyclic graph  $G$ , we define a vertex sequence  $(v_1, \dots, v_l)$  as a path, where there is an edge  $e = (v_i, v_{i+1})$  for every pair of neighboring vertices  $(v_i, v_{i+1})$  for  $i \in [l-1]$ . The number of edges pointed to vertex  $v$  is called the in-degree of vertex  $v$  and we denote it as  $\text{in-deg}(v)$ . Similarly, the number of edges pointed from vertex  $v$  is called the out-degree of vertex  $v$  and we denote it as  $\text{out-deg}(v)$ . Given a vertex  $v$ , we call it a *source* if  $\text{in-deg}(v) = 0$  and call it a *sink* if  $\text{out-deg}(v) = 0$ . In addition, we define some operations for a directed acyclic graph  $G$ : (1)  $\text{sink}(G)$  outputs a vertex set  $S^{\text{sink}}$  that contains all sinks; (2) similarly,  $\text{source}(G)$  outputs a vertex set  $S^{\text{source}}$  that contains all sources; (3)



for any vertex  $v$ ,  $\text{pred}(v)$  outputs a vertex set  $S_v^{\text{pred}}$  where the elements are the head of the edges that are pointed to vertex  $v$ .

### 3 Definition of $k$ -CNF relations

In this section, we formally define partial knowledge for  $k$ -CNF relations. Let  $y$  denote a statement, and  $S_k$  denote the universal set of which the elements are  $k$ -size subsets of  $[n]$ , i.e.,  $S_k := \{\{i_1, \dots, i_k\} \mid 1 \leq i_1 < \dots < i_k \leq n, \{i_1, \dots, i_k\} \subset [n]\}$ . Besides,  $(x_l, y_l) \in \mathcal{R}_l$  ( $l \in [n]$ ) denotes a valid witness-statement pair belonging to a relation  $\mathcal{R}_l$ . Then, we define the following partial knowledge for compound statements.

**Definition 1. (Partial knowledge for  $k$ -CNF).** *Given  $n$  different statements  $(y_l)_{l \in [n]}$ ,  $n$  sub-relations  $(\mathcal{R}_l)_{l \in [n]}$ , and  $S'_k \subseteq S_k$ , the prover proves that for all  $\{i_1, \dots, i_k\} \in S'_k$ , she knows the witnesses for at least one of  $y_{i_1}, \dots, y_{i_k}$ .*

The relation can be presented in CNF as follows,

$$\mathcal{R}_{k\text{-CNF}, S'_k} = \{(\mathbf{x}, \mathbf{y}) : \bigwedge_{\{i_1, \dots, i_k\} \in S'_k} (\bigvee_{j \in [k]} (x_{i_j}, y_{i_j}) \in \mathcal{R}_{i_j})\}, \quad (2)$$

where  $\mathbf{x}, \mathbf{y}$  are two  $n$ -dimension vectors, and  $\mathcal{R}_{i_j} \in \{\mathcal{R}_l \mid l \in [n]\}$  is a sub-relation. We call  $(\bigvee_{j \in [k]} (x_{i_j}, y_{i_j}) \in \mathcal{R}_{i_j})$  a “Type- $\vee$ ” clause, where  $\{i_1, \dots, i_k\} \in S'_k$ . Let  $num$  denote the number of Type- $\vee$  clauses in  $\mathcal{R}_{k\text{-CNF}, S'_k}$ , i.e.,  $num = |S'_k|$ . Note that  $num \leq C_n^k$ , and we only consider polynomial-time relation, so it is required that the membership of  $(\mathbf{x}, \mathbf{y})$  to  $\mathcal{R}_{k\text{-CNF}, S'_k}$  can be determined in polynomial time in  $|\mathbf{y}|$ . We denote the (*polynomial-time*) relation defined in Eq. (2) as a  **$k$ -CNF relation**.

We stress that *not* all the  $\mathcal{R}_{k\text{-CNF}, S'_k}$  defined in Eq. (2) can be decided in polynomial time. For example, when  $k$  is about  $\frac{n}{3}$  and  $num$  is close to  $C_n^k$ , generally the complexity of determining whether  $(\mathbf{x}, \mathbf{y}) \in \mathcal{R}_{k\text{-CNF}, S'_k}$  is  $O(k \cdot num) = O(\frac{n}{3} \cdot C_n^{\frac{n}{3}})$ , so it is super-polynomial.

In this paper, we focus on  $k$ -CNF relations that can be determined in polynomial time, e.g., (i)  $|S'_k|$  is polynomial in  $|\mathbf{y}|$ , and (ii)  $k$  is a constant. Specifically, when  $|S'_k|$  is polynomial in  $|\mathbf{y}|$ , the time for determining  $\mathcal{R}_{k\text{-CNF}, S'_k}$  is linear in  $|S'_k|$ , so it is also polynomial. On the other hand, when  $k$  is a constant,  $O(k \cdot num) = O(num)$ , where  $num$  is polynomial in  $n$  in the worst case.

*Remark 1.* When  $num = C_n^k$ , a proof for a  $k$ -CNF relation can be transferred into a proof of  $(n - k + 1)$ -out-of- $n$  partial knowledge. Then there exists some trivial and efficient solutions, e.g., [5]. Thus, without loss of generality, when we refer to  $k$ -CNF relations, we usually mean “incomplete”  $k$ -CNF relations (i.e.,  $num < C_n^k$ ). It also can be inferred that a proof of  $k$ -out-of- $n$  partial knowledge can be transferred into a proof for a  $(n - k + 1)$ -CNF relation with  $C_n^{n-k+1}$  clauses.

Throughout this paper, we mainly focus on the discrete logarithm (DL) setting. In other words, the prover aims to convince the verifier that she knows the

discrete logarithms of some statements (i.e., the group elements). Formally, let  $\mathbb{G}$  be a cyclic group of order  $p$ , and  $g$  be a generator of  $\mathbb{G}$ . Following Def. 1 and the DL setting, we define the relation  $\mathcal{R}_{k\text{-CNF}, S'_k}^{\text{dl}}$  as follows:

$$\mathcal{R}_{k\text{-CNF}, S'_k}^{\text{dl}} = \{(\mathbf{x}, \mathbf{y}) : \wedge_{\{i_1, \dots, i_k\} \in S'_k} (\bigvee_{j \in [k]} y_{i_j} = g^{x_{i_j}})\}, \quad (3)$$

where  $\mathbf{x} \in (\mathbb{Z}_p^* \cup \{\perp\})^n \setminus \{(\perp)^n\}$ ,  $\mathbf{y} \in \mathbb{G}^n$ ,  $S'_k$  is defined as in Def. 1, and for all  $\{i_1, \dots, i_k\} \in S'_k$ ,  $1 \leq i_1 < \dots < i_k \leq n$ . Furthermore, for any  $\mathbf{x} \in (\mathbb{Z}_p^* \cup \{\perp\})^n \setminus \{(\perp)^n\}$ , let  $S_{\mathbf{x}}^w := \{i \in [n] \mid y_i = g^{x_i}\}$ . In other words,  $S_{\mathbf{x}}^w$  contains the indices that prover knows the corresponding witnesses.

## 4 Converting $k$ -CNF relations into DAGs

Before constructing our Sigma protocol for  $k$ -CNF relations, we firstly introduce a deterministic transfer algorithm  $\text{kCNFtoDAG}$ , which can convert a  $k$ -CNF relation  $\mathcal{R}_{k\text{-CNF}, S'_k}$  (in Eq. (2)) to a directed acyclic graph (DAG). In Sec. 5, we will show a Sigma protocol (DAG- $\Sigma$ ) based on the DAG output by the algorithm  $\text{kCNFtoDAG}$ .

We require that the DAG output by  $\text{kCNFtoDAG}$  should have the following properties:

- **Property-(i):** Each node in some path corresponds to a statement in the corresponding Type- $\vee$  clause.
- **Property-(ii):** The number of paths from the nodes in  $S^{\text{source}}$  to the nodes in  $S^{\text{sink}}$  equals the number of Type- $\vee$  clauses in the expression of  $\mathcal{R}_{k\text{-CNF}, S'_k}$ , and the lengths of these paths are  $k$ .

Furthermore, we require that the number of vertices in the DAG should be *as few as possible*. That's because in Sec. 5, we will show that the communication complexity of our DAG- $\Sigma$  protocol depends on the number of the vertices of the DAG output by  $\text{kCNFtoDAG}$ .

Now, we turn to the details of algorithm  $\text{kCNFtoDAG}$ .

For simplicity, we require that the statements in each Type- $\vee$  clause are sorted from the smallest index to the largest, e.g.,  $\mathcal{R}_1$  in Eq. (4) (for simplicity, we use  $\Sigma$  to denote  $(x, y) \in \mathcal{R}$ ).

$$\begin{aligned} \mathcal{R}_1 = \{(\mathbf{x}, \mathbf{y}) : & (\Sigma_1 \vee \Sigma_2 \vee \Sigma_3) \wedge (\Sigma_1 \vee \Sigma_2 \vee \Sigma_4) \\ & \wedge (\Sigma_2 \vee \Sigma_3 \vee \Sigma_5) \wedge (\Sigma_3 \vee \Sigma_4 \vee \Sigma_5)\} \end{aligned} \quad (4)$$

A simple idea to implement  $\text{kCNFtoDAG}$  is to build a separate directed path for each Type- $\vee$  clause. However, it would result in  $(k \cdot \text{num})$  nodes in the graph, where  $\text{num}$  is the number of Type- $\vee$  clauses. As shown in Fig. 3, we draw a DAG for  $\mathcal{R}_1$  in Eq. (4), using the simple idea. It is clear that the DAG has the above two properties, and there are totally  $3 \times 4 = 12$  nodes in the graph.

To reduce the number of nodes, we consider the following method first. We scan the relation and let every statement have at most three states, i.e., beginning, middle, ending. The beginning state shows that the statement is the last

statement of some Type- $\vee$  clause so the corresponding node is the head of some path. The middle state indicates that the statement is placed in the middle of some Type- $\vee$  clause. The ending state is that the statement is the first statement of some Type- $\vee$  clause (note that in Sec. 5, the prover will compute a commitment for each node, and only the commitments for the nodes indicating statements with ending state will be sent to the verifier). Then for every Type- $\vee$  clause, we have a path in  $G$  from a node indicating the beginning state of some statement to a node indicating the ending state of some statement.

Thus, we merge the nodes with the same state in Fig. 3, then obtain another DAG in Fig. 4. We use  $a_l, b_l, e_l$  ( $l \in [1, 5]$ ) to denote the beginning, middle, ending state of the  $l^{\text{th}}$  statement respectively. When describing the DAG here, for convenience, we also use these notations (i.e.,  $a_l, b_l$  and  $e_l$ ) to represent the head nodes, middle nodes and tail nodes respectively. In addition, we may use superscripts to indicate different duplicate nodes (e.g., nodes  $b_3^1$  and  $b_3^2$  in Fig. 6 represent the different duplicates). When talking about the paths in the DAG, we sometimes write the path with nodes and arrows (e.g., for the path  $(a_3, b_2, e_1)$  in Fig. 4, we write it as  $a_3 \rightarrow b_2 \rightarrow e_1$ ). In Fig. 4, the number of vertices is 9, which is smaller than that in Fig. 3.

