

Does Fiat-Shamir Require a Cryptographic Hash Function?

Yilei Chen (Tsinghua University)
Alex Lombardi (MIT)
Fermi Ma ([Princeton → Simons Institute + UC Berkeley](#))
Willy Quach (Northeastern)

(Public-Coin) Interactive Protocols

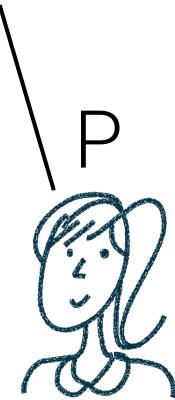
[GMR85, B85]



(Public-Coin) Interactive Protocols

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$x \in 3\text{SAT}$



(Public-Coin) Interactive Protocols

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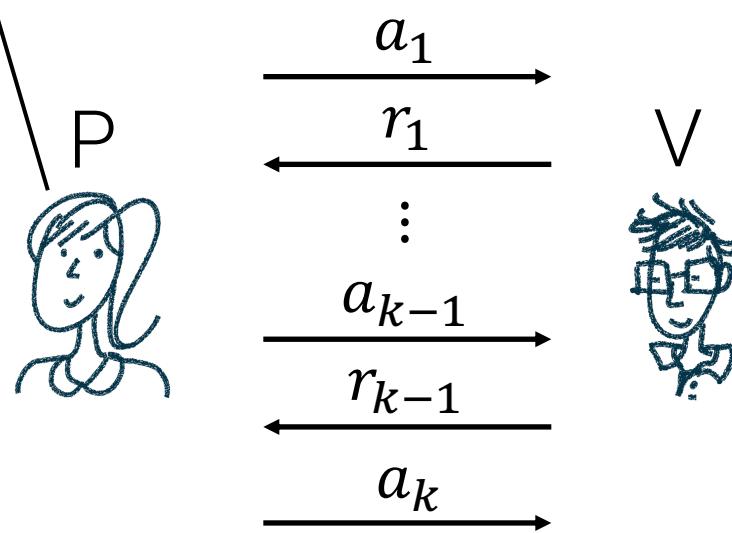
I know a
witness for x



(Public-Coin) Interactive Protocols

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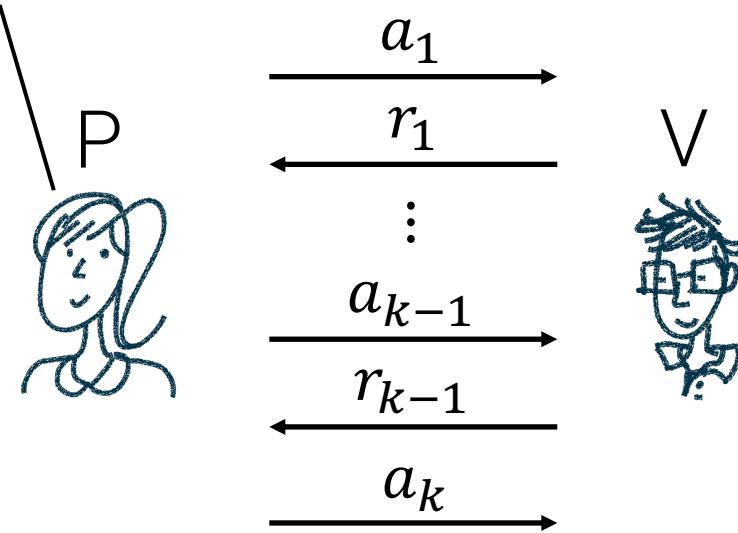


