

# Side-channel protections for Picnic signatures

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# Background & Motivation: NIST PQC Standardization Round 3

## Finalists

- CRYSTALS-DILITHIUM
- Falcon
- Rainbow

## Alternates

- GeMSS
- **Picnic**
- SPHINCS+

- Side-channel resilience is becoming more relevant
- Little study on side-channel resilience of **Picnic**/MPC-in-the-head paradigm

# Picnic & side-channel security

- Fiat–Shamir-type signature from **MPC-in-the-head** ZKP [IKOS07]
- ☺ No number-theoretic assumptions
  - Block cipher
  - Hash function (modeled as RO)
- ☺ Various parameters

## Picnic1

- ☹ Known to be vulnerable to DPA [GSE20]
- ☹ Existing countermeasure breaks interoperability with verification [SBWE20]
- ☹ Also increases signature size

## Picnic3

- Follows MPC-in-the-head with **preprocessing** paradigm [KKW18]
- More compact signature
- No side-channel evaluation yet

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# Our goal

- Side-channel evaluation of **Picnic3** / MPC-in-the-head with preprocessing
- Maintain **interoperability** and **signature size** while applying masking countermeasures

# This work

- Side-channel vulnerabilities of unprotected **Picnic3**
  - Attack I extends [GSE20]
  - Attack II is new
- Generic approach to mask ZKP using MPCitH with **preprocessing**
  - Proof for  $t$ -probing security
  - Supported by **maskVerif** formal verification tool [BBC<sup>+</sup>19]
  - Possible to trade-off **provable security** for **lower masking overhead**
- First-order masked implementation of **Picnic3** & **SHA-3**
- Practical electromagnetic (EM) leakage analysis

## Side-channel Attacks on Picnic3

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# Zero-knowledge proof using the MPC-in-the-head [IKOS07, GMO16]



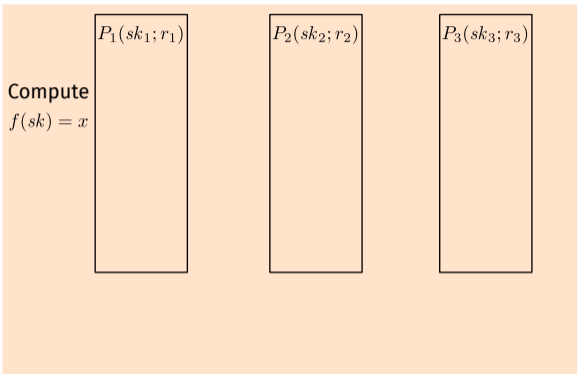
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Verifier( $pk = (f, x)$ )

s.t.  $f(sk) = x$

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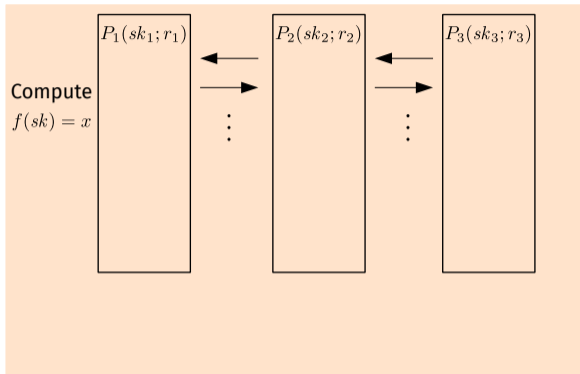
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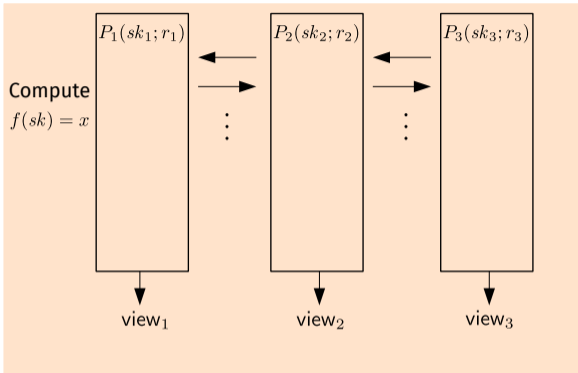


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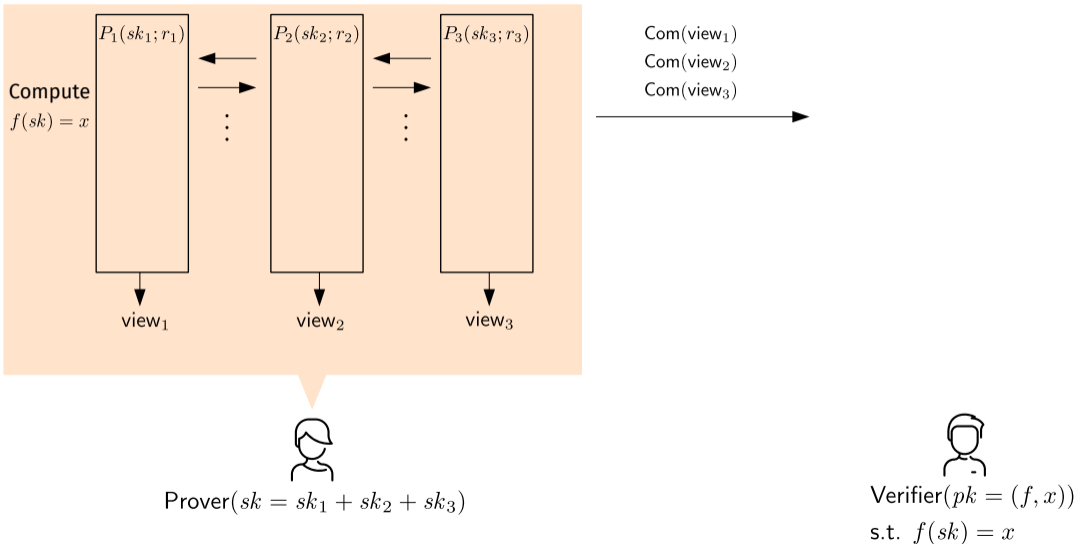


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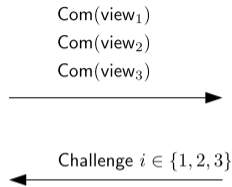
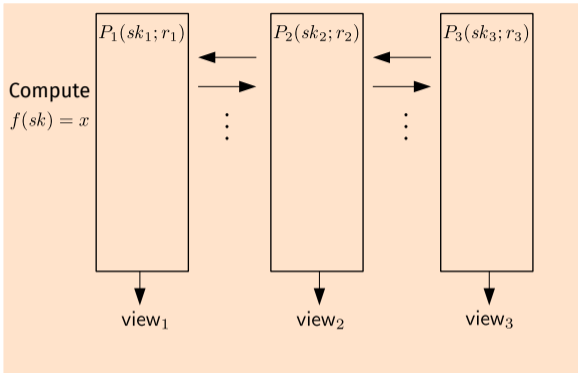