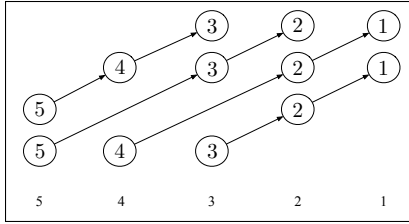


Fig. 3: A simple idea

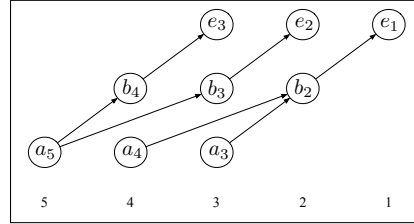


Fig. 4: An example for CNF

However, the above approach cannot handle all cases. A counter example is

$$\mathcal{R}_2 = \{(\mathbf{x}, \mathbf{y}) : (\Sigma_1 \vee \Sigma_2 \vee \Sigma_3) \wedge (\Sigma_1 \vee \Sigma_2 \vee \Sigma_4) \wedge (\Sigma_1 \vee \Sigma_3 \vee \Sigma_4) \wedge (\Sigma_2 \vee \Sigma_3 \vee \Sigma_5) \wedge (\Sigma_3 \vee \Sigma_4 \vee \Sigma_5)\} \quad (5)$$

and we try to draw a DAG as shown in Fig. 5, using the above approach.

Compared with relation  $\mathcal{R}_1$  in Eq. (4), one more Type- $\vee$  clause is added in Eq. (5) (i.e.,  $(\Sigma_1 \vee \Sigma_3 \vee \Sigma_4)$ ), and we use the dashed arrows in Fig. 5 to show the difference compared with Fig. 4. Note that there is a “crossing edge” (i.e., in node  $b_3$ ) in Fig. 5. It implies two more directed paths (i.e.,  $a_4 \rightarrow b_3 \rightarrow e_2$  and  $a_5 \rightarrow b_3 \rightarrow e_1$ ) are introduced in Fig. 5, while  $(\Sigma_2 \vee \Sigma_3 \vee \Sigma_4)$  and  $(\Sigma_1 \vee \Sigma_3 \vee \Sigma_5)$  are not in Eq. (5). Hence, the obtaining DAG does not have the above two properties. Essentially, a “wrong” crossing edge may introduce nonexistent Type- $\vee$  clauses. Thus, to output a correct DAG, a duplicate node for  $b_3$  is needed in this case, as shown in Fig. 6.

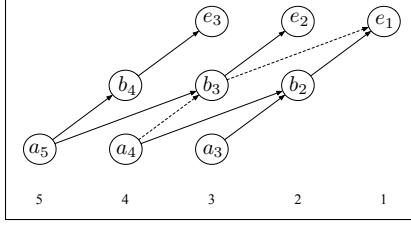


Fig. 5: A counter exam

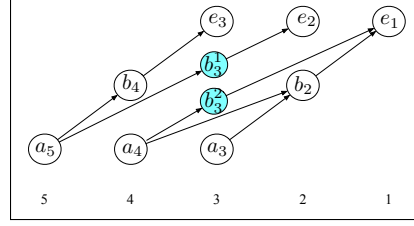


Fig. 6: A fixed graph

Next, we present the formal description of algorithm **kCNFtoDAG**, which is constructed with the above approach. We also take relation  $\mathcal{R}_2$  in Eq. (5) as an example, to show how **kCNFtoDAG** works step by step.

*Algorithm description.* Inputting a  $k$ -CNF relation  $\mathcal{R}_{k\text{-CNF}, S'_k}$  (in Eq. (2)), the deterministic transfer algorithm **kCNFtoDAG** runs in the following steps and finally outputs a DAG  $G = (V, E)$ :

1. **Preparing nodes.** For each Type- $\vee$  clause in  $\mathcal{R}_{k\text{-CNF}, S'_k}$ , draw a separate directed path  $(v_1, \dots, v_k)$  with length  $k$  and each node represents a statement. For each path, we require that the indices of their corresponding statements are from the largest to the smallest. In other words, given a function  $f : V \rightarrow [n]$ , mapping the nodes to the indices of the corresponding statements, we have  $f(v_1) > \dots > f(v_k)$ .

As shown in Fig. 7, for every Type- $\vee$  clause of the expression of  $\mathcal{R}_2$  in Eq. (5), we draw a path. There are 5 paths and 15 nodes in total. The numbers in the bottom of Fig. 7 (i.e., 5, ..., 1) indicate the statements that the above nodes map to, e.g., node  $a_3$  represents statement  $y_3$ . It is clear that given any path  $(v_1, v_2, v_3)$  in Fig. 7, the indices of the corresponding statements are in descending order, e.g., for the path which is denoted as  $a_3 \rightarrow b_2^2 \rightarrow e_1^3$ , we have  $f(v_1) = 3 > f(v_2) = 2 > f(v_3) = 1$ .

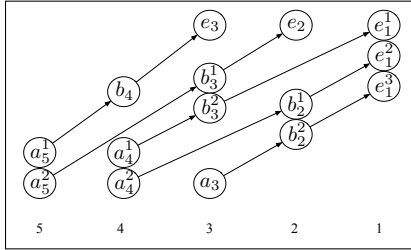


Fig. 7: Graph after step 1

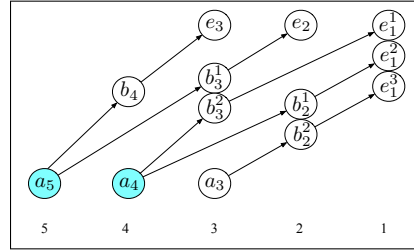


Fig. 8: Graph after step 2

2. **Merging prefixes.** For any node  $v_l$  ( $l \in [k]$ ) in some path  $(v_1, \dots, v_k)$ , we define the *prefix* of  $v_l$  as  $(v_1, \dots, v_{l-1})$ . For any  $v_l$  and  $v'_l$ , if their prefixes

$(v_1, \dots, v_{l-1})$  and  $(v'_1, \dots, v'_{l-1})$  correspond to the same statements, then for all  $i \in [l-1]$ , we merge  $v_i$  and  $v'_i$  into one node. Here, we merge the nodes in descending order of the indices of the statements, i.e., from the largest index to the least index.

For example, in Fig. 7, node  $b_4$  (in path  $a_5^1 \rightarrow b_4 \rightarrow e_3$ ) and node  $b_3^1$  (in path  $a_5^2 \rightarrow b_3^1 \rightarrow e_2$ ) have the same prefix (i.e., node  $a_5^1$  and node  $a_5^2$ ). Thus, we merge them into one node (i.e., the blue node  $a_5$  in Fig. 8). Similarly, we merge node  $a_4^1$  and node  $a_4^2$  into another blue node  $a_4$  in Fig. 8. Finally, we obtain Fig. 8 after merging prefixes and there are totally 5 paths and 13 nodes.

3. **Merging suffixes.** For any node  $v_l$  ( $l \in [k]$ ) in some path  $(v_1, \dots, v_k)$ , we define the *suffix* of  $v_l$  as  $(v_{l+1}, \dots, v_k)$ . Note that a node may have multiple suffixes after merging prefixes. For any  $v_l$  and  $v'_l$ , we will merge them into one node, if they satisfy the following conditions: i) they correspond to the same statement; ii) the numbers of suffixes of  $v_l$  and  $v'_l$  are the same (if the suffix is empty, the number of suffixes is 0); iii) when the numbers of suffixes are greater than 0, for each suffix of  $v_l$ , there is suffix of  $v'_l$  such that the corresponding statements of the suffixes are the same. Here, we merge the nodes in ascending order of the indices of the statements, i.e., from the least index to the largest index. Finally, output the graph  $G$ .

In Fig. 8, the suffix of the node  $e_1^1$  in path  $a_4 \rightarrow b_3^2 \rightarrow e_1^1$ , the suffix of node  $e_2^1$  in path  $a_4 \rightarrow b_2^1 \rightarrow e_2^1$  and the suffix of node  $e_3^1$  in path  $a_3 \rightarrow b_2^2 \rightarrow e_3^1$ , are all empty. Thus, we merge them into one node, as the blue node  $e_1$  in Fig. 9.

After that, node  $b_2^1$  (in path  $a_4 \rightarrow b_2^1 \rightarrow e_1$ ) and node  $b_2^2$  (in path  $a_3 \rightarrow b_2^2 \rightarrow e_1$ ) share the same suffixes (i.e., node  $e_1$ ). Thus, we merge node  $b_2^1$  and node  $b_2^2$  into one node (i.e., the blue node  $b_2$  in Fig. 10). Finally, we can see that the graphs in Fig. 10 and Fig. 6 are identical. There are 5 paths and 10 nodes in total in Fig. 10, and the number of the nodes in Fig. 10 are much smaller than that in Fig. 7.

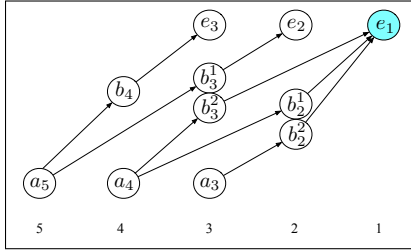
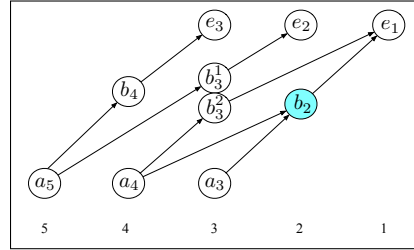
Fig. 9: Merging nodes to  $e_1$ 

Fig. 10: Graph after step 3

That's the description of the deterministic transfer algorithm `kCNFtoDAG`.

Now we turn to discuss the properties that  $\text{kCNFtoDAG}$  has. Formally, we have the following two theorems. Due to space limitations, the proofs of these two theorems are provided in the full version of this paper.

**Theorem 1.** *Given a  $k$ -CNF relation, the DAG output by algorithm  $\text{kCNFtoDAG}$  has the aforementioned Property-(i) and Property-(ii).*

**Theorem 2.** *Given a  $k$ -CNF relation  $\mathcal{R}_{k\text{-CNF}, S'_k}$  for  $n$  statements, the number of vertices  $|V|$  in the DAG, output by the above transfer algorithm  $\text{kCNFtoDAG}$ , satisfies that  $|V| \leq \text{Min}(V_{\text{bound}}, (k \cdot \text{num}))$ , where  $\text{num}$  is the number of the clauses in the expression of  $\mathcal{R}_{k\text{-CNF}, S'_k}$ , and*

$$V_{\text{bound}} = 2^d + 2(n - 2d + 1) + (n - 2d + 2)C_n^{\lfloor \frac{d}{2} \rfloor + 1} \begin{cases} d = k & (2 \leq k < \frac{n+1}{2}) \\ d = n - k + 1 & (\frac{n+1}{2} \leq k \leq n - 1) \end{cases} \quad (6)$$

In addition, if we just prepare as many nodes as the theoretical result (i.e.,  $V_{\text{bound}}$ ), then we can further reduce the running time and memory space when invoking  $\text{kCNFtoDAG}$ . An improved algorithm can be found in the full version of this paper.

## 5 DAG- $\Sigma$ protocol for $k$ -CNF

In this section, we construct a Sigma protocol for  $k$ -CNF relations. Specifically, we first show a Sigma protocol for  $k$ -CNF relations based on a Sigma protocol for 1-out-of- $k$  relations in Sec. 5.1. Further, we convert the  $k$ -CNF relations to directed acyclic graphs (DAGs), and then show a DAG-based Sigma protocol (DAG- $\Sigma$  protocol) in Sec. 5.2.

### 5.1 Warm-up

Here we describe a Sigma protocol for  $k$ -CNF relations. Part of the ideas will be adopted in our later DAG- $\Sigma$  protocol.

