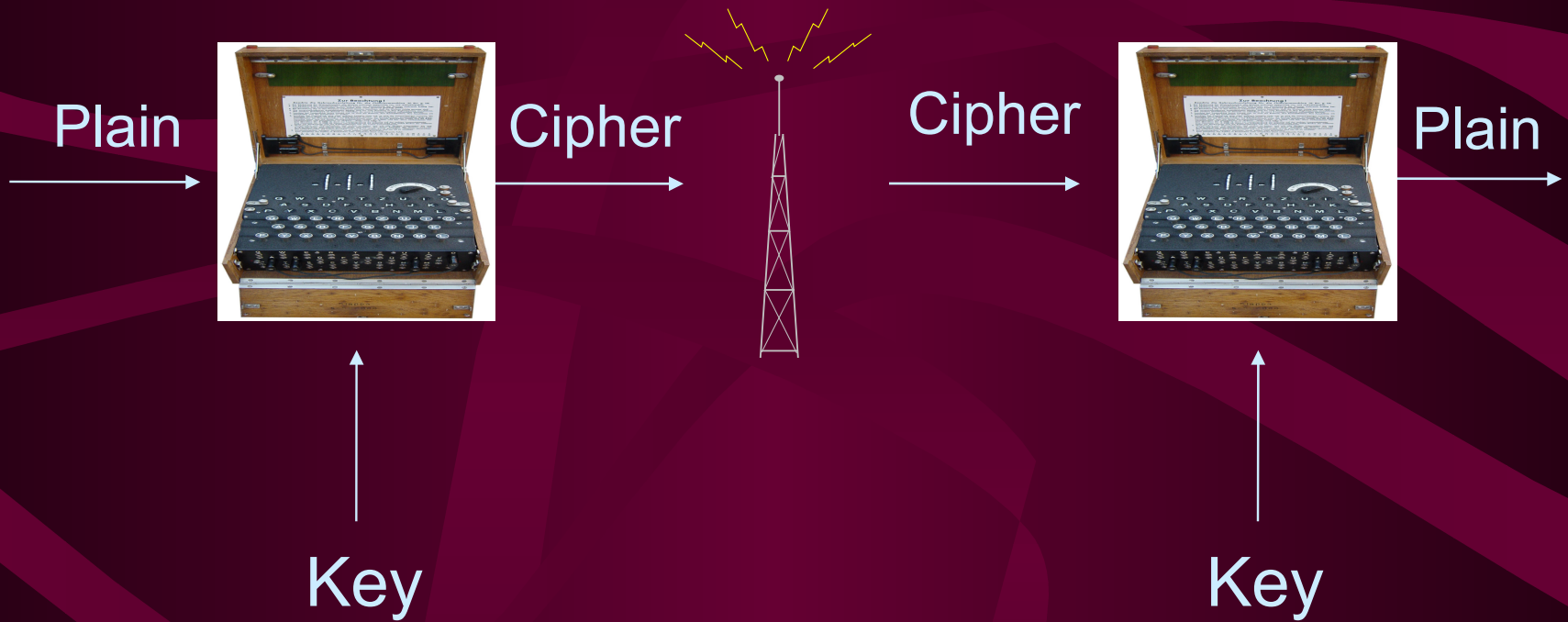


# The Growth and Development of Public Key Cryptography

By  
Clifford Cocks

# Old Style Cryptography



# Key Management in the 60s

- Explosion in the need for secure comms
- Key management very labour intensive
- Real concerns of security as net sizes get bigger
- Solution: Do some research into more efficient methods

# James Ellis





# Encryption

- It is generally regarded as self-evident that....
- ....it is necessary to have some initial information....
- ....kept SECRET from the interceptor

# Non-Secret Encryption

- Secure messages sent even though
  - the method of encipherment and
  - all transmissions
- are known to the interceptor