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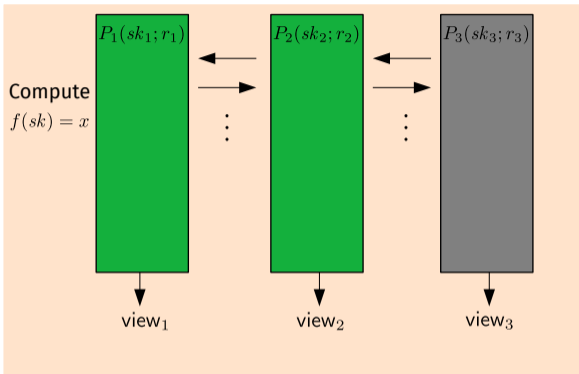


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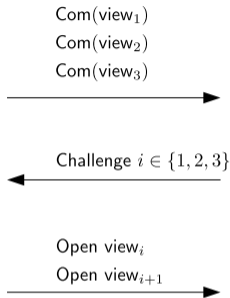


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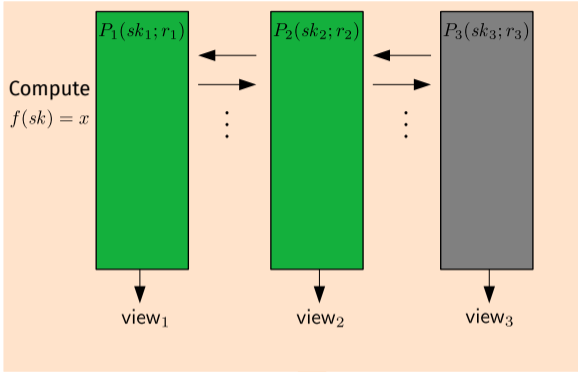


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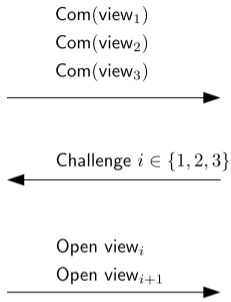


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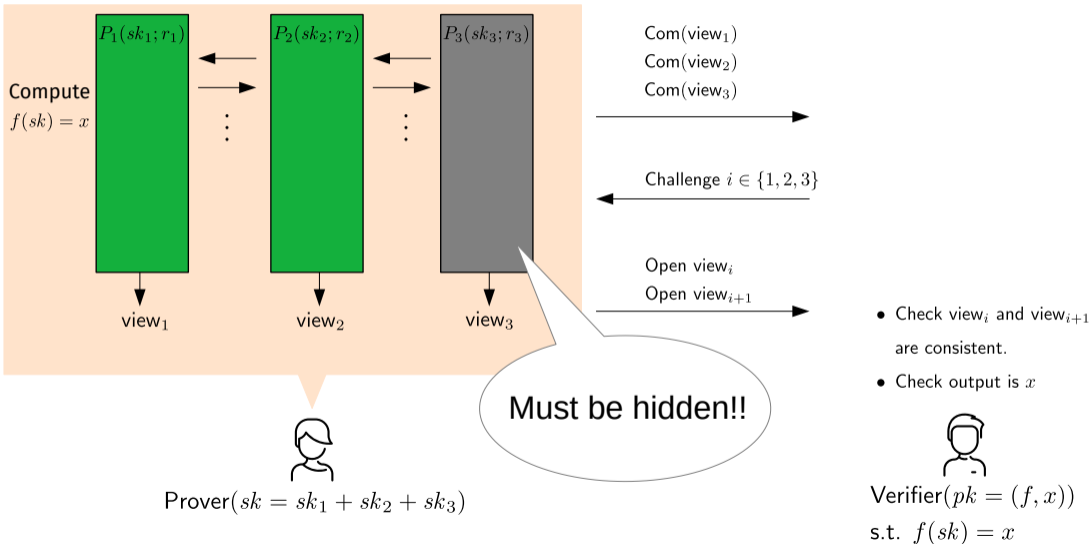
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- Check output is  $x$



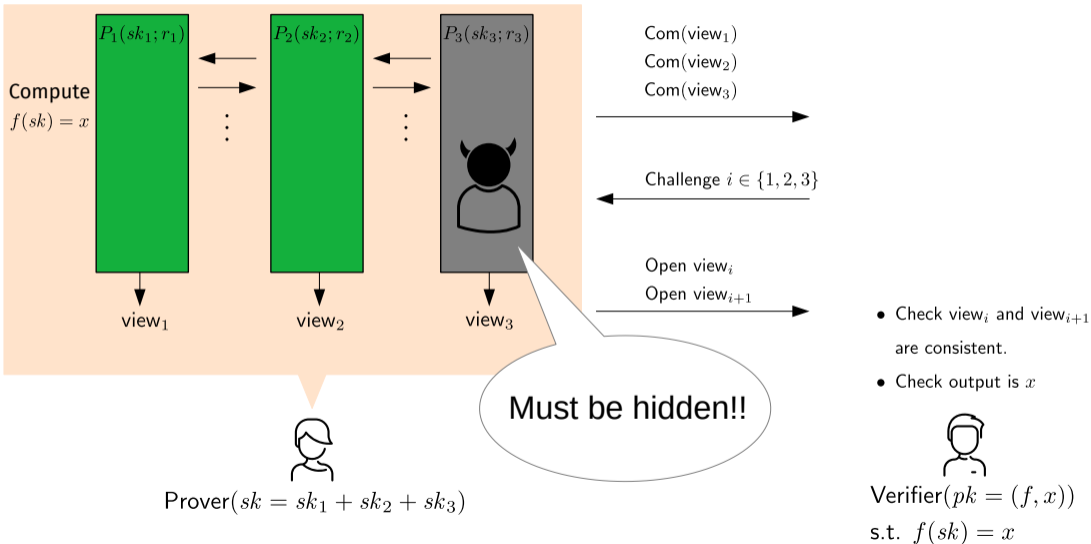
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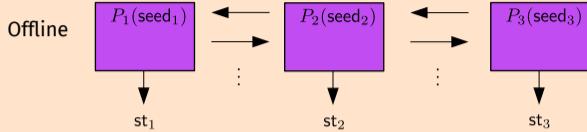
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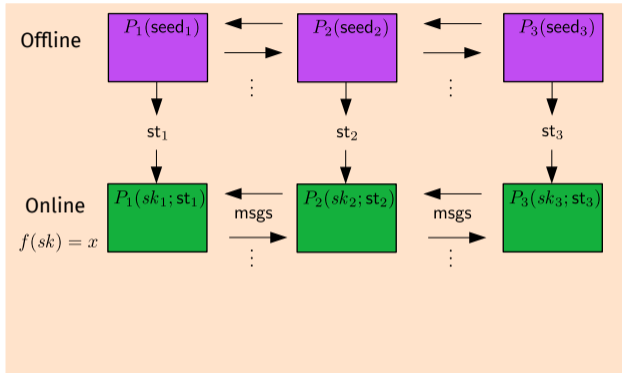