Public coin: r_i uniformly random

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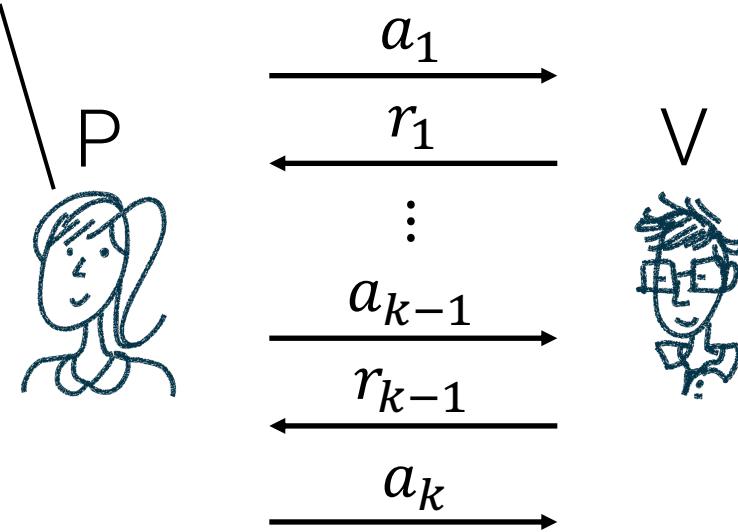
Completeness: If statement is true, verifier accepts w/ probability 1.

Soundness: If statement is false, verifier rejects w/ high probability, no matter what prover does.

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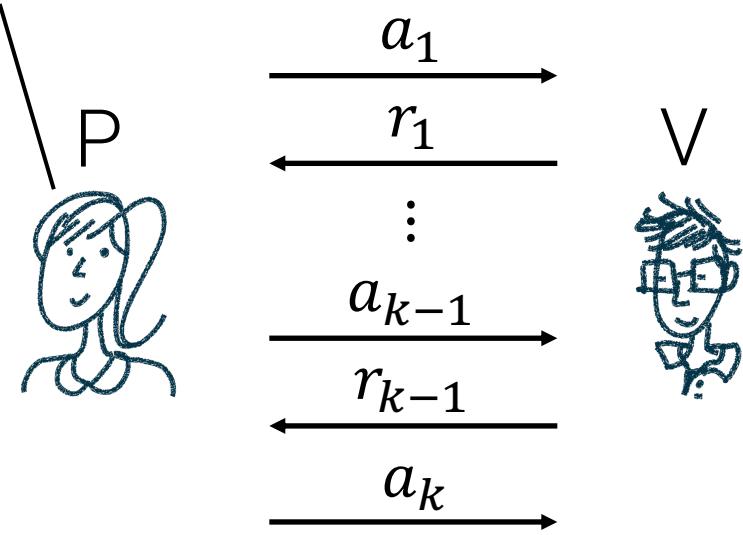
Interaction is powerful [GS86, GMR89, GMW91, S92, K92, ...]

IP = PSPACE, zero-knowledge, succinct arguments, etc.

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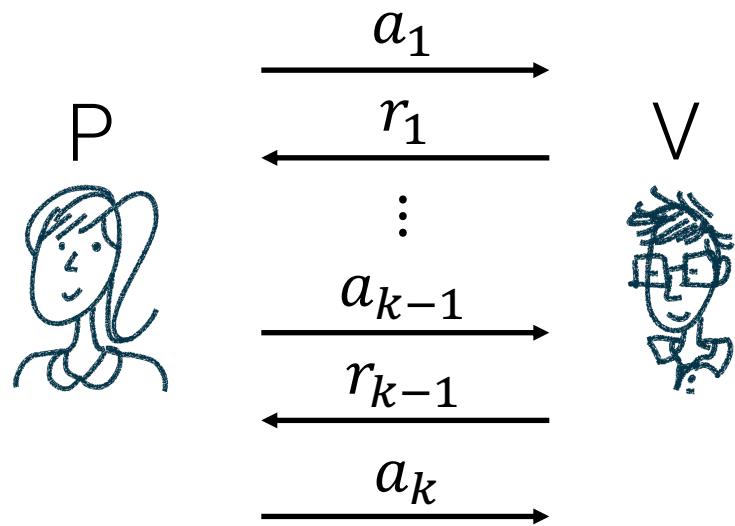
... but often impractical

Fiat-Shamir Heuristic [FS86]

Magical compiler that removes interaction from public-coin interactive protocols.

