# Secure Non-interactive Simulation from Arbitrary Joint Distributions\*

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**Abstract.** Secure non-interactive simulation (SNIS), introduced in EU-ROCRYPT 2022, is the information-theoretic analog of *pseudo-correlation* generators. SNIS allows parties, starting with samples of a source correlated private randomness (correlation), to non-interactively and securely transform them into samples from a different correlation.

This work studies SNIS of *binary symmetric or erasure correlations* from any arbitrary source correlation. In this context, our work presents:

- 1. The characterization of all sources that facilitate such SNIS,
- 2. An upper and lower bound on their maximum achievable rate, and
- 3. Exemplar SNIS instances where non-linear reductions achieve optimal efficiency; however, any linear reduction is insecure.

These results collectively yield the fascinating instances of *computer-assisted search* for secure computation protocols that identify ingenious protocols that are more efficient than all known constructions.

Our work generalizes the algebraization of the simulation-based definition of SNIS as an approximate eigenvector problem. The following technical contributions are the underpinnings of the results above.

- 1. Characterization of Markov and adjoint Markov operators' effect on the Fourier spectrum of reduction functions.
- 2. A new concentration phenomenon in the Fourier spectrum of reduction functions.
- 3. A statistical-to-perfect lemma with broad consequences for feasibility and rate characterization of SNIS.

Our technical analysis relies on Fourier analysis over large alphabets with arbitrary measure, the orthogonal Efron-Stein decomposition, and junta theorems. Our technical approach motivates the new problem of "security-preserving dimension reduction" in harmonic analysis, which may be of independent interest.

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# 1 Introduction

Recently, Khorasgani, Maji, and Nguyen [32] introduced secure non-interactive simulation (SNIS) as an information-theoretic analog of pseudo-correlation generator [10, 11]. In the two-party setting (refer to Figure 1), Alice and Bob start with n independent samples of correlated private randomness (X, Y), the source distribution. Non-interactively, Alice and Bob compute  $U = f_n(X^n)$  and  $V = g_n(Y^n)$ , where  $f_n(\cdot)$  and  $g_n(\cdot)$  are reduction functions,<sup>1</sup> and the joint distribution (U, V) is the target distribution. This construction is a SNIS of the target distribution (U, V) from the source distribution (X, Y) if it is simulationsecure [13, 12, 14]. Note that SNIS security against semi-honest or malicious adversaries is identical.



Fig. 1. System model for secure non-interactive simulation: SNIS.

Motivating Application for SNIS: Correlation generators [32]. Secure computation [52, 26] protocols often offload most of their computationally and cryptographically expensive components to an offline procedure [39, 8, 17, 45]. This offline procedure has high computation and communication costs, and it generates structured correlated private randomness like Beaver triples [5]. However, several inexpensive sources of correlated private randomness also facilitate secure computation, like, correlated samples from noise sources [33]. Therefore, a natural solution is to *non-interactively* and *securely* convert these inexpensive correlations into ones used in secure computation protocols.

Boyle et al. [10, 11] introduced *pseudorandom correlation generators* to achieve this objective against computationally bounded adversaries. Recently, Khorasgani et al. [32] introduced the information-theoretic analog of this primitive,

<sup>&</sup>lt;sup>1</sup> The reduction functions  $f_n(\cdot)$  and  $g_n(\cdot)$  are randomized and use independent private randomness; however, for brevity, the randomness is being excluded from the formal representation. Strong *sample-preserving* derandomization results (i.e., the derandomized reductions use an identical number of source samples and produce an identical number of target samples) for SNIS [32] indicate the uselessness of independent private randomness.

modeled by the system in Figure 1, to study the *feasibility* and *rate* of SNIS, which has straightforward consequences to the efficiency of secure computation.

Security & rate definition of SNIS [32]. Readers should follow the system in Figure 1 for the discussion below. For feasibility considerations, substitute m = 1 in Figure 1. Khorasgani et al. [32] said that a SNIS of (U, V) from  $(X, Y)^{\otimes n}$  using reduction functions  $f_n, g_n$  has insecurity  $\nu(n)$  if the following three conditions are satisfied.

- 1. Correctness. The joint distribution of the output samples (u', v') is  $\nu(n)$ -close to the target distribution (U, V) in statistical (i.e., total variational) distance.
- 2. Security against a corrupt Alice. Fix any (u, v) in the support of the target distribution (U, V). The distribution of  $x^n$  conditioned on u' = u and v' = v is  $\nu(n)$ -close to being independent of v.<sup>2</sup> In other words,  $X^n U V$  is an (approximate) Markov chain.
- 3. Security against a corrupt Bob. Likewise, for any (u, v) in the support of the target distribution (U, V), the conditional distribution  $(Y^n|U' = u, V' = v)$  is  $\nu(n)$ -close to being independent of u. In other words,  $Y^n V U$  is an approximate Markov chain.

[32] presented a simulation-based security definition that unifies these three conditions. We represent this definition by the notation: " $(U,V) \sqsubseteq_{f_n,g_n}^{\nu(n)} (X,Y)^{\otimes n}$ ."

Fix the source (X, Y) and the target (U, V). To discuss (the single-letter characterization of) rate, Khorasgani et al. [32] consider a SNIS family of  $(U, V)^{\otimes m(n)}$ from  $(X, Y)^{\otimes n}$  using reduction function  $f_n, g_n$  with insecurity  $\nu(n)$ , parameterized by  $n \in \{1, 2, ...\}$ . The (production) rate, represented by R((U, V), (X, Y)), is the supremum of the maximum achievable m(n)/n as  $n \to \infty$  and  $\nu(n) \to 0$ over all possible families of reductions.

This reduction-based investigation facilitates characterizing the efficiency limits of non-interactive secure computation irrespective of the origin of the source samples. For example, the source samples can originate from noisy physical processes, trusted hardware, or the output of a protocol relying on cryptographic hardness of computation assumptions.

Relation to other primitives and additional motivation. One-way secure computation [22, 2] uses one additional round of communication to transform the samples from source distributions into samples from a target distribution. Noninteractive correlation distillation [43, 42, 51, 9, 16] restricts SNIS to the target distribution (U, V) being the independent coin distribution. SNIS is the cryptographic extension of non-interactive simulation of joint distribution [21, 50, 48, 30, 31, 25, 18, 24] from information theory.

This non-cryptographic simulation problem (either non-interactive or with rate-limited communication) has diverse applications, for example, as discussed

<sup>&</sup>lt;sup>2</sup> The conditional distribution (A|B = b) is  $\nu$ -close to being independent of b if there is a distribution  $A^*$  such that the statistical distance between  $A^*$  and the conditional distribution (A|B = b) is at most  $\nu$  for any  $b \in \text{Supp}(B)$ .

in [31], spanning from game-theoretic coordination in a network against an adversary to control a dynamical system over a distributed network. These applications naturally extend to the cryptographic context with adversarial agents, granting additional independent motivation to study SNIS.

Studying the *cryptographic complexity* [7, 38, 36, 6, 44] also motivates the study of SNIS, as done in the independent work of [1].

Our problem statement. This work considers the simulation of two particular target distributions (U, V) (refer to Figure 2).

- 1. Noise from the binary symmetric channel. Alice outputs uniformly random  $u \in \{+1, -1\}$  and Bob outputs  $v \in \{+1, -1\}$  such that, for each u, the probability of  $u \neq v$  is  $\varepsilon \in (0, 1/2)$ . We represent this correlated private randomness by  $\mathsf{BSS}(\rho)$ , where  $\rho = (1 2\varepsilon)$ . For example,  $\mathsf{BSS}(1/2)$  is a distribution where Alice and Bob samples disagree with a probability of 1/4.
- 2. Noise from the binary erasure channel. Alice outputs uniformly random  $u \in \{+1, -1\}$  and Bob outputs  $v \in \{u, 0\}$  such that, for each u, the probability of v = 0 is  $\varepsilon \in (0, 1)$ . We represent this correlated private randomness by  $\mathsf{BES}(\rho)$ , where  $\rho = \sqrt{1 \varepsilon}$ . So,  $\mathsf{BES}(\sqrt{1/2})$  has erasure probability 1/2.



Fig. 2. Random correlated noise generated by the binary symmetric channel (BSS) and the binary erasure channel (BES) with maximal correlation  $\rho$ .

This work parameterizes the channels by their maximal correlation  $\rho$  for brevity in our technical presentation (see Section 3.2 for formal definition). [32] proved that a SNIS of  $BSS(\rho')$  from  $BSS(\rho)$  exists if and only if  $\rho' = \rho^k$ , for some  $k \in \{1, 2, ...\}$ . Furthermore, if this SNIS is feasible, it has a rate of 1/k: each party outputs the product of k samples of their source – a linear reduction. Similarly, a SNIS of  $BES(\rho')$  from  $BES(\rho)$  exists if and only if  $\rho' = \rho^k$ , for some  $k \in \{1, 2, ...\}$ . This SNIS also has a rate of 1/k, and linear reductions are rateachieving.

Our work considers the problem of determining the *feasibility* and *rate* of SNIS generating BSS/BES *target noise* from *arbitrary source distributions* and

identifying corresponding maximum rate-achieving secure constructions. The source distribution (X, Y) can be arbitrary; they may have arbitrary-size sample spaces, and their marginal distributions need not be uniform or identical.

Summary of our results. We present an exhaustive characterization of all source distributions that yield secure SNIS of BSS and BES target distributions. Furthermore, if the insecurity of a SNIS is sufficiently small, then one can slightly edit the reduction functions to convert them into perfectly secure SNIS. Next, we present (positive constant) lower and upper bounds on the production rate of such SNIS. Finally, we exhibit SNIS instances where non-linear reduction functions achieve optimal rate (also demonstrating the tightness of our rate estimates); however, every linear reduction is constant insecure. We efficiently searched the space of all reductions (guided by our technical results) to identify these fascinating non-linear reductions – even the authors were unaware of their existence.

These cryptographic consequences rely on several foundational and technical contributions of ours, which may be of independent and broader interest. We generalize the [32]'s framework for algebraizing SNIS from arbitrary source distributions using the source's Markov and the adjoint Markov operators (refer to Section 3.4 for definition). This algebraization translates SNIS into an *approximate eigenvector formulation* for appropriate linear operators, where the reduction functions are their eigenvectors. Next, we *quantify the impact* of these linear operators on the Fourier spectrum of the reduction functions. Our proof relies on a critical synergy between the linear operators and the reduction functions over the *orthogonal Efron-Stein basis*. Our work shows that this quantification entails a *concentration of the Fourier spectrum* of the reductions on low-degree terms. Fascinatingly, our bound on the degree depends on the *maximal correlations* of the source and the target distributions. Finally, we apply appropriate *junta theorems* (i.e., dimension reduction) to prove the closeness of SNIS reductions to juntas (a.k.a., *canonical reductions*).

Consequently, one obtains a technical tool: the statistical to perfect lemma. This lemma, for instance, implies the following non-trivial phenomena for any source and target pair.

- 1. One can error-correct any statistically-secure SNIS into a perfect SNIS.
- 2. The total number of canonical SNIS candidates is constant.
- 3. The rate of any feasible SNIS is a positive constant.

The presentation above is only a high-level overview of our proof strategy, highlighting its primary landmarks. There are several subtleties to address and technical challenges to overcome, which we further elaborate in Section 2.2.

Computer-assisted search for optimal secure computation protocols. Although computer-assisted constructions are common while constructing error-correcting codes and combinatorial designs [37], their role in secure protocols is novel. Our work presents fascinating instances of computer-assisted search for finding optimal secure computation protocols that are more efficient than known protocols.