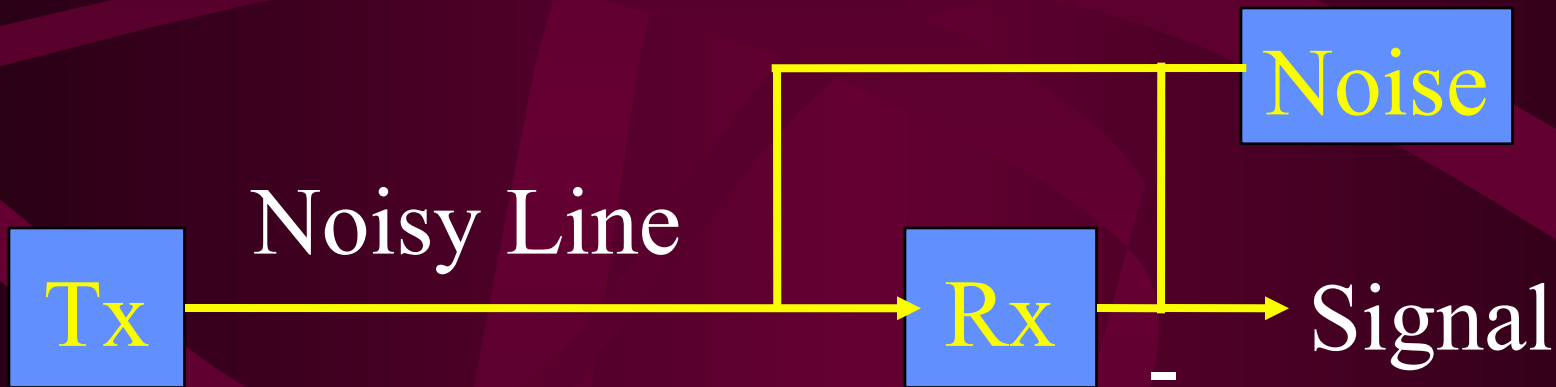
# Clue from the Past

1944

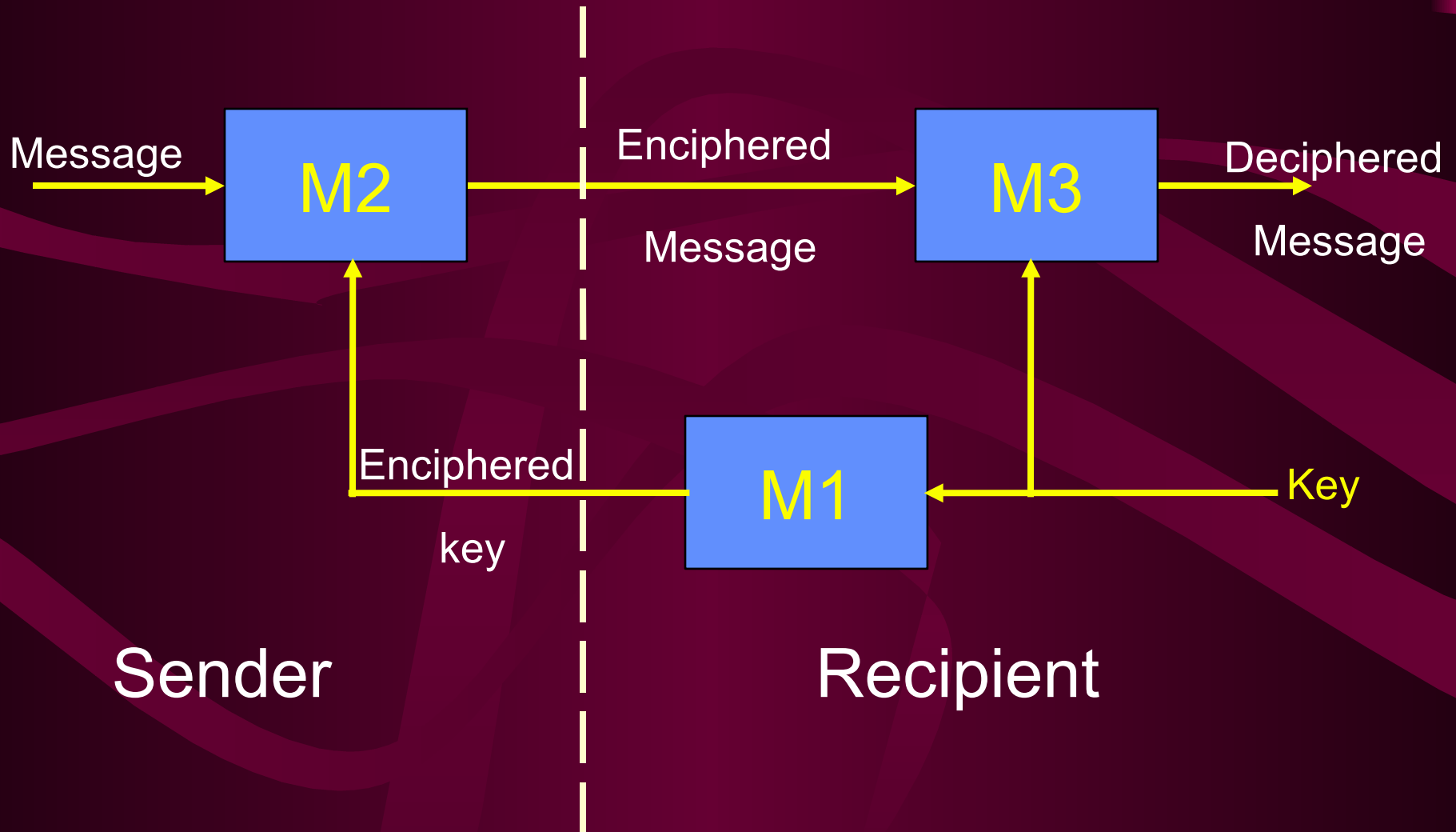
Bell Labs Technical report

For a short wire connection:

Recipient adds Random noise to the line which  
(since he knows it) he can subtract again



