## A Complete Characterization of Game-Theoretically Fair, Multi-Party Coin Toss\*

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Abstract. Cleve's celebrated lower bound (STOC'86) showed that a *de* facto strong fairness notion is impossible in 2-party coin toss, i.e., the corrupt party always has a strategy of biasing the honest party's outcome by a noticeable amount. Nonetheless, Blum's famous coin-tossing protocol (CRYPTO'81) achieves a strictly weaker "game-theoretic" notion of fairness — specifically, it is a 2-party coin toss protocol in which neither party can bias the outcome *towards its own preference*; and thus the honest protocol forms a Nash equilibrium in which neither party would want to deviate. Surprisingly, an *n*-party analog of Blum's famous coin toss protocol was not studied till recently. The work by Chung et al. (TCC'18) was the first to explore the feasibility of game-theoretically fair *n*-party coin toss in the presence of corrupt majority. We may assume that each party has a publicly stated preference for either the bit 0 or 1, and if the outcome agrees with the party's preference, it obtains utility 1; else it obtains nothing.

A natural game-theoretic formulation is to require that the honest protocol form a coalition-resistant Nash equilibrium, i.e., no coalition should have incentive to deviate from the honest behavior. Chung et al. phrased this game-theoretic notion as "cooperative-strategy-proofness" or "CSPfairness" for short. Unfortunately, Chung et al. showed that under (n-1)sized coalitions, it is impossible to design such a CSP-fair coin toss protocol, unless all parties except one prefer the same bit.

In this paper, we show that the impossibility of Chung et al. is in fact not as broad as it may seem. When coalitions are majority but not n-1in size, we can indeed get feasibility results in some meaningful parameter regimes. We give a complete characterization of the regime in which CSP-fair coin toss is possible, by providing a matching upper- and lowerbound. Our complete characterization theorem also shows that the mathematical structure of game-theoretic fairness is starkly different from the *de facto* strong fairness notion in the multi-party computation literature.

<sup>\*</sup> The author ordering is randomized. The full version of this paper is available at [38].

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#### 1 Introduction

Coin toss protocols, first proposed by Blum [9], are at the heart of cryptography and distributed computing. Imagine that Murphy and Mopey simultaneously solve the same long-standing open problem in cryptography, and they both submit a paper with identical results to EUROCRYPT'22. The program committee of EUROCRYPT'22 decide to recommend Murphy and Mopey to merge their papers. Now, Murphy and Mopey want to toss a coin to elect one of them to present the result at EUROCRYPT'22. How can Murphy and Mopey accomplish this task remotely? Clearly, we can use Blum's coin toss protocol. Murphy and Mopey each commit to a random bit, and post the commitment to a public bulletin board (e.g., a blockchain). They then each open their commitments. If the XOR of the two opened bits is 1, Murphy wins; else, Mopey wins. If either player aborts any time during the protocol or does not provide a valid opening for its commitment, it automatically forfeits and the other player wins. Although not explicitly stated in his ground-breaking paper [9], Blum's protocol actually achieves a natural, game-theoretic notion of fairness. Since both players want to get elected, we may assume that the winner obtains utility 1, and the loser obtains utility 0. Observe that a rational player who aims to maximize its utility has no incentive to deviate from the honest protocol. Any deviation (including aborting or opening the commitment wrongly) would cause it to lose.

Although this game-theoretic notion of fairness is very natural, it seems to have been overlooked in the subsequent long line of work on multi-party computation (MPC) [21,39,40]. Specifically, the MPC line of work instead switched to considering a *strictly* stronger notion of fairness henceforth called *unbiasability*. Unbiasability requires that an adversary controlling a corrupt coalition cannot bias the outcome of the coin toss whatsoever. Blum's protocol actually does not satisfy this strong, unbiasability notion: a player can indeed bias the outcome in Blum's protocol, although the bias would never be in its own favor. This unbiasability notion has been thoroughly explored in the cryptography literature. It is well-known that in general, if the majority of the players are honest, then unbiasability is indeed attainable [7, 12, 21, 37]. On the other hand, the celebrated lower bound of Cleve [15] shows that if half or more of the players are corrupt, unbiasability is impossible — in particular, this lower bound applies to the two-party case where one party can be corrupt.

Despite Cleve's lower bound, the fact that Blum's protocol can achieve meaningful fairness in the two-party case is thought provoking. A natural question arises:

can we achieve game-theoretically fair coin toss in the multi-party setting in the presence of a majority coalition?

Somewhat surprisingly, this question was not explored till the very recent work of Chung et al. [14].

Imagine that each player has a publicly stated preference for either the bit 0 or 1. If the coin toss outcome agrees with the player's preference, it obtains utility 1; else it obtains nothing. This formulation can have interesting applications. For

example, imagine that n parties in a blockchain protocol want to jointly elect a random block proposer among two possible candidates, and users have different preferences among the two depending on which one they are geographically closer to. Another example is where n investors who have invested money into a crowd-funding smart contract want to randomly choose a kick-starter to fund among two candidates, and each player may have a different preference in mind.

In many applications, the preference profiles are public. For example, suppose some blockchain community wants to randomly choose among two governance proposals. Here, the voters are public figures/community leaders whose affiliations, opinions, and past forum posts are publicly known. In general, when the voters' identities/reputations are known to the public and identities do not come for free, voters' preferences are usually public. Another example is games where players must put in stake to play. For example, suppose n players play binary roulette on a blockchain. Here, their preferences are made explicit by their public bets which they cannot lie about.

Chung et al. suggested the following natural formulations of game theoretic fairness for multi-party coin toss, both of which would equate to Blum's notion in the 2-party special case:

- CSP-fairness: Cooperative-strategy-proofness (or "CSP-fairness" for short) requires that no coalition can increase its own expected utility, no matter how it deviates from the prescribed protocol. In this way, the honest protocol forms a coalition-resistant Nash equilibrium, and no profit-seeking coalition of players would be incentivized to deviate from this equilibrium.
- Maximin fairness: Another natural notion is called maximin fairness, which requires that no coalition can harm any honest party (no matter how the coalition deviates from the prescribed protocol). More precisely, for any (computational) strategy adopted by a coalition of players, the expected utility of any honest party is at most negligibly apart from its utility in an all-honest execution. As motivated by Chung et al. [14], maximin fairness guarantees that no coalition aiming to monopolize the eco-system by harming and driving away small individual players has incentives to deviate; moreover, no defensive individual aiming to protect itself in the worst-case scenario has incentives to deviate.

Unfortunately, Chung et al. [14] showed very broad lower bounds which seem to crush our original hope of using game-theoretic fairness to circumvent Cleve's impossibility [15] in the corrupt majority setting. Specifically, Chung et al. proved that unless all parties except one have the same preference, it would be impossible to realize either CSP-fair coin toss or maximin-fair coin toss.

#### 1.1 Our Results and Contributions

It may seem that Chung et al.'s results have put a pessimistic closure to this direction. However, upon more careful examination, their lower bound proofs implicitly assume that all but one parties can be corrupt and form a coalition. It is not immediately clear whether the impossibility would still hold if majority but not n-1 parties are corrupt. We therefore revisit the question originally posed by Chung et al., i.e., whether one can rely on game-theoretic fairness to overcome Cleve's impossibility for coin toss protocols in the corrupt majority setting. Specifically, we focus on the following refinement of the question:

Can we achieve game-theoretically fair coin toss under for majority but not necessarily (n-1)-sized coalitions?

In this paper, we give a complete characterization of the landscape of gametheoretically fair coin toss, including for the CSP-fair and the maximin-fair notions. At a very high level, we show the following results:

- For CSP-fairness, the pessimistic view of Chung et al. [14] poorly reflects the actual state of affairs. In contrast, we show that under a broad range of parameter regimes, CSP-fairness is possible in the presence of a majority coalition; moreover, we give a complete characterization of the parameter regimes under which CSP-fairness is possible.
- For maximin-fairness, we show that the pessimistic view of Chung et al. indeed applies quite broadly. Roughly speaking, we show that except for the cases when all parties but one prefer the same outcome, or when exactly half of the players are corrupt, maximin-fairness is impossible to attain. We fully characterize maximin fairness as well.

Note that in cases when there is an honest individual with an opposite preference as the coalition, maximin-fairness would directly imply CSP-fairness. This partly explains why maximin-fairness is harder to attain than CSP-fairness.

Our work sheds new light on the intriguing mathematical structure of gametheoretic fairness, which differs fundamentally from the mathematical structure of the *de facto* unbiasability notion that is widely adopted in the cryptography literature. Since coin toss protocols [9] have been the cornerstone of the long line of work on multi-party computation protocols, we hope that our work can inspire future work in the exciting space of "game theory meets multi-party protocols" in general. We now give more formal statements of our results.

*CSP fairness.* For CSP fairness, we design a new protocol and explore for which range of parameters the upper bound holds. In addition, we generalize the lower bound proof of Chung et al. [14], and give the range of parameters in which impossibility holds. Our upper- and lower-bounds tightly match in their stated parameter regimes. Therefore, our two main results jointly provide a complete characterization of CSP fairness. It is worth noting that our upper bound holds in the presence of a *malicious* coalition that may deviate from the prescribed protocol arbitrarily to increase its own gain; whereas our lower bound holds for a *fail-stop* coalition whose only possible deviation is to have some of its players abort from the protocol. This makes both the upper- and lower-bound stronger.

Our results can be summarized with the following theorem statements — below, let  $n_0$  be the number of players that prefer 0 (also called 0-supporters),

and let  $n_1$  be the number of players that prefer 1 (also called 1-supporters). Throughout the paper, without loss of generality, we may assume that  $n_1 \ge n_0 \ge$ 1 since the other direction is symmetric. Additionally, we assume  $n_0 + n_1 > 2$ , since for 2-parties, we can just run Blum's coin toss.

**Theorem 1.1** (Upper bound). Assume the existence of Oblivious Transfer (OT), and without loss of generality, assume that  $n_1 \ge n_0 \ge 1$ , and  $n_0 + n_1 > 2$ . There exists a CSP-fair coin toss protocol which tolerates up to t-sized non-uniform p.p.t. coalitions where

$$t := \begin{cases} n_1 - \lfloor \frac{1}{2}n_0 \rfloor, & \text{if } n_1 \ge \frac{5}{2}n_0; \\ \lfloor \frac{2}{3}n_1 - \frac{1}{6}n_0 \rfloor + \lceil \frac{1}{2}n_0 \rceil + 1 = n_1 + 1, & \text{if } n_1 = n_0 = \textit{odd}; \\ \lfloor \frac{2}{3}n_1 - \frac{1}{6}n_0 \rfloor + \lceil \frac{1}{2}n_0 \rceil, & \textit{otherwise.} \end{cases}$$
(1)

Our upper bound holds even when the coalition may deviate arbitrarily from the prescribed protocol to increase its gain.