[32] discovered new alternative constructions that achieve already-known efficiency parameters. [15] also used computer assistance to recover known garbling constructions. Typically idealized information-theoretic models yield hardness of computation results; however, the SNIS model also yields non-trivial positive results. This research outcome indicates that one should be open to the possibility of relying on computer-assisted search to design new and more efficient secure computation protocols.

Overview of the paper. Section 2 presents an informal overview of our results and technical approach. Section 3 introduces the preliminaries. Section 4 proves our results pertaining to determining the feasibility of SNIS. Section 5 presents our rate estimation results. Section 6 has results pertaining to  $2 \times 2$  sources. Section 7 presents the remaining results.

# 2 Overview of our Contributions

# 2.1 Overview of Our Results

This section presents an informal summary of our results and a technical overview of the proof. In the presentation below, without loss of generality, we assume that the SNIS reductions are deterministic [32].

Feasibility characterization of SNIS from arbitrary sources. We present an efficient algorithm to determine whether a statistically secure SNIS of BSS/BES from the source (X, Y) is feasible or not (see Corollary 1). Theorem 1 states that if the simulation error of a SNIS of BSS/BES from the source (X, Y) is less than c/n, where c a suitable positive constant, one can edit the reduction functions into a perfect secure SNIS. Furthermore, these perfectly-secure reductions are canonical reductions that are *Boolean constant-juntas*. That is, they depend on a constant number of input variables, which entails that the total number of such canonical candidate reductions is only a constant. Therefore, one can exhaustively search for all such canonical reductions to determine if a SNIS of BSS/BES from (X, Y) is possible.

This technical result entails the following consequence for *cryptographic con texts*. Efficient secure constructions in cryptography insist on achieving  $\operatorname{negl}(\lambda)$ insecurity, where  $\lambda$  is the security parameter, using  $n = \operatorname{poly}(\lambda)$  source samples. Therefore, given a source and target, our result proves that either (a) there is a perfectly secure SNIS or (b) every SNIS construction is insecure (because we show that the insecurity is at least inverse-polynomial in the security parameter). In particular, our result rules out the possibility of negligibly-insecure SNIS existing where there is no perfectly secure SNIS.

Estimating rate of SNIS from arbitrary sources. We prove that if a SNIS is feasible, it has a positive constant rate (see Corollary 2). Fix a BSS/BES target. To lower-bound the rate of such SNIS by a positive constant, observe that if a SNIS of BSS/BES from (X, Y) is feasible, there is a canonical SNIS, which is perfectly secure, and the reduction functions are constant-juntas. One can

partition the samples of  $(X, Y)^{\otimes n}$  into constant-size blocks, apply the canonical reduction to each block, and obtain one target sample from each block. This construction has a positive constant rate. Such results are rare in cryptography and challenging to prove for secure computation (cf., [29, 28, 32] for examples).

Theorem 5 upper-bounds the rate of SNIS of BSS/BES from any target distribution using the maximal correlation [27, 48, 3, 47, 4] of the target distribution (refer to Section 3.2 for the definition of maximal correlation) and the eigenvalue of the Markov operator  $T\overline{T}$  (refer to Section 3.4) of the source distribution. We emphasize that this upper bound is only for perfectly secure SNIS. This restriction is unsurprising because, as demonstrated in [32], even estimating the rate of simulating BSS from BSS is known only for perfectly secure SNIS. [32] present evidence that overcoming this hurdle may require advances in harmonic analysis.

Our upper bounds for BSS and BES are tight as demonstrated by (1) the rate of self-simulation of BSS and BES [32], and (2) the reduction of BSS(1/2) and BES( $\sqrt{1/2}$ ) from the ROLE correlation (defined below), whose maximal correlation is  $\sqrt{1/2}$ .

We clarify that this upper bound also extends to randomized perfectly-secure SNIS because the sample-preserving derandomization of [32] preserves perfect security.

**Power of non-linear reductions and computer-assisted search.** The random oblivious linear-function evaluation [49] (ROLE) source samples uniformly and independently random  $a, b, c \in \{0, 1\}$ , provides Alice x = (a, b), and provides Bob y = (c, d), where  $d = a \cdot c \oplus b$ . The maximal correlation of ROLE is  $\sqrt{1/2}$ (see the full version for the proof). Recall that BSS(1/2) is a random correlated sample from the binary symmetric channel where parties' samples are different with probability 1/4.

We show that there is an *optimal* rate-1/2 SNIS of BSS(1/2) from ROLE using non-linear reductions (refer to the protocol in Figure 3 and the discussion in Section 7.3); however, any SNIS of BSS(1/2) from ROLE using linear reductions is constant-insecure (refer to Lemma 4).<sup>3</sup> The optimality of the rate follows from the upper bound of Theorem 5. In the optimal protocol each party's output indicates whether their source samples form a ROLE correlation or not.

The previous best construction (as far as the authors are aware) uses three *ROLEs* and one round of communication to implement a 1-out-of-4 bit-OT. Alice feeds a random permutation of (u, u, u, 1-u), where  $u \stackrel{\$}{\leftarrow} \{0, 1\}$ , into the 1-out-of-4 bit-OT. Bob chooses to receive the bit v at a random position  $i \in \{1, 2, 3, 4\}$ . In comparison, our construction uses one less ROLE sample and no communication, which significantly impacts the efficiency of this secure computation.<sup>4</sup>

<sup>&</sup>lt;sup>3</sup> Observe that "linearity" of a reduction may depend on how the samples of the source are "named." We prove our impossibility result in a strong sense. For any renaming of the samples, we show that linear constructions are constant insecure.

<sup>&</sup>lt;sup>4</sup> We identified *all* reductions realizing this SNIS at an optimal rate. All the reductions were essentially equivalent to each other. However, we chose this particular reduction because it admits an elegant intuitive formulation.

**Source.** Alice gets  $(a_1, b_1, a_2, b_2)$  and Bob gets  $(c_1, d_1, c_2, d_2)$  such that  $a_1, b_1, c_1, a_2, b_2, c_2$  are chosen uniformly and independently at random from the set  $\{0, 1\}$  and  $d_1 = a_1 \cdot c_1 \oplus b_1$  and  $d_2 = a_2 \cdot c_2 \oplus b_2$ .

Reductions.

Alice outputs u = +1, if b<sub>2</sub> = a<sub>1</sub> ⋅ a<sub>2</sub> ⊕ b<sub>1</sub>; otherwise, u = -1.
 Bob outputs v = +1, if d<sub>2</sub> = c<sub>1</sub> ⋅ c<sub>2</sub> ⊕ d<sub>1</sub>; otherwise, v = -1.

**Source.** (In multiplicative notation.) Alice gets  $(A_1, B_1, A_2, B_2)$  and Bob gets  $(C_1, D_2, C_2, D_2)$  such that  $A_1, B_1, C_1, A_2, B_2, C_2$  are chosen uniformly and independently at random from the set  $\{+1, -1\}$  and  $D_1 = \frac{1}{2} \cdot (1 + A_1 + C_1 - A_1 \cdot C_1) \cdot B_1$  and  $D_2 = \frac{1}{2} \cdot (1 + A_2 + C_2 - A_2 \cdot C_2) \cdot B_2$ .

Reductions.

1. Alice outputs  $U = \frac{1}{2} \cdot (1 + A_1 + A_2 - A_1 \cdot A_2) \cdot B_1 \cdot B_2$ . 2. Bob outputs  $V = \frac{1}{2} \cdot (1 + C_1 + C_2 - C_1 \cdot C_2) \cdot D_1 \cdot D_2$ .

Fig. 3. SNIS of BSS(1/2) from ROLE achieving optimal production rate 1/2. The top half of the figure presents the reduction using ROLE as defined for elements in  $\{0, 1\}$ . The bottom half presents the equivalent reduction using the multiplicative notation  $0 \mapsto +1$  and  $1 \mapsto -1$ . In the multiplicative representation, the Fourier spectrum of each reduction function is explicit. One can verify that the (1) reduction functions are non-linear and (2) their Fourier weights are not concentrated on terms of identical degree.

Similarly, there is an *optimal* rate-1 SNIS of  $BES(\sqrt{1/2})$  from ROLE using non-linear reductions (refer to Section 7.3); however, any SNIS using linear reductions is constant-insecure (refer to Lemma 4). The optimality of this protocol follows from Theorem 5. Furthermore, the spectrums of these reduction functions are *not concentrated* on terms with an identical degree.

Additional Result: explicit characterization of SNIS of BSS from  $2 \times 2$ sources. Let the target distribution be  $BSS(\rho')$  and (X,Y) be an arbitrary source such that the support size of both its marginals is two. We prove in Theorem 6 that if the source  $(X, Y) \neq BSS(\rho)$  or  $(X,Y) = BSS(\rho)$  but  $\rho' \neq \rho^k$ , for all  $k \in \{1, 2, ...\}$ , then any SNIS of  $BSS(\rho')$  from (X,Y) is constant insecure. If  $(X,Y) = BSS(\rho)$ ,  $\rho' = \rho^k$ , for some  $k \in \{1, 2, ...\}$ , and  $BSS(\rho') \sqsubseteq_{f,g}^{\nu} BSS(\rho)$ for a sufficiently small  $\nu$ , then one can slightly edit the reduction function to obtain new reduction functions  $f^*, g^*$  that are k-homogeneous<sup>5</sup> and  $BSS(\rho') \sqsubseteq_{f^*,g^*}^0$  $BSS(\rho) - a$  result already proved in [32]. The proof of Theorem 6 (additionally) depends on (1) Theorem 8: a statistical-to-perfect lemma for BSS target from arbitrary  $2 \times 2$  source, and (2) Theorem 9: the characterization of sources facilitating perfect SNIS of BSS target.

Remark 1. For  $2 \times 2$  sources, our definition of "sufficiently small simulation error" is slightly different from the arbitrary source case. In the  $2 \times 2$  source case, "sufficiently small simulation error" is a (global) constant. For arbitrary sources,

<sup>&</sup>lt;sup>5</sup> A homogeneous function is a linear combination of terms with an identical degree.

"sufficiently small simulation error" is c/n, where c is a global constant. This variation is a consequence of the different junta theorems our analysis uses. Typically in cryptography, the security requires that the simulation error falls faster than any inverse polynomial. Our results even work when considering inverse polynomial simulation error.

Additional Result: explicit characterization of SNIS of BES from  $2 \times 2$  sources. We show that any SNIS of BES from a  $2 \times 2$  source is constant insecure (refer to Theorem 7). This generalizes the impossibility of SNIS of BES from BSS [32].

Additional Result: necessary condition for SNIS feasibility. Theorem 11 presents easy-to-test necessary conditions for the feasibility of SNIS of BSS or BES from eigenvalues of the Markov operator of the source. Our "eigenvalue test" (derived independently) is identical to the test introduced in [1].

Additional Result: Incompleteness of string OT. Random samples from the string oblivious transfer functionality, parameterized by  $\ell \in \{1, 2, ...\}$ , gives Alice two random  $\ell$ -bit strings  $(x_0, x_1) \in \{0, 1\}^{2\ell}$  and gives Bob  $(b, x_b) \in \{0, 1\}^{\ell+1}$ , where b is a uniformly random bit (see Definition 8). Lemma 5 states that this family (for  $\ell \in \{1, 2, ...\}$ ) of random samples from the string oblivious transfer is not complete for SNIS because all of them have maximal correlation  $\sqrt{1/2}$ . This family cannot yield a SNIS of any target with maximal correlation  $> \sqrt{1/2}$ , because of Imported Theorem 2, and Imported Theorem 1.

This family is complete for one-way secure computation [22]. [1] show that a single source cannot be complete for SNIS.