# Model NSE System



# Existence Proof

M1, M2 and M3 are huge look-up tables

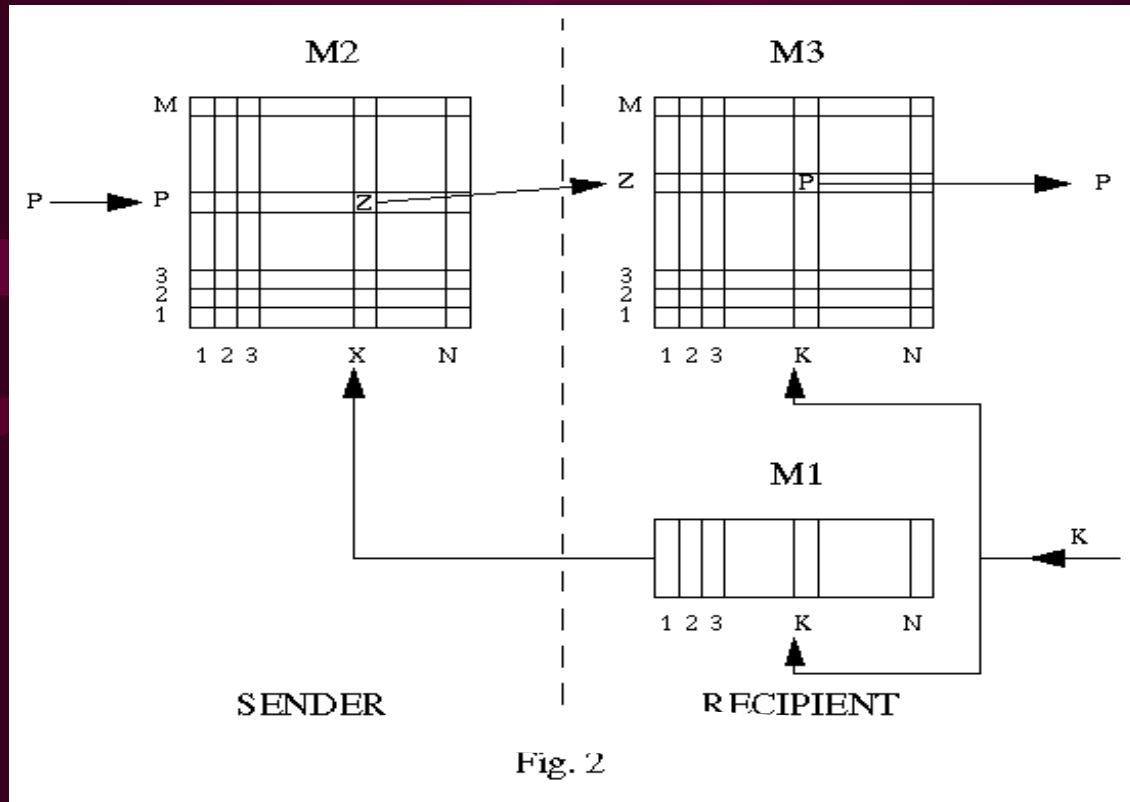
Say -

M1 is a  $2^{100}$  long 1 dimensional table

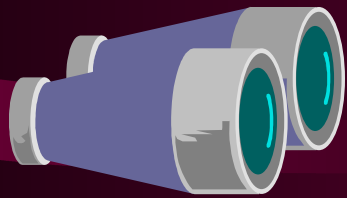
M2 is a  $2^{100} \times 2^{100}$  2 dimensional table

M3 is the appropriate 2 dimensional table to make the whole thing work

# Table Construction



$$\blacksquare M3[M2[P, M1[K]], K] = P$$



# The Search is on!

- It is easy to see that such machines can be represented as look-up tables
- The question is, can we find realisable machines with the required functionality (ie computable functions with the right properties)

# Early Reactions

- **1969** Chief Mathematician comments
  - No reason in principle against the scheme
  - but can't think of implementation
  - impressed by James' ingenuity
  - but uncertain how to take advantage of it
- **1970 -1973** Several studies by mathematicians and engineers
- **But no useful results!**



# Breakthrough

The background of the slide is a perspective view of a tunnel, looking towards a bright light at the far end. The tunnel walls are lined with a grid of reflective material, possibly metal mesh or concrete with a grid pattern. The light at the end creates a strong lens flare effect, illuminating the tunnel's interior.