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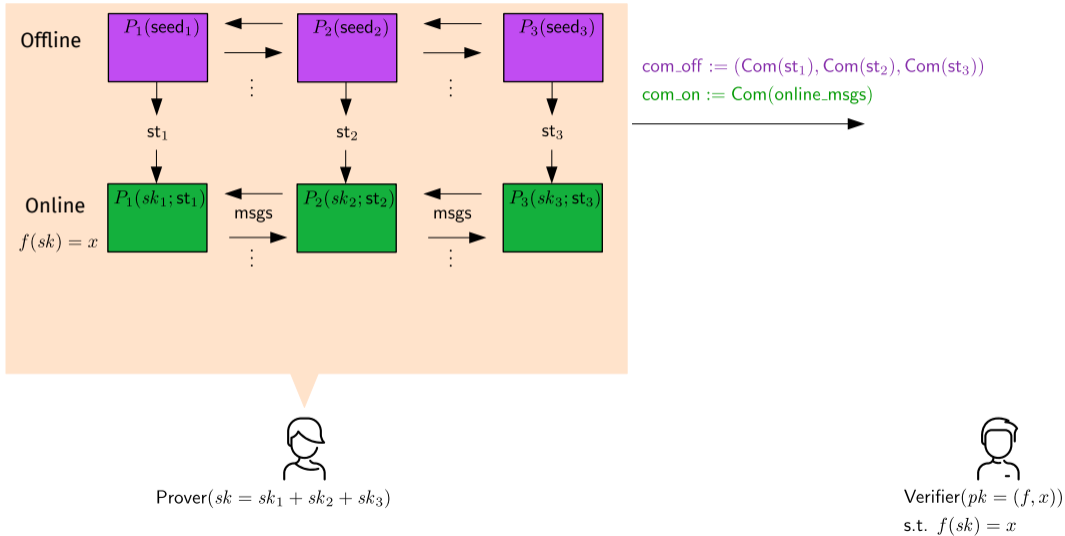


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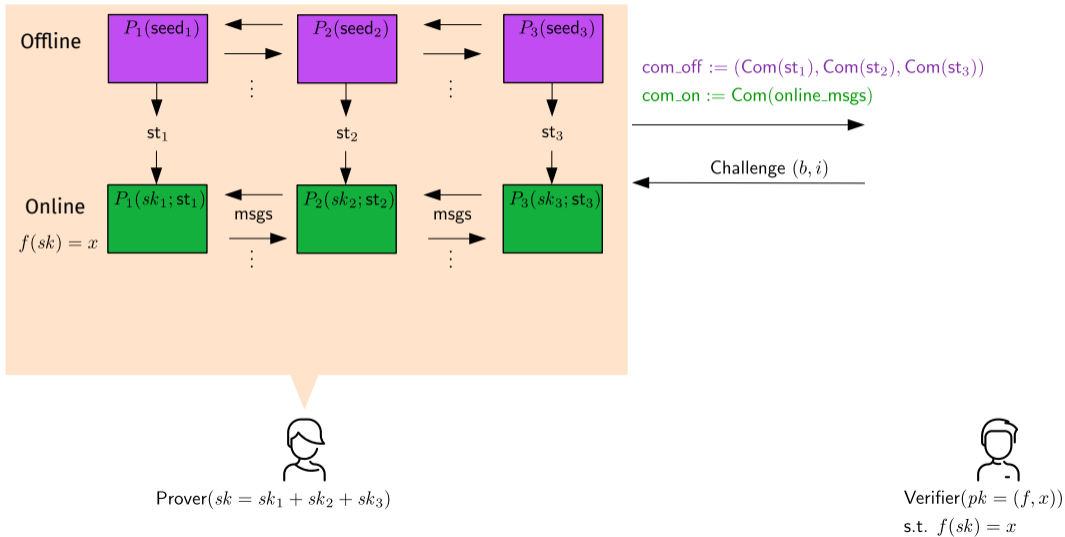


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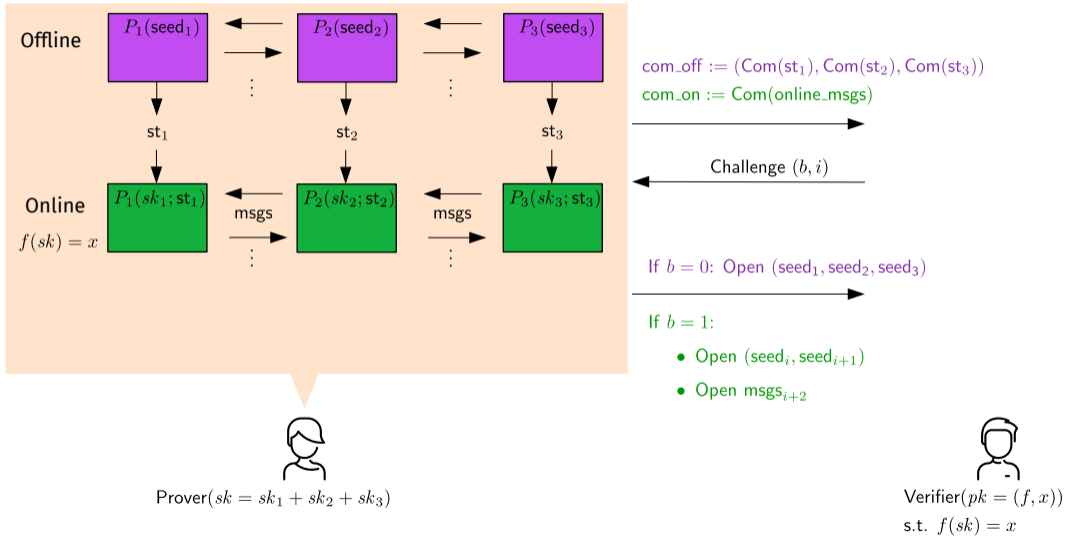
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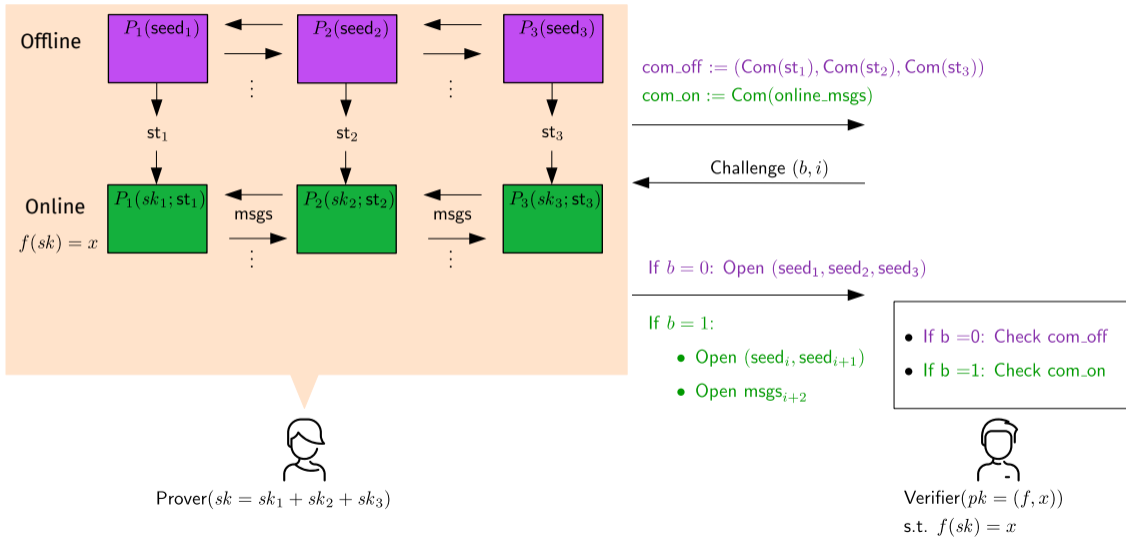
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## Why preprocessing? – Multiplication with Beaver triples