# 2.2 Overview of Our Technical Contributions

This section presents a high-level intuition of our technical contributions. It is instructive to read this section with SNIS for BSS target as a representative example.

**Our starting point.** For a source  $(X, Y) \in \{BSS, BES\}$ , Khorasgani et al. [32] algebraically captured the simulation-based security definition of SNIS using the Markov (T) and the adjoint-Markov ( $\overline{T}$ ) operators associated with (X, Y). If a SNIS has a small simulation error, the reduction functions f and g are approximate eigenvectors of the linear operators  $T\overline{T}$  and  $\overline{T}T$ , respectively. We generalize this result to an arbitrary source (X, Y) using a similar idea. Furthermore, algebraization of security in [32] is not scalable. We perform a normalization change (relying on maximal-correlation-based notation) to make it scalable. For example, compare Theorem 4 in our paper with Claim 10 in [32].

Characterization of Markov operator's effect on the Fourier spectrum. It is essential to accurately characterize the impact on the Fourier spectrum when applying the  $\overline{\mathsf{TT}}$  linear operator on the reduction f and applying the  $\overline{\mathsf{TT}}$  linear operator on the reduction g. When the source is either BSS or BES as in [32],

Fourier analysis over uniform measure suffices; both operators  $T\overline{T}$  and  $\overline{T}T$  are the well-behaved noise (Bonami-Beckner) operators. Therefore, the impact of Fourier spectrum is well understood. In contrast, if the source is an arbitrary joint distribution, the marginal distributions of the source need not be uniform or identical to each other and the two operators need not be the Bonami-Beckner operators, complicating this technical challenge even further. If the source is a 2-by-2 distribution, we present an accurate characterization of Markov's operator's effect on the Fourier spectrum (see Lemma 1) using biased Fourier analysis. This result is a generalization to correlated space of the Bonami-Beckner operator's effect on the Fourier spectrum.

When the source is an arbitrary joint distribution, straightforward control of the Markov operator's effect is not evident even when using Fourier analysis over arbitrary product measure. Instead, we take a detour and use the Efron-Stein orthogonal decomposition for this analysis step (see Section 3.5). Our linear operators synergize well with the reduction functions over this decomposition, and one bounds the effect of these operators on the reduction functions using the maximal correlation of the source (X, Y) (see Proposition 5 and Proposition 6). Finally, we return to the Fourier basis and translate the bounds on the Fourier spectrum using Proposition 7.

Fourier concentration. The approximate eigenvector problem (a consequence of the SNIS definition) and the characterization of the Markov and adjoint-Markov operators' impact on the Fourier spectrum yields new Fourier concentration results. For  $2 \times 2$  sources, we prove that the Fourier spectrum of the solutions of the approximate eigenvector problem (in particular, the reduction functions) are concentrated on terms of a fixed degree (see Theorem 10). [32] proved this concentration result for the particular cases of BSS and BES sources.

For arbitrary sources, we show that the Fourier spectrum is concentrated on low-degree terms (see Theorem 3). This relaxation in concentration is also necessary; i.e., we show perfectly secure reductions constructing BSS(1/2) and  $BES(\sqrt{1/2})$  from the ROLE source whose spectrums are not concentrated on only one degree. This Fourier concentration phenomenon is a manifestation of "security" and distinguishes our problems from those arising in non-interactive simulation (i.e., SNIS without security) [21, 50, 48, 30, 31, 25, 18, 24].

**Statistical to perfect lemma.** The set of all reductions with Fourier spectrum concentrated on low-degree multi-linear is still potentially huge. <sup>6</sup> Using appropriate junta theorems, Theorem 1 shows that Boolean functions satisfying such Fourier concentration properties are (close to) juntas. Since these juntas depend only on a constant number of inputs, the total number of such candidate juntas is also a constant. Therefore, this result implies that (1) SNIS is either perfectly secure or constant-insecure, (2) The size of the set of all canonical SNIS

<sup>&</sup>lt;sup>6</sup> A function whose Fourier spectrum is concentrated on low-degree multi-linear terms may depend on all the variables. So, without using any additional properties of lowdegree Boolean functions, one cannot prune down the set of candidate functions. Therefore, their number may be exponential in the number of variables.

of (U, V) from (X, Y) is a constant, and (3) Any feasible SNIS has a positive constant rate. Furthermore, these juntas yield perfectly-secure SNIS.

Consequently, for a particular number of source samples n and (sufficiently small constant) insecurity budget  $\nu(n)$ , our analysis determines whether such a SNIS exists or not. Furthermore, a constant-time algorithm can search for the witness reductions. For example, an *exhaustive search algorithm* discovered all SNIS of BSS(1/2) from ROLE, uncovering fascinating new reductions.

# **3** Preliminaries

#### 3.1 Notation

We denote [n] as the set  $\{1, 2, ..., n\}$  and  $\mathbb{N}_{\leq m} = \{0, 1, ..., m-1\}$ . For two functions  $f, g: \Omega \to \mathbb{R}$ , the equation f = g implies that f(x) = g(x), for every  $x \in \Omega$ . We use  $\Omega$  to denote the sample spaces, and  $\pi$  usually denotes a probability distribution.  $(\Omega_x, \Omega_y)$  is a joint probability space. For  $x \in \Omega_x^n$ , we represent  $x_i \in \Omega_x$  as the *i*-th coordinate of x. A Boolean function is a  $\{\pm 1\}$ -valued function.

**Correlated Spaces.** We use (X, Y) to denote the joint distribution over  $(\Omega_x, \Omega_y)$  with probability mass function  $\pi$ , and  $\pi_x, \pi_y$  to denote the marginal probability distributions of X and Y, respectively. Sometimes we will use  $(\Omega_x \times \Omega_y, \pi)$  to denote the joint distribution. We sometimes use notation  $(X, Y)_{\rho}$  to emphasize that its maximal correlation (defined in Section 3.2) is  $\rho$ . We always use the following notation for the expectation of functions  $f \in L^2(\Omega_x^n, \pi_x^{\otimes n}), g \in L^2(\Omega_y^n, \pi_y^{\otimes n})$  over correlated spaces.

$$\mathbb{E}[f] := \mathop{\mathbb{E}}_{x \sim \pi_x^{\otimes n}} [f(x)], \ \mathbb{E}[g] := \mathop{\mathbb{E}}_{y \sim \pi_y^{\otimes n}} [g(y)], \ \mathbb{E}[fg] := \mathop{\mathbb{E}}_{(x,y) \sim \pi^{\otimes n}} [f(x) \cdot g(y)]$$

**Statistical Distance.** The statistical distance (total variation distance) between two distributions P and Q over a finite sample space  $\Omega$  is defined as  $SD(P,Q) = \frac{1}{2} \sum_{x \in \Omega} |P(x) - Q(x)|.$ 

#### 3.2 Maximal Correlation

We define maximal correlation and its properties in this subsection.

**Definition 1 (Maximal Correlation [27, 23, 48, 3, 47, 4]).** The Hirschfeld-Gebelein-Rényi maximal correlation of (X, Y) is defined as

$$\rho(X;Y) := \max_{\substack{\mathbb{E}[f] = \mathbb{E}[g] = 0\\ \mathbb{E}[f^2] = \mathbb{E}[g^2] = 1}} \mathbb{E}[f(X)g(Y)]$$

For example, the maximal correlation of BSS with flipping probability  $\varepsilon$  is  $|1 - 2\varepsilon|$  for every  $\varepsilon \in [0, 1]$ , and the maximal correlation of BES with erasure probability  $\varepsilon$  is  $\sqrt{1 - \varepsilon}$  [53]. Note that maximal correlation of any distribution is always between 0 and 1.

**Imported Theorem 1 (Tensorization [48])** If  $(X_1, Y_1)_{\rho_1}$  and  $(X_2, Y_2)_{\rho_2}$  are independent, then the maximal correlation of  $(X_1, X_2; Y_1, Y_2)$  is equal to  $\max(\rho_1, \rho_2)$  and so if  $(X_1, Y_1), (X_2, Y_2)$  are *i.i.d.*, then it is equal to  $\rho_1 = \rho_2$ .

**Imported Theorem 2 (Data Processing [48])** Let (X, Y) be a joint distribution. Then, for any pair of (even randomized) functions, we  $\rho(f(X), g(Y)) \leq \rho(X, Y)$ .

One can compute maximal correlation as follows.

**Proposition 1 ([48]).** The maximal correlation of a finite joint distribution (X, Y) is the square root of the second largest eigenvalue of the Markov operator  $T\overline{T}$ , where T and  $\overline{T}$  are Markov and adjoint Markov operator associated with (X, Y).

#### 3.3 Fourier Analysis Basics

We follow the notation of [46] to introduce some background in Fourier analysis over product measure.

# Fourier Analysis over Higher Alphabet

**Definition 2.** Let  $(\Omega, \pi)$  be a finite probability space where  $|\Omega| \ge 2$  and  $\pi$  denote a probability distribution over  $\Omega$ . Let  $\pi^{\otimes n}$  denote the product probability distribution on  $\Omega^n$  such that  $\pi^{\otimes n}(x_1x_2...x_n) = \prod_{i=1}^n \pi(x_i)$ . For  $n \in \mathbb{N}$ , we write  $L^2(\Omega^n, \pi^{\otimes n})$  to denote the real inner product space of functions  $f: \Omega^n \to \mathbb{R}$ with inner product

$$\langle f,g \rangle_{\pi^{\otimes n}} = \mathbb{E}_{x \sim \pi^{\otimes n}}[f(x)g(x)]$$

Moreover, the  $L_p$ -norm of a function  $f \in L^2(\Omega^n, \pi^{\otimes n})$  is defined as

$$||f||_p := \mathbb{E}_{x \sim \pi^{\otimes n}} [|f(x)|^p]^{1/p}.$$

We define the distance between two functions  $f, g \in L^2(\Omega, \mu)$  as  $||f - g||_1$ . Note that if f, g are bounded i.e.  $|f(x)| \leq \alpha$  and  $|g(x)| \leq \alpha$  for every  $x \in \Omega$ , then  $||f - g||_2^2 \leq 2\alpha ||f - g||_1$ . In particular, for Boolean valued functions f, g,  $||f - g||_2^2 \leq 2||f - g||_1 = 4 \operatorname{Pr}_{x \sim \mu}[f(x) \neq g(x)]$ . Therefore,

**Claim 1** Suppose  $f \in L^2(\Omega, \mu)$  such that  $|f(x)| \leq \alpha$  for every  $x \in \Omega$ . Then, we have  $||f||_2^2 \leq \alpha \cdot ||f||_1$ .

**Definition 3.** A Fourier basis for an inner product space  $L^2(\Omega, \pi)$  is an orthonormal basis  $\phi_0, \phi_1, \ldots, \phi_{m-1}$  with  $\phi_0 \equiv 1$ , where by orthonormal, we mean that for any  $i \neq j$ ,  $\langle \phi_i, \phi_j \rangle = 0$  and for any  $i, \langle \phi_i, \phi_i \rangle = 1$ .

It can be shown that if  $\phi_0, \phi_1, \ldots, \phi_{m-1}$  is a Fourier basis for  $L^2(\Omega, \pi)$ , then the collection  $(\phi)_{\alpha \in \mathbb{N}^n_{\leq m}}$  where  $\phi_{\alpha}(x) := \prod_{i=1}^n \phi_{\alpha_i}(x_i)$  (each  $\alpha_i \in \{0, 1, \ldots, m-1\}$ ) is a Fourier basis for  $L^2(\Omega^n, \pi^{\otimes n})$ . Note that the size of the basis  $(\phi)_{\alpha \in \mathbb{N}^n_{\leq m}}$  is  $m^n$ .