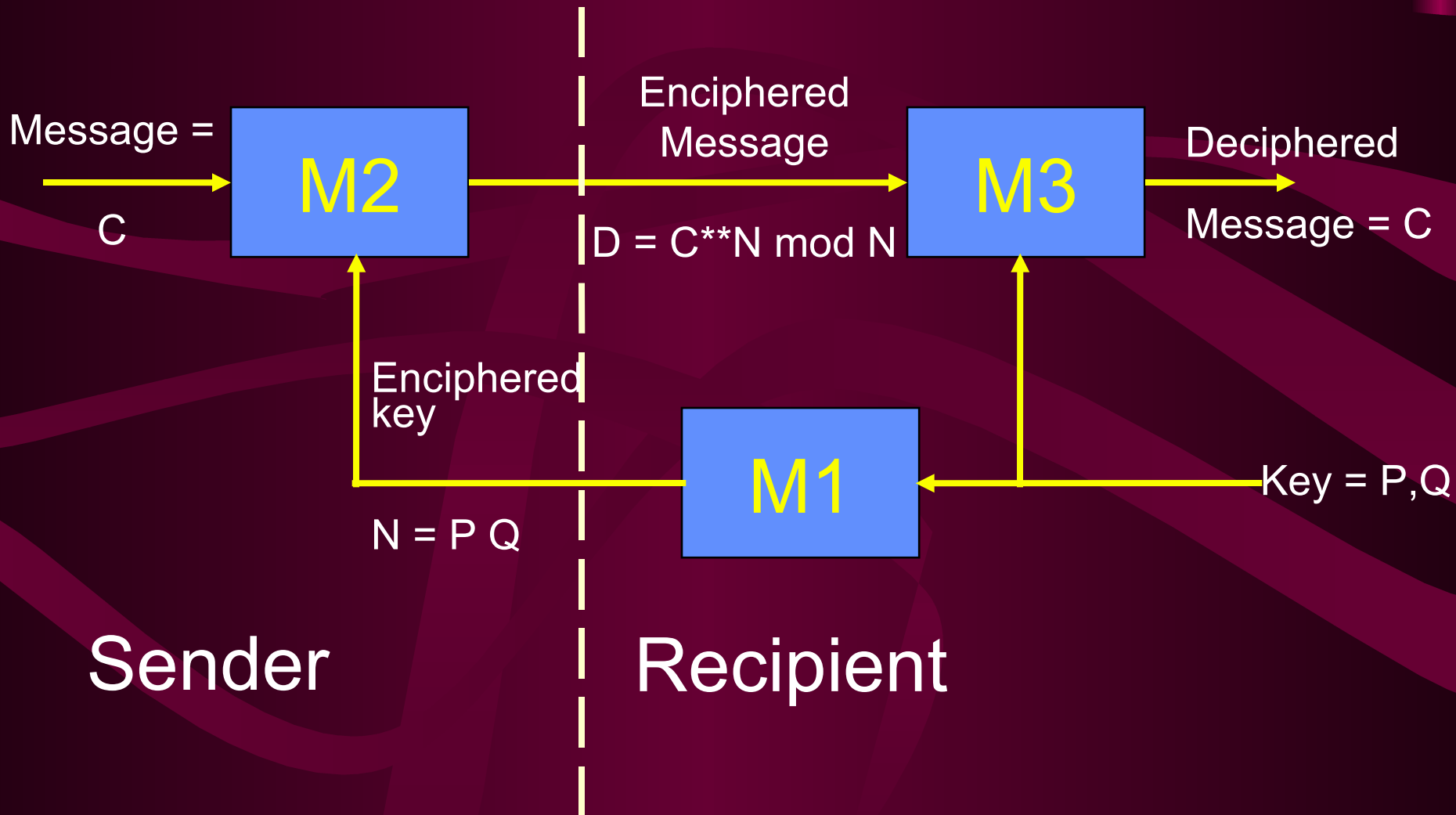
Tunnel vision

Ellis Model

Solution

Nov 1973 1st practical solution

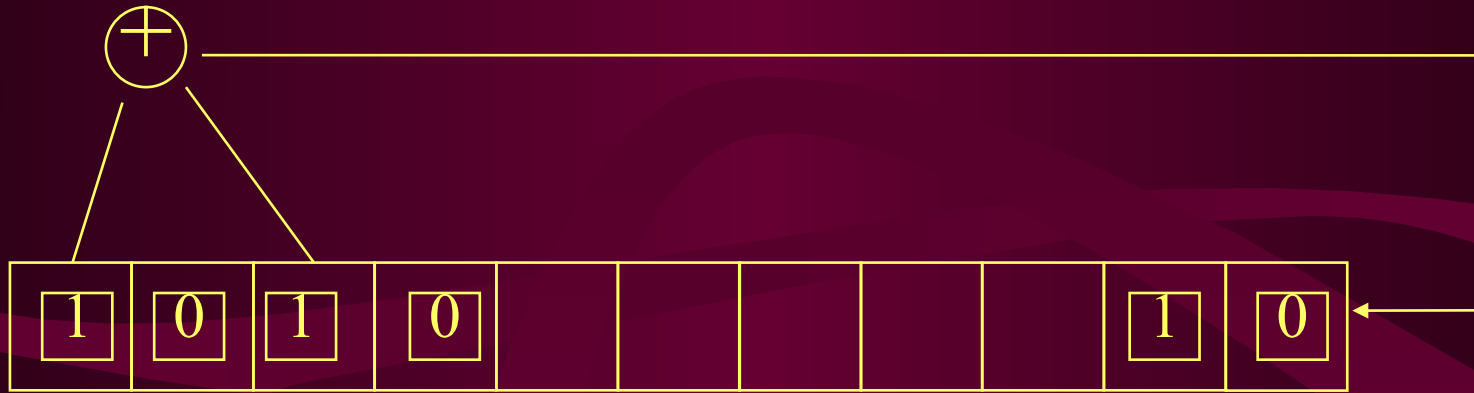
# Cocks Implementation



# Malcolm Williamson



# Shift Registers

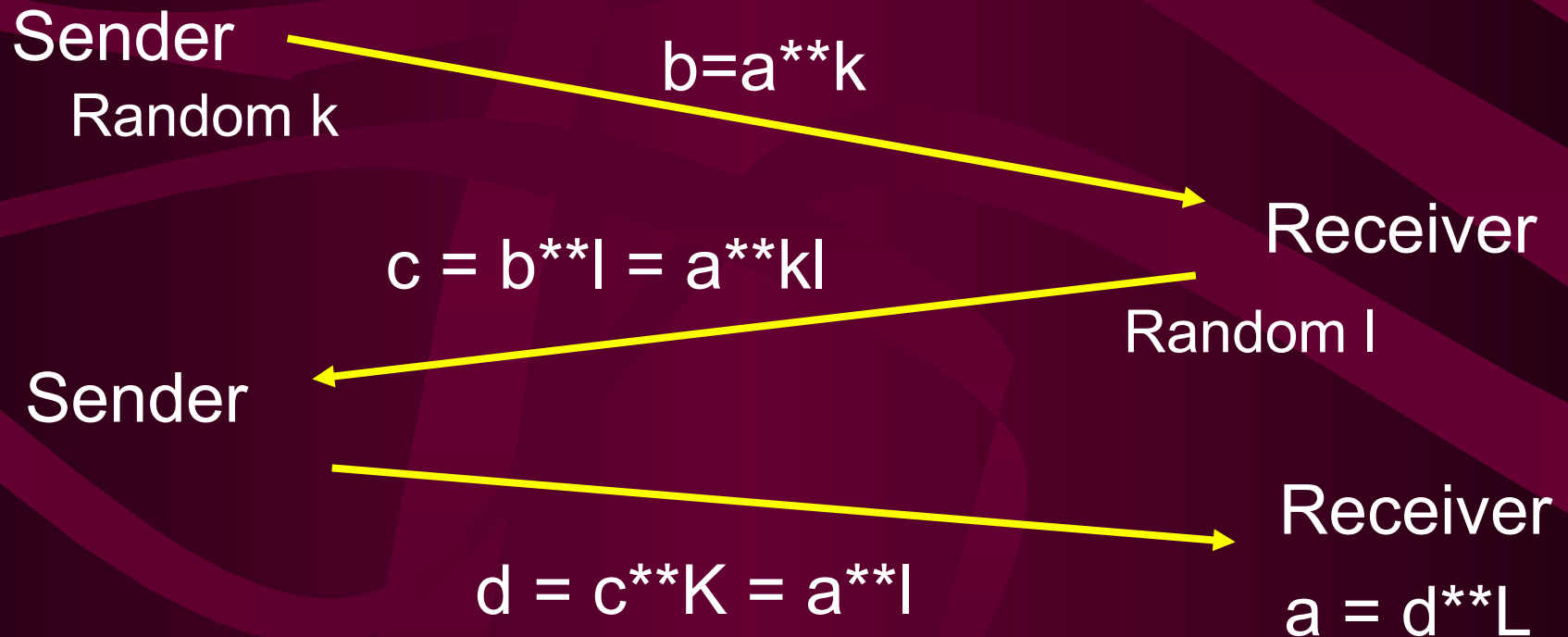


- Used as components of many cryptologies of the time
- Distance Problem: Find number of steps between fills
- Natural representation as Finite Fields

# Williamson's 1st Method

January 1974

Message a: Fill of shift register of cycle length p



# Williamson's 2nd Method

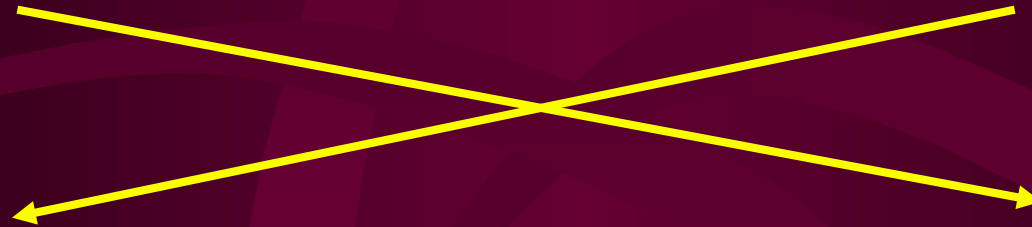
Autumn 1974, written up August 1976

Sender

$X^{**}a$

Recipient

$X^{**}b$



Both can calculate  $X^{**}ab$

same as Diffie Hellman

# Reactions to Real NSE

- CESG investigates implementation
  - Williamson preferred for engineering reasons
  - Concern about authentication
- 1970's technology not up to the job
- Debate on 'To patent or Not to patent'

# Rediscovery I (Diffie-Hellman)



## ■ Jan 1976 'Multiuser Cryptographic techniques'

- introduces PKC but no example or existence proof
- Ellis: 'They are where I started in 1969'
- shows Ellis solution to Authentication problem

## ■ Nov 1976 'New Directions in Cryptography'

- Williamson 2nd Method



# Rediscovery II (RSA)



- **Apr 1977** 'A method for obtaining Digital Signatures and Public-key Cryptosystems'

# What Then?

## ■ Developing Theory

- New Attacks