**Inputs** :  $[x] = (x_1, \dots, x_N)$  and  $[y] = (y_1, \dots, y_N)$

**Output** :  $[z] = (z_1, \dots, z_N)$  such that  $z = xy$

### Offline

- Generate many random triples  $([\lambda^x], [\lambda^y], [\lambda^{xy}])$  with  $\lambda^{xy} = \lambda^x \lambda^y$
- Easy in MPCitH:

$$\lambda_N^{xy} := \left( \sum_{i=1}^N \lambda_i^x \right) \left( \sum_{i=1}^N \lambda_i^y \right) - \sum_{i=1}^{N-1} \lambda_i^{xy}$$

### Online

- Observation:  
 $xy = ((x + \lambda^x) - \lambda^x)((y + \lambda^y) - \lambda^y)$
- Reconstruct  $\hat{x} := x + \lambda^x$  and  $\hat{y} := y + \lambda^y$
- Compute  
 $[z] = \hat{x}\hat{y} - \hat{x}[\lambda^y] - \hat{y}[\lambda^x] - [\lambda^{xy}]$

No non-linear operations in the online phase!

WARNING: New attack surface arises..

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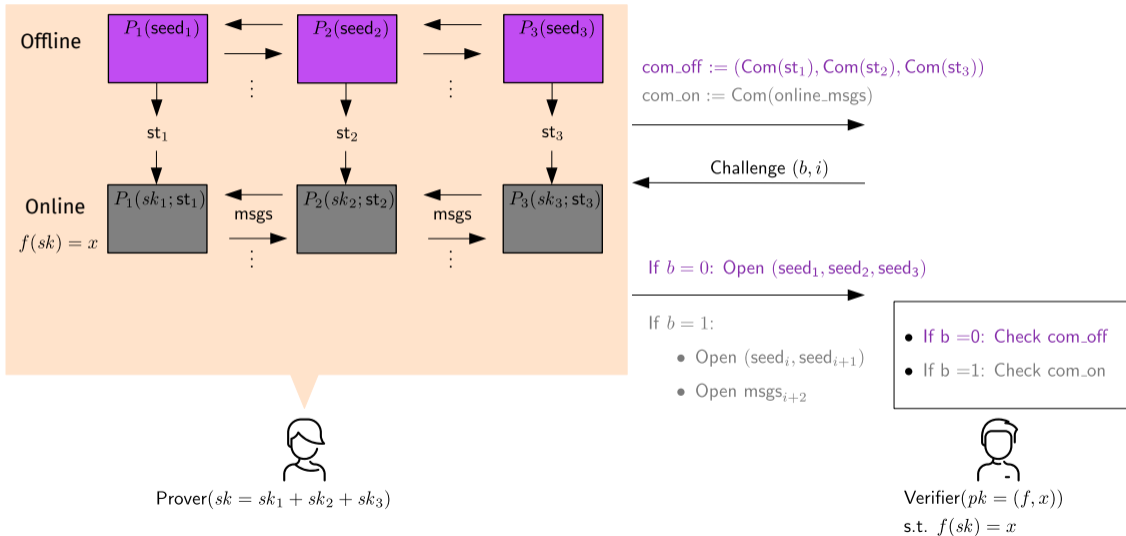
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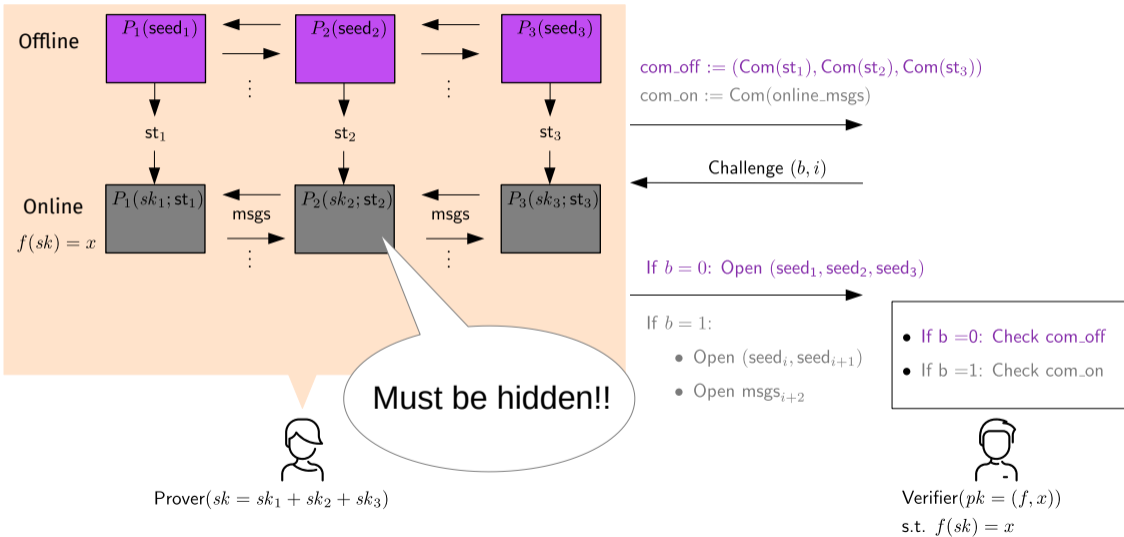
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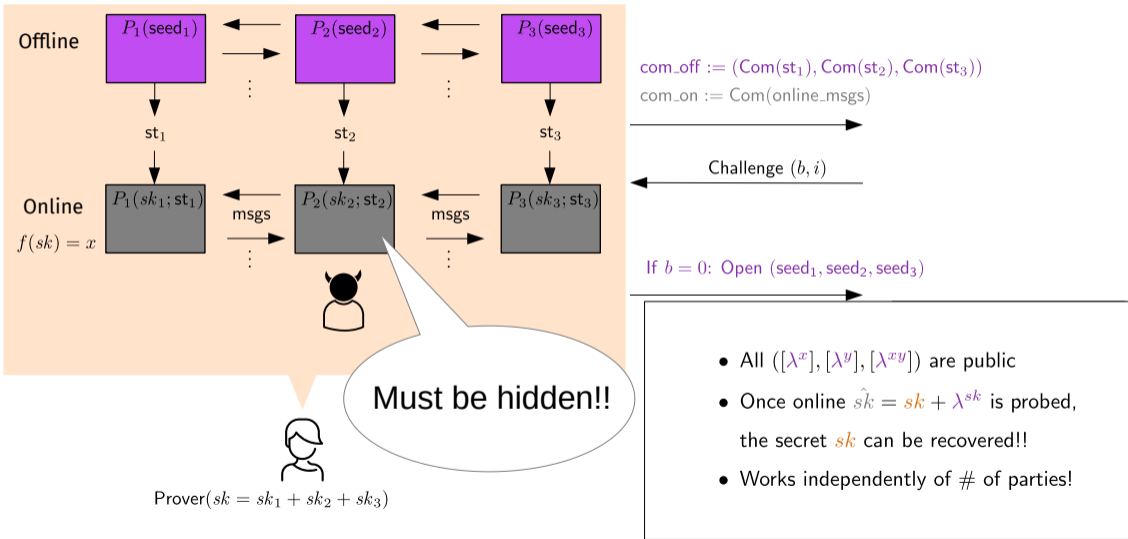
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## Masking Picnic3