**Theorem 1.2** (Lower bound). Without loss of generality, assume that  $n_1 \ge n_0 \ge 1$  and  $n_0 + n_1 > 2$ . There does not exist a CSP-fair n-party coin toss which tolerates coalitions of size t + 1 or greater where t is same as Eq. (1).

Further, this lower bound holds even for fail-stop coalitions whose only possible deviations are aborting from the honest protocol, and it holds even allowing computational hardness assumptions and restricting the coalition to be computationally bounded.

Previously, the work of [14] shows possibility only for the case where where  $n_0 = 1$  or  $n_1 = 1$  and  $t = n_0 + n_1 - 1$ . Moreover, it showed that it is impossible to tolerate  $n_0 + n_1 - 1$  corruptions only for the case where both  $n_0, n_1 \ge 2$  (i.e., there are at least two parties among the set of 0-supporters and at least two parties among the set of 1-supporters).

Observe that the optimal resilience parameter t (specified in Eq. (1)) is a function of  $n_0$  and  $n_1$ . Intriguingly, its dependence as a function of  $n_0$  and  $n_1$  changes when  $n_1 = \frac{5}{2}n_0$ . This intriguing phase transition partly suggests that the mathematical structure of game theoretic fairness is starkly different the classical notion of unbiasability. The reason for this phase transition is related to the concrete techniques we adopt to prove our theorems. We will explain why this phase transition occurs as we describe our protocol to help the reader gain intuition (see Remark 2.4 of Section 2.1 for more explanations). Note also that the transition has a continuous boundary, i.e., at exactly  $n_1 = \frac{5}{2}n_0$ , the two expressions  $n_1 - \lfloor \frac{1}{2}n_0 \rfloor$  and  $\lfloor \frac{2}{3}n_1 - \frac{1}{6}n_0 \rfloor + \lceil \frac{1}{2}n_0 \rceil$  are equal (to  $2n_0$ ).

Maximin fairness. The work of [14] shows that maximin fairness is possible against  $t \leq n-1$  corruptions only when all but one of the parties are interested in the same outcome. We next show that this is essentially the only interesting setting which does not behave as in the crypto settings. We show that even when allowing a more liberate security threshold, we cannot push the barriers much further than relying on an honest majority. We show the following possibility and its complementary impossibility result:

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**Theorem 1.3.** Without loss of generality, assume that the number of 1-supporters  $n_1$  is at least the number of 0-supporters,  $n_0$ , and assume that  $n_0 + n_1 > 2$ . Then:

- For  $n_0 \geq 2$ , there does not exist a maximin-fair n-party coin toss protocol which tolerates more than  $\lceil \frac{1}{2}(n_0 + n_1) \rceil$  number of fail-stop adversaries. Moreover, there exists a (statistically-secure) maximin-fair n-party coin toss protocol which tolerates up to  $\lceil \frac{1}{2}(n_0 + n_1) \rceil - 1$  malicious corruptions.
- For the special case where  $n_0 = 1$ , we show that there does not exist a maximin-fair n party coin toss protocol which tolerates more than  $\lceil \frac{1}{2}n_1 \rceil + 1$  number of (semi-malicious) players. Assuming Oblivious Transfer, there exists a maximin fair-coin tossing protocol tolerating up to  $\lceil \frac{1}{2}n_1 \rceil$  malicious corruptions.

*Public verifiability.* Our positive results are achieved in a model that allowed *public verifiability.* In particular, the output of the protocol can be computed from messages that were sent over the broadcast medium (e.g., a public blockchain), and therefore also external *observers*, i.e., parties that do not take part of the computation, can also learn the output. Such public verifiability is often needed in blockchain and decentralized smart contract applications.

#### 1.2 Related Work

Game theory meets cryptography. Although game theory [27, 33] and multiparty computation [21,39] originated from different academic communities, some recent efforts have investigated the connections of the two areas (e.g., see the excellent surveys by Katz [28] and by Dodis and Rabin [17]). At a high level, this line of work focuses on two broad classes of questions.

First, a line of works [1,3,5,24,29,34] explored how to define game-theoretic notions of security (as opposed to cryptography-style security notions) for distributed computing tasks such as secret sharing and secure function evaluation. Earlier works in this space considered a different notion of utility than our work. Utility functions are often defined with the following assumptions regarding players' perference: players prefer to compute the function correctly; they prefer to learn others' secret data, and prefer that other players do not learn their own secrets. In light of such utility functions, earlier works in this space explored whether we can design protocols such that rational players will be incentivized to follow the honest protocol. Inspired by this line of work, Garay et al. propose a new paradigm called Rational Protocol Design (RPD) [19], and this paradigm was developed further in several subsequent works [18, 20] (we will comment on the relationship of our notion and RPD shortly).

Second, another central question is how cryptography can help traditional game theory. Classical works in game theory [27,33] assumed the existence of a trusted mediator. Therefore, recent works considered how to realize this trusted mediator using cryptography [6, 16, 23, 26].

It is well-understood that the notion of Nash equilibrium may predict unstable outcomes since it may rely on empty threats. Our CSP notion adopts the (coalition-resistant) Nash equilibrium paradigm and therefore it does not eliminate the issue of empty threats. In other words, for a CSP-fair protocol, it could be that a player threatens to deviate from the honest protocol (possibly at a harm to itself), making other players reconsider their strategies too. A couple works proposed new notions in the context of computationally bounded agents, aiming to eliminate empty threats. Gradwohl, Livne and Rosen [22] suggested a notion called computational threat-free Nash equilibrium, which can be viewed as a relaxation of the classical notion of subgame perfect equilibrium for computationally bounded agents. This work does not consider coalition resistance. Pass and shelat [35] suggest a new notion called renegotiation-safe equilibrium, which they show to be incomparable to Nash equilibrium. Their work captures some notion of coalition resistance in the sense that coalitions do not want to renegotiate to strategies that are themselves resilient to future renegotiations. Our protocol is not a threat-free Nash/renegotiation safe under the same resilience parameter — it is interesting to study what resilience parameters our protocol can tolerate under these notions. In fact, Threat-Free Nash and Renegotiation Safety have not been explored in a coalition setting before. It would also be an interesting future direction to explore the (in)feasibility of threat-free or renegotiation-safe notions in the context of multi-party coin toss.

*Recent efforts.* More recently, there has been renewed interest in the connection of game theory and cryptography, partly due to the success of decentralized blockchains. Besides the work of Chung et al. [14] which provided direct inspiration of our work, the recent work of Chung, Chan, Wen, and Shi [13] suggested an alternative formulation of game-theoretically fair multi-party coin toss. Specifically, they consider the task of electing a leader among n players, where everyone is competing to get elected. Therefore, if a user gets elected, its utility is 1, else its utility is 0. Their formulation can be viewed as tossing an n-way dice whereas our formulation and that of Chung et al. consider a binary coin. Intriguingly, for the leader election formulation, it is indeed possible to achieve CSP-fairness under any number of corruptions, and thus Chung et al. [13] focus on understanding the round complexity of such protocols. Chung et al. also explore how to define approximate notions of game-theoretic fairness in a distributed protocol context, and they point out that further subtleties exist in defining an approximate notion, and thus they suggest new notions called sequential CSP fairness and sequential maximin fairness. These technicalities only pertain to approximate notions with non-negligible slack, and are *not* relevant for us since we consider (1-negligible)-fairness.

Other recent works, also inspired by blockchain applications, consider a financial fairness notion through the use of collateral and penalities [2, 8, 30-32]. In comparison, the protocols in this paper can ensure game theoretic fairness even *without* the use of collateral or penalties if applied in blockchain contexts.

*Relationship to RPD.* Chung, Chan, Wen, and Shi [13] also show a connection between their approximate game-theoretic notion and the elegant RPD notion by Garay et al. [18–20]. The same connection also applies to our notion. More specification of the same connection also applies to our notion.

ically, the RPD framework models a meta-game, i.e., a Stackelberg game between the protocol designer and an attacker: the designer first picks a protocol  $\Pi$ , then the attacker can decide which coalition to corrupt and its strategy after examining this protocol  $\Pi$ . They want a solution concept that achieves a subgame perfect equilibrium in this Stackelberg meta-game, but consider classical-style utility functions related to breaking privacy or correctness. Essentially, Chung et al. [13] showed that the CSP-fairness notion can be an equivalent interpretation in the RPD framework if we alter their utility notion accordingly to match our notion. We refer the readers to Chung et al. [13] for a detailed statement and proof of this equivalence.

Other related works. Finally, we can also circumvent Cleve's impossibility of strongly fair (i.e., unbiasable) coin toss under corrupt majority by introducing a trusted setup, or introducing non-standard cryptographic assumptions such as Verifiable Delay Functions [10, 11]. In this paper, we focus on the plain model without trusted setup, without any common reference string (CRS), and standard cryptographic hardness assumptions.

## 2 Technical Overview

#### 2.1 Upper Bound

Glimpse of hope. In light of the pessimistic view of Chung et al. [14], we start with a relatively simple protocol that gives us a glimpse of hope. As a special case, consider the scenario when  $n_0 = n_1 = 2$  — recall that for  $b \in \{0, 1\}$ ,  $n_b$ denotes the number of players that prefer b (also called b-supporters). In this case, there is a very simple protocol that achieves CSP-fairness against any coalition of at most 2 players. Imagine that we elect one 0-supporter and one 1-supporter arbitrarily as two representatives each preferring 0 and 1, respectively. We now have the two representatives duel with each other using Blum's coin toss, where if the b-supports aborts then the protocol outputs 1 - b for  $b \in \{0, 1\}$ . A simple argument proves that this protocol satisfies CSP-fairness:

- If a coalition controls only 1 player, it makes no sense to deviate whether or not the corrupt player is elected representative.
- If the coalition controls 2 players with opposing preferences, then the coalition is indifferent to the outcome and has no incentive to deviate.
- Finally, if the coalition controls 2 players with the same preference, then one of the two will be elected as representative, and the representative should not have incentive to deviate (whereas the non-representative's behavior has no influence to the outcome).

This very simple teaser already shows that Chung et al. [14]'s impossibility proof does not hold when there is no (n - 1)-sized coalition. Moreover, it also shows that this notion is weaker than cryptographic fairness, as there is no honest majority and still there is a possibility result.

Warmup protocol for a semi-malicious coalition. Unfortunately, the approach taken by the above teaser protocol for  $n_0 = n_1 = 2$  does not easily generalize to larger choices of  $n_0$  and  $n_1$ . We next give a warmup protocol that is somewhat more sophisticated, but it suggests a more general paradigm which inspires our final upper bound result. Chung et al. [14] gave a protocol against a coalition of size up to  $n_1$  players for  $n_0 = 1$ , thus we only consider  $n_0 \ge 2$  in our construction. For simplicity, we start with the *semi-malicious* model [4], i.e., the coalition is restricted to the following two types of deviations:

- 1. It can abort from the protocol in some round, after looking at the honest messages of that round. Moreover, once a player has aborted, it stops participating from that point on.
- 2. The coalition can choose its random coins to be used in each round after inspecting the honest messages of that round.