**Definition 4.** Fix a Fourier basis  $\phi_0, \phi_1, \ldots, \phi_{m-1}$  for  $L^2(\Omega, \pi)$ , then every  $f \in L^2(\Omega^n, \pi^{\otimes n})$  can be uniquely written as  $f = \sum_{\alpha \in \mathbb{N}^n_{\leq m}} \widehat{f}(\alpha) \phi_\alpha$  where  $\widehat{f}(\alpha) = \langle f, \phi_\alpha \rangle$ . The real number  $\widehat{f}(\alpha)$  is called the Fourier coefficient of f at  $\alpha$ .

For  $\alpha \in \mathbb{N}^n_{\leq m}$ , we denote  $|\alpha| := |\{i \in [n] : \alpha_i \neq 0\}|$ . The Fourier weight of f at degree k is defined as  $W^k[f] := \sum_{\alpha:|\alpha|=k} \widehat{f}(\alpha)^2$ . The Fourier weight of f at degree strictly greater than k is defined as  $W^{>k}[f] := \sum_{\alpha:|\alpha|>k} \widehat{f}(\alpha)^2$ . We say that the *degree* of a function  $f \in L^2(\Omega^n, \pi^{\otimes n})$ , denoted by  $\deg(f)$ , is the largest value of  $|\alpha|$  such that  $\widehat{f}(\alpha) \neq 0$ . For every coordinate  $i \in [n]$ , the *i*-th influence of f, denoted by  $\ln_i[f]$ , is defined as  $\ln_i[f] := \sum_{\alpha: \alpha_i \neq 0} \widehat{f}(\alpha)^2$ . And the *total influence* is defined as  $\ln(f) := \sum_{i=1}^n \ln_i[f] = \sum_{\alpha} |\alpha| \widehat{f}(\alpha)^2 = \sum_{k=1}^n k \cdot W^k[f]$ .

**Biased Fourier Analysis over Boolean Cube.** In the special case when  $\Omega = \{\pm 1\}$ , we define the product Fourier basis functions  $\phi_S$  for  $S \subseteq [n]$  as

$$\phi_S(x) = \prod_{i \in S} \phi(x_i) = \prod_{i \in S} \left( \frac{x_i - \mu}{\sigma} \right),$$

where  $p = \pi(-1), \mu = 1 - 2p, \sigma = 2\sqrt{p}\sqrt{1-p}$ .

**Definition 5 (Junta Function).** A function  $f: \Omega^n \to \{\pm 1\}$  is called a k-junta for  $k \in \mathbb{N}$  if it depends on at most k of its inputs coordinates; in other words,  $f(x) = g(x_{i_1}, x_{i_2}, \ldots, x_{i_k})$ , where  $i_1, i_2, \ldots, i_k \in [n]$ . Informally, we say that f is a "junta" if it depends on only a constant number of coordinates. We also say that f is  $\varepsilon$ -close to a k-junta function h if  $||f - h||_1 \leq \varepsilon$ .

# 3.4 Markov Operator

**Definition 6 (Markov Operator [40]).** The Markov operator associated with joint distribution (X, Y), denoted by  $\mathsf{T}$ , maps a function  $g \in L^p(\Omega_y, \pi_y)$  to a function  $\mathsf{T}g \in L^p(\Omega_x, \pi_x)$  by the following map:

$$(\mathsf{T}g)(x) := \mathbb{E}[g(Y) \mid X = x],$$

where (X, Y) is distributed according to  $\pi$ .

Furthermore, we define the adjoint operator of  $\mathsf{T}$ , denoted as  $\overline{\mathsf{T}}$ , maps a function  $f \in L^p(\Omega_x, \pi_x)$  to a function  $\overline{\mathsf{T}} f \in L^p(\Omega_y, \pi_y)$  by the following map:

$$(\overline{\mathsf{T}}f)(y) = \mathbb{E}[f(X) \mid Y = y]$$

Note that the two operators T and  $\overline{T}$  have the following property.

$$\langle Tg, f \rangle_{\pi_x} = \langle g, \overline{\mathsf{T}}f \rangle_{\pi_y} = \mathbb{E}[f(X^n)g(Y^n)].$$

Moreover, both Markov operators T and  $\overline{T}$  are linear operators. Both  $T\overline{T}$  and  $\overline{T}T$  are also Markov operators. We want to emphasize that the largest eigenvalue of any Markov operator is always 1.

**Proposition 2.** Let  $\mathsf{T}, \overline{\mathsf{T}}$  be respectively the Markov and adjoint operator associated with the 2-by-2 distribution  $(X, Y)_{\rho}^{\otimes n}$ . Let  $1 = \lambda_0 \ge \lambda_1 > 0$  be the eigenvalues of  $\mathsf{T}\overline{\mathsf{T}}^{(1)}$  (multiplication of Markov and adjoint operators for n = 1). Then, it holds that  $\rho = \sqrt{\lambda_1}$ . Moreover, the set of all eigenvalues of  $\mathsf{T}\overline{\mathsf{T}}$  and  $\overline{\mathsf{T}}\mathsf{T}$  is  $\{1, \rho^2, \rho^4, \ldots, \rho^{2n}\}$ .

**Proposition 3.** [48] Suppose (X, Y) is a finite joint distribution over  $(\Omega_x, \Omega_y)$ . Let  $\pi$  denote the probability mass function of (X, Y) and  $\mathsf{T}$  and  $\overline{\mathsf{T}}$  respectively denote the Markov operator and the adjoint Markov operator associated with (X, Y). Let (X, X') be the joint distribution over  $(\Omega_x \times \Omega_x, \mu)$  such that the marginal distribution  $\mu_x$  is the same as  $\pi_x$  and the associated Markov operator of (X, X') is  $\mathsf{T}\overline{\mathsf{T}}$ . Then, the marginal distributions of (X, X') are the same, in other words,  $\mu_x = \mu_{x'}$ . Furthermore, we have  $\rho(\Omega_x \times \Omega_x, \mu) = \rho^2$ , where  $\rho$  is the maximal correlation of (X, Y).

This result shows that for  $f \in L^2(\Omega_x, \pi_x)$ , we have  $(\mathsf{T}\overline{\mathsf{T}})f \in L^2(\Omega_x, \pi_x)$ .

# 3.5 Efron-Stein Decomposition

We shall use the orthogonal Efron-Stein decomposition as one of the main technical tools.

**Definition 7 (Chapter 8 of [46]).** Let  $\{(\Omega_i, \mu_i)\}_{i=1}^{\ell}$  be discrete probability spaces and let  $(\Omega, \mu) = \prod_{i=1}^{\ell} (\Omega_i, \mu_i)$ . The Effron-Stein decomposition of  $f: \Omega \to \mathbb{R}$  is defined as  $f = \sum_{S \subseteq [n]} f^{=S}$  where the functions  $f^{=S}$  satisfy (1)  $f^{=S}$  depends only on  $x_S$ , and (2) for all  $S \not\subseteq S'$  and all  $x_{S'}$ ,  $\mathbb{E}[f^{=S}|X_{S'} = x_{S'}] = 0$ .

**Proposition 4** ([19]). Efron-Stein decomposition exists and is unique.

The following propositions give the relation between Markov operators and Efron-stein decompositions. The first proposition shows that the Efron-Stein decomposition commutes with Markov Operator.

**Proposition 5 ([40, 41] Proposition 2.11).** Let  $(X^n, Y^n)$  be a joint distribution over  $(\Omega_x^n \times \Omega_y^n, \pi^{\otimes n})$ . Let  $\mathsf{T}^{(i)}$  be the Markov operator associated with  $(X_i, Y_i)$ . Let  $\mathsf{T} = \bigotimes_{i=1}^n \mathsf{T}^{(i)}$ , and consider a function  $g \in L^2(\Omega_y^n, \pi_y^{\otimes n})$ . Then, the Efron-Stein decomposition of g satisfies  $(\mathsf{T}g)^{=S} = \mathsf{T}(g^{=S})$ .

The next proposition shows that Tg depends on the low degree expansion of g.

**Proposition 6 ([41] Proposition 2.12).** Assuming the setting of Proposition 5 and let  $\rho$  be the maximal correlation of the distribution (X, Y). Then for all  $g \in L^2(\Omega_y^n, \pi_y^{\otimes n})$  it holds that  $\|\mathsf{T}g^{=S}\|_2 \leq \rho^{|S|} \|g^{=S}\|_2$ .

The next proposition shows the connection between Fourier decomposition and Efron-Stein decomposition.

**Proposition 7 ([46] Proposition 8.36).** Let  $f \in L^2(\Omega^n, \pi^{\otimes n})$  have the orthogonal decomposition  $f = \sum_{S \subseteq [n]} f^{=S}$ , and let  $\{\phi_H\}_{H \in \Omega^n}$  be an orthonormal Fourier basis for  $L^2(\Omega^n, \pi^{\otimes n})$ . Then  $f^{=S} = \sum_{\alpha : \text{Supp}(\alpha) = S} \widehat{f}(\alpha) \phi_{\alpha}$ . In particular, when  $\Omega = \{\pm 1\}$  we have  $f^{=S} = \widehat{f}(S)\phi_S$ .

This implies that  $\|f^{=S}\|_2^2 = \sum_{\alpha: \text{ Supp}(\alpha)=S} \widehat{f}(\alpha)^2$ . Therefore, it holds that  $W^k[f] = \sum_{|S|=k} \|f^{=S}\|_2^2$ , and  $W^{>k}[f] = \sum_{|S|>k} \|f^{=S}\|_2^2$ .

# 3.6 Imported Theorems

**Imported Theorem 3 (Kindler-Safra Junta Theorem [34, 35])** Fix  $d \ge 0$ . *O. There exists*  $\varepsilon_0 = \varepsilon_0(d)$  and constant C such that for every  $\varepsilon < \varepsilon_0$ , if  $f: \{\pm 1\}^n \to \{\pm 1\}$  satisfies  $W^{>d}[f] = \varepsilon$  then there exists a  $C^d$ -junta and degree d function  $\tilde{f}: \{\pm 1\}^n \to \{\pm 1\}$  such that  $\left\| f - \tilde{f} \right\|_2^2 \le (\varepsilon + C^d \varepsilon^{5/4})$ .

**Imported Theorem 4 (Friedgut's Junta Theorem [20, 46])** There exists a global constant M such that the following holds. Let  $(\Omega, \pi)$  be a finite probability space such that every outcome has probability at least  $\lambda$ . If  $f \in L^2(\Omega^n, \pi^n)$ has range  $\{\pm 1\}$  and  $0 < \varepsilon \leq 1$ , then f is  $\varepsilon$ -close to a  $(1/\lambda)^{M \cdot \ln f(f)/\varepsilon}$ -junta  $h: \Omega^n \to \{\pm 1\}, i.e., \Pr_{x \sim \pi^{\otimes n}}[f(x) \neq h(x)] \leq \varepsilon.$ 

# 4 Characterization of SNIS from arbitrary Sources

This section presents our feasibility characterization of SNIS from arbitrary joint distributions stated below.

**Corollary 1** (Feasibility Characterization). There is an algorithm that takes as input a constant c > 0, a source (X, Y), and a target  $(U, V) \in \{BSS(\rho'), BES(\rho')\}$ , and

- 1. outputs YES, if there is an infinite family of reduction functions  $\{f_n, g_n\}$  satisfying  $(U, V) \sqsubseteq_{f_n, g_n}^{\nu_n} (X, Y)^{\otimes n}$  and  $\nu_n \leq c/n$ , and
- 2. outputs NO, otherwise.