**Framework.** Let  $\mathcal{R}_{1\text{-OR}}$  be a 1-out-of- $k$  relation in the DL setting, i.e.,

$$\mathcal{R}_{1\text{-OR}} = \{(\mathbf{x}, \mathbf{y}) : y_1 = g^{x_1} \vee \dots \vee y_k = g^{x_k}\}, \quad (7)$$

where  $\mathbf{x} \in (\mathbb{Z}_p^* \cup \{\perp\})^k \setminus \{(\perp)^k\}$  and  $\mathbf{y} \in \mathbb{G}^k$ . We will firstly construct a Sigma protocol  $\Sigma^{\mathcal{R}_{1\text{-OR}}}$  for  $\mathcal{R}_{1\text{-OR}}$ . Then, with  $\Sigma^{\mathcal{R}_{1\text{-OR}}}$  as an ingredient, we construct a composite Sigma protocol  $\Sigma_{\text{plain}}^{\mathcal{R}_{k\text{-CNF}, S'_k}^{\text{dl}}}$  for  $\mathcal{R}_{k\text{-CNF}, S'_k}^{\text{dl}}$  (Eq. (3)) in this way:

1. For each Type-V clause in  $\mathcal{R}_{k\text{-CNF}, S'_k}^{\text{dl}}$ , the prover  $\mathcal{P}_1$  calls  $\Sigma^{\mathcal{R}_{1\text{-OR}}}$ .  $\mathcal{P}_1$  to generate a commitment; then she sends all the commitments to the verifier.
2. The verifier  $\mathcal{V}_1$  picks a random number from  $\mathbb{Z}_p^*$  as a challenge and sends it to the prover.

3. The prover  $\mathcal{P}_2$  calls  $\Sigma^{\mathcal{R}_{1-OR}}.\mathcal{P}_2$  to generate responses and then sends them to the verifier.

Finally, the verifier  $\mathcal{V}_2$  outputs 1 if and only if  $\Sigma^{\mathcal{R}_{1-OR}}.\mathcal{V}_2$  accepts all the transcripts (for all the Type- $\vee$  clauses in  $\mathcal{R}_{k-CNF, S'_k}^{dl}$ ).

Completeness, computational knowledge soundness and special HVZK property of this composite Sigma protocol are trivially based on that of  $\Sigma^{\mathcal{R}_{1-OR}}$ . So we omit the analysis here, and turn to the construction of  $\Sigma^{\mathcal{R}_{1-OR}}$ .

**Sigma protocol  $\Sigma^{\mathcal{R}_{1-OR}}$ .** Before describing the protocol  $\Sigma^{\mathcal{R}_{1-OR}}$ , we firstly recall Schnorr's Sigma protocol [32]  $\Sigma_{Sch}^{\mathcal{R}} = (\mathcal{P}, \mathcal{V})$  for relation  $\mathcal{R} = \{(x, y) : y = g^x\}$  in Fig. 11, where the description of the HVZK simulator  $\text{Sim}$  is also presented. Observe that the witness  $x$  is not needed for  $\Sigma_{Sch}^{\mathcal{R}}.\mathcal{P}_1$ , so we write  $\Sigma_{Sch}^{\mathcal{R}}.\mathcal{P}_1(\perp, y)$  directly in Fig. 11. Note that Schnorr's Sigma protocol  $\Sigma_{Sch}^{\mathcal{R}}$  is a *Chameleon*  $\Sigma$ -protocol [12] (the definition will be recalled in the full version of this paper). Generally, in a Chameleon  $\Sigma$ -protocol, the prover can compute the commitment  $a$  by using the simulator (taking a statement  $y$  and an arbitrary challenge  $c'$  as input). Once the challenge  $c$  has been received, the prover can compute the response  $z$  by using the witness  $x$  and the randomness which is used by the simulator to compute  $a$ . Thus, a Chameleon  $\Sigma$ -protocol for  $\mathcal{R}$  has two modes: standard mode when  $\mathcal{P}$  runs  $\mathcal{P}_1$  and  $\mathcal{P}_2$ , and a Chameleon mode when  $\mathcal{P}$  runs the simulator. It is required that for all  $(x, y) \in \mathcal{R}$ , the transcript output in the standard mode and that output in the Chameleon mode are indistinguishable. As pointed out in [12],  $\Sigma_{Sch}^{\mathcal{R}}$  is a Chameleon  $\Sigma$ -protocol, so we provide another proving algorithm  $\mathcal{P}' = (\mathcal{P}'_1, \mathcal{P}'_2)$  for  $\Sigma_{Sch}^{\mathcal{R}}$  in Fig. 11.

In fact, Schnorr's Sigma protocol is a perfect Chameleon  $\Sigma$ -protocol, so for all  $(x, y) \in \mathcal{R}$ , the transcripts generated by  $(\mathcal{P}, \mathcal{V})$  and that generated by  $(\mathcal{P}', \mathcal{V})$  are distributed identically.

Standard mode:	$\mathcal{V}_2(y, a, c, z):$	Chameleon mode:
(1) $\mathcal{P}_1(\perp, y)$	$a' \leftarrow g^z / y^c$	(1) $\mathcal{P}'_1(\perp, y):$
$r \leftarrow \mathbb{Z}_p^*, a \leftarrow g^r$	Return $(a' \stackrel{?}{=} a)$	$c' \leftarrow \mathbb{Z}_p^*$
Send $a$ to $\mathcal{V}$		$r \leftarrow \mathbb{Z}_p^*, a \leftarrow g^r / y^{c'} // \Sigma_{Sch}^{\mathcal{R}}.\text{Sim}(y, c')$
(2) $\mathcal{V}_1(a):$	Simulator $\text{Sim}(y, c):$	Send $a$ to $\mathcal{V}$
$c \leftarrow \mathbb{Z}_p^*$	$z \leftarrow \mathbb{Z}_p^*, a \leftarrow g^z / y^c$	(2) $\mathcal{V}_1(a):$
Send $c$ to $\mathcal{P}$	Return $(a, z)$	$c \leftarrow \mathbb{Z}_p^*, \text{Send } c \text{ to } \mathcal{P}$
(3) $\mathcal{P}_2(a, c, x, y)$		(3) $\mathcal{P}'_2(a, c, c', x, y):$
$z \leftarrow r + cx$		$z \leftarrow r + (c - c')x$
Send $z$ to $\mathcal{V}$		Send $z$ to $\mathcal{V}$

Fig. 11: Schnorr's Sigma protocol  $\Sigma_{Sch}^{\mathcal{R}}$

Now, we turn to the construction of Sigma protocol  $\Sigma^{\mathcal{R}_{1-OR}}$ .

Let  $\Sigma_{Sch}^{\mathcal{R}}$  be Schnorr's Sigma protocol as shown in Fig. 11, and  $\varphi : \{0, 1\}^* \rightarrow \mathbb{Z}_p^*$  be a collision-resistant hash function. The Sigma protocol  $\Sigma^{\mathcal{R}_{1-OR}} = (\mathcal{P}, \mathcal{V})$  for  $\mathcal{R}_{1-OR}$  is as follows (and the detailed algorithms are shown in Fig. 12).



1.  $\mathcal{P} \rightarrow \mathcal{V}$ . The prover  $\mathcal{P}_1$  computes the commitment as follows. First,  $\mathcal{P}_1$  calls  $\Sigma_{\text{Sch}}^{\mathcal{R}}.\mathcal{P}_1(\perp, y_k)$  to generate a random commitment  $a_k$  for the  $k^{\text{th}}$  statement  $y_k$ . Then for  $l = k - 1$  to 1,  $\mathcal{P}_1$  invokes the HVZK simulator  $\Sigma_{\text{Sch}}^{\mathcal{R}}.\text{Sim}$ , feeding it with  $\varphi(a_{l+1})$  as the challenge, to generate  $a_l$  for the  $l^{\text{th}}$  statement  $y_l$ . Finally,  $\mathcal{P}_1$  sends  $a = a_1$  to the verifier  $\mathcal{V}$ .
2.  $\mathcal{V} \rightarrow \mathcal{P}$ . Receiving  $a$ ,  $\mathcal{V}_1$  samples  $c \leftarrow \mathbb{Z}_p^*$  and sends it to  $\mathcal{P}$ .
3.  $\mathcal{P} \rightarrow \mathcal{V}$ . Receiving  $c$ ,  $\mathcal{P}_2$  proceeds to compute the response. We denote the largest component in  $S_{\mathbf{x}}^{\mathbf{w}}$  as  $\mu$ , i.e., the witness  $x_\mu$  for  $y_\mu$  is known by the prover. For every  $l > \mu$ ,  $\mathcal{P}_2$  invokes the HVZK simulator  $\Sigma_{\text{Sch}}^{\mathcal{R}}.\text{Sim}$  to generate another commitment  $a'_l$  for each statement  $y_l$ . Then, for  $l = \mu$ ,  $\mathcal{P}_2$  calls  $\Sigma_{\text{Sch}}^{\mathcal{R}}.\mathcal{P}_2'(a_\mu, \varphi(a'_{\mu+1}), \varphi(a_{\mu+1}), x_\mu, y_\mu)$  (or  $\Sigma_{\text{Sch}}^{\mathcal{R}}.\mathcal{P}_2(a_k, c, x_k, y_k)$  if  $\mu = k$ ) to generate a valid response. For every  $l < \mu$ , we just set the responses equal to those responses output by the HVZK simulator in the first step. Finally,  $\mathcal{P}_2$  sends  $z = \{z_l\}_{l \in [k]}$  to the verifier.

The verification is as follows. The verifier  $\mathcal{V}_2$  invokes the codes in  $\Sigma_{\text{Sch}}^{\mathcal{R}}.\mathcal{V}_2$  to compute the commitments for every statement. Then he compares the computed commitment of the first statement with the commitment  $a$  sent by  $\mathcal{P}_1$ . If they are equal,  $\mathcal{V}_2$  outputs 1.

*Completeness.* Now we analyze the completeness of  $\Sigma^{\mathcal{R}_{1-\text{OR}}}$ . For any  $(\mathbf{x}, \mathbf{y}) \in \overline{\mathcal{R}_{1-\text{OR}}}$ , denote the largest component in  $S_{\mathbf{x}}^{\mathbf{w}}$  as  $\mu$ . If  $\mu = k$ , we have  $a''_k = g^{z_k}/y_k^c = g^{r+x_k c}/y_k^c = g^r = a_k = a'_k$ . If  $\mu < k$ , we have  $a''_k = g^{z_k}y_k^c = a'_k$  and then by mathematical induction we have  $a''_{\mu+1} = a'_{\mu+1}$ . Further, we have

$$\begin{aligned} a''_\mu &= g^{z_\mu}/y_\mu^{\varphi(a''_{\mu+1})} = g^{z_\mu}/y_\mu^{\varphi(a'_{\mu+1})} \\ &= g^{\hat{z}_\mu + (\varphi(a'_{\mu+1}) - \varphi(a_{\mu+1}))x_\mu}/y_\mu^{\varphi(a'_{\mu+1})} = g^{\hat{z}_\mu}/y_\mu^{\varphi(a_{\mu+1})} = a_\mu = a'_\mu. \end{aligned}$$

Therefore, when  $l < \mu$ , we can prove the following recursively:  $a''_l = g^{z_l}/y_l^{\varphi(a''_{l+1})} = g^{z_l}/y_l^{\varphi(a'_{l+1})} = g^{\hat{z}_l}/y_l^{\varphi(a_{l+1})} = a_l = a'_l$ . It implies that  $a''_1 = a'_1 = a_1 = a$ , so  $\mathcal{V}_2$  outputs 1.

The completeness implies some special features of  $\Sigma^{\mathcal{R}_{1-\text{OR}}}$ :

1. For every statement, the commitment computed by  $\mathcal{P}_2$  equals that computed by  $\mathcal{V}_2$ , i.e.,  $a'_l = a''_l$  ( $l \in [k]$ ).
2. For the statement of which the prover knows the witness, the corresponding commitments in different steps are the same, i.e.,  $a_\mu = a'_\mu = a''_\mu$ .
3. If  $a_{l+1} \neq a'_{l+1}$  ( $l \in [k-1]$ ) and the prover does not know the witness of  $y_l$ , then it holds that  $a_l \neq a'_l$  with overwhelming probability.

Due to page limitations, the analysis of computational knowledge soundness, special HVZK and witness indistinguishability of  $\Sigma^{\mathcal{R}_{1-\text{OR}}}$  will be given in the full version of this paper.