Besides these two possible deviations, the coalition would otherwise follow the protocol faithfully.

The HalfToss sub-protocol. Consider the following sub-protocol called HalfToss<sup>b</sup>[k] where  $b \in \{0, 1\}$ , and k is a threshold parameter whose purpose will become clear shortly. At a very high level, the sub-protocol chooses a random coin for the group of players that invoke this sub-protocol. Later on, this HalfToss<sup>b</sup> protocols will be executed twice: first among the 0-supporters and all the 1-supporters act as silent observers; and then among the 1-supporters where the 0-supporters act as silent observers. We use HalfToss<sup>0</sup> and HalfToss<sup>1</sup> to distinguish the two instances. Henceforth, let  $\mathcal{P}_b \subset [n]$  denote the set of b supporters for  $b \in \{0, 1\}$ . The final coin would be the XOR of the coins of the two groups.

## Protocol 2.1: $HalfToss^{b}[k]$ sub-protocol (semi-malicious version)

Sharing phase.

- 1. Each *b*-supporter  $i \in \mathcal{P}_b$  chooses a random bit  $\operatorname{coin}_i \stackrel{\$}{\leftarrow} \{0, 1\}$ . It then uses (k + 1)-out-of-*n* Shamir secret sharing<sup>3</sup>to split the coin  $\operatorname{coin}_i$  into  $n_b$  shares, denoted  $\{[\operatorname{coin}_i]_j\}_{j \in \mathcal{P}_b}$ , respectively. Player *i* then sends  $[\operatorname{coin}_i]_j$  to each player  $j \in \mathcal{P}_b$  over a private channel.
- 2. If a *b*-supporter has not aborted, post a heartbeat message to the broadcast channel. At this moment, the *active set*  $\mathcal{O}_b$  is defined to be the set of all *b*-supporters that indeed posted a heartbeat to the broadcast channel. Each player  $i \in [\mathcal{P}_b]$  computes  $s_i := \bigoplus_{j \in \mathcal{O}_b} [\operatorname{coin}_j]_i$  where  $[\operatorname{coin}_j]_i$  is the share player *i* has received from player *j*.

Reconstruction phase.

1. Every b-supporter  $i \in \mathcal{P}_b$  posts the reconstruction message  $(i, s_i)$  to the broadcast channel.

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  - 2. If at least k+1 number of b-supporters posted a reconstruction message, then reconstruct the final secret s using Shamir secret sharing. Specifically, interpret each reconstruction message of the form  $(j, s_j)$  as jointly defining some polynomial f such that  $f(j) = s_j$  and the reconstructed secret s := f(0). Output s.
  - 3. Else if fewer than k + 1 number of *b*-supporters posted a reconstruction message, output  $\perp$ .

Properties of the HalfToss sub-protocol. The HalfToss<sup>b</sup>[k] sub-protocol satisfies the following properties:

- Binding. The sharing phase uniquely defines a secret s, such that the reconstruction phase either succeeds and outputs s, or it fails and outputs  $\perp$ .
- Knowledge threshold. If at least k + 1 number of *b*-supporters are corrupt, then the coalition can control the outcome of the coin toss. Specifically, during the sharing phase, the coalition will know the  $coin_i$  value for every honest *i*, and thus it can choose the coalition's coin values accordingly to program the outcome to its own liking.

On the other hand, if at most k number of b-supporters are corrupt, then the coin value s that the sharing phase binds to is uniform and independent of the coalition's view in the sharing phase (i.e., the coalition is completely unaware of this random coin value).

- Liveness threshold. If the coalition controls at least  $n_b - k_b$  number of b-supporters, it can cause the reconstruction to fail and output  $\perp$ .

On the other hand, if the coalition controls fewer than  $n_b - k$  number of *b*-supporters, then the reconstruction phase must succeed.

Our warmup protocol. Our warmup protocol makes use of two instances of the HalfToss<sup>b</sup> sub-protocol among the 0-supporters and 1-supporters, respectively. The two instances are parametrized with the thresholds  $k_0$  and  $k_1$  — we shall first describe the protocol leaving  $k_0$  and  $k_1$  unspecified, we then explain how to choose  $k_0$  and  $k_1$  to get CSP fairness.

**Protocol 2.2:** Warmup protocol with semi-malicious security *Sharing phase.* 

- 1. (0-supporters participate, 1-supporters observe). Run the sharing phase of HalfToss<sup>0</sup>  $[k_0]$ .
- 2. (1-supporters participate, 0-supporters observe). Run the sharing phase of  $\mathsf{HalfToss}^1[k_1]$ .

Reconstruction phase.

<sup>&</sup>lt;sup>3</sup> For concreteness, in (k+1)-out-of-*n* secret sharing, a subset of *k* parties learn nothing about the secret while each subset of k+1 can reconstruct the secret.

- 1. (0-supporters participate, 1-supporters observe). Run the reconstruction phase of  $\mathsf{HalfToss}^0[k_0]$ , and let its outcome be  $s_0$  if reconstruction is successful. In case the reconstruction outputs  $\bot$ , then let  $s_0 := 0$ .
- 2. (1-supporters participate, 0-supporters observe). Run the reconstruction phase of  $\mathsf{HalfToss}^1[k_1]$ . If the reconstruction phase outputs  $\bot$ , then output 0 as the final coin value. Else let  $s_1$  be the reconstructed value, and output  $s_0 + s_1$  as the final coin value.

Choosing the thresholds  $\mathbf{k}_0$  and  $\mathbf{k}_1$ . Suppose we want to have a CSP-fair protocol for coalitions of size at most t. Let  $t_0$  and  $t_1$  denote the number of corrupted 0-supporters and 1-supporters, respectively. Our idea is to choose the thresholds  $k_0$  and  $k_1$  in light of  $n_0$ ,  $n_1$ , and t, such that the following conditions are satisfied (and recall that we assume without loss of generality that  $n_1 \ge n_0$ ):

- (C1) The coalition cannot control both coin values  $s_0$  and  $s_1$ . That is, for either  $b \in \{0, 1\}$ , if the coalition controls at least  $k_b + 1$  number of *b*-supporters, then because it is subject to the corruption budget *t*, the coalition must control at most  $k_{1-b}$  number of (1-b)-supporters, such that the coin value  $s_{1-b}$  is uniform and independent of the coalition's view at the end of the sharing phase.
- (C2) If the coalition can control the  $s_1$  coin, i.e., it controls at least  $k_1 + 1$  number of 1-supporters, then it cannot hamper the reconstruction of the coin  $s_0$  due to the corruption budget. That is, the coalition must control at most  $n_0 k_0 1$  number of 0-supporters.
- (C3) If the coalition controls at least  $n_1 k_1$  number of 1-supporters such that it can cause the reconstruction of  $s_1$  to fail, then the coalition must prefer 1 or is indifferent to the outcome. In other words, denoting by  $t_b$  the number of corrupted b-supporters and letting  $t_1 \ge n_1 - k_1$  then we have two cases: (a) if  $n_1 - k_1 \ge n_0$ , then this implies that the coalition prefers 1 (since  $t_0 \le n_0 \le n_1 - k_1 \le t_1$ ) and there is no new constraint; otherwise (b) if  $n_1 - k_1 < n_0$ , then we simply require that  $t \le 2t_1$ . This implies that  $t_0 \le t_1$  (and the coalition prefers 1 or is indifferent) since  $t = t_0 + t_1$ .

If parameters  $k_0, k_1, t$  satisfy the following constraints, then they satisfy the above conditions.

Parameter Constraints 2.3 (semi-malicious version). Assume:  $0 \le k_0 \le n_0, \ 0 \le k_1 \le n_1$ (C1)  $t \le k_0 + k_1 + 1$ , (C2)  $t \le k_1 + 1 + n_0 - k_0 - 1 = n_0 + k_1 - k_0$ , (C3) if  $n_1 - k_1 < n_0$ , then  $t \le 2(n_1 - k_1)$ .

Given the above constraints and the parameters  $n_0$ ,  $n_1$ , and t, if a feasible solution for  $k_0$  and  $k_1$  exists, the above warmup protocol (parametrized with the feasible solution  $k_0$  and  $k_1$ ) would be CSP-fair against *t*-sized coalitions. The reasoning is as follows.

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- First, due to condition (C3), it never makes sense for the coalition to prevent the reconstruction of the  $s_1$  coin (in which case 0 would be the declared output). If the coalition controls enough 1-supporters such that it is capable of failing the reconstruction of  $s_1$ , then it either prefers 1 or is indifferent.
- Henceforth we may assume that  $s_1$  is successfully reconstructed. Now, due to condition (C1), there are two cases: 1) either the value of  $s_1$  is uniform and independent of the coalition's view at the end of the sharing phase, or; 2) the coalition can control the value of  $s_1$ .

In the former case, since the coin  $s_1$  is assumed to be successfully reconstructed, the final outcome must be random. It is important that  $s_1$  is reconstructed at the very end, after  $s_0$  is reconstructed. Otherwise, this argument will not hold, since the coalition may examine the reconstructed  $s_1$  value, and then decide whether to abort the reconstruction of  $s_0$ . In the latter case, due to conditions (C1) and (C2), it must be that  $s_0$  is uniform and independent of the coalition's view at the end of the sharing phase, and moreover, the coalition cannot hamper the reconstruction of  $s_0$ . In this case, the final outcome  $s_0 \oplus s_1$  must be random, too.

Optimal resilience for the warmup protocol. Given  $n_0$  and  $n_1$ , we may ask what is the optimal resilience for this warmup protocol? Solving for the optimal resilience is equivalent to solving for the maximum t such that there exists a feasible solution for  $k_0$  and  $k_1$  given the above constraints. It turns out that tis maximized under the following choices of  $k_0$  and  $k_1$ , depending on  $n_0$  and  $n_1$ where  $n_1 \ge n_0 \ge 1$ :

Case	$   k_0  $	$k_1$	t
If $n_1 \ge \frac{5}{2}n$ Otherwise	$\begin{array}{c} h_0 \\ e \\ \begin{bmatrix} \frac{n_0}{2} \end{bmatrix} \\ \frac{n_0}{2} \end{bmatrix} \\ \begin{bmatrix} \frac{n_0}{2} \end{bmatrix} \\ \end{bmatrix}$	$n_1 - n_0$ $\frac{2}{3}n_1 - \frac{1}{6}n_0$	$ \begin{array}{c} n_1 - \lfloor \frac{1}{2}n_0 \rfloor \\ \frac{2}{3}n_1 - \frac{1}{6}n_0 \rfloor + \lceil \frac{n_0}{2} \rceil \end{array} $

**Remark 2.4.** The intuition for the phase transition at  $n_1 = \frac{5}{2}n_0$  follows from the implications of the different constraints. In particular, when  $n_1 \ge \frac{5}{2}n_0$ , then to corrupt a coalition that prefers 0, the adversary does not have to corrupt too many parties, and the conditions are easily satisfied. If the coalition prefers 1, then Condition (C3) does not add any constraint. In that case t is maximized subject to only the constraints corresponding to Condition (C1) and (C2). When  $n_1 < \frac{5}{2}n_0$ , then it is possible that a coalition corrupting majority parties prefers 0. Therefore, we need to maximize t under the three constraints corresponding to Condition (C1), (C2) and (C3).