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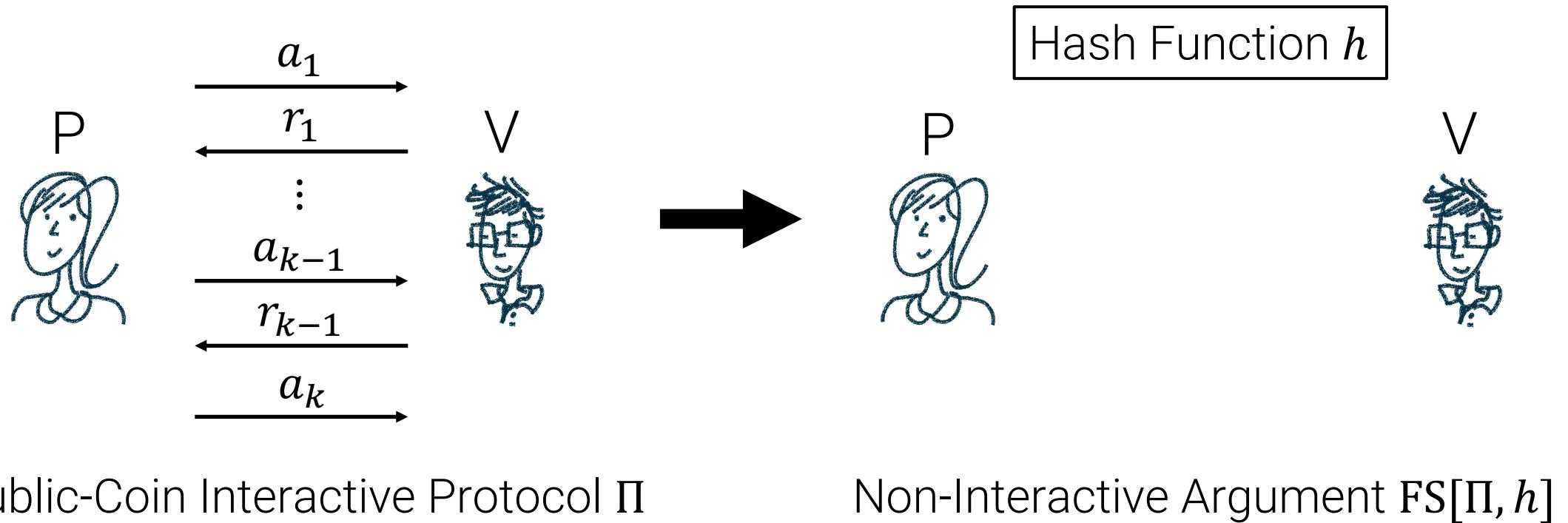
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Public-Coin Interactive Protocol Π

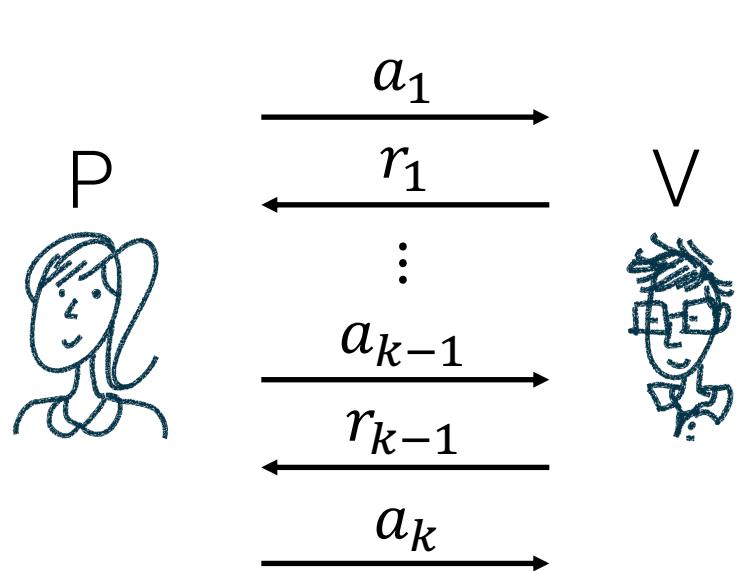
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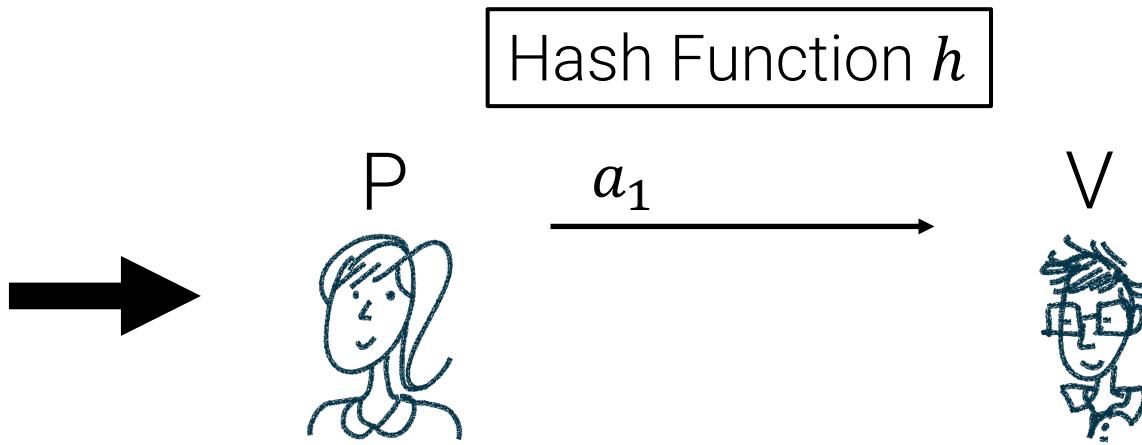