- Mathematical Rigour
- New Primitives

## ■ Practical Uses

- Cryptographic Products
- Technology needed to catch up
- Standards Emerge

# Developing Theory



A hard mathematical problem does not  
guarantee a secure cryptosystem

# KNAPSACKS I

Merkle & Hellman (1978): the **subset sum** problem

Given  $S, \{M_i\}$

finding  $b_i \in \{0,1\}$  such that  $\sum b_i M_i = S$

is **hard**

**but**

if  $M_k > \sum M_i$  (superincreasing)

it is **easy**



# KNAPSACKS II

Hide the superincreasing sequence

$N, L, \{M_i\}$  is the **secret** key

$\{K_i = L M_i \bmod N\}$  is the **public** key

To encrypt  $\{b_i\}$

compute  $S = \sum b_i K_i$  (so finding  $b_i$  is **hard**)

To decrypt

$L^{-1}S \bmod N = \sum b_i M_i \bmod N$  (so finding  $b_i$  is **easy**)



# KNAPSACKS III

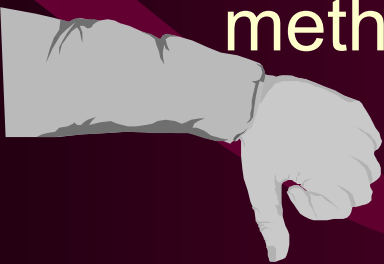
Shamir Crypto'82:

$M_1, M_2 \dots$  are very small,

$K_i = L M_i \bmod N$  and so for many  $i$ ,

- $(L^{-1} \bmod N) K_i$  is close to a multiple of  $N$
- $K_i ( (L^{-1} \bmod N) / N )$  is close to an integer

Can use Lenstra's smallest vector in lattice methods to get approximation to  $(L^{-1} \bmod N)/N$





Adi Shamir, Ron Rivest, Len Adleman, Ralph Merkle, Martin Hellman, and Whit Diffie receive an award from IEEE at Crypto 2000

# Provable Security



Goldwasser & Micali 1984

Security provably equivalent to quadratic residuosity.