In the YES instance, the algorithm additionally outputs a pair of reduction functions  $f^*: \Omega_x^{n_0} \to \{\pm 1\}$  and  $g^*: \Omega_y^{n_0} \to \{\pm 1\}$  that witness a perfect-SNIS construction for some  $n_0 = n_0(c, \rho, \rho') \in \mathbb{N}$  where  $\rho$  represents the maximal correlation of source (X, Y). Furthermore, the algorithm's running time is bounded and computable.

This theorem says that there is an algorithm that can determine whether there is a statistically SNIS of BSS/BES from a given source. The algorithm also outputs a canonical (perfect) SNIS construction in the YES instance. Corollary 1 follows from the following statistical to perfect results.

**Theorem 1** (Statistical-to-perfect). Let (X, Y) be an arbitrary joint distribution and  $(U, V) \in {BSS(\rho'), BES(\rho')}$ . For any c > 0, there are positive constants  $n_0, d, D$  such that the following result holds. If  $(U, V) \sqsubseteq_{f,q}^{\nu} (X, Y)^{\otimes n}$ , for some  $n \ge n_0$ , and  $\nu \le c/n$ , then f is  $\nu^d$ -close to a D-junta reduction function  $f^*$ , and g is  $\nu^d$ -close to a D-junta reduction function  $g^*$  such that  $(U,V) \sqsubseteq_{f^*,g^*}^0 (X,Y)^{\otimes n}.$ 

We remark that the constant D does not depend on n but might depend on the source, the target, the constant c, and the implicit constant in the Friedgut's junta theorem (Imported Theorem 4). Assuming this theorem, Figure 4 gives an algorithm for Corollary 1. We provide the proof of Theorem 1 when (U, V) =

SNISFeasChar((X, Y), (U, V), c):1. Let  $D = D(\rho', (X, Y), c)$  be the constant defined in Theorem 1. 2. Consider all functions  $f: \Omega_x^D \to \{\pm 1\}$ , and  $g: \Omega_y^D \to \{\pm 1\}$ - Return YES, if there exist  $f^*, g^*$  such that  $BSS(\rho') \sqsubseteq_{f^*,g^*}^0 (X,Y)^{\otimes D}$ . - Return NO, otherwise.



 $\mathsf{BSS}(\rho')$  in Section 4.1, and when  $(U, V) = \mathsf{BES}(\rho')$  in Section 4.2. At a high level, our proof strategy for BES is similar to the strategy for BSS except one technical challenge due to Bob's reduction function, which is not a Booleanvalued function.

#### Statistical to Perfect: BSS target 4.1

Consider a SNIS of  $\mathsf{BSS}(\rho') \sqsubseteq_{f,g}^{\nu} (X,Y)_{\rho}^{\otimes n}$  where (X,Y) is an arbitrary joint distribution,  $f \in L^2(\Omega_x^n, \pi_x^{\otimes n})$  and  $g \in L^2(\Omega_y^n, \pi_y^{\otimes n})$ .

Step 1: Algebraization of SNIS and approximate eigenvalue problem. Following a similar idea as in [32], we extend the algebraization of simulation-based SNIS to arbitrary source distribution as follows.

**Theorem 2** (BSS Algebraization of Security). For any  $\rho' \in (0, 1)$  and any joint distribution (X, Y), the following statements hold.

- 1. If  $\mathsf{BSS}(\rho') \sqsubseteq_{f,g}^{\nu} (X,Y)^{\otimes n}$ , then  $\mathbb{E}[f] \leqslant \nu$ ,  $\mathbb{E}[g] \leqslant \nu$ ,  $\|\overline{\mathsf{T}}f \rho'g\|_1 \leqslant 4\nu$ , and  $\|\mathsf{T}g \rho'f\|_1 \leqslant 4\nu$ . 2. If  $\mathbb{E}[f] \leqslant \nu$ ,  $\mathbb{E}[g] \leqslant \nu$ ,  $\|\overline{\mathsf{T}}f \rho'g\|_1 \leqslant \nu$ , and  $\|\mathsf{T}g \rho'f\|_1 \leqslant \nu$ , then  $\mathsf{BSS}(\rho') \sqsubseteq_{f,g}^{2\nu}$
- $(X,Y)^{\otimes n}$ .

This theorem gives a qualitative equivalence of the simulation-based definition and the algebraized definition. Next, composing the two  $L_1$ -norm constraints yields  $\left\| \mathsf{T}\overline{\mathsf{T}}f - {\rho'}^2 f \right\|_1 \leq 8\nu$  and  $\left\| \overline{\mathsf{T}}\mathsf{T}g - {\rho'}^2 g \right\|_1 \leq 8\nu$ . This implies that f and gare an approximate eigenvector of the two operators  $T\overline{T}$  and  $\overline{T}T$ , respectively.

Claim 2 (Approximate eigenvalue constraint) If  $BSS(\rho') \sqsubseteq_{f,q}^{\nu} (X,Y)^{\otimes n}$ , then  $\left\| \mathsf{T}\overline{\mathsf{T}}f - {\rho'}^2 f \right\|_1 \leq 8\nu$ , and  $\left\| \overline{\mathsf{T}}\mathsf{T}g - {\rho'}^2 g \right\|_1 \leq 8\nu$ .<sup>7</sup>

Step 2: Effect of Markov operators on Fourier spectrum of reduction functions. Let  $\{\phi_{\alpha}\}$  and  $\{\psi_{\alpha}\}$  be some Fourier bases for  $L^{2}(\Omega_{x}^{n}, \pi_{x}^{\otimes n})$  and  $L^{2}(\Omega_{y}^{n}, \pi_{y}^{\otimes n})$ , respectively. As common in Fourier analysis, it is natural to look at the effect of the Markov operators on the Fourier characters. However, we don't know how to control the behavior of  $\mathsf{T}\overline{\mathsf{T}}\phi_{\alpha}$  and  $\overline{\mathsf{T}}\mathsf{T}\psi_{\alpha}$ . To circumvent this bottleneck, we take a detour and look at the effect of these operators on the orthogonal (Efron-Stein) decomposition. Let  $f = \sum_{S \subseteq [n]} f^{=S}$  and  $g = \sum_{S \subseteq [n]} g^{=S}$  be the orthogonal decomposition. [41] showed that the decomposition has two important properties: (1) it commutes with the Markov operators (Proposition 5) and (2) the higher order terms in the decomposition of  $T\overline{T}f = \sum_{S \subseteq [n]} (T\overline{T}f)^{=S}$  have significantly smaller  $L_2$  norm compared to the  $L_2$  norm of the corresponding higher order terms in the decomposition of f (Proposition 6 and similarly for  $\overline{\mathsf{TT}}g$  and g). This help us first to rewrite

$$(\mathsf{T}\overline{\mathsf{T}}f)^{=S} = (\mathsf{T}\overline{\mathsf{T}})f^{=S} = \mathsf{T}\overline{\mathsf{T}}f^{=S}, \text{and } (\overline{\mathsf{T}}\mathsf{T}g)^{=S} = \overline{\mathsf{T}}\mathsf{T}g^{=S},$$

and then bound them as:

$$\left\|\mathsf{T}\overline{\mathsf{T}}f^{=S}\right\|_{2}\leqslant\rho^{2|S|}\|f\|_{2}\text{, and }\left\|\overline{\mathsf{T}}\mathsf{T}g^{=S}\right\|_{2}\leqslant\rho^{2|S|}\|g\|_{2}$$

Step 3: Fourier concentration, low total influence, and junta properties of reduction functions. Those inequalities above together with the connection between orthogonal decomposition and the Fourier decomposition (Proposition 7) yields that Fourier spectrum of f and g are concentrated on low-degree terms.

**Theorem 3.** Suppose there exist reduction functions  $f: \Omega_x^n \to \{\pm 1\}$  and  $g: \Omega_y^n \to \{\pm 1\}$  $\{\pm 1\}$  such that  $\mathsf{BSS}(\rho') \sqsubseteq_{f,g}^{\delta} (X,Y)^{\otimes n}$  for some  $\delta \ge 0.^8$ . Let  $k \in \mathbb{N}$  such that  $\rho^k \ge \rho' > \rho^{k+1}$ . Then, the following bounds hold.

$$\begin{split} \mathsf{W}^{>k}[f] &:= \sum_{\alpha \colon |\alpha| > k} \widehat{f}(\alpha)^2 \leqslant \frac{(1+\rho')^2}{(\rho^{2(k+1)} - {\rho'}^2)^2} \cdot \delta, \text{ and} \\ \mathsf{W}^{>k}[g] &:= \sum_{\alpha \colon |\alpha| > k} \widehat{g}(\alpha)^2 \leqslant \frac{(1+\rho')^2}{(\rho^{2(k+1)} - {\rho'}^2)^2} \cdot \delta, \end{split}$$

Observe that if the Fourier weight of a function is mostly concentrated on lowdegree terms, then the function has small total influence (Claim 3).

Claim 3 (Concentrated on low degree implies low influence) Let f be a Boolean-valued function in  $L^2(\Omega^n, \mu^{\otimes n})$ . If  $W^{>k}[f] \leq \delta$ , then  $\inf[f] \leq k + n\delta$ .

<sup>&</sup>lt;sup>7</sup> Note that in general the operator  $\overline{\mathsf{T}}\mathsf{T}$  (or  $\overline{\mathsf{T}}\mathsf{T}$ ) is not equal to the noise operator  $\mathsf{T}_{\rho}$ .

<sup>&</sup>lt;sup>8</sup> It is possible that  $\delta$  depends on n.

In particular, when  $\delta$  is sufficiently small, the total influence of reduction functions f, g are constant (not depend on n). This allows us to invoke the Friedgut's junta theorem (Imported Theorem 4) and conclude that reduction functions are close to some junta functions.

Step 4: Must be Perfect. Since junta functions  $\tilde{f}$  and  $\tilde{g}$  depend on a constant number of variables, so does  $\overline{\mathsf{T}}\tilde{f}$  and  $\mathsf{T}\tilde{g}$ . Observe that two distinct bounded junta functions are always constant far (Claim 4).

Claim 4 (Distinct Bounded Junta are Far) Suppose  $h: \Omega_x^n \to \{\pm 1\}$  and  $\ell: \Omega_y^n \to \{\pm 1\}$  are two *D*-junta Boolean functions in  $L^2(\Omega_x^n, \pi_x)$  and  $L^2(\Omega_y^n, \pi_y)$ , respectively. If  $\overline{\mathsf{T}}h \neq \rho'\ell$ , then there exists a constant *c* that depends only on  $\rho', D, (X, Y)$  such that  $\|\overline{\mathsf{T}}h - \rho'\ell\|_2 \geq c$ . Similarly, if  $\mathsf{T}\ell \neq \rho'h$ , then there exists a constant *d* that depends only on  $\rho', D, (X, Y)$  such that  $\|\mathsf{T}h - \rho'\ell\|_2 \geq d$ .

In particular, if  $\overline{\mathsf{T}} \tilde{f} \neq \rho' \tilde{g}$ , then they are constant far, which implies a constant insecurity; similarly, if  $\mathsf{T} \tilde{g} \neq \rho' \tilde{f}$ , then they are constant far, which also implies a constant insecurity. Thus, it must hold that  $\overline{\mathsf{T}} \tilde{f} = \rho' \tilde{g}$  and  $\overline{\mathsf{T}} \tilde{g} = \rho' \tilde{f}$ . The three facts that  $\tilde{f}$  is a junta,  $\tilde{f}$  and f are close, and  $\mathbb{E}[f]$  is small imply that  $\mathbb{E}[\tilde{f}] = 0$ . Similarly, it holds that  $\mathbb{E}[\tilde{g}] = 0$ . Therefore,  $\tilde{f}$  and  $\tilde{g}$  witness a perfect construction.