In Appendix A, we visualize the choice of t as a function of  $n_0$  and  $n_1$ , to help understand the intriguing mathematical structure of game-theoretic fairness in multi-party coin toss.

A corner case of  $n_0 = n_1 = odd$ . It turns out that the above solution for t is optimal (even for semi-malicious coalitions) in light of our lower bound in Section 5, except for the corner case  $n_0 = n_1 = odd$ . This is because the above

conditions (C1), (C2) and (C3) are slightly too stringent — in cases when the adversary corrupts exactly the same number of 0-supporters and 1-supporters, the coalition is actually indifferent (i.e., have no preference). In such cases, the coalition is allowed to bias the coin towards either direction, and therefore we do not need the above conditions to hold. Taking this corner case into account, we obtain that the number of corruptions that can be tolerated is:

Case	$\mid k_0 \mid$	$k_1$		t
If $n_1 \ge \frac{5}{2}n_0$ If $n_1 = n_0 = \infty$ Otherwise	$\operatorname{Id} \left\  \begin{bmatrix} \frac{n_0}{2} \\ \frac{n_0}{2} \end{bmatrix} \right\ _{\left\lfloor \frac{n_0}{2} \\ \frac{n_0}{2} $	$\begin{array}{c} n_1 - n_0 \\ \lfloor \frac{1}{2}n_1 \rfloor \\ n_1 - \frac{1}{6}n_0 \end{array}$	$\left\  \left\  \frac{2}{3} \right\  \right\ $	$\begin{array}{c} n_1 - \lfloor \frac{1}{2}n_0 \rfloor \\ n_1 + 1 \\ n_1 - \frac{1}{6}n_0 \rfloor + \lceil \frac{n_0}{2} \rceil \end{array}$

The final protocol against malicious coalition who may deviate arbitrarily from the prescribed protocol is described in Section 4.

#### 2.2 Lower Bound

Our lower bound techniques are inspired by that of Chung et al. [14], who proved that there is no CSP-fair *n*-party coin toss protocol for  $n \ge 3$  even against *fail-stop* coalitions, unless all parties except one prefer the same bit.

We may assume  $n_1 \ge n_0 \ge 2$ , since the corner cases where  $n_0 = 1$  has already been treated by Chung et al. [14]. Our idea is to partition the players into three partitions denoted  $S_1$ ,  $S_2$ , and  $S_3$ , respectively. We may assume that there is an ordering for the identities of all parties and that the preferences are public. Then:

- $S_1$  runs the code of the first  $\alpha_0$  number of 0-supporters, and the first  $\alpha_1$  number of 1-supporters.
- $-S_2$  runs the code of the next  $(n_0 2\alpha_0)$  number of 0-supports and the next  $(n_1 2\alpha_1)$  number of 1 supporters.
- $S_3$  runs the code of the next (last)  $\alpha_0$  number of 0-supporters and the last  $\alpha_1$  number of 1-supporters.

This means that each party  $S_i$  internally emulates the execution of all parties it runs; all messages that are sent between theses parties are dealt internally by  $S_i$ and all messages that are sent between parties that are controlled by different  $S_i$ ,  $S_j$  are sent as a message from  $S_i$  to  $S_j$  (with a clear labeling that states which message is intended to which internal party). The idea of the lower bound is to show that as long as  $\alpha_0, \alpha_1$  and t satisfy a set of conditions defined with respect to  $n_0, n_1$ , then for any n-party protocol  $\Pi$  achieving CSP-fairness against any non-uniform fail-stop coalition of size t, its corresponding three-party coin-toss protocol must satisfy the following properties:

(LBC1) Lone-wolf condition: a fail-stop coalition controlling  $S_1$  (or  $S_3$ ) alone adopting any non-uniform p.p.t. strategy cannot bias the output towards *either* direction by a non-negligible amount.

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- (LBC2) Wolf-minion condition: a fail-stop coalition controlling  $S_1$  and  $S_2$  (or  $S_2$  and  $S_3$ ), adopting any non-uniform p.p.t. strategy, cannot bias the output towards 1 by a non-negligible amount.
- (LBC3)  $T_2$ -equity condition: Consider an honest execution of the protocol conditioned on the fact that  $S_2$  has its randomness fixed to  $T_2$ , and let  $f(T_2)$  denote the expected outcome (where the probability is taken over  $S_1$  and  $S_3$ 's randomness).  $T_2$ -equity condition states that there exists a negligible function  $negl(\cdot)$  such that for all but  $negl(\lambda)$  fraction of  $T_2$ ,  $|f(T_2) - 1/2|$  is negligible.

We use  $\Pi$  to denote both the *n*-party CSP-fair protocol and the three-party coin toss protocol when the context is clear. The following generalized theorem is implicit in Chung et al. [14]'s lower bound proof — the full proof is available in the full version.

**Theorem 2.5** (Generalized Theorem 21 of Chung et. al. [14]). There is no protocol  $\Pi$  among three super nodes  $S_1$ ,  $S_2$  and  $S_3$  such that  $\Pi$  satisfies the above lone-wolf condition (LBC1), the wolf-minion condition (LBC2), and the  $T_2$ -equity condition (LBC3) simultaneously.

If we can figure out the constraints that the parameters  $\alpha_0$ ,  $\alpha_1$  and t should satisfy, such that for any coin toss protocol among  $n_0$  number of 0-supporters and  $n_1$  number of 1-supporters that achieves CSP fairness against a coalition of size up to t, it's corresponding three-party coin toss protocol (after partition with respect to  $\alpha_0$  and  $\alpha_1$  as specified), must satisfy the lone-wolf condition (LBC1), the wolf-minion condition (LBC2), as well as the  $T_2$  equity condition (LBC3) simultaneously. Then by Theorem 2.5, we can show that there is no coin toss protocol that can achieve CSP fairness against a coalition of size up to t. The constraint system is shown in Section 5 with proofs that the constraint system implies the three conditions.

## 3 Definitions

The model. In an n-party coin toss protocol, n players interact through pairwise private channels as well as a public broadcast channel. We assume that all communication channels are authenticated, i.e., messages always carry the true sender's identity. Without loss of generality, we assume the players are numbered  $1, 2, \ldots, n$ , respectively. We assume that the network is synchronous and the protocol proceeds in rounds. Each player has a publicly stated preference for either the bit 0 or the bit 1. We call the vector of players' preferences as the preference profile, denoted as  $\mathcal{P}$ . At the end of the protocol, the coin toss outcome is defined as a deterministic, polynomial-time function over the set of public messages posted to the broadcast channel. The utility function that we consider is defined as follows:

The utility function: If the outcome agrees with a player's preference, the player obtains utility 1; else it obtains 0.

The utility of a coalition  $A \subset [n]$  is the sum of the utilities of all coalition members.

The protocol execution is parametrized with a security parameter  $\lambda$ , and we may assume that *n* is polynomially bounded in  $\lambda$ . We assume that the coalition *A* (also called the *adversary*) may perform a *rushing* attack: in any round *r*, it can wait for honest players (i.e., those not in *A*) to send messages, and then decide what round-*r* messages the corrupt players in *A* want to send.

Correctness. We let  $\sigma^* = (\sigma_1^*, \ldots, \sigma_n^*)$  denote the strategy (the code) of the all honest execution. That is,  $\sigma_i^*$  can be viewed as the code that party  $P_i$  is supposed to run according to the protocol specifications. We say that the protocol is *correct* if, unless all players have the same preference (in which case we can simply output the preferred bit with probability 1), the coin toss outcome is some fixed  $b \in \{0, 1\}$  with probability at most  $1/2 \pm \operatorname{negl}(\lambda)$  for some negligible function  $\operatorname{negl}(\cdot)$ .

Notations. For a coalition  $A \subset [n]$ , we let  $U_A$  denote the utility of the coalition. We let  $\sigma^* = (\sigma_1^*, \ldots, \sigma_n^*)$  denote the strategy (the code) of the all honest execution. For a coalition  $A \subset [n]$ , we denote by  $U_A(\sigma_A, \sigma_{-A}^*)$  the expected utility of all members in A where the members of A follow some  $\sigma_A$  and the members that are not in A follow the honest strategy  $\sigma_{-A}^*$ . We denote by  $U_A(\sigma_A^*, \sigma_{-A}^*)$  the expected utility of all members in A where all parties follow the honest strategy. All executions are considered with respect to some utility function and some public preference profile  $\mathcal{P}$ .

*CSP fairness.* Recall that in CSP fairness we require that no coalition can increase its own expected utility no matter how it deviates from the prescribed strategy. This is formalized as follows:

**Definition 3.1** (CSP-fairness [14]). We say that a coin toss protocol  $\sigma^*$  satisfies cooperative-strategy-proofness (or CSP-fairness) against any for t-sized coalitions with respect to a preference profile  $\mathcal{P}$ , iff for all  $A \subseteq [n]$  of cardinality at most t, any non-uniform probabilistic polynomial-time (p.p.t.) strategy  $\sigma'_A$  adopted by the coalition A, there is a negligible function  $negl(\cdot)$ , such that<sup>4</sup>

$$U_A(\sigma'_A, \sigma^*_{-A}) \le U_A(\sigma^*_A, \sigma^*_{-A}) + \operatorname{negl}(\lambda) .$$

Note that in this definition, if the coalition controls the same number of 0supporters and 1-supporters, then we allow it to bias the output arbitrarily since it has no preference.

<sup>&</sup>lt;sup>4</sup> Like earlier works [1,3,5,14,18–20,24,29,34,36], our CSP-fair notion considers the deviation of *a single* coalition. Such a definitional approach is standard and dominant in the game theory literature, and the philosophical motivation is that the honest protocol would then become an *equilibrium* such that no coalition (of a certain size) would be incentivized deviate. In fact, many earlier works (including the standard Nash equilibrium notion) would even consider deviation of a single individual rather than a coalition.

*Maximin fairness.* Maximin fairness requires that no coalition can harm any honest party. This is formalized as follows:

**Definition 3.2.** We say that a coin-toss protocol  $\sigma^*$  satisfies maximin fairness for t-sized coalitions with respect to a preference profile  $\mathcal{P}$ , iff for any p.p.t. adversary  $\mathcal{A}$  controlling at most t parties, there exists a negligible function  $\operatorname{negl}(\cdot)$  such that, in an execution of the protocol involving the adversary  $\mathcal{A}$ , the expected utility of any honest party i is at least  $U_i(\sigma^*) - \operatorname{negl}(\lambda)$ , where  $U_i(\sigma^*)$  is the expected utility of party i in an honest execution of the protocol with respect to  $\mathcal{P}$ .