Alice:  $N=PQ$   $c$  s.t.  $(c/P)=(c/Q)=-1$

Bob: To send  $m \in \{0,1\}$

Choose random  $y$

Send  $y^2 c^m \pmod{N}$



# Security Proofs

- Theory now well developed
  - Fundamental part of subject
- Allows for clarity
  - What security properties are claimed
  - What mathematical/algorithmic primitives underly security?
- Needed for cryptosystem to be accepted

# Developing Theory

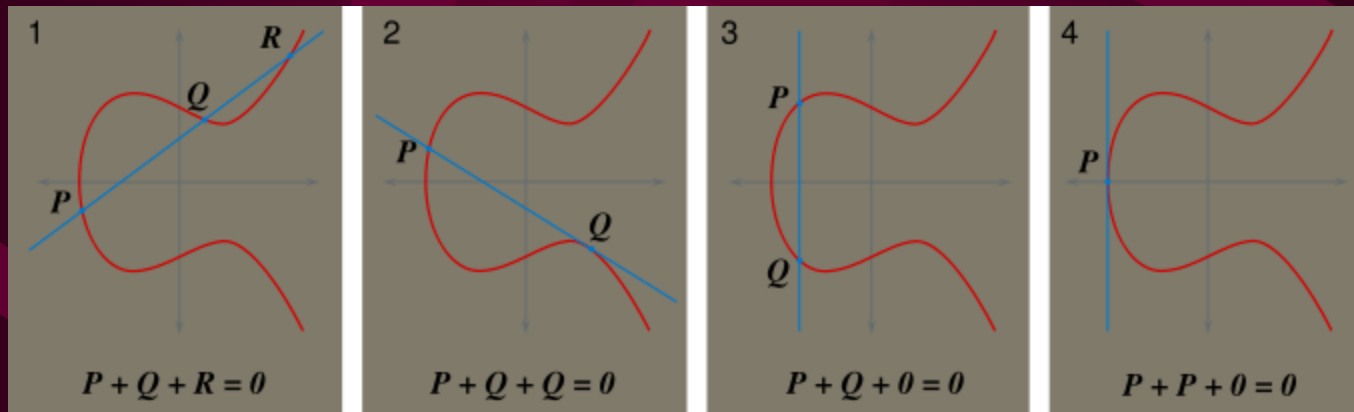


## Elliptic Curves

Neal Koblitz, Victor Miller 1985

$$Y^2 = X^3 + AX + B$$

Defines group structure on points



# Elliptic Curves

Neal Koblitz, Victor Miller 1985

$$Y^2 = X^3 + AX + B \text{ on Finite Field}$$

Defines finite group structure on points

Alternative group for Diffie-Hellman

- N bits of security require only  $2N$  bits of key
- much shorter transmissions than other methods

# Products

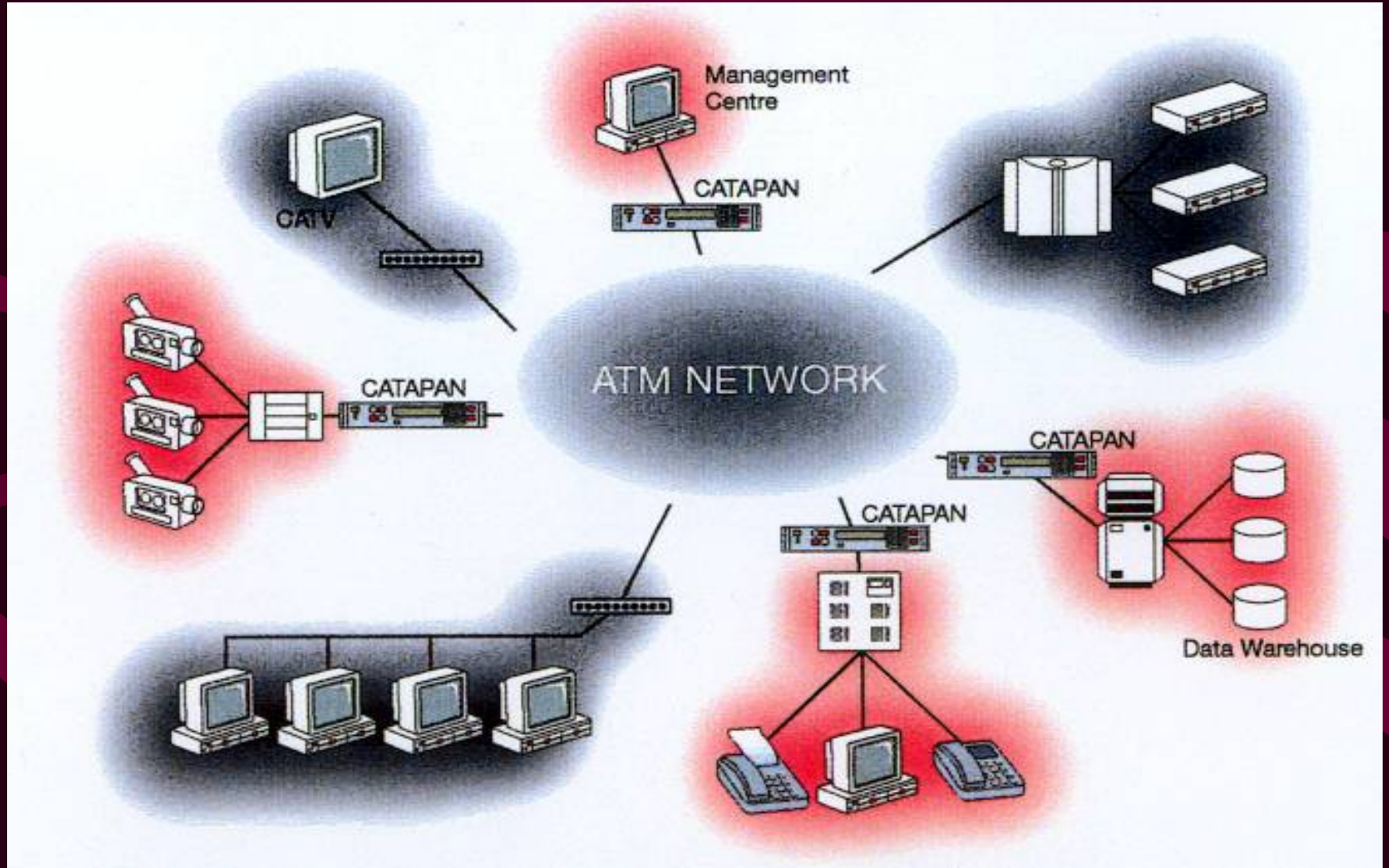
- Experimental Hardware ~1980
  - Sandia, MIT
- Commercial Products from ~1985
  - Cylink CY1024
  - Racal Datacryptor
  - STU III