With this Sigma protocol  $\Sigma^{\mathcal{R}_{1-\text{OR}}}$  as a building block, we can obtain a composite Sigma protocol  $\Sigma_{\text{plain}}^{\mathcal{R}_{k-\text{CNF}, S'_k}^{\text{dl}}}$  for  $\mathcal{R}_{k-\text{CNF}, S'_k}^{\text{dl}}$  (Eq. (3)) following the framework

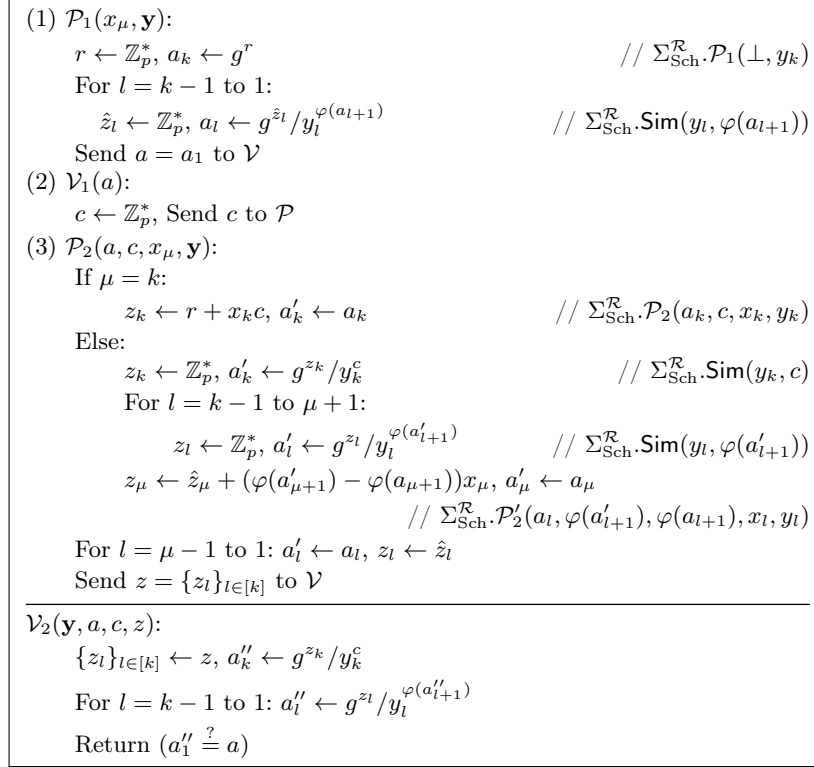


Fig. 12: Algorithms of  $\Sigma^{\mathcal{R}_{1\text{-OR}}}$  ( $\mu$  is the largest component in  $S_{\mathbf{x}}^w$ , i.e., the prover knows  $x_\mu$  for  $y_\mu$ .)

as mentioned before. We note that the communication complexity of the composite Sigma protocol for  $\mathcal{R}_{k\text{-CNF}, S'_k}^{\text{dl}}$  is  $O(k \cdot \text{num})$ , which theoretically equals the complexity of [14].

## 5.2 Description of DAG- $\Sigma$ protocols

Here, we construct a more efficient Sigma protocol for  $\mathcal{R}_{k\text{-CNF}, S'_k}^{\text{dl}}$  in Eq. (3). Informally, we construct this protocol following the main idea of  $\Sigma^{\mathcal{R}_{1\text{-OR}}}$ , except that (i) we firstly convert the relation to a directed acyclic graph (DAG), and generate a commitment for each node  $v$  of the DAG (instead of generating  $a_l$  for each statement  $y_l$  in  $\Sigma^{\mathcal{R}_{1\text{-OR}}}$ ), and (ii) the value of commitment for node  $v$  depends on all the commitments for the nodes in  $S_v^{\text{pred}}$  (while the value of commitment  $a_l$  depends on a single statement  $a_{l+1}$  for statement  $y_{l+1}$ ). Furthermore, the communication complexity of the DAG-based protocol depends on the number of vertices of the DAG.

*Building blocks.* Let  $\Sigma_{\text{Sch}}^{\mathcal{R}}$  be Schnorr's Sigma protocol as shown in Fig. 11, and  $\varphi : \{0, 1\}^* \rightarrow \mathbb{Z}_p^*$  be a collision-resistant hash function. Let  $\text{kCNFtoDAG}$  be the deterministic transfer algorithm presented in Sec. 4, which takes a  $k$ -CNF relation  $\mathcal{R}_{k\text{-CNF}, S'_k}$  (i.e., relation of the form like Eq. (2)) as input and outputs a directed acyclic graph  $G = (V, E)$ . As in the description of  $\text{kCNFtoDAG}$ , we can have a function  $f : V \rightarrow [n]$  such that if there is an edge from  $v_1$  to  $v_2$  in the graph, then  $f(v_1) > f(v_2)$ .

*Overview.* We firstly run the transfer algorithm  $\text{kCNFtoDAG}$  to convert the relation  $\mathcal{R}_{k\text{-CNF}, S'_k}^{\text{dl}}$  to a DAG  $G = (V, E)$ . Note that a node in  $G$  represents only one statement, while a statement may correspond to multiple nodes, since there are multiple Type- $\vee$  clauses in the expression of  $\mathcal{R}_{k\text{-CNF}, S'_k}^{\text{dl}}$ . Recall that in the Sigma protocol  $\Sigma^{\mathcal{R}_{1\text{-OR}}}$  in Fig. 12, for each statement  $y_l$ , a corresponding commitment  $a_l$  is generated. Here, with similar approach, for each node of  $G$ , we compute a commitment for the corresponding statement. For a node  $v$ , the commitment computed with the algorithm  $\mathcal{P}_1$  of the DAG- $\Sigma$  protocol is denoted as  $a_v$  if  $v \in S^{\text{source}}$ , or  $b_v$  if  $(v \notin S^{\text{source}}) \wedge (v \notin S^{\text{sink}})$ , or  $e_v$  if  $v \in S^{\text{sink}}$ . In other words, it is denoted according to the in-degree and out-degree of node  $v$ . Note that the in-degree and out-degree cannot both be zero when  $k \geq 2$  (it is a trivial problem when  $k = 1$ ). In addition, the values of these commitments will not be changed once they are assigned.

On the other hand, recall that in  $\Sigma^{\mathcal{R}_{1\text{-OR}}}$  (as shown in Fig. 12), commitment  $a_l$  is computed based on  $\varphi(a_{l+1})$ , i.e., the underlying hash function  $\varphi$  takes only one commitment as input. In our DAG- $\Sigma$  protocol, when computing the commitment for the statement corresponding to node  $v$  (hereinafter, we sometimes directly write it as the commitment for node  $v$  for simplicity), the hash function  $\varphi$  would take all the commitments for the nodes in  $S_v^{\text{pred}}$  as input. Specifically, for the algorithm  $\mathcal{P}_1$  of the DAG- $\Sigma$  protocol, we provide an algorithm  $\text{msg}(G, v)$  to “splice” the commitments computed by  $\mathcal{P}_1$ , denoting the output of  $\text{msg}(G, v)$  as  $m_v$ , such that  $\varphi$  will directly take  $m_v$  as input. We assume that  $\text{msg}$  always “splice” the commitments from the smallest index to the largest one. So for any fixed node  $v$  in  $G$ ,  $\text{msg}(G, v)$  is also a fixed value. The detailed description of  $\text{msg}$  will be given in Fig. 14.

Analogously, in the description of the DAG- $\Sigma$  protocol (which will be shown in Fig. 13 and Fig. 14), the commitments computed by  $\mathcal{P}_2$  (resp.,  $\mathcal{V}_2$ ) are denoted as  $a'_v$ ,  $b'_v$  or  $e'_v$  (resp.,  $a''_v$ ,  $b''_v$  or  $e''_v$ ). Respectively, we also provide  $\text{msg}'$  and  $\text{msg}''$ , and the detailed descriptions will be given in Fig. 14.

Note that in  $\Sigma^{\mathcal{R}_{1\text{-OR}}}$  (as shown in Fig. 12), the corresponding commitments computed in  $\Sigma^{\mathcal{R}_{1\text{-OR}}}. \mathcal{P}_1$  and in  $\Sigma^{\mathcal{R}_{1\text{-OR}}}. \mathcal{P}_2$  are equal (i.e.,  $a_l = a'_l$  in Fig. 12), only when the prover knows the witness  $x_l$  or  $a_{l+1} = a'_{l+1}$ . Comparatively, in our DAG- $\Sigma$  protocol, the commitments (for a node  $v$ ) computed in  $\mathcal{P}_1$  and in  $\mathcal{P}_2$  are equal, only when the prover knows the witness (of the statement corresponding to  $v$ ) or  $\text{msg}(G, v) = \text{msg}'(G, v)$ .

In addition, as described in  $\Sigma_{\text{plain}}^{\mathcal{R}_{k\text{-CNF}, S'_k}^{\text{dl}}}$  in Sec. 5.1,  $\Sigma_{\text{plain}}^{\mathcal{R}_{k\text{-CNF}, S'_k}^{\text{dl}}}. \mathcal{P}_1$  sends all the  $a_1$ 's of different Type- $\vee$  clauses to  $\Sigma_{\text{plain}}^{\mathcal{R}_{k\text{-CNF}, S'_k}^{\text{dl}}}. \mathcal{V}_1$ , and then  $\Sigma_{\text{plain}}^{\mathcal{R}_{k\text{-CNF}, S'_k}^{\text{dl}}}. \mathcal{V}_2$

computes all the corresponding  $(a'_1)$ 's and compare them with  $a_1$ 's for verification. Comparatively, in our DAG- $\Sigma$  protocol,  $\mathcal{P}_1$  sends all the  $\{e_v\}_{v \in S^{\text{sink}}}$  to  $\mathcal{V}_1$ , and then  $\mathcal{V}_2$  computes all the  $\{e''_v\}_{v \in S^{\text{sink}}}$  and compares them with  $\{e_v\}_{v \in S^{\text{sink}}}$  for verification.

Next, we turn to the detailed description of our DAG- $\Sigma$  protocol.

*Description.* Our DAG-based Sigma protocol  $\Sigma_{\text{DAG}}^{\mathcal{R}_{k\text{-CNF}, S'_k}^{\text{dl}}}$  for relation  $\mathcal{R}_{k\text{-CNF}, S'_k}^{\text{dl}}$  is as follows. The detailed algorithms are shown in Fig. 13 and Fig. 14.

1.  $\mathcal{P} \rightarrow \mathcal{V}$ . The prover  $\mathcal{P}_1$  first calls  $\text{kCNFtoDAG}(\mathcal{R}_{k\text{-CNF}, S'_k}^{\text{dl}})$  to get a directed acyclic graph  $G = (V, E)$ , and then generates the commitment  $a$  as follows: for every node  $v$  in  $G$ ,
  - (a) if  $v$  is a source (i.e.,  $\text{in-deg}(v) = 0$ ), then  $\mathcal{P}_1$  calls  $\Sigma_{\text{Sch}}^{\mathcal{R}} \cdot \mathcal{P}_1$  to generate a commitment for this node, i.e.,  $a_v = g^{r_v}$ , where  $r_v \leftarrow \mathbb{Z}_p^*$ .
  - (b) if  $v$  is neither a source nor a sink (i.e.,  $\text{in-deg}(v) \neq 0$  and  $\text{out-deg}(v) \neq 0$ ),  $\mathcal{P}_1$  invokes the HVZK simulator  $\Sigma_{\text{Sch}}^{\mathcal{R}} \cdot \text{Sim}$  to generate the commitment  $b_v$  for node  $v$  (i.e.,  $b_v \leftarrow \Sigma_{\text{Sch}}^{\mathcal{R}} \cdot \text{Sim}(y_{f(v)}, \varphi(m_v))$ ), where  $m_v \leftarrow \text{msg}(G, v)$ .
  - (c) if  $v$  is a sink (i.e.,  $\text{out-deg}(v) = 0$ ),  $\mathcal{P}_1$  computes a commitment for node  $v$  similar to step (b), and the only difference is that we denote the commitment as  $e_v$  here.