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# Our approach: Masking **inside** each party

$$P_1(sk_{1,1} + sk_{1,2}; r_{1,1} + r_{1,2})$$

$$P_2(sk_{2,1} + sk_{2,2}; r_{2,1} + r_{2,2})$$

$$P_3(sk_{3,1} + sk_{3,2}; r_{3,1} + r_{3,2})$$

Compute

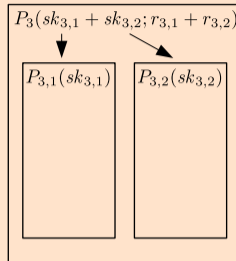
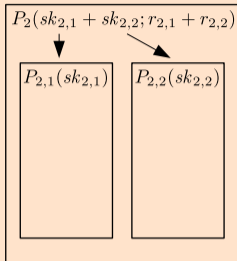
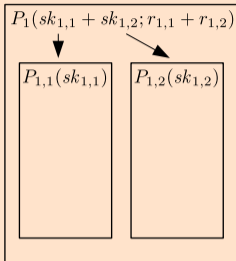
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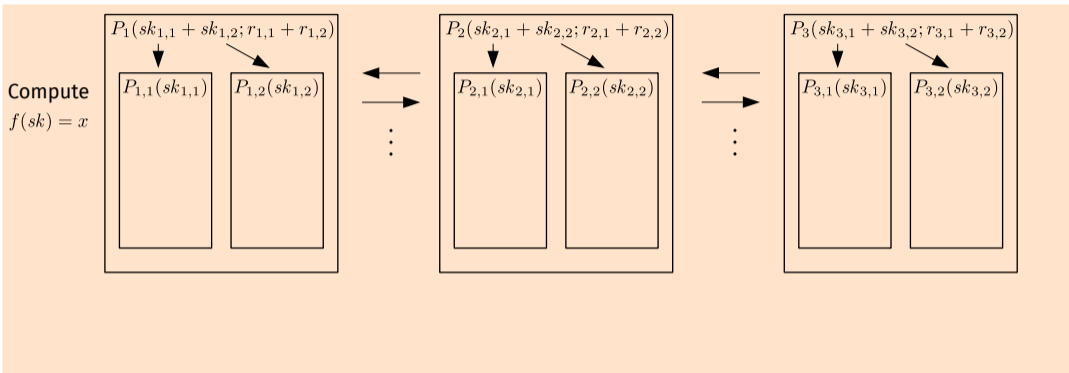
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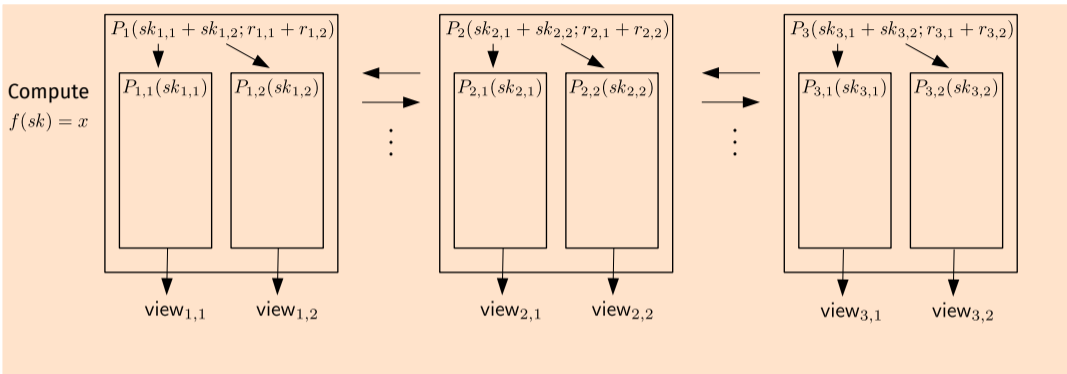
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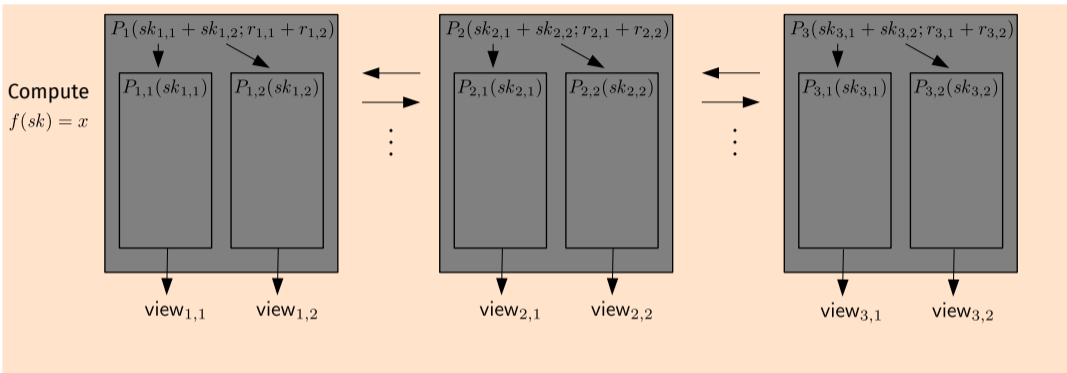
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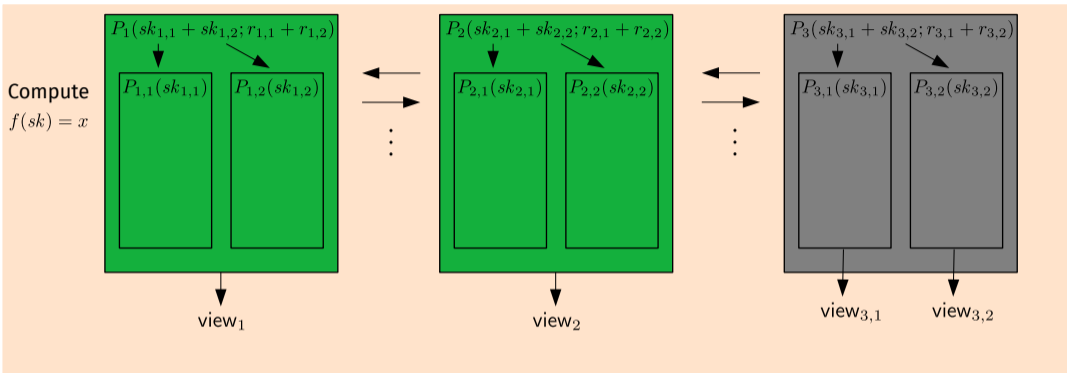
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Open Offline