#### 4 Upper Bound

Our starting point is the warmup protocol for semi-malicious adversary, as presented in Section 2.1, which leads to the following optimal resilience:

Case	$\mid k_0 \mid$	$k_1$	t
If $n_1 \ge \frac{5}{2}n$ Otherwise	$\begin{array}{c} u_0 \left\  \left\lfloor \frac{n_0}{2} \right\rfloor \right\  \\ e \left\  \left\lfloor \frac{n_0}{2} \right\rfloor \right\  \\ \end{array}$	$n_1 - n_0$ $\frac{2}{3}n_1 - \frac{1}{6}n_0$	$ \begin{array}{c} n_1 - \lfloor \frac{1}{2}n_0 \rfloor \\ \frac{2}{3}n_1 - \frac{1}{6}n_0 \rfloor + \lceil \frac{n_0}{2} \rceil \end{array} $

A corner case of  $n_0 = n_1 = odd$ . It turns out that the above solution for t is optimal (even for semi-malicious coalitions) in light of our lower bound in Section 5, except for the corner case  $n_0 = n_1 = odd$ . This is because the above conditions (C1), (C2) and (C3) are slightly too stringent — in cases when the adversary corrupts exactly the same number of 0-supporters and 1-supporters, the coalition is actually indifferent (i.e., have no preference). In such cases, the coalition is allowed to bias the coin towards either direction, and therefore we do not need the above conditions to hold. Taking this corner case into account, we obtain that the number of corruptions that can be tolerated is:

Case	$\mid k_0 \mid$	$k_1$	t
$\hline \begin{array}{c} \text{If } n_1 \geq \frac{5}{2}n_0 \\ \text{If } n_1 = n_0 = \text{ode} \\ \text{Otherwise} \end{array}$	$\mathbf{d} \begin{bmatrix} \left\lfloor \frac{n_0}{2} \right\rfloor \\ \left\lfloor \frac{n_0}{2} \right\rfloor \\ \left\lfloor \frac{n_0}{2} \right\rfloor \end{bmatrix} \begin{bmatrix} \frac{n_0}{2} \end{bmatrix}$	$\begin{array}{c}n_1 - n_0\\ \lfloor \frac{1}{2}n_1 \rfloor\\ \frac{2}{3}n_1 - \frac{1}{6}n_0\end{array}$	$ \begin{array}{c} n_1 - \lfloor \frac{1}{2}n_0 \rfloor \\ n_1 + 1 \\ n_1 - \frac{1}{6}n_0 \rfloor + \lceil \frac{n_0}{2} \rceil \end{array} $

Due to our lower bound in Section 5, the above resilience parameter is optimal for CSP fairness, even for semi-malicious corruptions.

#### 4.1 Our Final Protocol for Malicious Coalitions

We now present our final construction ensures CSP-fairness against malicious coalitions that may deviate arbitrarily from the prescribed protocol.

Maliciously Secure HalfToss<sup>b</sup> Sub-Protocol To lift the warmup protocol to malicious security, the main challenge is how to realize a counterpart of the HalfToss<sup>b</sup> protocol for the malicious corruption model. Recall that in the semi-malicious model, we relied on the players themselves to send heartbeats to identify which players have aborted. In this malicious model, we can no longer rely on such self-identification because players can lie. In a corrupt majority model, we also cannot easily take majority vote to determine who remains online and honest.

Our final solution relies on MPC with identifiable abort [21,25] which can be accomplished assuming the existence of Oblivious Transfer (OT). Recall that in MPC with identifiable abort, either the players successfully evaluate some ideal functionality, or if the protocol aborted, then all honest players receive the identity of an offending player. The idea is that the honest players can now kick out the offending player and retry, until the protocol succeeds in producing output.

Specifically, we will replace our earlier  $\mathsf{HalfToss}^{b}[k]$  sub-protocol with the following maliciously secure counterpart, in which the *b*-supporters participate and the (1 - b)-supporters observe.

## **Protocol 4.1:** $HalfToss^{b}[k]$ sub-protocol with malicious security

Sharing phase.

- 1. Initially, define the active set  $\mathcal{O} := \mathcal{P}_b$ . Repeat the following until success: (a) The active set  $\mathcal{O}$  use MPC with identifiable abort to securely compute
  - the ideal functionality  $\mathcal{F}_{\text{sharegen}}^{b,\mathcal{O}}[k]$  to be described below (Functionality 4.2).
  - (b) If the protocol aborts, then every honest player obtains the identity of a corrupt player  $j^* \in \mathcal{O}$ . Remove  $j^*$  from  $\mathcal{O}$ .
- 2. At this moment, each player  $i \in \mathcal{O}$  has obtained the tuple  $(\mathsf{vk}, [s]_i, [r]_i, [\mathsf{com}]_i, \sigma_i, \sigma'_i)$  from  $\mathcal{F}^{b, \mathcal{O}}_{\mathrm{sharegen}}[k]$ .

#### Vote phase.

- 1. Each player posts vk to the broadcast channel henceforth this is also called a vote for vk. Let vk' be the verification key that has gained the most number of votes, breaking ties arbitrarily.
- 2. If  $\mathsf{vk}'$  has not gained at least k + 1 votes, declare that the vote phase failed and return. Else, if  $\mathsf{vk}' = \mathsf{vk}$ , then player i posts  $[\mathsf{com}]_i$  and  $\sigma_i$  to the broadcast channel.
- 3. Everyone gathers all  $([\mathsf{com}]_j, \sigma_j)$  pairs posted to the broadcast channel such that  $\sigma_j$  is a valid signature of  $[\mathsf{com}]_j$  under vk'. If there are at least k+1 such tuples and all shares  $[\mathsf{com}]_j$  reconstruct uniquely to the value com, then record the reconstructed commitment com. Else we say that the vote phase failed.

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Reconstruction phase.

- 1. If the vote phase failed, output the reconstructed value  $\perp$ . Else, continue with the following.
- 2. For each player  $i \in \mathcal{O}$ , if  $\mathsf{vk}' = \mathsf{vk}$ , then post to the broadcast channel the tuple  $([s]_i, [r]_i, \sigma'_i)$ .
- 3. Every player does the following: gather all tuples  $([s]_j, [r]_j, \sigma'_j)$  posted to the broadcast channel such that  $\sigma'_j$  is a valid signature for  $([s]_j, [r]_j)$ under vk'. If all such  $([s]_j, [r]_j)$  tuples reconstruct to a unique value (s, r) and moreover, (s, r) is a valid opening of **com**, then output the reconstructed value s. Else output  $\perp$  as the reconstructed value.

## Functionality 4.2: The $\mathcal{F}_{sharegen}^{b,\mathcal{O}}[k]$ ideal functionality

- 1. Sample  $(sk, vk) \leftarrow Sig.KeyGen(1^{\lambda})$  where Sig := (KeyGen, Sign, Vf) denotes a signature scheme.
- 2. Sample  $s \stackrel{\$}{\leftarrow} \{0,1\}$ , and randomness  $r \in \{0,1\}^{\lambda}$ , let  $\mathsf{com} := \mathsf{Commit}(s,r)$ .
- 3. Use a (k+1)-out-of- $|\mathcal{O}|$  Shamir secret sharing scheme to split the terms (s, r) and com into  $|\mathcal{O}|$  shares, denoted  $\{[s]_i, [r]_i, [\mathsf{com}]_i\}_{i \in \mathcal{O}}$ , respectively. Let  $\sigma_i := \mathsf{Sig.Sign}(\mathsf{sk}, [\mathsf{com}]_i)$  and  $\sigma'_i := \mathsf{Sig.Sign}(\mathsf{sk}, ([s]_i, [r]_i))$  for  $i \in \mathcal{O}$ .
- 4. Each player in  $\mathcal{O}$  receives the output  $(\mathsf{vk}, [s]_i, [r]_i, [\mathsf{com}]_i, \sigma_i, \sigma'_i)$ .

The maliciously secure  $\mathsf{HalfToss}^{b}[k]$  protocol satisfies the following properties:

- *Binding.* If the vote phase does not fail, then the messages on the broadcast channel in the sharing and vote phases uniquely define a coin  $s \neq \bot$  such that reconstruction must either output s or  $\bot$ .
- Knowledge threshold. We now have a computationally secure version of the knowledge threshold property.
  - If at least k + 1 number of *b*-supporters are corrupt, then the coalition can bias coin values *s* that the sharing and vote phases uniquely bind to (assuming that the voting phase did not fail). Specifically, if the coalition controls k + 1 number of *b*-supporters, it can decide whether to abort  $\mathcal{F}_{\text{sharegen}}^{b,\mathcal{O}}[k]$  after seeing the corrupt players' shares  $\{[s]_j\}_{j\in A}$  where  $A \subset$ [n] denotes the coalition. If it controls  $\max(k + 1, n_b/2)$  number of *b*supporters, it can control the verification key vk' and thus alter the coin *s* the sharing and vote phases bind to as well.
  - If fewer than k+1 number of *b*-supporters are corrupt, then the coalition's view at the end of the voting phase is computationally independent of the coin value *s* that the sharing and vote phases bind to. More formally, either the vote phase fails, or there exists a p.p.t. simulator Sim such that:

$$(s, \operatorname{view}_A) \approx_c (\operatorname{Uniform}, \operatorname{Sim}(1^{\lambda}))$$

where s denotes the unique coin value that the sharing phase and vote phases bind to,  $view_A$  denotes the coalition's view at the end of the vote

phase, Uniform denotes a random bit sampled from  $\{0, 1\}$ , and  $\approx_c$  denotes computational indistinguishability.

- Liveness threshold. If the coalition controls at least  $\min(n_b - k, n_b/2)$  number of *b*-supporters, it can cause the reconstruction to output  $\perp$ . On the other hand, if the coalition controls fewer than  $\min(n_b - k, n_b/2)$  number of *b*supporters, then the reconstruction phase must succeed.

In comparison with the earlier semi-malicious version, the knowledge threshold and liveness threshold property now become weaker. One relaxation is the computational security relaxation in the knowledge threshold property whereas previously in the semi-malicious version, the property was information theoretic. Another relaxation is that the thresholds for the two properties have changed. Now, the coalition may be able to control the coin value and hamper reconstruction with a smaller threshold.

Final Protocol Our final protocol is described as follows:

Protocol 4.3: Final protocol with malicious security						
Sharing phase.						
<ol> <li>0-supporters run the sharing phase of HalfToss<sup>0</sup>[k<sub>0</sub>].</li> <li>1-supporters run the sharing phase of HalfToss<sup>1</sup>[k<sub>1</sub>].</li> </ol>						
Vote phase. (The order of the two instances is important.)						
1. 1-supporters run the vote phase of $HalfToss^1[k_1]$ . 2. 0-supporters run the vote phase of $HalfToss^0[k_0]$ .						
Reconstruction phase. (The order of the two instances is important.)						
1. 0-supporters run the reconstruction phase of $HalfToss^0[k_0]$ , and let its outcome be $s_0$ if reconstruction is successful. In case the reconstruction outputs $\bot$ , then let $s_0 := 0$ .						
2. 1-supporters run the reconstruction phase of $HalfToss^1[k_1]$ . If the reconstruction phase outputs $\bot$ , then output 0 as the final coin value. Else let $s_1$ be the reconstructed value, and output $s_0 + s_1$ as the final coin value.						