Proof of Theorem 3. Observe that  $\left| (\mathsf{T}\overline{\mathsf{T}}f - {\rho'}^2 f)(x) \right| \leq 2$ , and  $\left| (\overline{\mathsf{T}}\mathsf{T}g - {\rho'}^2 g)(x) \right| \leq 2$  for every x by the contraction property of Markov operator and boundedness of functions f and g. Observe that if a bounded function has small  $L_1$  norm so does its  $L_2$  norm square. Thus, we have

$$\left\|\mathsf{T}\overline{\mathsf{T}}f - {\rho'}^2 f\right\|_2^2 \leqslant 2\delta, \text{ and } \left\|\overline{\mathsf{T}}\mathsf{T}g - {\rho'}^2 g\right\|_2^2 \leqslant 2\delta.$$
(1)

Let  $f = \sum_{S \subseteq [n]} f^{=S}$  be the orthogonal decomposition of f. Then, we have

$$\begin{aligned} \left\| \mathbf{T}\overline{\mathbf{T}}f - {\rho'}^2 f \right\|_2^2 &= \sum_{S \subseteq [n]} \left\| \mathbf{T}\overline{\mathbf{T}}f^{=S} - {\rho'}^2 f^{=S} \right\|_2^2 \qquad \text{(Orthogonal property)} \\ &\geqslant \sum_{S: \ |S| > k} \left\| \mathbf{T}\overline{\mathbf{T}}f^{=S} - {\rho'}^2 f^{=S} \right\|_2^2 \qquad \text{(Property of norms)} \\ &\geqslant \sum_{S: \ |S| > k} \left\| \| \mathbf{T}\overline{\mathbf{T}}f^{=S} \|_2 - {\rho'}^2 \| f^{=S} \|_2 \right\|_2^2 \qquad \text{(Triangle inequality)} \end{aligned}$$

By Proposition 6, we have  $\|\mathsf{T}\overline{\mathsf{T}}f^{=S}\|_2 \leq \rho^{2|S|} \|f^{=S}\|_2$ . This implies that, for every  $S \subseteq [n]$  satisfying |S| > k,

$$\left\|\mathsf{T}\overline{\mathsf{T}}f^{=S}\right\|_{2} - {\rho'}^{2}\left\|f^{=S}\right\|_{2} \leqslant ({\rho}^{2|S|} - {\rho'}^{2})\left\|f^{=S}\right\|_{2} \leqslant 0,\tag{2}$$

where the last inequality follows from  $\rho^{2|S|} - \rho'^2 \leq \rho^{2(k+1)} - \rho'^2 \leq 0$  for every |S| > k, and  $||f^{=S}||_2 \geq 0$ . Thus, squaring both sides of inequality 2 for each |S| > k yields

$$\begin{aligned} \left\| \mathsf{T}\overline{\mathsf{T}}f - \rho'^{2}f \right\|_{2}^{2} &\geq \sum_{S \colon |S| > k} (\rho^{2|S|} - \rho'^{2})^{2} \left\| f^{=S} \right\|_{2}^{2} \\ &\geq \min_{S \colon |S| > k} (\rho^{2|S|} - \rho'^{2})^{2} \sum_{S \colon |S| > k} \left\| f^{=S} \right\|_{2}^{2} \\ &= (\rho^{2(k+1)} - \rho'^{2})^{2} \mathsf{W}^{>k}[f] \end{aligned}$$

This together with the inequality (1) implies that  $\mathsf{W}^{>k}[f] \leq \frac{(1+\rho')^2}{(\rho^{2(k+1)}-\rho'^2)^2} \cdot \delta$ . Similarly, it also holds that  $\mathsf{W}^{>k}[g] \leq \frac{(1+\rho')^2}{(\rho^{2(k+1)}-\rho'^2)^2} \cdot \delta$ , as desired.

# 4.2 Statistical to Perfect: BES target

Consider a SNIS of  $\mathsf{BES}(\rho') \sqsubseteq_{f,g}^{\nu} (X,Y)_{\rho}^{\otimes n}$  where (X,Y) is an arbitrary joint distribution,  $f \in L^2(\Omega_x^n, \pi_x^{\otimes n})$  and  $g \in L^2(\Omega_y^n, \pi_y^{\otimes n})$ . Step 2 and step 4 basically are the same as these steps in Section 4.1. So we shall discuss steps 1 and 3 only.

Step 1: Algebraization of SNIS and approximate eigenvalue problem. We use a similar idea as in [32] to extend the algebraization to arbitrary source.

**Theorem 4 (BES target Algebraization of Security).** For any  $\rho' \in (0, 1)$ , and any joint distribution (X, Y), the following statements hold.

- 1. If  $\mathsf{BES}(\rho') \sqsubseteq_{f,g}^{\nu} (X,Y)^{\otimes n}$ , then  $\mathbb{E}[f] \leqslant \nu$ ,  $\mathbb{E}[g] \leqslant \nu$ ,  $\|\overline{\mathsf{T}}f g\|_1 \leqslant 4\nu$ , and  $\|\mathsf{T}g {\rho'}^2 f\|_1 \leqslant 4\nu$ .
- 2. If  $\mathbb{E}[f] \leq \nu$ ,  $\mathbb{E}[g] \leq \nu$ ,  $\|\overline{\mathsf{T}}f g\|_1 \leq \nu$ , and  $\|\mathsf{T}g {\rho'}^2 f\|_1 \leq \nu$ , then it holds that  $\mathsf{BES}(\rho') \sqsubseteq_{f,g}^{2\nu} (X,Y)^{\otimes n}$ .

Claim 5 (Approximate eigenvalue constraint) If  $\mathsf{BES}(\rho') \sqsubseteq_{f,g}^{\nu} (X,Y)^{\otimes n}$ , then  $\left\|\mathsf{T}\overline{\mathsf{T}}f - {\rho'}^2 f\right\|_1 \leq 8\nu$ , and  $\left\|\overline{\mathsf{T}}\mathsf{T}g - {\rho'}^2 g\right\|_1 \leq 8\nu$ .

Step 3: Fourier concentration, low total influence, and junta properties. When the target is a BSS both the ranges of reduction functions are Boolean, so the junta theorems can be applied for both functions. On the other hand, when the target is a BES, the existing junta theorem for functions with more than two values is not good enough for us. To overcome this barrier, we first use the same idea to show that Alice's reduction function f is close to a junta function  $f^*: \Omega_x^n \to \{\pm 1\}$ , and then prove that Bob's reduction function g is also close to a junta function using the security constraint  $\|\overline{\mathsf{T}}f^* - g\|_1 \leq \nu$ . More concretely, since  $f^*$  is a junta function, so is  $\overline{\mathsf{T}}f^*$ . This together with the security constraint

imply that g is close to the junta function  $\overline{T}f^*$  whose range is not necessarily  $\{\pm 1, 0\}$ . However, we can round each value of  $(\overline{T}f^*)(y)$  to the closest value in  $\{\pm 1, 0\}$ . The rounded function is still a junta function and close to the original function  $\overline{T}f^*$ . Therefore, g is close to the rounded junta function by triangle inequality. We formalize this step at follows.

**Claim 6** Suppose  $f^*: \Omega_x^n \to \{\pm 1\}$  is a junta function and  $g: \Omega_y^n \to \{\pm 1, 0\}$  is an arbitrary function such that  $\|\overline{\mathsf{T}}f^* - g\|_1 \leq \delta$  for some  $\delta \geq 0$ . Then, there exists a junta function  $g^*: \Omega_y^n \to \{\pm 1, 0\}$  such that g is  $\Theta(\sqrt{\delta})$ -close to  $g^*$ .

# 5 Estimation of Rate from arbitrary Sources

As a consequence of the statistical to perfect theorem (Theorem 1), we can lower bound the rate by a positive constant, if it is feasible.

**Corollary 2** (Constant Rate Lower Bound). Fix a constant c > 0, a source (X, Y), and a target  $(U, V) \in \{BSS(\rho'), BES(\rho')\}$  for  $\rho' \in (0, 1)$ . If there exists an infinite family of reduction functions  $\{f_n, g_n\}$  such that  $(U, V) \sqsubseteq_{f_n, g_n}^{\nu(n)} (X, Y)^{\otimes n}$ , and  $\nu(n) \leq c/n$ , then the production rate  $R((U, V), (X, Y)) \geq 1/D$  for some constant  $D = D((X, Y), \rho', c)$ .

We note that the constant D is the number of input variables that perfect reduction functions depend on. Next, we prove an upper bound the rate of perfect SNIS.

**Theorem 5 (Perfect Security Rate).** Let  $(U, V) \in \{BSS(\rho'), BES(\rho')\}$  for  $\rho' \in (0, 1)$ . If  $(U, V)^{\otimes m} \sqsubseteq_{\vec{f}, \vec{g}}^0 (X, Y)_{\rho}^{\otimes n}$  for some  $m, n \in \mathbb{N}$ , then  $m/n \leq 1/\lfloor \log_{\sigma} \rho' \rfloor$ , where  $\sigma^2$  is the smallest non-zero eigenvalue of the operator  $T\overline{T}$  for the source (X, Y).

Remark 2. For the SNIS self-reduction of BSS or BES, [32] showed that  $\rho' = \rho^k$  for some  $k \in \mathbb{N}$  and the rate  $m/n \leq 1/k$  matching our bound here since  $\sigma = \rho$ , where  $\rho$  is the maximal correlation of the source (X, Y). The ROLE distribution has maximal correlation  $\rho = 1/\sqrt{2}$  and  $\sigma = 1/\sqrt{2}$ . Thus, when (X, Y) = ROLE, the rate is upper bounded by 1/2. Our new construction realizes this bound, demonstrating its optimality.

Proof of Theorem 5. We shall prove for the case (U, V) = BSS. The proof for the case (U, V) = BES is almost identical. Suppose  $BSS(\rho')^{\otimes m} \sqsubseteq_{\vec{f},\vec{g}}^0 (X, Y)^{\otimes n}$ for some  $m, n \in \mathbb{N}$  and (deterministic) reduction functions  $\vec{f} = (f_1, \dots, f_m)$ and  $\vec{g} = (g_1, \dots, g_m)$ . For  $\rho'' = {\rho'}^m$ , there is a linear deterministic construction realizing  $BSS(\rho'') \sqsubseteq^0 BSS(\rho')$ . By sequential composition, it holds that  $BSS(\rho'') \sqsubseteq^0 (X, Y)^{\otimes n}$ . Let  $\mathsf{T}, \mathsf{T}$  denote the Markov operator and the adjoint Markov operator associated with (X, Y). Note that  $\mathsf{T}\mathsf{T}$  is non-negative definite (see [48] for a proof). Let  $1 = \lambda_1 \ge \lambda_2 \ge \ldots \ge \lambda_t = \sigma^2 > 0$  be all non-zero eigenvalues of  $T\overline{T}$ . Then, according to Theorem 1, we have  ${\rho''}^2 = \prod_{i=2}^t \lambda_i^{k_i}$ , where  $k_i \in \mathbb{N}$  such that  $\sum_{i=2}^t k_i \le n$ . This implies that

$${\rho''}^2 = {\rho'}^{2m} = \prod_{i=2}^t \lambda_i^{k_i} \ge \lambda_t^{k_2 + \dots + k_t} = \sigma^{2(k_2 + \dots + k_t)} \ge \sigma^{2n}.$$

Taking the logarithm of base  $\sigma < 1$  of both sides yields  $2m \log_{\sigma} \rho' \leq 2n$  which implies that  $m/n \leq 1/\log_{\sigma} \rho'$  as desired.