Finally,  $\mathcal{P}_1$  sends  $a = \{e_v\}_{v \in S^{\text{sink}}}$  to the verifier  $\mathcal{V}$ .

2.  $\mathcal{V} \rightarrow \mathcal{P}$ . Receiving  $a$ ,  $\mathcal{V}_1$  samples  $c \leftarrow \mathbb{Z}_p^*$  and sends it to  $\mathcal{P}$ .
3.  $\mathcal{P} \rightarrow \mathcal{V}$ . Receiving  $c$ ,  $\mathcal{P}_2$  proceeds to compute the response. In a nutshell, for every  $v \in V$ : if the prover knows  $x_{f(v)}$  of the corresponding statement  $y_{f(v)}$ , she calls  $\Sigma_{\text{Sch}}^{\mathcal{R}} \cdot \mathcal{P}_2$  to compute a response if  $v \in S^{\text{source}}$ , or calls  $\Sigma_{\text{Sch}}^{\mathcal{R}} \cdot \mathcal{P}'_2$  if  $(v \notin S^{\text{source}}) \wedge (m_v \neq m'_v)$ ; otherwise (i.e., the prover does not know any witness of the corresponding statement), she calls  $\Sigma_{\text{Sch}}^{\mathcal{R}} \cdot \text{Sim}$  to generate a response and re-generate the commitment once  $v \in S^{\text{source}}$  or  $m_v \neq m'_v$ . In the above cases, if  $(v \notin S^{\text{source}}) \wedge (m_v = m'_v)$ , then we just set the response equal to that output by the simulator in  $\mathcal{P}_1$ . Note that if for some  $v \in S^{\text{sink}}$ , the prover does not know  $x_{f(v)}$ , and  $m_v \neq m'_v$ , then the protocol aborts, because we can find a Type- $\vee$  clause such that the prover does not know any witness of the statements in it, which implies that  $(\mathbf{x}, \mathbf{y}) \notin \mathcal{R}_{k\text{-CNF}, S'_k}^{\text{dl}}$ . Finally,  $\mathcal{P}_2$  sends  $z = \{z_v\}_{v \in V}$  to  $\mathcal{V}$ .

The verification is as follows.  $\mathcal{V}_2$  invokes the codes in  $\Sigma_{\text{Sch}}^{\mathcal{R}} \cdot \mathcal{V}_2$  to compute the commitments for every node in  $G$  according to the edges in  $G$ . If the commitments of the nodes in  $S^{\text{sink}}$  are equal to the corresponding commitments sent by  $\mathcal{P}_1$ , then  $\mathcal{V}_2$  accepts, otherwise he rejects.

We provide some more explanations about the algorithms here.

Given a node  $v$ ,  $\text{msg}(G, v)$  will always succeed in returning the same value, because (i)  $G$  is a directed acyclic graph, there are no inter-dependent nodes, i.e., no endless loops exist; (ii) its predecessor nodes can have correct assignments, which can be achieved by adopting recursion or a special node sequence (for the code “For  $v \in V$ ” in  $\mathcal{P}_1$  and we omit the details here). In addition, the “For loops” in the  $\text{msg}$  are executed following a deterministic sequence of nodes, e.g.,

```

(1)  $\mathcal{P}_1(\mathbf{x}, \mathbf{y})$ :
     $G = (V, E) \leftarrow \text{kCNFtoDAG}(\mathcal{R}_{k\text{-CNF}, S'_k}^{\text{dl}})$  // convert the relation into a DAG
    For  $v \in V$ :
        If  $\text{in-deg}(v) = 0$ :  $r_v \leftarrow \mathbb{Z}_p^*$ ,  $a_v \leftarrow g^{r_v}$  //  $\Sigma_{\text{Sch}}^{\mathcal{R}} \cdot \mathcal{P}_1(\perp, y_{f(v)})$ 
        Else If  $\text{out-deg}(v) \neq 0$ :
             $m_v \leftarrow \text{msg}(G, v)$ ,  $\hat{z}_v \leftarrow \mathbb{Z}_p^*$ ,  $b_v \leftarrow g^{\hat{z}_v} / y_{f(v)}^{\varphi(m_v)}$  //  $\Sigma_{\text{Sch}}^{\mathcal{R}} \cdot \text{Sim}(y_{f(v)}, \varphi(m_v))$ 
            Else  $m_v \leftarrow \text{msg}(G, v)$ ,  $\hat{z}_v \leftarrow \mathbb{Z}_p^*$ ,  $e_v \leftarrow g^{\hat{z}_v} / y_{f(v)}^{\varphi(m_v)}$  //  $\Sigma_{\text{Sch}}^{\mathcal{R}} \cdot \text{Sim}(y_{f(v)}, \varphi(m_v))$ 
        Send  $a = \{e_v\}_{v \in S^{\text{sink}}}$  to  $\mathcal{V}$ 
(2)  $\mathcal{V}_1(a)$ :  $c \leftarrow \mathbb{Z}_p^*$ , Send  $c$  to  $\mathcal{P}$ 
(3)  $\mathcal{P}_2(a, c, \mathbf{x}, \mathbf{y})$ :
    For  $v \in V$ :
        If  $f(v) \in S_{\mathbf{x}}^{\text{w}}$ : //  $\mathcal{P}$  knows witness of  $y_{f(v)}$ 
            If  $\text{in-deg}(v) = 0$ :  $z_v \leftarrow r_v + x_{f(v)}c$ ,  $a'_v \leftarrow a_v$  //  $\Sigma_{\text{Sch}}^{\mathcal{R}} \cdot \mathcal{P}_2(a_v, c, x_{f(v)}, y_{f(v)})$ 
            Else If  $(m'_v \leftarrow \text{msg}'(G, v), m_v \neq m'_v)$ :
                 $z_v \leftarrow \hat{z}_v + (\varphi(m'_v) - \varphi(m_v))x_{f(v)} / \Sigma_{\text{Sch}}^{\mathcal{R}} \cdot \mathcal{P}'_2(a_v, \varphi(m'_v), \varphi(m_v), x_{f(v)}, y_{f(v)})$ 
            Else  $z_v \leftarrow \hat{z}_v$ 
            If  $\text{out-deg}(v) \neq 0$ :  $b'_v \leftarrow b_v$ 
            Else  $e'_v \leftarrow e_v$ 
        Else //  $\mathcal{P}$  does not know witness of  $y_{f(v)}$ 
            If  $\text{in-deg}(v) = 0$ :  $z_v \leftarrow \mathbb{Z}_p^*$ ,  $a'_v \leftarrow g^{z_v} / y_{f(v)}^c$  //  $\Sigma_{\text{Sch}}^{\mathcal{R}} \cdot \text{Sim}(y_{f(v)}, c)$ 
            Else If  $(m'_v \leftarrow \text{msg}'(G, v), m_v \neq m'_v)$ :
                If  $\text{out-deg}(v) \neq 0$ :
                     $z_v \leftarrow \mathbb{Z}_p^*$ ,  $b'_v \leftarrow g^{z_v} / y_{f(v)}^{\varphi(m'_v)}$  //  $\Sigma_{\text{Sch}}^{\mathcal{R}} \cdot \text{Sim}(y_{f(v)}, \varphi(m'_v))$ 
                Else Return  $\perp$ 
            Else
                If  $\text{out-deg}(v) \neq 0$ :  $b'_v \leftarrow b_v$ ,  $z_v \leftarrow \hat{z}_v$ 
                Else  $e'_v \leftarrow e_v$ ,  $z_v \leftarrow \hat{z}_v$ 
    Send  $z = \{z_v\}_{v \in V}$  to  $\mathcal{V}$ 

```

Fig. 13: Generation algorithms of  $\Sigma_{\text{DAG}}^{\mathcal{R}_{k\text{-CNF}, S'_k}^{\text{dl}}}$  (Assume that the “For loops” are executed following a deterministic sequence of nodes.)

from the smallest index to the largest. Similar explanations are also applied to  $\mathcal{P}_2$  and  $\mathcal{V}_2$  with  $\text{msg}'$  and  $\text{msg}''$  respectively.

For all  $v \in V$ ,  $a_v$  (or  $b_v$  or  $e_v$ ) and  $\hat{z}_v$  are generated by  $\mathcal{P}_1$ ,  $a'_v$  (or  $b'_v$  or  $e'_v$ ) and  $z_v$  are generated by  $\mathcal{P}_2$ , and  $a''_v$  (or  $b''_v$  or  $e''_v$ ) is generated by  $\mathcal{V}_2$ .  $\mathcal{P}$  knows some witness of  $y_{f(v)}$  if and only if  $f(v) \in S_{\mathbf{x}}^{\text{w}}$ . Moreover, algorithm  $\mathcal{P}_2$  has the following properties.

**(I):** For any  $v \in V$ , if  $f(v) \in S_{\mathbf{x}}^{\text{w}}$ , then  $a'_v = a_v$  or  $b'_v = b_v$  or  $e'_v = e_v$ . In other words, if  $a'_v \neq a_v$  or  $b'_v \neq b_v$  or  $e'_v \neq e_v$ , then  $f(v) \notin S_{\mathbf{x}}^{\text{w}}$ .

**(II):** For any  $v \in V \setminus S^{\text{source}}$ , if  $f(v) \notin S_{\mathbf{x}}^{\text{w}}$ , and for all  $v' \in S_v^{\text{pred}}$ ,  $a'_{v'} = a_{v'}$  or  $b'_{v'} = b_{v'}$  (i.e.,  $m_v = m'_{v'}$ ), then  $b'_v = b_v$  or  $e'_v = e_v$ .

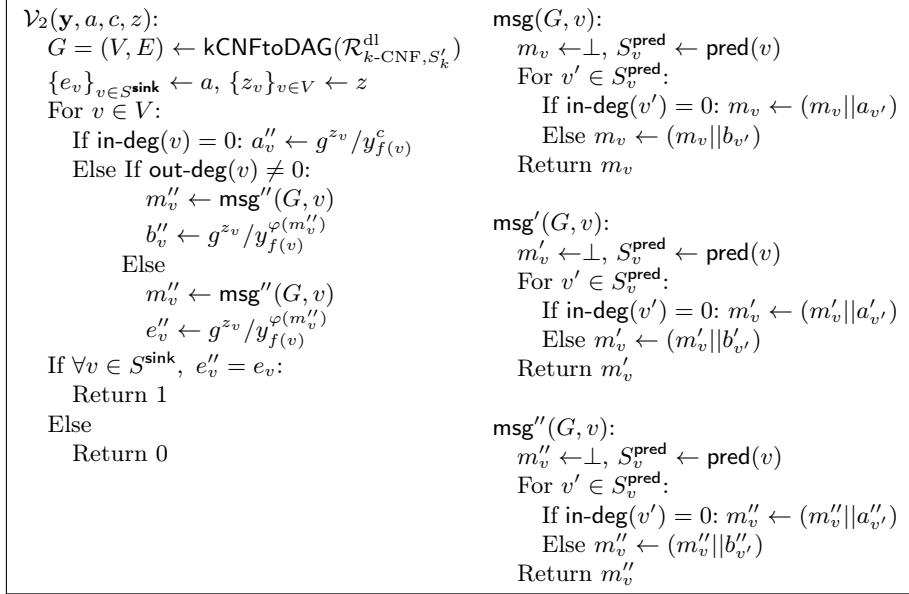


Fig. 14: Verification algorithm of  $\Sigma_{\text{DAG}}^{\mathcal{R}_{k\text{-CNF}, S'_k}^{\text{dl}}}$  and other auxiliary algorithms (Assume that the “For loops” are executed following a deterministic sequence of nodes.)