In the above, the order of the two instances in the vote and reconstruction phases is important due to a similar reason as in the semi-malicious version.

Setting aside the computational security issue for the time being (which can be formally dealt with using a standard computational reduction argument), in light of the properties for our maliciously secure HalfToss<sup>b</sup> sub-protocol, we can now rewrite the earlier (C1), (C2), (C3) conditions as follows (recall that  $t_0$  and  $t_1$  are number of corrupted 0-supporters and 1-supporters, respectively):

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- (C1<sup>\*</sup>) The coalition cannot control both  $s_0$  and  $s_1$ , i.e., the coin values the sharing and vote phases of HalfToss<sup>0</sup>[ $k_0$ ] and HalfToss<sup>1</sup>[ $k_1$ ] bind to (assuming that it did not fail), respectively. This means that if the coalition controls at least  $k_b + 1$  number of *b*-supporters, then it does not have enough corruption budget to control  $k_{1-b} + 1$  number of (1 b)-supporters.
- (C2<sup>\*</sup>) If the coalition controls the  $s_1$  coin, i.e., it controls at least  $k_1 + 1$  number of 1-supporters, then it cannot hamper the reconstruction of the coin  $s_0$ due to the corruption budget. That is, the coalition must control fewer than min $(n_0 - k_0, n_0/2)$  number of 0-supporters.
- (C3\*) If the coalition controls at least  $\min(n_1 k_1, n_1/2)$  number of 1supporters such that it can cause the reconstruction of  $s_1$  to fail, then the coalition must prefer 1 or is indifferent to the outcome — in other words, either  $n_0 \leq t_1$  or  $t \leq 2t_1$  ( $t_0 \leq t_1$  and so  $t = t_0 + t_1 \leq 2t_1$ ).

These conditions can be rewritten as the following expressions:

Parameter Constraints 4.4 (malicious version). Assume:  $0 \le k_0 \le n_0, \ 0 \le k_1 \le n_1$ (C1\*)  $t \le k_0 + k_1 + 1$ , (C2\*)  $t < k_1 + 1 + \min(n_0 - k_0, n_0/2)$ , (C3\*) if  $\min(n_1 - k_1, \left\lceil \frac{n_1}{2} \right\rceil) < n_0$ , then  $t \le 2 \cdot \min(n_1 - k_1, \left\lceil \frac{n_1}{2} \right\rceil)$ .

One can verify that any  $k_0, k_1, t$  that satisfy (C1<sup>\*</sup>), (C2<sup>\*</sup>), (C3<sup>\*</sup>) must also satisfy the earlier conditions (C1), (C2) and (C3). This means that the new malicious version of the protocol cannot tolerate more corruptions than the semimalicious version. Intriguingly, it turns out that there exists a choice of  $k_0$  and  $k_1$  that maximizes t for conditions (C1), (C2) and (C3), such that the same  $(k_0, k_1, t)$  also satisfy (C1<sup>\*</sup>), (C2<sup>\*</sup>), and (C3<sup>\*</sup>). This means that our maliciously secure protocol can achieve the same resilience parameter as the semi-malicious version.<sup>5</sup> More specifically, there exists a choice satisfying  $k_0 = \lceil (n_0 - 1)/2 \rceil$ and  $k_1 \ge \lfloor n_1/2 \rfloor$  such that t is maximized for conditions (C1), (C2) and (C3). One can then verify that that as long as  $k_0 = \lceil (n_0 - 1)/2 \rceil$  and  $k_1 \ge \lfloor n_1/2 \rfloor$ , a feasible solution  $(k_0, k_1, t)$  for conditions (C1), (C2) and (C3) would also be a feasible solution for conditions (C1<sup>\*</sup>), (C2<sup>\*</sup>), and (C3<sup>\*</sup>).

Just like the earlier semi-malicious setting, the above constraints (C1<sup>\*</sup>), (C2<sup>\*</sup>), and (C3<sup>\*</sup>) are in fact slightly too stringent; thus, for the special case  $n_0 = n_1 = odd$ , the resulting solution of t would have a gap of 1 away from optimal. This gap can be bridged by observing that if the same number of 0-supporters and 1-supporters are corrupt, the coalition would then be indifferent, and it would be fine if the coalition could bias the coin towards either direction.

The formal proof of the following theorem (Theorem 1.1 in the introduction) is available in the full version.

<sup>&</sup>lt;sup>5</sup> Note that since our lower bound holds even for fail-stop adversaries, only when the malicious version matches the resilience of the semi-malicious version can it be tight.

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**Theorem 4.5** (Upper bound). Assume the existence of Oblivious Transfer (OT), and without loss of generality, assume that  $n_1 \ge n_0 \ge 1$ , and  $n_0 + n_1 > 2$ . Protocol 4.3 is CSP-fair coin toss protocol which tolerates up to t-sized non-uniform p.p.t. malicious coalitions where

$$t := \begin{cases} n_1 - \lfloor \frac{1}{2}n_0 \rfloor, & \text{if } n_1 \ge \frac{5}{2}n_0; \\ \lfloor \frac{2}{3}n_1 - \frac{1}{6}n_0 \rfloor + \lceil \frac{1}{2}n_0 \rceil + 1 = n_1 + 1, & \text{if } n_1 = n_0 = \textit{odd}; \\ \lfloor \frac{2}{3}n_1 - \frac{1}{6}n_0 \rfloor + \lceil \frac{1}{2}n_0 \rceil, & \textit{otherwise.} \end{cases}$$

#### 5 Lower Bound

#### 5.1 Parameter Constraints

We now show that, if the parameters  $\alpha_0$ ,  $\alpha_1$  and t satisfy the following constraints, then for any coin toss protocol among  $n_0$  number of 0-supporters and  $n_1$  number of 1-supporters that achieves CSP fairness against a coalition of size up to t,<sup>6</sup> it's corresponding three-party coin toss protocol (after partition with respect to  $\alpha_0$  and  $\alpha_1$  as specified), must satisfy the lone-wolf condition (LBC1), the wolf-minion condition (LBC2), as well as the  $T_2$  equity condition (LBC3) simultaneously.

Parameter Constraints 5.1 (Constraint system for lower bound proof).					
Non-negative   Lone-wolf   Wolf-mi					
$ \frac{0 \le \alpha_0 \le \frac{1}{2}n_0}{0 \le \alpha_1 \le \frac{1}{2}n_1} \begin{vmatrix} \alpha_1 + 1 \le n_0 \\ \alpha_0 + 1 \le n_1 \\ \alpha_0 + \alpha_1 \le t \\ 2\alpha_0 + 1 \le t \\ 2\alpha_1 + 1 \le t \end{vmatrix}} \begin{vmatrix} n_0 - \alpha_0 < t \\ n_0 + n_1 - \alpha_0 \\ n_0 + n_1 - \alpha_0 \end{vmatrix} $	$\begin{array}{c c c c c c c c c c c c c c c c c c c $				

In the above set of conditions, the first set (i.e., non-negative) makes sure that the number of 0-supporters and 1-supporters in each partition is non-negative. The next three sets of conditions are required to prove the corresponding three conditions, respectively. We show how the conditions lead to this set of parameter constraints in Section 5.2. Then, given any fixed  $n_0$  and  $n_1$ , it suffices to solve for the best partition strategy (i.e., choice of  $\alpha_0$  and  $\alpha_1$ ) that minimizes t, and this minimal choice of t gives rise to our lower bound in light of Theorem 2.5. We explore that in Section 5.3. It turns out that the minimal t value satisfying the above constraint system coincides with our upper bound stated in Eq. (1).

<sup>&</sup>lt;sup>6</sup> Our main lower bound theorem, i.e., Theorem 1.2, states the impossibility for coalitions of size t + 1 or greater. For convenience, in this section, we switch the notation to t rather than t + 1.

# 5.2 Constraint System Implies the Lone-Wolf, Wolf-Minion, and T<sub>2</sub>-Equality Conditions

Below we focus on proving that the three lower bound conditions hold provided the constraint system.

**Lemma 5.2** (Generalized lone-wolf lemma). Let  $\Pi$  be a protocol that is CSPfair against any non-uniform p.p.t., fail-stop coalition of size t. If  $\alpha_0$ ,  $\alpha_1$  and t satisfy the non-negative and lone-wolf constraints in Parameter Constraints 5.1, then  $\Pi$  satisfies the lone-wolf condition (LBC1).

*Proof.* Suppose for the sake of contradiction that the long-wolf condition is violated, i.e., there exists a non-uniform p.p.t. fail-stop adversary  $\mathcal{A}$  corrupting only  $\mathcal{S}_1$  (the same argument holds for  $\mathcal{S}_3$ ) that can bias the output towards  $b \in \{0, 1\}$  by a non-negligible amount. We show that then  $\Pi$  is not CSP fair against t fail-stop adversaries. There are two cases:

- If  $\alpha_b > \alpha_{1-b}$  then  $S_1$  (resp.  $S_3$ ) prefers b. The number of parties in  $S_1$  is  $\alpha_0 + \alpha_1$ . According to the lone-wolf constraints in Parameter Constraints 5.1 we have that  $\alpha_0 + \alpha + 1 \le t$  and thus this coalition is supposed to be tolerated.
- If  $\alpha_b \leq \alpha_{1-b}$ , consider the following coalition in the CSP-fair protocol. The coalition corrupts  $S_1$  and in addition  $\alpha_{1-b} + 1 \alpha_b$  number of *b*-supporters outside  $S_1$ . From the lone-wolf constraint in Parameter Constraints 5.1, we have that  $n_b \geq \alpha_{1-b} + 1$ . This implies that the number of *b*-supporters outside  $S_1$  is  $n_b \alpha_b \geq \alpha_{1-b} + 1 \alpha_b$ . Then, this coalition consists of  $\alpha_{1-b}$  number of (1-b)-supporters and  $\alpha_{1-b} + 1$  number of *b*-supporters. From the lone-wolf constraint in Parameter Constraints 5.1 we have that  $2\alpha_{1-b} + 1 \leq t$ . Then, this coalition contains less than *t* parties and it prefers *b*. If there exists a fail-stop adversary in the three-party protocol that controls  $S_1$  and can bias towards *b*, then this coalition in the CSP-protocol can also bias towards *b*. Note that the additional parties in the coalition that are outside of  $S_1$  act honestly and are used just to change the preference of the coalition, i.e., it is enough to consider the existence of a fail-stop adversary that corrupts only one party in the corresponding three-party protocol.