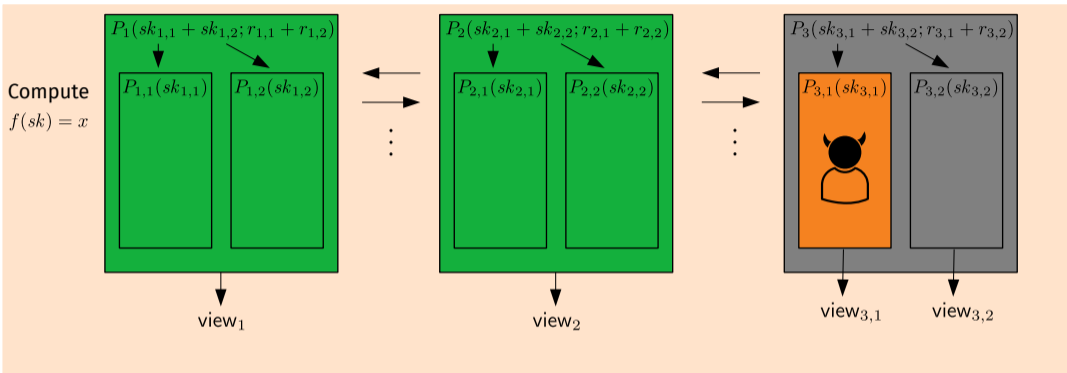
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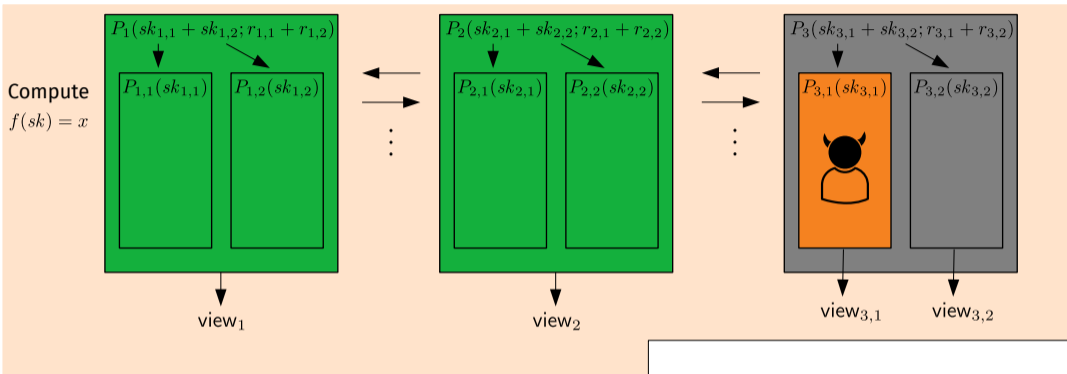
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# Our approach: Masking **inside** each party



Prover( $sk = sk_1 + sk_2 + sk_3$ )

- ✓ No change in # parties
- ✓ Unmask view only if opened
- ✓ Can tolerate t-probing adversary!
- ✓ Prevents attack on unopened online phase!



# Masking SHA-3

## Masking seed expansion

- $[\text{tapes}_i] \leftarrow \text{SHA-3}([\text{seed}_i])$

## Masking commitments

- $[\text{com\_off}_i] \leftarrow \text{SHA-3}([\text{st}_i])$
- $[\text{com\_on}] \leftarrow \text{SHA-3}([\text{online\_msgs}])$

Masking everything is expensive..

## Heuristic options

- Some hash **inputs** that are unique per signature are not sensitive by regarding SHA-3 as a random oracle and if attacker only probes  $t$  bits of input.
- Commitment **outputs** are not sensitive
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## Implementation & leakage analysis

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# Benchmarking for the First-order Protected Implementations

Picnic Mask-	SHAKE Mask-	Sign- ing	Hashing	Masking Over-	Stack	Code	Random bytes(KB)
No	None	304	71%	1.00	32,460	121,349	0
Yes	None	460	50%	1.51	32,500	131,326	2,025
Yes	All-SNI	1663	86%	5.47	32,724	166,216	158,172
Yes	All-DOM	1289	81%	4.24	32,724	158,776	80,378
Yes	All-IND	856	72%	2.82	32,724	148,712	2,585
Yes	Selective	613	62%	2.01	32,460	148,712	2,025
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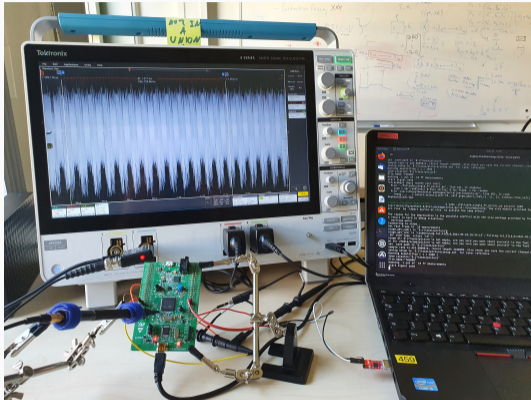
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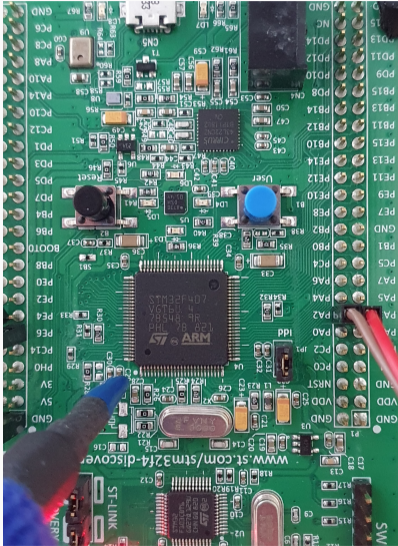
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# A Practical Measurement Setup



- Capture: Tektronix MSO 6
- Short traces at 3.125 GS/s and long traces at 625 MS/s sampling rate
- Target device: STM32F4 Discovery board, Arm Cortex M4, operated at 168 MHz
- Source: EM emanations on a blocking cap (C29)

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# Test Vector Leakage Assessment (TVLA)



A pass-fail test to decide if an implementation has exploitable leakage

- fixed-vs-random (FvR): to detect all possible first-order leakage.
- random-vs-random (RvR): to identify a specific exploitable leakage.

## Goals

- Unprotected **Picnic3** is vulnerable (RvR)
- Protected **Picnic3** eliminates such vulnerabilities (FvR).