**(III):** Implied by (I) and (II), if  $a'_v \neq a_v$  or  $b'_v \neq b_v$  or  $e'_v \neq e_v$  for some  $v \in V \setminus S^{\text{source}}$ , then there must be some  $v' \in S_v^{\text{pred}}$  such that  $a'_{v'} \neq a_{v'}$  or  $b'_{v'} \neq b_{v'}$  (which further implies  $f(v') \notin S_{\mathbf{x}}^{\text{w}}$  according to Property (I)).

**(IV):** Implied by (III) and by induction on path, if  $a'_{\tilde{v}} \neq a_{\tilde{v}}$  or  $b'_{\tilde{v}} \neq b_{\tilde{v}}$  or  $e'_{\tilde{v}} \neq e_{\tilde{v}}$  for some  $\tilde{v} \in V$ , then there must be some path such that for any vertex  $v$  in the path from a source to  $\tilde{v}$ ,  $f(v) \notin S_{\mathbf{x}}^{\text{w}}$ .

**(V):** As a special case of (IV), if  $e'_{\tilde{v}} \neq e_{\tilde{v}}$  for some  $\tilde{v} \in S^{\text{sink}}$ , there must be some path such that for any vertex  $v$  in this path,  $f(v) \notin S_{\mathbf{x}}^{\text{w}}$ , which also implies that there is a Type- $\vee$  clause  $\bigvee_{j \in [k]} y_{i_j}$  such that  $\mathcal{P}$  does not know any witness of  $(y_{i_j})_{j \in [k]}$ , i.e.,  $(\mathbf{x}, \mathbf{y}) \notin \mathcal{R}_{k\text{-CNF}, S'_k}^{\text{dl}}$ .

Note that when the event mentioned in Property (V) occurs,  $\mathcal{P}_2$  will return  $\perp$ , as shown in Fig. 13.

**Completeness.** For any  $(\mathbf{x}, \mathbf{y}) \in \mathcal{R}_{k\text{-CNF}, S'_k}^{\text{dl}}$ , let  $(a, c, z)$  denote the transcript generated by the protocol. Now we consider the computation of  $\mathcal{V}_2(\mathbf{y}, a, c, z)$ . Note that for all  $v \in S^{\text{source}}$ ,  $a''_v = g^{z_v} / y_{f(v)}^c = a'_v$ . By induction on path, we have the following claim, the formal proof of which can be found in the full version of this paper.

*Claim.* For all  $v \in V \setminus S^{\text{sink}}$ ,  $a''_v = a'_v$  or  $b''_v = b'_v$ .

According to above claim, for any  $v \in V$ ,  $m''_v = m'_v$ . For each  $v \in S^{\text{sink}}$  satisfying  $f(v) \in S_{\mathbf{x}}^{\text{w}}$ , we have:

(1) If  $m_v \neq m'_v$ , then

$$\begin{aligned} e''_v &= g^{z_v} / y_{f(v)}^{\varphi(m''_v)} = g^{z_v} / y_{f(v)}^{\varphi(m'_v)} \\ &= g^{\hat{z}_v + (\varphi(m'_v) - \varphi(m_v))x_{f(v)}} / y_{f(v)}^{\varphi(m'_v)} = g^{\hat{z}_v} / y_{f(v)}^{\varphi(m_v)} = e_v. \end{aligned}$$

(2) If  $m_v = m'_v$ , then according to the procedures of  $\mathcal{P}_2$ , we have  $z_v = \hat{z}_v$ , so  $e''_v = g^{z_v} / y_{f(v)}^{\varphi(m''_v)} = g^{z_v} / y_{f(v)}^{\varphi(m'_v)} = g^{\hat{z}_v} / y_{f(v)}^{\varphi(m_v)} = e_v$ .

For each  $v \in S^{\text{sink}}$  satisfying  $f(v) \notin S_{\mathbf{x}}^w$ , we have:

- (1) If  $m_v \neq m'_v$ , then according to Property (IV), there is some path such that for any vertex  $\tilde{v}$  in the path from a source to  $v'$ ,  $f(\tilde{v}) \notin S_{\mathbf{x}}^w$  (we denote these  $k-1$  vertices as  $S_{\text{pa}}$ ). Note that  $v \in S^{\text{sink}}$  and  $f(v) \notin S_{\mathbf{x}}^w$ , so  $S_{\text{pa}} \cup \{v\}$  constitute a path such that for any vertex  $\tilde{v}$  in the path,  $f(\tilde{v}) \notin S_{\mathbf{x}}^w$ . According to Property (V),  $(\mathbf{x}, \mathbf{y}) \notin \mathcal{R}_{k\text{-CNF}, S'_k}^{\text{dl}}$ , contradicting the assumption that  $(\mathbf{x}, \mathbf{y}) \in \mathcal{R}_{k\text{-CNF}, S'_k}^{\text{dl}}$ . So we don't need to consider this case in completeness analysis.
- (2) If  $m_v = m'_v$  then according to the procedures of  $\mathcal{P}_2$ , we have  $e'_v = e_v$  and  $z_v = \hat{z}_v$ . Since  $m''_v = m'_v$ , we derive  $e''_v = g^{z_v} / y_{f(v)}^{\varphi(m''_v)} = g^{\hat{z}_v} / y_{f(v)}^{\varphi(m_v)} = e_v$ .

Other properties. For computational knowledge soundness and special HVZK property, we have the following theorem. Due to space limitations, we provide the proof in the full version of this paper.

**Theorem 3.** *If  $\varphi$  is a collision-resistant hash function,  $\Sigma_{\text{DAG}}^{\mathcal{R}_{k\text{-CNF}, S'_k}^{\text{dl}}}$  provides computational knowledge soundness and is special HVZK.*

Communication complexity. It is clear that there are  $|S^{\text{sink}}|$  group elements and  $(|V| + 1)$  elements in  $\mathbb{Z}_p^*$  in the communication of the 3-move Sigma protocol  $\Sigma_{\text{DAG}}^{\mathcal{R}_{k\text{-CNF}, S'_k}^{\text{dl}}}$ . If we apply Fiat-Shamir transform [15], the total proof would be  $|V|$  elements in  $\mathbb{Z}_p^*$ .

According to Theorem 2,  $|V| \leq \text{Min}(V_{\text{bound}}, (k \cdot \text{num}))$ , which implies that  $|V| \leq k \cdot \text{num}$ . Note that the communication complexity of [14] is  $O(k \cdot \text{num})$ , so we can draw such a conclusion that the communication complexity of  $\Sigma_{\text{DAG}}^{\mathcal{R}_{k\text{-CNF}, S'_k}^{\text{dl}}}$  is better than that of [14]. A further analysis of  $V_{\text{bound}}$  (which can be found in the full version of this paper) will show that *generally*  $V_{\text{bound}} \ll k \cdot \text{num}$ . It implies that *generally*  $\Sigma_{\text{DAG}}^{\mathcal{R}_{k\text{-CNF}, S'_k}^{\text{dl}}}$  protocol based on kCNFtoDAG has a remarkable performance improvement on proving  $k\text{-CNF}$  relations, when compared with [14].

## 6 Extension: incomplete $k\text{-DNF}$ relations

In Sec. 5.2, we have shown a Sigma protocol for  $k\text{-CNF}$  relations. However, in some scenarios, the required relations of partial knowledge are formalized in



disjunctive normal form (DNF) [13, 5], i.e., each clause combines the statements using “AND” operation, and then the formula of the relation combines the clauses using “OR” operation. If every clause has  $k$  statement, we call it  $k$ -DNF relations and then we further classify them into *complete* ones and *incomplete* ones. In this section, we show a construction of Sigma protocols for incomplete  $k$ -DNF relations, partially based on DAG- $\Sigma$  protocol in Sec. 5.

### 6.1 Problem definition

First, please refer to Sec. 3 for the notations of  $S_k$  and  $\mathcal{R}_l$  ( $l \in [n]$ ). Then, we define the following partial knowledge for compound statements.

**Definition 2. (Complete  $k$ -out-of- $n$  partial knowledge for DNF).** *Given  $n$  different statements  $\{y_l\}_{l \in [n]}$  and  $n$  sub-relations  $\{\mathcal{R}_l\}_{l \in [n]}$ , the prover proves that she knows  $k$  witnesses among the  $n$  statements. In other words, she knows some  $(y_{i_1}, \dots, y_{i_k})$  are true, where  $\{i_1, \dots, i_k\} \in S_k$ .*

The relation can be presented in DNF as follows,

$$\mathcal{R}_{k\text{-DNF}, S_k}^{\text{com}} = \{(\mathbf{x}, \mathbf{y}) : \bigvee_{\{i_1, \dots, i_k\} \in S_k} (\bigwedge_{j \in [k]} (x_{i_j}, y_{i_j}) \in \mathcal{R}_{i_j})\}, \quad (8)$$

where  $\mathbf{x}, \mathbf{y}$  are two  $n$ -dimension vectors, and  $\mathcal{R}_{i_j} \in \{\mathcal{R}_l\}_{l \in [n]}$  is a sub-relation. For simplicity, we denote the relation in disjunctive normal form where every clause has  $k$  statements as **complete  $k$ -DNF relation**. Furthermore, we stress that  $|S_k| = C_n^k$ .

Then similarly, we define the incomplete  $k$ -out-of- $n$  partial knowledge relation in DNF as follows.

**Definition 3. (Incomplete  $k$ -out-of- $n$  partial knowledge for DNF).** *Given  $n$  different statements  $\{y_l\}_{l \in [n]}$ ,  $n$  sub-relations  $\{\mathcal{R}_l\}_{l \in [n]}$ , and a subset  $S_k'' \subsetneq S_k$ , the prover proves that she knows some  $(y_{i_1}, \dots, y_{i_k})$  are true, where  $\{i_1, \dots, i_k\} \in S_k''$ .*

Similarly, the relation can be presented in DNF as follows,

$$\mathcal{R}_{k\text{-DNF}, S_k''}^{\text{incom}} = \{(\mathbf{x}, \mathbf{y}) : \bigvee_{\{i_1, \dots, i_k\} \in S_k''} (\bigwedge_{j \in [k]} (x_{i_j}, y_{i_j}) \in \mathcal{R}_{i_j})\}, \quad (9)$$

where  $\mathbf{x}, \mathbf{y}$  are two  $n$ -dimension vectors, and  $\mathcal{R}_{i_j} \in \{\mathcal{R}_l\}_{l \in [n]}$  is a sub-relation. Note that  $|S_k''| < C_n^k$ . We denote the relation in Eq. (9) as **incomplete  $k$ -DNF relation** and we also focus on the incomplete  $k$ -DNF relations that can be decided in polynomial time.

### 6.2 A transfer for special cases

Following  $\mathcal{R}_{k\text{-DNF}, S_k}^{\text{com}}$  (Eq. (8)) and  $\mathcal{R}_{k\text{-DNF}, S_k''}^{\text{incom}}$  (Eq. (9)), we further consider the following relations,

$$\mathcal{R}_{k\text{-CNF}, S_k \setminus S_k''}^{\text{not}} = \{(\mathbf{x}, \mathbf{y}) : \bigwedge_{\{i_1, \dots, i_k\} \in S_k \setminus S_k''} (\bigvee_{j \in [k]} (x_{i_j}, y_{i_j}) \notin \mathcal{R}_{i_j})\}, \quad (10)$$

$$\mathcal{R}^{\text{tsf}} = \mathcal{R}_{k\text{-DNF}, S_k}^{\text{com}} \cap \mathcal{R}_{k\text{-CNF}, S_k \setminus S_k''}^{\text{not}}, \quad (11)$$

where  $\mathbf{x}$  and  $\mathbf{y}$  are two  $n$ -dimension vectors,  $S_k'' \subsetneq S_k$ , and we assume that  $1 \leq i_1 < \dots < i_k \leq n$  without loss of generality. Obviously, we have that  $\mathcal{R}^{\text{tsf}} \subset \mathcal{R}_{k\text{-CNF}, S_k \setminus S_k''}^{\text{not}}$ .