**Lemma 5.3** (Generalized wolf-minion lemma). Let  $\Pi$  be a protocol that is CSP-fair against any non-uniform p.p.t., fail-stop coalition of size t. If  $\alpha_0$ ,  $\alpha_1$  and t satisfy the non-negative and wolf-minion constraints in Parameter Constraints 5.1, then  $\Pi$  satisfies the wolf-minion condition (LBC2).

*Proof.* The non-negative constraints make sure that the number of parties in  $S_1$ ,  $S_2$  and  $S_3$  are non-negative, as  $S_2$  contains  $(n_0-2\alpha_0)$  number of 0-supporters and  $(n_1-2\alpha_1)$  number of 1-supporters. If the wolf-minion constrains hold, then the coalition of  $S_1$  and  $S_2$  (or  $S_3$  and  $S_2$ ) prefers 1 since in total it contains  $n_0 - \alpha_0$  number of 0-supporters and  $n_1 - \alpha_1$  number of 1-supporters and according to the constraints,  $n_1 - \alpha_1 > n_0 - \alpha_0$ . Moreover, the number of parties in this coalition

is  $n_1 + n_0 - \alpha_0 - \alpha_1$ , which is at most t according to the condition. Therefore, any fail-stop adversary corrupting  $S_1$  and  $S_2$  (or  $S_3$  and  $S_2$ ) cannot bias the output towards 1 by a non-negligible amount, according to the CSP fairness of  $\Pi$  against t fail-stop adversaries. This means that the protocol  $\Pi$  satisfies the wolf-minion condition.

**Lemma 5.4** (Generalized  $T_2$ -equity lemma). Let  $\Pi$  be a protocol that is CSPfair against any non-uniform p.p.t., fail-stop coalition of size t. If  $\alpha_0$ ,  $\alpha_1$  and t satisfy the non-negative and the  $T_2$ -equity constraints in Parameter Constraints 5.1, then protocol  $\Pi$  satisfies the  $T_2$ -equity condition (LBC3). That is, for all but a negligible fraction of  $S_2$ 's randomness  $T_2$ ,  $|f(T_2) - \frac{1}{2}|$  is negligible.

*Proof.* By correctness of the protocol,  $\mathbb{E}_{T_2}[f(T_2)] = \frac{1}{2}$ . Note that  $T_2$  consists of the randomness of all players in  $S_2$ , we can view  $T_2$  as a vector  $\{t_Q\}_{Q \in S_2}$  where  $t_Q$  is player Q's randomness. For any fixed party Q in  $S_2$ , consider a protocol  $\Pi^Q$  that is same with  $\Pi$  except that Q aborts at the very beginning of the protocol and all other parties behave honestly. Let  $g^Q(T_2)$  be the expected output of  $\Pi^Q$  conditioned on  $S_2$ 's randomness  $T_2$ .

Claim 5.5. For any  $Q \in S_2$ ,  $|\mathbb{E}_{T_2}[g^Q(T_2)] - \frac{1}{2}|$  is negligible.

Proof. Suppose for the sake of contradiction that the claim is not true. Then this single aborting party Q can bias the outcome of  $\Pi$  towards  $b \in \{0, 1\}$  by a non-negligible amount. This violates the CSP-fairness of the *n*-party protocol: Consider a coalition that consists of the Q party and two *b*-supporters. This coalition prefers the coin *b*, and can bias towards it by having Q abort at the very beginning of the protocol  $\Pi$ . Note that according to  $T_2$ -equity constraints in Parameter Constraints 5.1,  $\alpha_b \geq 1$ , which implies that there are at least two *b*-supporters outside  $S_2$ . Moreover, the size of the coalition is 3, and thus we require that  $t \geq 3$ .

**Claim 5.6.** For any Q in  $S_2$ , for all but a negligible fraction of  $T_2$ ,  $|g^Q(T_2) - f(T_2)|$  is also negligible.

Proof. Note that for all but a negligible fraction of  $T_2$ ,  $|\mathbb{E}_{T_2}[g^Q(T_2) - f(T_2)]| = |\mathbb{E}_{T_2}[g^Q(T_2)] - \mathbb{E}_{T_2}[f(T_2)]| = |\mathbb{E}_{T_2}[g^Q(T_2)] - \frac{1}{2}|$  is negligible. Suppose that there exists a non-negligible fraction of  $T_2$  such that  $f(T_2) - g^Q(T_2)$  is positive and non-negligible, then there must also exists a non-negligible fraction of  $T_2$  such that  $g^Q(T_2) - f(T_2)$  is positive and non-negligible. This indicates that for a non-negligible fraction of  $T_2$ , Q can bias the output of  $\Pi$  towards 1 (or 0) by a non-negligible amount by aborting at the beginning of the protocol.

Suppose that  $S_2$  prefers 1 (the same argument holds if  $S_2$  prefers 0). Consider an adversary  $\mathcal{A}^*$  that receives a polynomial  $p(\cdot)$  as an advice where  $p(\cdot)$  is chosen such that for a non-negligible fraction of  $T_2$ ,  $g^Q(T_2) - f(T_2) \ge 1/p(\lambda)$ .  $\mathcal{A}^*$  corrupts  $S_2$  and acts as follows:

 $-\mathcal{A}^*$  randomly samples a  $T_2$ .

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  - $\mathcal{A}^*$  repeats the following for  $p^2(\lambda)$  times:  $\mathcal{A}^*$  samples  $T_1$  and  $T_3$  for  $\mathcal{S}_1$  and  $\mathcal{S}_3$  and simulates an honest execution with the randomness  $T_1, T_2, T_3$ .  $\mathcal{A}^*$  also simulates an execution in which Q always aborts at the beginning of the protocol. Then  $\mathcal{A}^*$  gets estimates of  $\tilde{g}^Q(T_2)$  and  $\tilde{f}(T_2)$ .
  - If  $\tilde{g}^Q(T_2) > \tilde{f}(T_2)$ ,  $\mathcal{A}^*$  instructs Q to abort at the very beginning of the protocol. Otherwise it follows the honest execution.

Note that for any  $T_2$  such that  $g^Q(T_2) - f(T_2) \ge \frac{1}{p(\lambda)}$ , by the Chernoff bound, except with a negligible probability, it must be that  $\tilde{g}^Q(T_2) > \tilde{f}(T_2)$ . Therefore,  $\mathcal{A}^*$  can bias the output of  $\Pi$  towards 1 by a non-negligible amount. This breaks the CSP fairness of  $\Pi$  since, according to the  $T_2$ -equity constraint in Parameter Constraints 5.1,  $S_2$ , which contains  $n_0 + n_1 - 2\alpha_0 - 2\alpha_1$  contains parties which is at most t, and it prefers 1. Therefore, for all but a negligible fraction of  $T_2$ ,  $|g^Q(T_2) - f(T_2)|$  is negligible.

For any fixed  $Q \in S_2$ , for any pair of  $T_2$  and  $T'_2$  that only differ in Q's randomness, it must be that  $g^Q(T_2) = g^Q(T'_2)$ . Let  $\ell$  denote the length of  $T_2$ , we have:

**Claim 5.7.** For any fixed  $i \in [\ell]$ , for all but a negligible fraction of  $T_2$ ,  $|f(T_2) - f(\widetilde{T}_2^i)|$  is negligible, where  $\widetilde{T}_2^i$  is same as  $T_2$  except with the *i*-th bit flipped.

Proof of Claim 5.7. Suppose that the *i*-th bit is contributed by party  $Q \in S_2$ . For any polynomial  $p(\cdot)$ , define  $\mathsf{bad}_1^p$  to be the event  $|f(T_2) - g^Q(T_2)| \geq \frac{1}{p(\lambda)}$ , and  $\mathsf{bad}_2^p$  to be the event  $|f(\tilde{T}_2) - g^Q(\tilde{T}_2)| \geq \frac{1}{p(\lambda)}$ . Since for all but a negligible fraction of  $T_2$ ,  $|f(T_2) - g^Q(T_2)|$  is negligible, the probability that  $\mathsf{bad}_1^p$  happens is negligible. The probability that  $\mathsf{bad}_2^p$  happens is also negligible. Thus by a union bound, the probability that  $\mathsf{bad}_1^p$  and  $\mathsf{bad}_2^p$  do not happen is  $1 - \mathsf{negl}(\lambda)$  for some negligible function  $\mathsf{negl}(\cdot)$ . This indicates that for any polynomial  $p(\cdot)$ ,  $|f(T_2) - f(\tilde{T}_i)| \leq |f(T_2) - g^Q(T_2)| + |f(\tilde{T}_2) - g^Q(\tilde{T}_2)| \leq \frac{2}{p(\lambda)}$  with probability  $1 - \mathsf{negl}(\lambda)$ . The claim thus follows.

**Claim 5.8.** Pick a random  $T_2$  and a random  $T'_2$ . Then except with a negligible probability over the random choice of  $T_2$  and  $T'_2$ ,  $|f(T_2) - f(T'_2)|$  is negligible.

*Proof.* Pick a random  $T_2$  and a random  $T'_2$ , we define hybrids  $T^i$ ,  $i = 0, \ldots, \ell + 1$  as follows:

 $T^{i} = \{t_1, \dots, t_i, t'_{i+1}, \dots, t'_{\ell}\},\$ 

where  $t_i$  is the *i*-th bit of  $T_2$  and  $t'_i$  is the *i*-th bit of  $T'_2$ . Then,  $T^0 = T'_2$  and  $T^{\ell} = T_2$ . For any fixed polynomial  $p(\cdot)$ , define  $\mathsf{bad}_i^p$  to be the event that  $|f(T^i) - f(T^{i+1})| \geq \frac{1}{p(\lambda)}$ . Note that the marginal distribution of  $T^i$  is uniform, for any polynomial  $p(\cdot)$ , the probability that  $\mathsf{bad}_i^p$  happens is negligible over the choice of  $T_2$  and  $T'_2$ , according to Claim 5.7. Therefore, for any  $p(\cdot)$ , by the union bound, the probability that none of  $\mathsf{bad}_i^p$  happens is  $1 - \mathsf{negl}(\lambda)$  for some negligible function  $\mathsf{negl}(\cdot)$ . Observe that for any fixed polynomial  $p(\cdot)$ , if none of the events  $\mathsf{bad}_i^p$  happen, then  $|f(T_2) - f(T'_2)| \leq \frac{\ell+1}{p(\lambda)}$  by triangle inequality. Hence, for

any random  $T_2$  and any random  $T'_2$ ,  $|f(T_2) - f(T'_2)|$  is negligible except with a negligible probability over the random choices over  $T_2$  and  $T'_2$ .

Together with the fact that  $\mathbb{E}_{T_2}[f(T_2)] = \frac{1}{2}$ , we have that for all but a negligible fraction of  $T_2$ ,  $|f(T_2) - \frac{1}{2}|$  is negligible. Otherwise if for some polynomial  $p(\cdot), q(\cdot)$ , there exists  $1/p(\lambda)$  fraction of  $T_2$  such that  $f(T_2) - \frac{1}{2} \ge 1/q(\lambda)$ , then there must exist  $1/p'(\lambda)$  fraction of  $T_2$  such that  $\frac{1}{2} - f(T_2) \ge 1/q'(\lambda)$  for some polynomial  $p'(\cdot), q'(\cdot)$ . Then for any random  $T_2$  and  $T'_2$ , with a non-negligible probability,  $|f(T_2) - f(T'_2)| \ge 1/q(\lambda) + 1/q'(\lambda)$ , which violates the above conclusion. To conclude, for all but a negligible fraction of  $T_2$ ,  $|f(T_2) - \frac{1}{2}|$  is negligible.