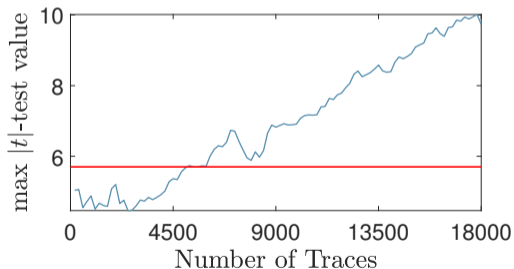
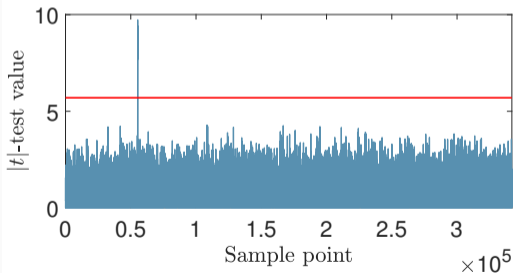


# New Side-channel Attacks on Picnic3 (RvR)

## Attack I: Probing the opened online phase

$$\hat{x} = x + \lambda_1 + \dots + \lambda_{N-1} + \lambda_N$$

- Measurements from precomputation phase
- The leakage becomes clear after 6,000 traces.

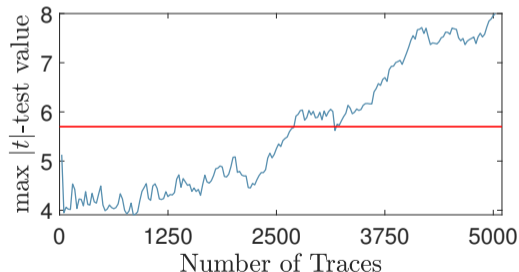
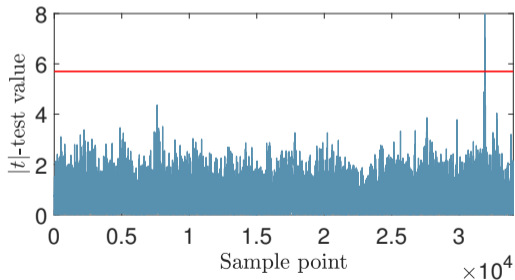


# New Side-channel Attacks on Picnic3 (RvR)

## Attack II: Probing the unopened online phase

$$\hat{x} = x + \lambda_1 + \dots + \lambda_N$$

- Measurements from online simulation,
- The leakage becomes clear after 2,725 traces.



# Leakage Analysis (FvR)

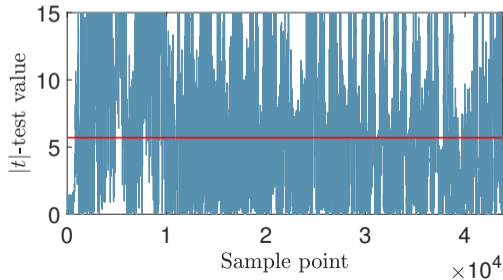
## Masked SHA-3 (All-IND)

- 71 % of the calculation is hashing
- Fixing the mask value to a constant results in a leaking implementation with 2,000 traces.
- Randomizing the mask results in a non-leaky implementation with  $10^6$  traces.

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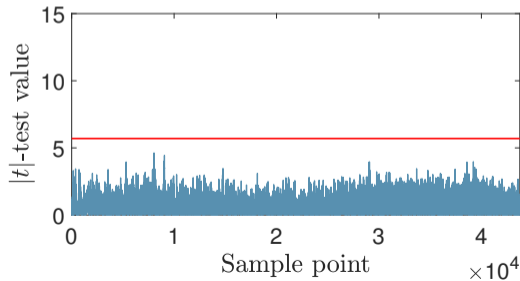
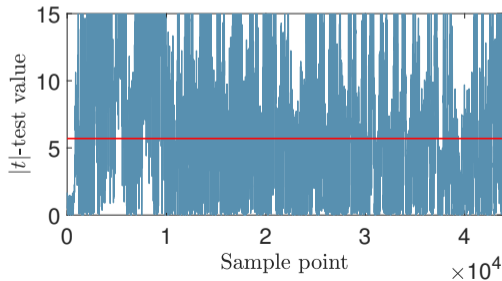
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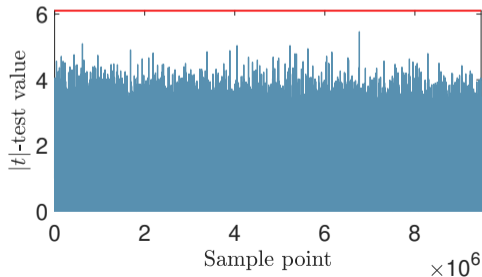
## Masked Picnic3 (All-IND 4-round Masked SHA-3)

- Beginning of signature generation until the end of the first MPC instance
- Fixed vs Random *secret* key – fixed *message* – randomized *signature*.
- The  $|t|$ -value remains below threshold using 100,000 traces.
- Max  $|t|$ -value has a stable pattern.

# Leakage Analysis (FvR)

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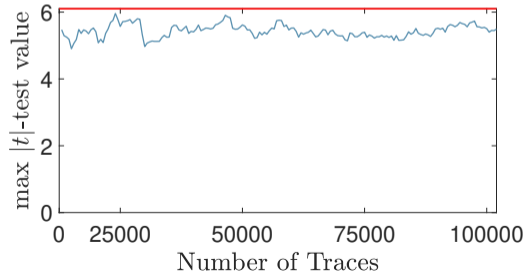
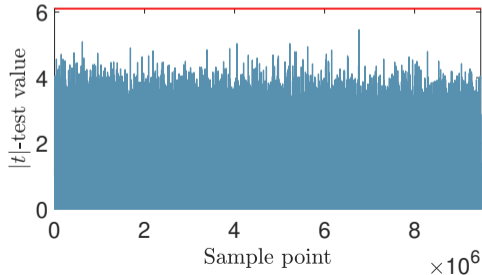
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# Takeaways

- Side-channel attacks against MPCitH with preprocessing is a real threat: as our two attacks demonstrate
- Generic masking countermeasures without breaking interoperability / increasing signature size
- Application to **Picnic3**: an overhead in the range of 1.80-5.47.
- Masked implementation of SHA-3: optimized with M4 assembly and supports a range of options, from slower but SNI-secure, to our much faster options.

Thank you!