# 6 Characterization of **BSS** or **BES** from 2-by-2 Distributions

In this section, we present a succinct characterization of BSS/BES from a 2-by-2 source. The following theorem states that SNIS of  $BSS(\rho')$  from  $(X, Y)_{\rho}$  is possible if and only if the source is a  $BSS(\rho)$  such that  $\rho' = \rho^k$  for some  $k \in \mathbb{N}$ .

**Theorem 6 (Characterization of BSS from 2-by-2).** Fix a 2-by-2 distribution  $(X, Y)_{\rho}$ , and also  $BSS(\rho')$ .

- 1. If  $(X,Y)_{\rho} \neq \mathsf{BSS}(\rho)$  or  $\rho' \neq \rho^k$  for all  $k \in \mathbb{N}$ : There is a positive constant  $c = c(\rho,\rho')$  such that  $\mathsf{BSS}(\rho') \sqsubseteq^{\nu} (X,Y)^{\otimes n}$ , for any  $n \in \mathbb{N}$ , implies that  $\nu \geq c$ .
- 2. If  $(X,Y)_{\rho} = \mathsf{BSS}(\rho)$  and  $\rho' = \rho^k$ , for some  $k \in \mathbb{N}$ : There are positive constants  $c = c(\rho, \rho')$  and  $d = d(\rho, \rho')$  such that the following result holds. If  $\mathsf{BSS}(\rho') \sqsubseteq_{f,g}^{\nu} \mathsf{BSS}(\rho)^{\otimes n}$ , for any  $n \in \mathbb{N}$ , and  $\nu \leq c$ , then f is  $\nu^d$ -close to a reduction function  $f^*$  and g is  $\nu^d$ -close to a reduction function  $g^*$  such that  $\mathsf{BSS}(\rho') \sqsubseteq_{f^*,g^*}^{0} \mathsf{BSS}(\rho)^{\otimes n}$ . Furthermore,  $f^* = g^*$  is a k-homogeneous<sup>9</sup> Boolean function.

Remark 3. It is shown in [1] that  $\mathsf{BES}(\rho') \sqsubseteq_{f_n,g_n}^{\nu_n} (X,Y)^{\otimes n}$  (where  $\nu_n = o(1)$ ) only if the spectrum <sup>10</sup> of (U,V) is contained in the spectrum of the  $(X,Y)^{\otimes n}$ for some *n*. Note that Theorem 6 implies that the necessary condition mentioned in [1] is not sufficient since there exists a 2-by-2 distribution  $(X,Y)_{\rho} \neq \mathsf{BSS}(\rho)$ and  $(U,V) = \mathsf{BSS}(\rho')$  such that  $\rho' = \rho^k$ , the spectrum of (U,V) is contained in the spectrum of  $(X,Y)^{\otimes n}$ , but there is no SNIS of (U,V) from (X,Y).

Next, we show that SNIS of BES from a 2-by-2 source is impossible.

<sup>&</sup>lt;sup>9</sup> A function  $f: \{\pm 1\}^n \to \{\pm 1\}$  is k-homogeneous if all the terms in the multi-linear expansion of f have degree k.

<sup>&</sup>lt;sup>10</sup> Spectrum of a distribution matrix M is defined in [1] as the multi-set of non-zero singular values of the matrix  $\Delta_{M^T}^{-1/2} M \Delta_M^{-1/2}$  where  $\Delta_M$  represents a diagonal matrix with the vector  $\mathbf{1}^T M$  along its diagonal.

**Theorem 7 (Characterization of BES from 2-by-2).** Fix a 2-by-2 distribution  $(X, Y)_{\rho}$ , and also  $\mathsf{BES}(\rho')$ . There are positive constants  $c = c(\rho, \rho')$  such that if  $\mathsf{BES}(\rho') \sqsubseteq_{f,g}^{\nu} (X, Y)^{\otimes n}$  for some  $n \in \mathbb{N}$ , then the simulation error  $\nu$  is at least c.

We shall first prove Theorem 6, and then we provide a proof of Theorem 7 in Section 6.3.

**Proof outline of Theorem 6.** First, we show that if there is a statistical SNIS of  $BSS(\rho')$  from  $(X,Y)^{\otimes n}$ , then a perfect construction exists (Theorem 8). Next, we characterize for which 2-by-2 distribution (X,Y) there exists a perfect-SNIS of  $BSS(\rho')$  from  $(X,Y)^{\otimes n}$ . Theorem 9 says that (X,Y) must be a BSS. Finally we conclude the proof by using the characterization of SNIS between BSS distributions in [32].

**Theorem 8 (Statistical-to-perfect of BSS from 2-by-2).** Let  $\rho' \in (0,1)$  and  $(X,Y)_{\rho}$  be an arbitrary 2-by-2 joint distribution. There are positive constants  $c = c((X,Y)_{\rho},\rho')$ ,  $d = d((X,Y)_{\rho},\rho')$ , and  $D = D((X,Y)_{\rho},\rho')$  such that the following result holds. If  $BSS(\rho') \sqsubseteq_{f,g}^{\nu}(X,Y)_{\rho}^{\otimes n}$ , for any  $n \in \mathbb{N}$ , and  $\nu \leq c$ , then f is  $\nu^d$ -close to a D-junta reduction function  $f^*$ , and g is  $\nu^d$ -close to a D-junta reduction function  $f^*$ . Furthermore,  $\rho' = \rho^k$ , and  $W^k[f^*] = W^k[g^*] = 1$ .

Informally, there is a statistical SNIS of  $\mathsf{BSS}(\varepsilon')$  from (X,Y) if and only if  $(X,Y)_{\rho} = \mathsf{BSS}(\rho)$  for some  $\rho$  satisfying  $\rho' = \rho^k$  for some  $k \in \mathbb{N}$ . Furthermore, any statistical reduction functions can be error-corrected to junta ones that witness a perfect construction.

**Theorem 9 (Characterization of Perfect-SNIS of BSS from 2-by-2).** Suppose there exists  $n \in \mathbb{N}$  and Boolean functions  $f, g: \{\pm 1\}^n \to \{\pm 1\}$  such that  $\mathsf{BSS}(\rho') \sqsubseteq_{f,g}^0 (X, Y)^{\otimes n}$ . Then, the distribution (X, Y) must be a  $\mathsf{BSS}(\rho)$  such that  $\rho' = \rho^k$  for some positive integer  $k \leq n$ .

As a consequence of Theorem 6, the rate for perfect SNIS of BSS from an arbitrary 2-by-2 distribution is completely settled, while the rate for statistical security (even if the source is BSS) is still open.

**Corollary 3.** If  $(X, Y) \neq BSS(\rho)$  for all  $\rho \in (0, 1)$  or  $\rho' \neq \rho^k$  for all  $k \in \mathbb{N}$ , then the rate of  $BSS(\rho')$  from (X, Y) is zero. Otherwise, it is shown in [32] that the maximum achievable rate is 1/k in perfect SNIS.

# 6.1 Statistical to Perfect

This section presents the proof of the statistical to perfect (Theorem 8). The high-level idea is similar to the general case. The key different is that we are able to precisely characterize the effect of Markov operators on Fourier coefficients for 2-by-2 distribution. We remark that Fourier basis and the orthogonal Efron-Stein basis are the same in this case.

**Proof Outline of Theorem 8.** Consider a SNIS of  $\mathsf{BSS}(\rho') \sqsubseteq_{f,g}^{\nu} (X,Y)_{\rho}^{\otimes n}$ where (X,Y) is a 2-by-2 distribution and  $f,g: \{\pm 1\}^n \to \{\pm 1\}.$ 

Steps 1,3, and 4 are similar to these steps in Section 4.1 except that in step 3 (1) we prove that the Fourier spectrum of reduction functions are concentrated on a fixed degree (Theorem 10), and (2) we use the Kindler-Safra junta theorem [35, 34] instead of the Friedgut's junta. So we shall discuss steps 2 only.

Step 2: Effect of Markov operators on Fourier spectrum of reduction functions. If  $T\overline{T}$  and/or  $\overline{T}T$  is equal to the Bonami-Beckner operator  $T_{\gamma}$  for some appropriate  $\gamma$ , which happens when (X, Y) = BSS, then the  $T_{\gamma}$  operator scales  $\widehat{f}(S)$  proportional to  $\gamma^{|S|}$ , which, in turn, solves the approximate eigenvalue problem nicely as done in [32]. However, both  $T\overline{T}$  and  $\overline{T}T$  are not equal to  $T_{\rho}$  in general. We overcome this bottleneck by characterizing the effect of these Markov operators on the Fourier coefficients as follows.

**Lemma 1.** Let  $\{\phi_S\}_{S\subseteq[n]}$  be a biased Fourier basis for  $L^2(\Omega_x^n, \pi_x^{\otimes n})$ , and  $\{\psi_S\}_{S\subseteq[n]}$  be a biased Fourier basis for  $L^2(\Omega_y^n, \pi_y^{\otimes n})$ . Then, for any  $S\subseteq[n]$ , it holds that

$$\mathsf{T}\overline{\mathsf{T}}\phi_S = \rho^{2|S|}\phi_S$$
, and  $\overline{\mathsf{T}}\mathsf{T}\psi_S = \rho^{2|S|}\psi_S$ .

Consequently, for any real-valued functions  $f \in L^2(\mathcal{X}^n, \pi_x^{\otimes n})$  and  $g \in L^2(\Omega_y^n, \pi_y^{\otimes n})$ , the Fourier expansion of  $\mathsf{T}\overline{\mathsf{T}}f$  and  $\overline{\mathsf{T}}\mathsf{T}g$  is given by

$$\mathsf{T}\overline{\mathsf{T}}f = \sum_{S \subseteq [n]} \rho^{2|S|} \widehat{f}(S)\phi_S, and \ \overline{\mathsf{T}}\mathsf{T}g = \sum_{S \subseteq [n]} \rho^{2|S|} \widehat{g}(S)\psi_S.$$

One can view this lemma as an analog/extension of  $T_{\rho}\chi_S = \rho^{|S|}\chi_S$  and  $T_{\rho}f = \sum_S \rho^{|S|}\hat{f}(S)\chi_S$  to correlated space. Intuitively, the  $T\overline{T}$  and  $\overline{T}T$  operator scales  $\hat{f}(S)$  and  $\hat{g}(S)$  proportional to  $\rho^{2|S|}$ , respectively. Lemma 1 is crucial to prove the concentration of Fourier spectrum of reduction functions.

**Theorem 10 (Constant Insecurity or Close to Low Degree Junta).** Suppose that  $\|T\overline{T}f - {\rho'}^2 f\|_1 = \delta_1$ ,  $\|\overline{T}Tg - {\rho'}^2g\|_1 = \delta_2$ . Then the following statements hold.

1. If  $\rho^{t+1} < \rho' < \rho^t$ , then  $\min(\delta_1, \delta_2) \ge \frac{1}{2} \min(({\rho'}^2 - \rho^{2t})^2, ({\rho'}^2 - \rho^{2(t+1)})^2)$ . 2. If  $\rho' = \rho^k$  for some  $k \in [n]$ , then there exists D = D(k) such that

- (a) The functions f and g are  $\frac{2\delta_1}{(1-\rho^2)^2\rho^{4k}}$ , and  $\frac{2\delta_2}{(1-\rho^2)^2\rho^{4k}}$  concentrated on degree k, respectively.
- (b) There exist Boolean degree-k D-junta functions  $\tilde{f}, \tilde{g}: \{\pm 1\}^n \to \{\pm 1\}$ such that  $\left\| f - \tilde{f} \right\|_2^2 \leqslant \sigma_1 + D\sigma_1^{5/4}$ , and  $\|g - \tilde{g}\|_2^2 \leqslant \sigma_2 + D\sigma_2^{5/4}$ , where  $\sigma_1 = \frac{2}{(1-\rho^2)^2 \rho^{4k}} \cdot \delta_1$  and  $\sigma_2 = \frac{2}{(1-\rho^2)^2 \rho^{4k}} \cdot \delta_2$ .