#### 5.3 Minimizing t Subject to Constraints

The full proof of the following lemma is available in the full version.

**Lemma 5.9** (Solving the constraint system and minimizing t). For Parameter Constraint 5.1, the parameter t is minimized when  $\alpha_0$  and  $\alpha_1$  are chosen as follows, and the corresponding t is:

Case	$\  \alpha_0$	$\alpha_1$		t
$ \hline \begin{array}{c} n_1 \geq \frac{5}{2}n_0, \ n_0 \geq \\ 2 \leq n_0 < n_1 < \frac{5}{2} \\ 2 \leq n_0 = n_1 \end{array} \\ \end{array} $	$\begin{array}{c} 2\\ n_0 \\ \left\  \lfloor \frac{1}{2}n_0 \right\  \\ \left\lfloor \frac{1}{2}n_0 \right\rfloor \\ \left\lfloor \frac{1}{2}n_0 \right\rfloor \end{array} \right\  $	$\begin{array}{c} n_0 - 1\\ n_1 + \frac{1}{6}n_0 \rceil - \\ \lfloor \frac{1}{2}n_0 \rfloor - 1 \end{array}$	$-1 \left\  \left\lceil \frac{1}{2} \right  \right\ $	$\begin{array}{c} n_1 - \lfloor \frac{1}{2}n_0 \rfloor + 1\\ n_0 \rceil + \lfloor \frac{2}{3}n_1 - \frac{1}{6}n_0 \rfloor + 1\\ 2 \lceil \frac{1}{2}n_0 \rceil + 1 \end{array}$

Note that for the case  $t = 2\lceil \frac{1}{2}n_0 \rceil + 1$ , this expression is equal to  $\lfloor \frac{2}{3}n_1 - \frac{1}{6}n_0 \rfloor + \lceil \frac{1}{2}n_0 \rceil + 1$  when  $n_0 = n_1$  is even, and is equal to  $n_0 + 2$  when when  $n_0 = n_1$  is odd.

#### 6 Complete Characterization of Maximin Fairness

In this section we give a complete characterization of the maximin fairness defined by Chung et al. [14]. Intuitionally, maximin fairness requires that a corrupted coalition cannot harm the expected reward of any honest party, compared to an all-honest execution. This definition is formalized in Definition 3.2.

#### 6.1 Lower Bound

Unlike CSP-fairness, maximin-fairness is impossible under a broad range of parameters. More specifically, we prove the following theorem, which says that unless  $n_0 = 1$  and  $n_1 = odd$ , for maximin fairness, we cannot tolerate *fail-stop* coalitions of half of the parties or more. The special case  $n_0 = 1$  and  $n_1 = odd$  is slightly more subtle. Chung et al. [14] showed that for the special case  $n_0 = 1$ , it is indeed possible to achieve maximin fairness against all but one *fail-stop* corruptions. We prove that for  $n_0 = 1$ , we cannot tolerate *semi-malicious* coalitions that are majority in size.

**Theorem 6.1** (Lower bound for maximin fairness). Without loss of generality, assume that  $n_1 \ge n_0 \ge 1$  and  $n_0+n_1 > 2$ . Then there does not exist a maximumin-fair n-party coin toss protocol that can:

tolerate fail-stop coalition of size  $t \ge \lfloor \frac{1}{2}(n_0 + n_1) \rfloor$  for  $n_0 \ge 2$ tolerate semi-malicious coalition of size  $t \ge \lfloor \frac{1}{2}n_1 \rfloor + 1$  for  $n_0 = 1$ 

*Proof sketch.* For the case where  $n_0 \geq 2$ , we show that if there exists a coin toss protocol that achieves maximin-fairness against  $\left\lceil \frac{1}{2}(n_0+n_1) \right\rceil$  fail-stop adversaries, then we can construct a two-party protocol that violates Cleve's lower bound [15]. Consider any preference profile that contains at least two 0-supporters and in which  $n_1 \ge n_0$ . Then, we partition the 0-supporters and 1supporters as evenly as possible into two partitions, and the two party protocol is simply an emulation of the *n*-party protocol with respect to this preference profile. Each party internally emulates the execution of all parties it runs in the outer protocol, in a similar manner as in Section 5. Since  $n_1 \ge n_0 \ge 2$ , each partition must contain at least one 0-supporter and at least one 1-supporter. By maximin fairness, if either partition is controlled by a non uniform p.p.t. adversary  $\mathcal{A}$ , it should not be able to bias the outcome towards either 0 or 1 by a non-negligible amount — otherwise if  $\mathcal{A}$  was able to bias the coin towards  $b \in \{0,1\}$ , it would be able to harm an individual b-support in the other partition. Now, if we view the coin toss protocol as a two-party coin toss protocol between the two partitions, the above requirement would contradicts Cleve's impossibility result [15].

For the case where  $n_0 = 1$ , the proof is similar to that of the CSP-fairness. We partition the players into three partitions:  $S_1$  and  $S_3$  each contains half of 1-supporters and  $S_2$  contains the single 0-supporter. We can show that if a coin toss protocol is maximin-fair against  $\lceil \frac{1}{2}n_1 \rceil + 1$  fail-stop adversaries, then it should satisfy the wolf-minion condition, the lone-wolf condition and the  $T_2$ equity condition simultaneously. The full proof is available in the full version.  $\Box$ 

#### 6.2 Upper Bound

As mentioned, except for the special case  $n_0 = 1$  and  $n_1 = odd$ , for maximin fairness, we cannot hope to tolerate half or more fail-stop corruptions. However, if majority are honest, we can simply run honest-majority MPC with guaranteed output delivery [21, 37].

Therefore, the only non-trivial case is when  $n_0 = 1$  and  $n_1 = odd$ . Chung et al. [14] showed that for  $n_0 = 1$ , there is a maximin-fair coin toss protocol against up to (n-1) fail-stop adversaries. Here, we construct a maximin-fair coin toss protocol tolerates exactly half or fewer malicious corruptions.

In our protocol, first, the single 0-supporter commits to a random coin, and moreover, the 1-supporters jointly toss a coin  $s_1$  such that the outcome is secret shared among the 1-supporters. Only if  $\lceil n_1/2 \rceil$  number of 1-supporters get together, can they learn  $s_1$ , influence the value of  $s_1$ , or hamper its reconstruction later. Next, the 1-supporters reconstruct the secret-shared coin  $s_1$ . If the reconstruction fails, the reconstructed value is set to a canonical value  $s_1 := 0$ . Finally, the single 0-supporter opens its commitment and let the opening be  $s_0$ . If the single 0-supporter aborts any time during the protocol, the outcome is declared to be 1. Else, the outcome is declared to be  $s_0 + s_1$ . More formally, the protocol is as below.

**Protocol 6.2:** Protocol for maximin-fairness: special case when  $n_0 = 1$  and  $n_1 = odd$ 

- 1. The single 0-supporter randomly choose  $s_0 \stackrel{\$}{\leftarrow} \{0,1\}$  and compute the commitment  $\mathsf{com} = \mathsf{Commit}(s_0, r)$  with some randomness  $r \in \{0,1\}^{\lambda}$ . It then sends the commitment  $\mathsf{com}$  to the broadcast channel. If the 0-supporter fails to send the commitment, set  $s_0 = \bot$ .
- 2. The 1-supporters run an honest-majority MPC with guaranteed output delivery to toss a coin  $s_1$ . Each player  $i \in \mathcal{P}_1$  (the set of 1-supporters) receives  $\tilde{s}_i$  as the output of the MPC.
- 3. Every 1-supporter  $i \in \mathcal{P}_1$  posts the output  $\tilde{s}_i$  it receives to the broadcast channel. Let  $s_1$  be the majority vote. If no coin gains majority vote, set  $s_1 = 0$ .
- 4. The 0-supporter opens its coin  $s_0$ . If it fails to open the coin correctly, set  $s_0 = \bot$ .
- 5. If  $s_0 = \bot$ , output 1. Otherwise, output  $s_0 \oplus s_1$ .

Observe that if the single 0-supporter is honest, then we need to make sure that the coalition cannot bias the coin towards either direction; however, in this case, since the 0-supporter is guaranteed to choose a random coin and open it at the end, this can be ensured. If, on the other hand, the single 0-supporter is corrupt, then we only need to ensure that the coalition cannot bias the coin towards 0. We may therefore assume that the single 0-supporter does not abort because otherwise the outcome is just declared to be 1. Further, in this case, the coalition only has budget to corrupt  $\lfloor n_1/2 \rfloor$  number of 1-supporters, which means that we have honest majority in 1-supporters. Therefore, if the 0-supporter does not abort, then the outcome will be a uniformly random coin.

This gives rise to the following theorem. The full proof to the theorem is available in the full version.

**Theorem 6.3** (Upper bound for maximin fairness). Assume the existence of Oblivious Transfer. Without loss of generality, assume that  $n_1 \ge n_0 \ge 1$  and  $n_0 + n_1 > 2$ . There exists a maximin-fair n-party coin toss protocol among  $n_0$  players who prefer 0 and  $n_1$  players who prefer 1, which tolerates up to t malicious adversaries where

$$t := \begin{cases} \left\lceil \frac{1}{2}(n_0 + n_1) \right\rceil - 1, & \text{if } n_0 \ge 2, \\ \left\lceil \frac{1}{2}n_1 \right\rceil, & \text{if } n_0 = 1. \end{cases}$$
(2)

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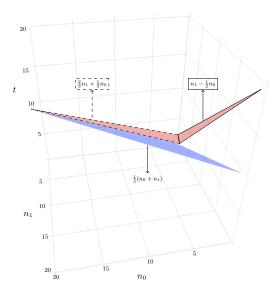
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## A Visualization of the Resilience Parameter

We visualize the choice of t as a function of  $n_0$  and  $n_1$ , to help understand the mathematical structure of game-theoretic fairness in multi-party coin toss.



**Fig. 1:** Visualization of the maximum t as a function of  $n_0$  and  $n_1$  in comparison to  $\frac{1}{2}(n_0 + n_1)$ . For simplicity we ignore the rounding in the plot. The blue plane is  $\frac{1}{2}(n_0 + n_1)$ , while the red plane with the dashes boundary is  $\frac{2}{3}n_1 + \frac{1}{3}n_0$  when  $n_1 < \frac{5}{2}n_0$ , and the red plane with the solid boundary is  $n_1 - \frac{1}{2}n_0$  when  $n_1 \ge \frac{5}{2}n_0$ .