Paper: <https://ia.cr/2021/735>

Implementation: [https://github.com/dkales/picnic\\_m4/](https://github.com/dkales/picnic_m4/)

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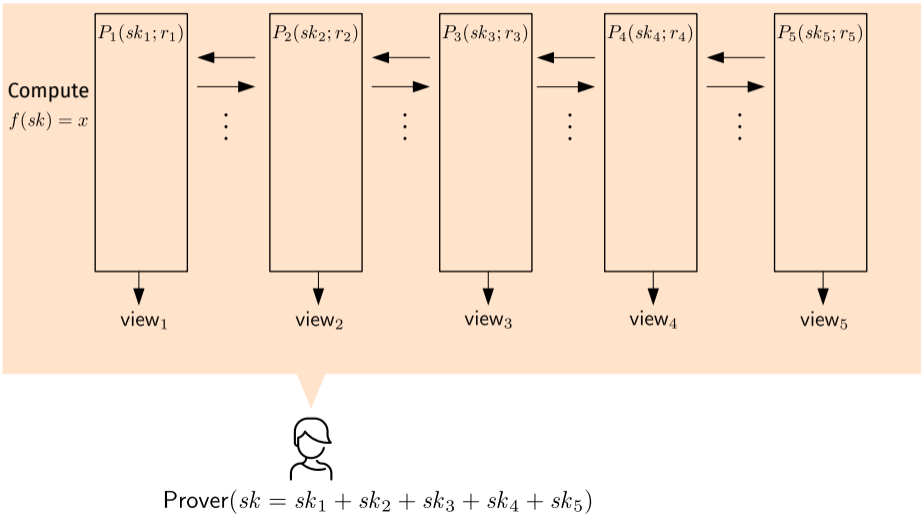
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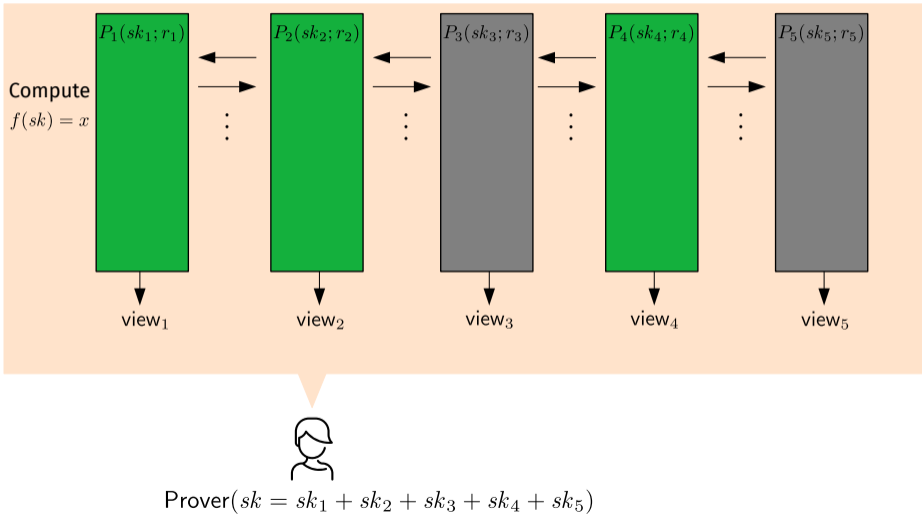
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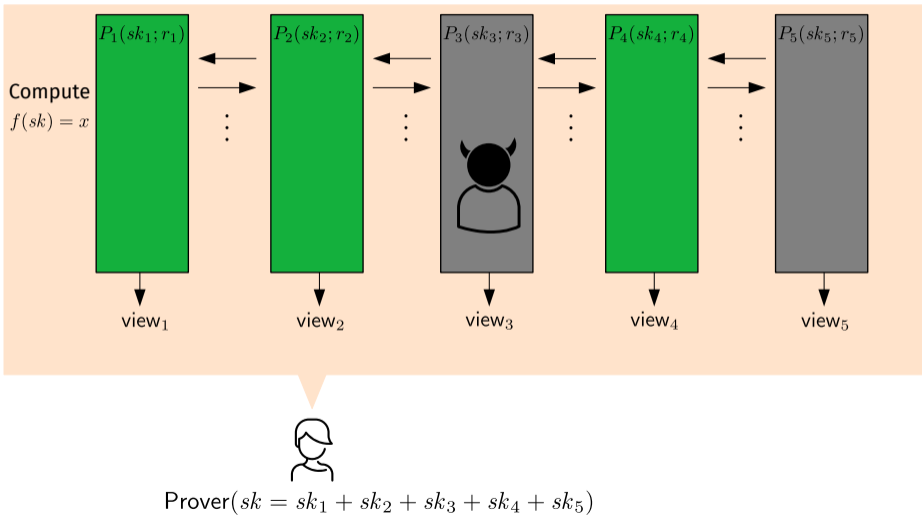
## Previous countermeasure: SNI-in-the-head [SBWE20]



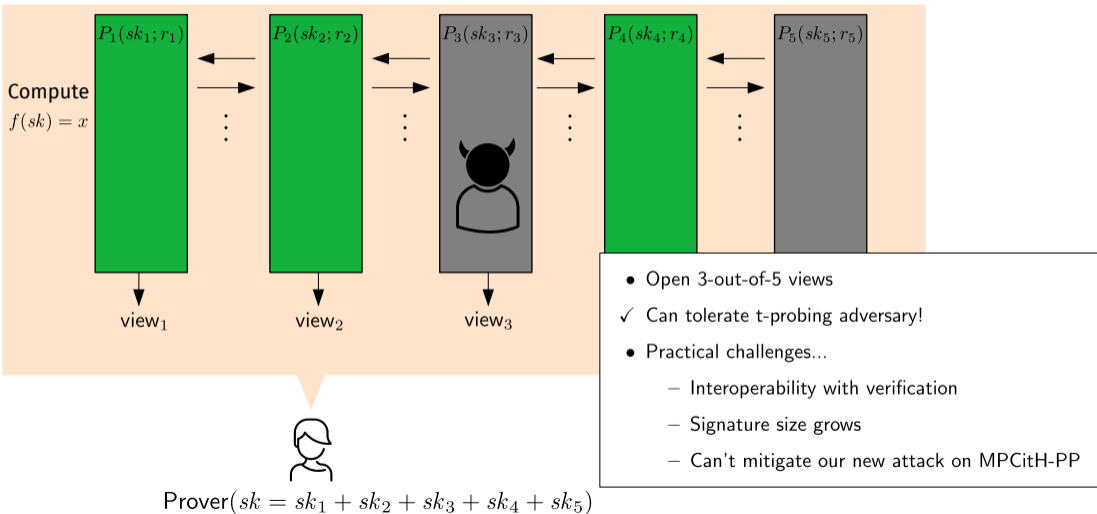
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## SNI-secure Masked Online Multiplication

- Mask  $[\hat{x}] := [x + \lambda^x]$  and  $[\hat{y}] := [y + \lambda^y]$
- Each  $P_i$  computes

$$[z_i] = \delta_{1,i} \mathbf{SMul}([\hat{x}], [\hat{y}]) - \mathbf{SMul}([\hat{x}], [\lambda_i^y]) - \mathbf{SMul}([\hat{y}], [\lambda_i^x]) - [\lambda_i^{xy}]$$

- ✓ **SMul**: Standard SNI secure masked multiplier [ISW03]
- ✓ Never unmask  $[\hat{x}]$  and  $[\hat{y}]$  until the online phase can be safely revealed
- ✓ Applies to **any** MPCitH-PP-style signatures
- ✓ Securely **composable** with other gadgets thanks to the SNI property

# Heuristics overview

-  NI/SNI secure gadgets
-  Input-sensitive, half-masked
-  Output-sensitive, half-masked
-  Unmasked