## 6.2 Perfect-SNIS Characterization

In this section, we prove Theorem 9. We need the following result for the proof.

**Claim 7** Suppose f is a Boolean function in  $L^2(\{\pm 1\}^n, \pi^{\otimes n})$  such that  $W^k[f] = 1$ . 1. Then, the distribution  $\pi$  must be the uniform distribution over  $\{\pm 1\}$ .

The following result is needed to prove Claim 7. First let us introduce some notation. Let  $f: \{\pm 1\}^n \to \{\pm 1\}$  be a Boolean function. For each  $p \in (0, 1)$ , we write a Boolean function f as  $f^{(p)}$  when viewing f as an element of  $L^2(\{\pm 1\}^n), \pi_p^{\otimes n})$ , where  $\pi_p$  is a distribution over  $\{\pm 1\}$  such that  $\pi_p(-1) = p$  and  $\pi_p(1) = 1 - p$ . Observe that  $\sigma = 2\sqrt{p}\sqrt{1-p}$  is the standard deviation of the distribution.

Claim 8 If 
$$W^{\leq k}[f^{(p)}] = 1$$
, then  $W^{k}[f^{(1/2)}] = W^{k}[f^{(p)}]/\sigma^{2k}$  where  $\sigma = 2\sqrt{p(1-p)}$ .

Intuitively, this claim says that the Fourier weight measured over the p-biased distribution on a particular degree is equal to the product of the Fourier weight measured over the uniform distribution on the same degree and a power of the standard deviation the p-biased distribution.

Proof (Proof of Claim 7). Let  $p := \pi(-1)$ . It follows from Claim 8 that  $\mathsf{W}^k[f^{(p)}] \leq \sigma^{2k}\mathsf{W}^k[f^{(1/2)}]$ . Since f is Boolean it follows from Parseval identity that  $\mathsf{W}^k[f^{(1/2)}] \leq 1$ , and so  $1 = \mathsf{W}^k[f^{(p)}] \leq \sigma^{2k}$  which implies that  $\sigma = 1$  and so p = 1/2. Therefore, the distribution  $\pi$  is uniform.

Now we are ready to prove Theorem 9 as follow.

Proof (of Theorem 9). Suppose there exists  $n \in \mathbb{N}$  and two Boolean functions  $f, g: \{\pm 1\}^n \to \{\pm 1\}$  such that  $\mathsf{BSS}(\rho') \sqsubseteq_{f,g}^0 (X,Y)^{\otimes n}$ . Then, applying Theorem 1 for insecurity bound  $\nu = 0$  yields  $\rho' = \rho^k$  for some  $k \in \mathbb{N}$ , and  $\mathsf{W}^k[f] = \mathsf{W}^k[g] = 1$ , where  $\rho$  is the maximal correlation of (X,Y). By Claim 7, both the marginal distributions  $\pi_x$  and  $\pi_y$  must be uniform distribution over  $\{\pm 1\}$ . This implies that the joint distribution (X,Y) is a  $\mathsf{BSS}(\varepsilon)$  for some  $\varepsilon \in (0, 1/2)$ . Using the fact that the the maximal correlation of  $\mathsf{BSS}(\varepsilon) = \rho$ and the result from [32], one concludes that  $\rho' = \rho^k$ .

# 6.3 Proof Outline of Theorem 7

The proof of Theorem 7 is similar to the proof of Theorem 6 except that here we again use the same idea that we applied in BES from arbitrary to deal with the non-binary range of Bob's reduction function. Again, we have a statistical to perfect result. Similar to Theorem 9, we can show that the source must be a BSS. We conclude the proof by using the impossibility result of simulating BES from BSS even in the (non-secure) NIS due to reverse hypercontractivity.

#### 7 Additional Results and Discussions

#### 7.1**Necessary Condition on Eigenvalues**

**Theorem 11.** Let (X, Y) be an arbitrary joint distribution whose Markov operator and adjoint are respectively  $\mathsf{T}^{(1)}$  and  $\overline{\mathsf{T}}^{(1)}$ , and let  $(U, V) \in \{\mathsf{BSS}(\rho'), \mathsf{BES}(\rho')\}$ for  $\rho' \in (0, 1)$ . For any c > 0, there are positive constants  $n_0$  and  $d = d((X, Y), \rho')$ such that the following result holds. If  $(U,V) \sqsubseteq_{f,g}^{\nu}(X,Y)^{\otimes n}$ , for some  $n \ge n_0$ , and  $\nu \le c/n$ , then  ${\rho'}^2 = \prod_{i=1}^t \lambda_i^{k_i}$ , where  $1 = \lambda_1 \ge \lambda_2 \ge \ldots \ge \lambda_t$  are all eigenvalues of  $(\overline{\mathsf{TT}})^{(1)}$ , and  $k_i \in \mathbb{N}$  such that  $\sum_{i=1}^t k_i = n$ .

By the reduction of statistical to perfect (Theorem 1), without loss of gen-By the reduction of statistical to perfect (Theorem 1), without loss of gen-erality, assume that  $BSS(\rho') \sqsubseteq_{f,g}^0 (X,Y)^{\otimes n}$ . Theorem 2 and Claim 2 imply that  $T\overline{T}f = {\rho'}^2 f$ . This means that  ${\rho'}^2$  is an eigenvalue of the Markov operator  $T\overline{T}$ . Suppose  $1 = \lambda_1 \ge \lambda_2 \ge \ldots \ge \lambda_t$  be all eigenvalues of  $(T\overline{T})^{(1)}$ , then it follows from tensorization property of eigenvalues that  ${\rho'}^2 = \prod_{i=1}^t \lambda_i^{k_i}$  for some  $k_i \in \mathbb{N}$ such that  $k_1 + k_2 + \cdots + k_t = n$ , as desired. As a consequence, we have the following result.

**Corollary 4.** There is no complete joint distribution in SNIS.

#### Decidability 7.2

Corollary 1 gives an algorithm to decide whether there is a statistical SNIS of  $\mathsf{BSS}(\rho')$  from (X,Y) with insecurity bound  $\nu(n) = \mathcal{O}(1/n)$ . In (non-secure) NIS, [25, 18, 24] considered a different problem of decidability called gap decidability. Given a constant  $\delta > 0$ , a source (X,Y) and a target (U,V), the goal is to distinguish between (1) there exists a  $n_0 \in \mathbb{N}$  such that (U, V) can be noninteractively simulated (not necessarily secure) from  $(X,Y)^{\otimes n_0}$  with error at most  $\delta$  and (2) for any  $n \in \mathbb{N}$ , any simulation of (U, V) from  $(X, Y)^{\otimes n}$  has error at least  $c\delta$ , where c is some constant. The gap decidability of BSS from an arbitrary source in SNIS is still open. We formulate this problem as follows.

**SNIS** gap decidability problem. Given any  $c > 1, \delta > 0$ , a source (X, Y), and a target  $\mathsf{BSS}(\rho')$ . Distinguish between the following 2 cases:

- There exist n<sub>0</sub> ∈ N and functions f: Ω<sup>n<sub>0</sub></sup><sub>x</sub> → {±1} and g: Ω<sup>n<sub>0</sub></sup><sub>y</sub> → {±1} such that SNIS of BSS(ρ) from (X, Y)<sup>⊗n<sub>0</sub></sup> has simulation error at most δ.
  For any n ∈ N and f: Ω<sup>n</sup><sub>x</sub> → {±1} and g: Ω<sup>n</sup><sub>y</sub> → {±1}, SNIS of BSS(ρ) from (X, Y)<sup>⊗n</sup> has simulation error at least cδ.

When the source is a 2-by-2 distribution, our characterization solves this problem and we know for sure it is a Yes instance when the threshold  $\delta$  is less than the constant in our Theorem 8. We conjecture the following "junta theorem over correlated space"/"dimension reduction preserving security" that would help us solve the gap decidability problem for any  $\delta > 0$ . In the following, we abuse the notation and let  $\mathsf{T}, \overline{\mathsf{T}}$  denote the Markov operator and adjoint Markov operator of both  $(X, Y)^{\otimes n}$  and  $(X, Y)^{\otimes n_0}$ .

Conjecture 1. Given any  $\delta \ge 0$ , and  $f: \Omega_x^n \to \{\pm 1\}$  and  $g: \Omega_y^n \to \{\pm 1\}$  satisfying  $\mathbb{E}[f] \le \delta, \mathbb{E}[g] \le \delta$ ,  $\|\overline{\mathsf{T}}f - \rho'g\|_1 \le \delta$  and  $\|\mathsf{T}g - \rho'f\|_1 \le \delta$ , there exist  $n_0 = n_0((X,Y), \rho', \delta)$ , functions  $f^*: \Omega_x^{n_0} \to \{\pm 1\}$  and  $g^*: \Omega_y^{n_0} \to \{\pm 1\}$  such that

$$\begin{aligned} &(i) \ |\mathbb{E}[f^*] - \mathbb{E}[f]| \leqslant 2\delta, \quad (ii) \ |\mathbb{E}[g^*] - \mathbb{E}[g]| \leqslant 2\delta, \\ &(iii) \ \left\|\overline{\mathsf{T}}f^* - \rho'g^*\right\|_1 \leqslant 2\delta, \ \text{and} \ (iv) \ \|\mathsf{T}g^* - \rho'f^*\|_1 \leqslant 2\delta. \end{aligned}$$

The conjecture holds true when the source is 2-by-2 and  $\delta$  is a small enough constant due to our characterization theorem.

The requirement that both  $f^*$  and  $g^*$  remains Boolean-valued functions is unique to security constraint in SNIS. In contrast, the reduction functions in NIS setting [25] only need to be bounded functions since they only need to preserve the correlation (see Theorem 3.1 in [25]) not the security.

### 7.3 On Power of Non-linear Constructions

**Lemma 2.** There are exactly 16 perfect non linear SNIS constructions of BSS(1/2) from two samples of ROT.

By implementing our exhaustive search algorithm, we found 16 perfect constructions (see the full version for detailed constructions).

**Lemma 3.** There is a perfect non linear SNIS construction of  $BES(\sqrt{1/2})$  from one sample of ROT.

Next, we shall show that there is no SNIS construction of BSS(1/2) or  $BES(\sqrt{1/2})$  from *n* independent samples of ROT for any  $n \in \mathbb{N}$ .

**Lemma 4.** For any naming of the samples from the ROT distribution, any  $n \in \mathbb{N}$ , any SNIS of BSS(1/2) or BES( $\sqrt{1/2}$ ) from ROT<sup> $\otimes n$ </sup> with linear reductions has a constant simulation error.

# 7.4 Incompleteness of string-ROT

**Definition 8.** The  $\ell$ -bit string random oblivious transfer source, represented as  $ROT(\ell)$ , samples uniformly and independently random  $x_1, x_2 \in \{0, 1\}^{\ell}$  and a bit  $b \in \{0, 1\}^n$ , provides Alice  $(x_1, x_2)$ , and provides Bob  $(b, x_b)$ .

In contrast to the completeness result in OWSC, we show that the family of string-ROT is not complete in SNIS.

Lemma 5. The family of string-ROT is not complete for SNIS.

This lemma follows from the fact that the maximal correlation of  $ROT(\ell) = 1/\sqrt{2}$  for every  $\ell \in \mathbb{N}$  and the data processing inequality (Imported Theorem 2).

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