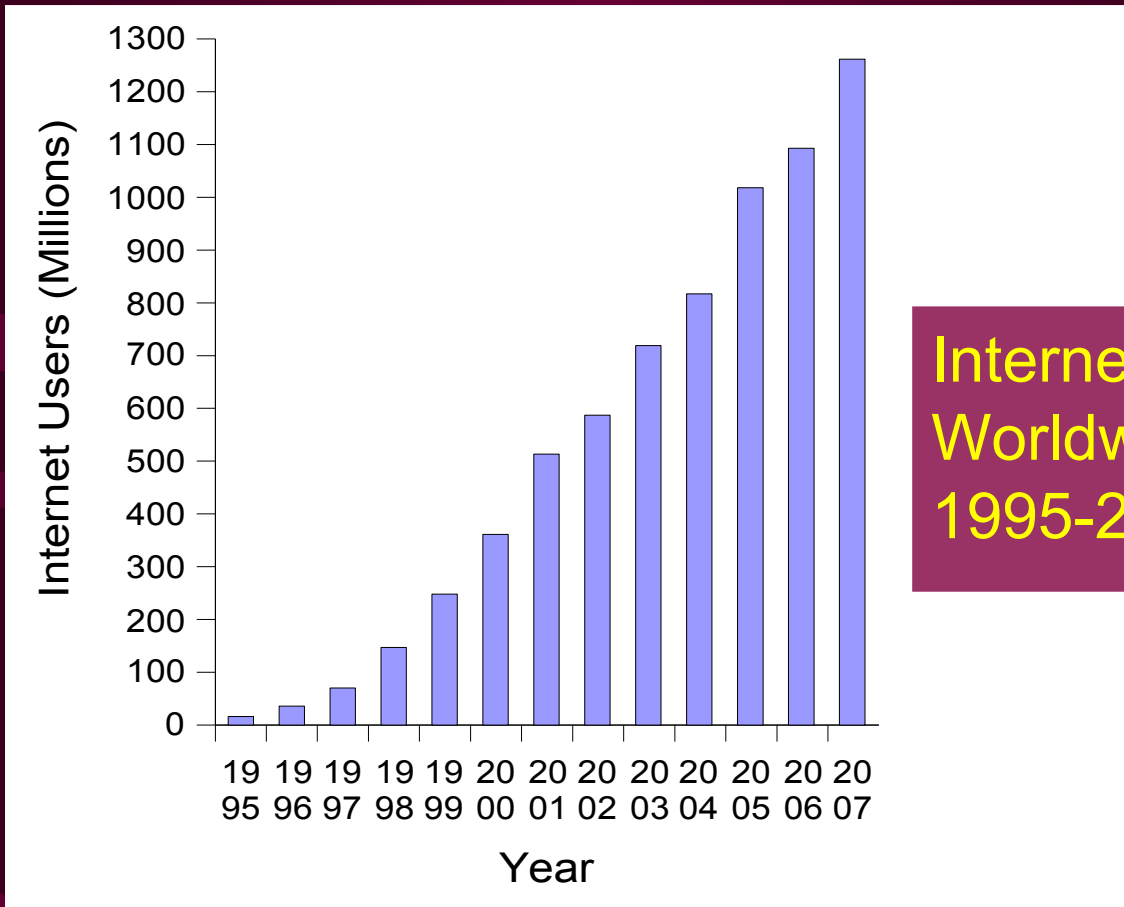
BRENT



# CATAPAN & THAMER



# Software Era – Internet Growth



Internet Users  
Worldwide  
1995-2007



# Software Era - PGP



## ■ PGP

- Published 1991 by Phil Zimmermann
- Email encryption and signatures
- Introduces “web of trust” to manage public keys



# Standards & Protocols

- X.509 Certificates ISO 1988
- PKCS RSA Data Security 1991 on
- DSA Signature Algorithm 1991
- SSL Netscape 1994
- IPSec 1995
- SMIME RSA Data Security 1995
- Now IETF lead on standards

# Public Key Attacks

## ■ Low exponent

- encrypt                      Hastad 1985
- decrypt                      Wiener 1990
- non-random padding      Coppersmith 1995

**Moral: Beware of small exponents**



# Public Key Attacks

## ■ Low exponent Coppersmith 1995

Polynomial of degree  $k$ :  $p(x) = 0 \pmod{N}$

has root  $x_0$  where  $|x_0| < N^{(1/k)}$

Then short vector in lattice methods find  $x_0$  quickly

Fixed padding and low exponent:

$$y = [\text{*****} r_1, r_2, \dots, r_m, \text{*****}] = ar + b$$

$$\text{See } y^e = (ar + b)^e \pmod{N}$$



# Public Key Attacks

## ■ Timing attacks

Kocher 1996



To compute  $y^x \bmod N$ :

set  $R \leftarrow 1$   $z \leftarrow y$  then iterate:

If bit  $i$  of  $x = 1$ :  
 $R \leftarrow (R z) \bmod N$   
 $z \leftarrow z^2 \bmod N$

Time to do modular multiplication may depend on  $z$ ,  $R$   
Lots of samples: recover  $x$  bit by bit

**Moral: Blind the calculation**



# Public Key Attacks



## ■ Quantum Computation

- Shor's Algorithm 1994
- unitary operation on  $2^n$  states with  $n$  qbits
- Fourier Transform is a unitary operation
- at end of calculation sample one state by amplitude
- Can use this to break RSA and Diffie Hellman