Now we show  $\mathcal{R}^{\text{tsf}} \subset \mathcal{R}_{k\text{-DNF}, S_k''}^{\text{incom}}$ . Specifically, for any pair  $(\mathbf{x}, \mathbf{y})$  belonging to  $\mathcal{R}^{\text{tsf}}$ ,  $(\mathbf{x}, \mathbf{y}) \in \mathcal{R}_{k\text{-DNF}, S_k}^{\text{com}}$  and  $(\mathbf{x}, \mathbf{y}) \in \mathcal{R}_{k\text{-CNF}, S_k \setminus S_k''}^{\text{not}}$ . In other words, at least one clause labeled in  $S_k$  with respect to  $\mathcal{R}_{k\text{-DNF}, S_k}^{\text{com}}$ , e.g.,  $(\bigwedge_{j \in [k]} (x_{i_j}, y_{i_j}) \in \mathcal{R}_{i_j})$ , is true, while the clauses labeled in  $S_k \setminus S_k''$  with respect to  $\mathcal{R}_{k\text{-CNF}, S_k \setminus S_k''}^{\text{not}}$ , e.g.,  $(\bigvee_{j \in [k]} (x_{i_j}, y_{i_j}) \notin \mathcal{R}_{i_j})$ , are all true. It means that the clauses labeled in  $S_k \setminus S_k''$  with respect to  $\mathcal{R}_{k\text{-DNF}, S_k}^{\text{com}}$  are all false. In all, at least one clause labeled in  $S_k''$  with respect to  $\mathcal{R}_{k\text{-DNF}, S_k}^{\text{com}}$  is true, which implies that  $(\mathbf{x}, \mathbf{y}) \in \mathcal{R}_{k\text{-DNF}, S_k''}^{\text{incom}}$ .

We claim that a Sigma protocol  $\Sigma^{\mathcal{R}^{\text{tsf}}}$  for relation  $\mathcal{R}^{\text{tsf}}$  can be transferred to a Sigma protocol for relation  $\mathcal{R}_{k\text{-DNF}, S_k''}^{\text{incom}}$ . Given a witness-statement pair  $(\mathbf{x}, \mathbf{y}) \in \mathcal{R}_{k\text{-DNF}, S_k''}^{\text{incom}}$ , we know that one of the clauses with respect to  $\mathcal{R}_{k\text{-DNF}, S_k''}^{\text{incom}}$  is true. The prover chooses one among the true clauses, and then she only preserves the witnesses for the statements in this clause and set the others empty. Therefore, we get an  $\mathbf{x}'$ . It is clear that  $(\mathbf{x}', \mathbf{y}) \in \mathcal{R}_{k\text{-DNF}, S_k''}^{\text{incom}}$  and  $(\mathbf{x}', \mathbf{y}) \in \mathcal{R}^{\text{tsf}}$ . Thus, if  $\Sigma^{\mathcal{R}^{\text{tsf}}}$  with the input  $(\mathbf{x}', \mathbf{y})$  outputs a proof and the verifier accepts the proof together with input  $\mathbf{y}$ , then the accepting proof indicates that the prover knows the partial knowledge of  $\mathbf{y}$  as per the relation  $\mathcal{R}^{\text{tsf}}$ , which implies that the prover knows the partial knowledge of  $\mathbf{y}$  as per the relation  $\mathcal{R}_{k\text{-DNF}, S_k''}^{\text{incom}}$ .

The Sigma protocol  $\Sigma^{\mathcal{R}^{\text{tsf}}}$  can be obtained from  $\Sigma^{\mathcal{R}_{k\text{-DNF}, S_k}^{\text{com}}}$  and  $\Sigma^{\mathcal{R}_{k\text{-CNF}, S_k \setminus S_k''}^{\text{not}}}$  using “AND”-proof construction [6]. Therefore, we have the following theorem.

**Theorem 4.** *The proof for an incomplete  $k$ -DNF relation  $\mathcal{R}_{k\text{-DNF}, S_k''}^{\text{incom}}$  can be obtained from a proof for a complete  $k$ -DNF relation  $\mathcal{R}_{k\text{-DNF}, S_k}^{\text{com}}$  and a proof for a  $k$ -CNF relation  $\mathcal{R}_{k\text{-CNF}, S_k \setminus S_k''}^{\text{not}}$ .*

In other words, a Sigma protocol  $\Sigma^{\mathcal{R}_{k\text{-DNF}, S_k''}^{\text{incom}}}$  can be obtained from  $\Sigma^{\mathcal{R}_{k\text{-DNF}, S_k}^{\text{com}}}$  and  $\Sigma^{\mathcal{R}_{k\text{-CNF}, S_k \setminus S_k''}^{\text{not}}}$ . Since there are some efficient constructions for  $\Sigma^{\mathcal{R}_{k\text{-DNF}, S_k}^{\text{com}}}$ , e.g., [14], what remains is to construct  $\Sigma^{\mathcal{R}_{k\text{-CNF}, S_k \setminus S_k''}^{\text{not}}}$  efficiently. However, it seems difficult to prove a “NOT” statement (e.g.,  $(x_{i_j}, y_{i_j}) \notin \mathcal{R}_{i_j}$ ) generally.

Here, we discuss this problem in the *discrete logarithm* setting for some special cases. More specifically, in the following, we show a construction of a Sigma protocol for  $\mathcal{R}_{k\text{-DNF}, S_k''}^{\text{incom}}$  under the conditions (defined by Eq. (12)-(13)) in the *discrete logarithm* setting.

We firstly introduce the definition of  $\rho$ -type pairs as follows.

**Definition 4. ( $\rho$ -type pair).** *Let  $\mathbb{G}$  be a cyclic group of prime order  $p$  generated by  $g \in \mathbb{G}$ . Let  $h \in \mathbb{G}$  be some arbitrary non-identity element and  $\log_g h$  is unknown. Then we call  $(x, y = g^x h^\rho) \in \mathbb{Z}_p \times \mathbb{G}$  a  $\rho$ -type pair, where  $\rho \in \mathbb{Z}_p$ .*

We stress that for any distinct  $\rho_1, \rho_2$ , when  $x_1, x_2 \leftarrow \mathbb{Z}_p$ ,  $y_1 = g^{x_1} h^{\rho_1}$  and  $y_2 = g^{x_2} h^{\rho_2}$  are distributed identically.

Then, we consider the following two conditions for relations: 1) every statement is obtained from a 0-type or 1-type pair, as shown in Eq. (12); 2) further there are only  $k$  0-type pairs among all witness-statement pairs, as shown in Eq. (12)-(13).

$$\mathcal{R}_{\text{con1}} = \{(\mathbf{x}, \mathbf{y}) : \bigwedge_{l \in [n]} (y_l = g^{x_l} \vee y_l/h = g^{x_l})\}, \quad (12)$$

$$\mathcal{R}_{\text{con2}} = \{(\mathbf{x}, \mathbf{y}) : (\prod_{l=1}^n y_l)/h^{n-k} = g^{\sum_{l=1}^n x_l}\}. \quad (13)$$

In the discrete logarithm setting,  $\mathcal{R}_{k\text{-DNF}, S_k''}^{\text{incom}}$ ,  $\mathcal{R}_{k\text{-DNF}, S_k}^{\text{com}}$  and  $\mathcal{R}_{k\text{-CNF}, S_k \setminus S_k''}^{\text{not}}$  can be written as

$$\mathcal{R}_{k\text{-DNF}, S_k''}^{\text{incom, dl}} = \{(\mathbf{x}, \mathbf{y}) : \bigvee_{\{i_1, \dots, i_k\} \in S_k''} (\bigwedge_{j \in [k]} y_{i_j} = g^{x_{i_j}})\}, \quad (14)$$

$$\mathcal{R}_{k\text{-DNF}, S_k}^{\text{com, dl}} = \{(\mathbf{x}, \mathbf{y}) : \bigvee_{\{i_1, \dots, i_k\} \in S_k} (\bigwedge_{j \in [k]} y_{i_j} = g^{x_{i_j}})\}, \quad (15)$$

$$\mathcal{R}_{k\text{-CNF}, S_k \setminus S_k''}^{\text{not, dl}} = \{(\mathbf{x}, \mathbf{y}) : \bigwedge_{\{i_1, \dots, i_k\} \in S_k \setminus S_k''} (\bigvee_{j \in [k]} \overline{y_{i_j} = g^{x_{i_j}}})\}. \quad (16)$$

Under the conditions defined by Eq. (12)-(13),  $\mathcal{R}_{k\text{-DNF}, S_k''}^{\text{incom, dl}}$  further becomes

$$\mathcal{R}_k^{\text{incom}} = \mathcal{R}_{k\text{-DNF}, S_k''}^{\text{incom, dl}} \cap \mathcal{R}_{\text{con1}} \cap \mathcal{R}_{\text{con2}}. \quad (17)$$

Note that  $\mathcal{R}_{k\text{-DNF}, S_k''}^{\text{incom, dl}}$  indicates that at least one clause labeled in  $S_k''$  is true, and  $\mathcal{R}_k^{\text{incom}}$  means that only one clause labeled in  $S_k''$  is true.

Now we turn to  $\mathcal{R}_{k\text{-CNF}, S_k \setminus S_k''}^{\text{not, dl}}$  in Eq. (16) under the conditions defined by Eq. (12)-(13). Firstly, because of Eq. (12), a “NOT” statement, i.e.,  $\overline{y_{i_j} = g^{x_{i_j}}}$  here, can be transferred into  $y_{i_j}/h = g^{x_{i_j}}$ . Secondly, Eq. (12)-(13) guarantee that once  $(\mathbf{x}, \mathbf{y}) \in \mathcal{R}_k^{\text{incom}}$ , for every  $\{i_1, \dots, i_k\} \in S_k \setminus S_k''$ , there is at least one of the indices of the  $(n-k)$  1-type pairs falling in  $\{i_1, \dots, i_k\}$ . Therefore,  $\mathcal{R}_{k\text{-CNF}, S_k \setminus S_k''}^{\text{not, dl}}$  in Eq. (16) under the conditions defined by Eq. (12)-(13) becomes

$$\mathcal{R}_{k\text{-CNF}, S_k \setminus S_k''}^{\text{not, } \rho\text{-type}} = \{(\mathbf{x}, \mathbf{y}) : \bigwedge_{\{i_1, \dots, i_k\} \in S_k \setminus S_k''} (\bigvee_{j \in [k]} y_{i_j}/h = g^{x_{i_j}})\}. \quad (18)$$

Considering relation

$$\mathcal{R}_k^{\text{tsf}} = \mathcal{R}_{k\text{-DNF}, S_k}^{\text{com, dl}} \cap \mathcal{R}_{k\text{-CNF}, S_k \setminus S_k''}^{\text{not, } \rho\text{-type}} \cap \mathcal{R}_{\text{con1}} \cap \mathcal{R}_{\text{con2}}, \quad (19)$$

it is easy to see that  $\mathcal{R}_k^{\text{tsf}} = \mathcal{R}_k^{\text{incom}}$ . We note that  $\mathcal{R}_{k\text{-DNF}, S_k}^{\text{com, dl}}$  in Eq. (15) indicates that at least one clause labeled in  $S_k$  is true, while  $\mathcal{R}_k^{\text{tsf}}$  in Eq. (19) implies that only one clause labeled in  $S_k$  is true and it is not labeled in  $S_k \setminus S_k''$ .

Hence, in order to construct a Sigma protocol for  $\mathcal{R}_k^{\text{incom}}$  in Eq. (17), we need to construct a Sigma protocol for  $\mathcal{R}_k^{\text{tsf}}$ , which can be obtained from  $\Sigma^{\mathcal{R}_{k\text{-DNF}, S_k}^{\text{com, dl}}}$ ,  $\Sigma^{\mathcal{R}_{k\text{-CNF}, S_k \setminus S_k''}^{\text{not, } \rho\text{-type}}}$ ,  $\Sigma^{\mathcal{R}_{\text{con1}}}$  and  $\Sigma^{\mathcal{R}_{\text{con2}}}$  using “AND” operation [6]. Moreover,  $\Sigma^{\mathcal{R}_{\text{con1}}}$  and  $\Sigma^{\mathcal{R}_{\text{con2}}}$  can be obtained from Schnorr’s Sigma protocol and “AND/OR” proof

construction. As for  $\Sigma^{\mathcal{R}_{k\text{-DNF}, S_k}^{\text{com, dl}}}$ , there are existing constructions, e.g. [5, 14]. As for  $\Sigma^{\mathcal{R}_{k\text{-CNF}, S_k \setminus S_k''}^{\text{not}, \rho\text{-type}}}$ , note that for each sub-relation with respect to  $\mathcal{R}_{k\text{-CNF}, S_k \setminus S_k''}^{\text{not}, \rho\text{-type}}$ , Schnorr’s Sigma protocol can be applied; then  $\Sigma^{\mathcal{R}_{k\text{-CNF}, S_k \setminus S_k''}^{\text{not}, \rho\text{-type}}}$  can be obtained from the Sigma protocol in Sec. 5.2.

Thus, we obtain an efficient Sigma protocol for  $\mathcal{R}_k^{\text{incom}}$  in Eq. (17), i.e., the incomplete  $k$ -DNF relation  $\mathcal{R}_{k\text{-DNF}, S_k''}^{\text{incom}}$  under the conditions defined by Eq. (12)-(13).

*Remark 2.* Note that besides  $\rho$ -type pairs, the statements can be other kinds of elements, e.g., DDH and OneNDH in [13]. Roughly, we only require that they are indistinguishable and the conditions will be modified accordingly.

## 7 Experiments

In this section, we show the performance of our DAG- $\Sigma$  protocol  $\Sigma_{\text{DAG}}^{\mathcal{R}_{k\text{-CNF}, S_k'}^{\text{dl}}}$  and to have a more straight view, we compare it with that of [14]. Note that we implement [14] in its simplest way as mentioned in the introduction section.

We implement our experiments in Golang language (version 16.6) based on elliptic curve groups with key size of 512 bits. The experiments are conducted on a docker, over Pengcheng Cloud Brain<sup>2</sup>, running Ubuntu 16.04 on two Intel® Xeon™ Gold 6248 CPUs@2.50 GHz and using 64 GB memory in total. We are interested in the space overhead in communications as well as the timing overhead in running the Sigma protocols. To this end, we present microbenchmarks to evaluate the overhead costs. There are two factors  $k$  and  $n$ , that will affect the performance greatly. For simplicity, we have  $n$  vary from 10 to 50 and we choose  $4 \leq k \leq n/3$  in most cases (if  $n/3 < 4$ , we just set  $k = 4$ , e.g., in Fig. 15, there is only one data when  $n = 10$ ). Since we find no  $k$ -CNF relations in use in the real world, we construct some different relations in the DL setting for our experiments. Given  $n$  and  $k$ , the number of clauses in a  $k$ -CNF relation expression  $num$  also has an influence on the performance, but the range of  $num$  is large. Similar to the theoretical analysis, here we set  $num = C_n^k - \chi$ , where  $\chi$  is a random number in  $[50, 200]$ , which is nearly the worst case and can reflect the worst performance (i.e., the most space and running time that the tested Sigma protocols need). In the full version of this paper, we draw some 3D figures to show the complete and thorough influence of  $k$  and  $n$  over the performance. Here, we pick some experimental data and draw some 2D figures, e.g., stacked bar charts and line charts, for better comparison. Following are the experimental analysis.

**Communication costs.** The communication costs are measured by the bit length of all the messages between the prover and the verifier when running the Sigma protocols. A theoretical comparison is displayed in Table 1 in Sec. 1.

<sup>2</sup> <https://cloudbrain.pcl.ac.cn/>

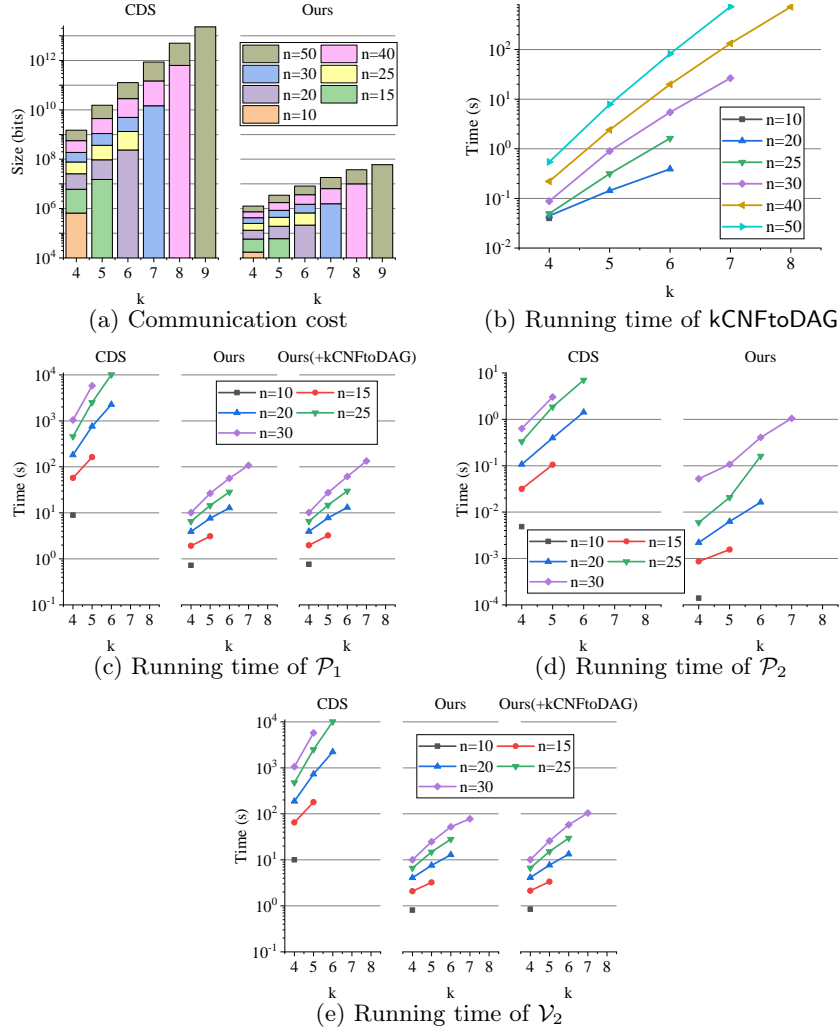


Fig. 15: Figures for the experiments (CDS is the solution [14] proposed by Cramer, Damgård and Schoenmakers. The number of clauses in one relation is  $C_n^k - \chi$ , where  $\chi$  is a random number in  $[50, 200]$ .)

Here, we make a quantitative comparison. In Table 2, we show that the communication size when  $k = 4$ . It is clear that our scheme saves more than 97% space overhead compared with [14].

For more cases, we draw a stacked bar chart as shown in Fig. 15a, where  $k$  varies from 4 to 9. It is clear that our solution has a remarkable decrease on the

Table 2: Communication cost when  $k = 4$  ( $\times 10^4$  bits)<sup>3</sup>

n	[14]	Our scheme	ratio
10	65.54	1.72	97.37% ↓
15	538.62	4.07	99.24% ↓
20	1964.03	7.45	99.62% ↓
25	5160.96	11.90	99.77% ↓
30	11204.6	17.48	99.84% ↓
40	37412.9	31.92	99.91% ↓
50	94310.4	50.92	99.94% ↓

communication costs compared with [14]. In addition, the figure shows that the effect on decrease would be better as  $n$  and  $k$  get larger.

**Running time.** We evaluate the running time of  $\mathcal{P}_1$ ,  $\mathcal{P}_2$  and  $\mathcal{V}_2$  in Fig. 15. Note that when testing our solution, we also record the running time of kCNFtoDAG for special interest. In fact, the directed acyclic graphs can be pre-computed. Thus, when recording the running time of  $\mathcal{P}_1$  or  $\mathcal{V}_2$  in our scheme, we have two versions: one includes the running time of kCNFtoDAG and the other one does not. Here we implement kCNFtoDAG using the improved algorithm as mentioned in Sec. 4.

We planned to evaluate both schemes with the same range of  $n$  and  $k$ . However, the running time of [14] grows so fast that the program was killed when  $n$  and  $k$  are set relatively large numbers. Therefore, in the experiment of [14], we set  $n$  from 10 to 33 and  $k$  from 4 to 7. In the experiment of our scheme,  $n$  varies from 10 to 50 and  $k$  varies from 4 to 10. More detailed experimental results can be found in the full version of this paper. Here, we just pick some data for analysis.

The running time of kCNFtoDAG is presented in Fig. 15b. It can be expected that as  $k$  and  $n$  get larger, the running time increases very quickly, since the number of vertices grows fast. If we compare it with the running time of  $\mathcal{P}_1$  and  $\mathcal{V}_2$  of our scheme (as shown in Fig. 15c and Fig. 15e), kCNFtoDAG performs reasonably well.

For the running time of  $\mathcal{P}_1$ ,  $\mathcal{P}_2$  and  $\mathcal{V}_2$ , we draw a table (Table 3) to present the running time when  $k = 4$ . The table tells that our scheme saves more than 90% running time, compared with [14]. More cases (i.e.,  $n$  varies from 10 to 30 and the range of  $k$  is [4, 8]) are shown in Fig. 15 (Fig. 15c - Fig. 15e). They also indicates that the running time of  $\mathcal{P}_1$ ,  $\mathcal{P}_2$  and  $\mathcal{V}_2$  of our scheme outperforms [14]. Note that counting in the running time of kCNFtoDAG or not does not affect the performance a lot, since it only occupies a limited percentage of the total running time and the time of commitment generation in  $\mathcal{P}_1$  and verification in  $\mathcal{V}_2$  of our scheme dominate the whole performance. In addition, Table 3, Fig. 15c and Fig. 15e show that the running time of  $\mathcal{P}_1$  and  $\mathcal{V}_2$  have similar performance. It is because in both [14] and our scheme,  $\mathcal{P}_1$  and  $\mathcal{V}_2$  have similar computation for the commitments.

<sup>3</sup> ratio =  $1 - \frac{\text{bits of our scheme}}{\text{bits of [14]}} \times 100\%$

Table 3: Running time when  $k = 4$  (s)<sup>4</sup>

n	$\mathcal{P}_1$			$\mathcal{P}_2$			$\mathcal{V}_2$		
	[14]	Ours	ratio	[14]	Ours	ratio	[14]	Ours	ratio
10	8.91	0.72	91.87% ↓	0.0049	$1.40 \times 10^{-4}$	97.11% ↓	10.04	0.85	91.56% ↓
15	57.47	1.92	96.66% ↓	0.033	$8.63 \times 10^{-4}$	97.27% ↓	65.08	2.13	96.72% ↓
20	182.23	3.91	97.85% ↓	0.11	$2.20 \times 10^{-3}$	97.95% ↓	187.41	4.13	97.80% ↓
25	456.37	6.54	98.57% ↓	0.33	$5.97 \times 10^{-3}$	98.20% ↓	477.74	6.66	98.61% ↓
30	1046.45	10.09	99.04% ↓	0.63	$5.21 \times 10^{-2}$	91.78% ↓	1058.25	10.08	99.05% ↓

In all, according to the experiment results, when compared with [14], our scheme achieves a remarkable performance improvement on proving  $k$ -CNF relations, no matter from the view of communication costs or running time.

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<sup>4</sup> Here, we count in the running time of kCNFtoDAG when running  $\mathcal{P}_1$  and  $\mathcal{V}_2$  in our scheme.  $\text{ratio} = 1 - \frac{\text{time of our scheme}}{\text{time of [14]}} \times 100\%$ .



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