# Public Key Attacks



## ■ Quantum Computation

– Shor's Algorithm 1994

Calculate  $x^a \bmod N$  for  $a = 1, \dots, M$  and  $M \sim N^2$

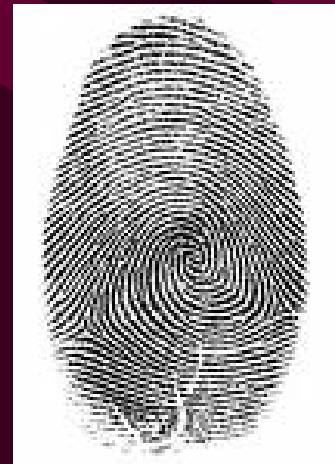
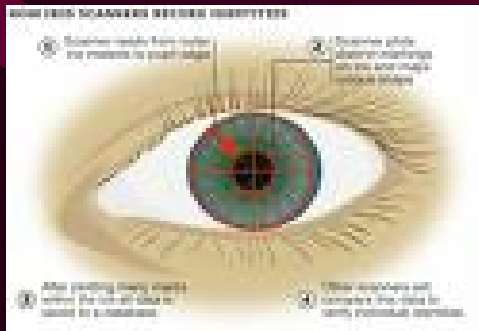
Observe  $x^a$ , now have set of values  $a = a_0 \bmod \text{Phi}(N)$

Perform Fourier transform on  $a$  values to recover  $\text{Phi}(N)$



# Continuing Developments

- Pairings
- Identifier Based Cryptography



# Identifier Based Cryptography

Shamir 1984

Bob's Public Key derived from his identity

Alice encrypts with no need for directory

Bob gets his Private Key from a Trusted Authority





# Identifier Based Cryptography

## ■ History

- Concept Proposed by Shamir 1984
- False starts: e.g. Tanaka 1987
- Expensive Scheme: Maurer 1991
- QR proposals : Cocks 1998, published 2001
- Pairings method: Boneh & Franklin 2001
- Improved QR method: Boneh Gentry & Hamburg 2007

# Weil Pairing

- Elliptic curve over  $F_q$ 
  - $e$  maps  $E \times E \rightarrow F_{q^k}$
  - $e(A+B, C) = e(A, C) e(B, C)$
  - $e(A, B+C) = e(A, B) e(A, C)$
- Originally used to attack proposed curves
- Limited sets of “Pairing Friendly” curves

# IDPKC from the Weil Pairing



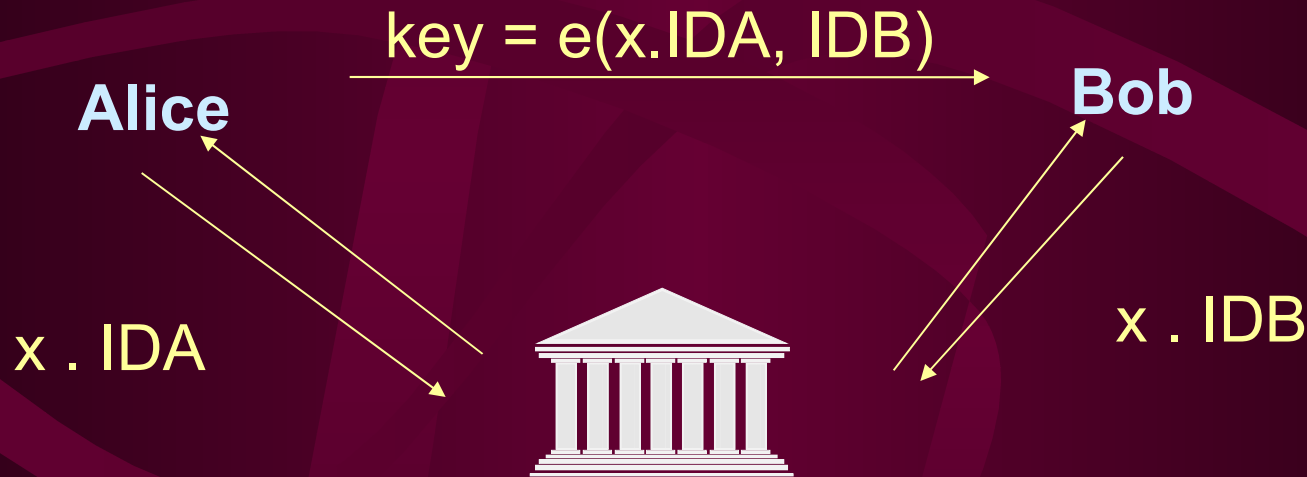
Boneh & Franklin '01

**Trusted Authority**

secret:  $x$


global : elliptic curve  $E / \mathbb{F}_p$

bilinear map  $e: E \times E \rightarrow \mathbb{F}_{p^2}$



Maps identities to points in  $E$

Next Chapter

A photograph of two men in dark suits sitting at a wooden table. The man on the right is pointing towards a laptop screen. There are two laptops on the table, one open and one closed. The background is a blurred indoor setting with warm lighting.

Implementation Challenge:  
Make PKI work (better)

The background of the slide is a vibrant, abstract landscape with a patchwork of colors including red, yellow, blue, and green, suggesting a field of flowers or a stylized terrain. Two large eagles are depicted in flight, their wings spread wide, flying across the sky. One eagle is in the foreground, flying towards the left, while the other is further back and to the right, flying towards the left. The sky is a soft, hazy blue.

Next Chapter

# Research Challenge

Find a Convincing & Elegant  
Quantum Resistant Public Key Algorithm