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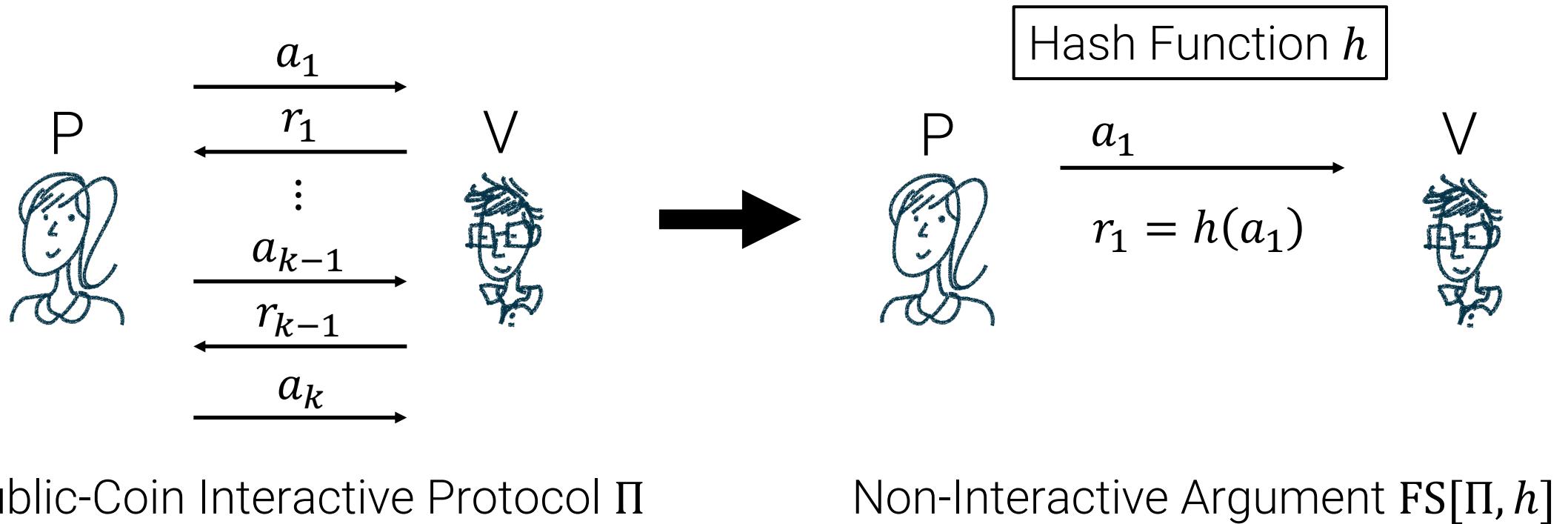
Public-Coin Interactive Protocol Π



Non-Interactive Argument $FS[\Pi, h]$

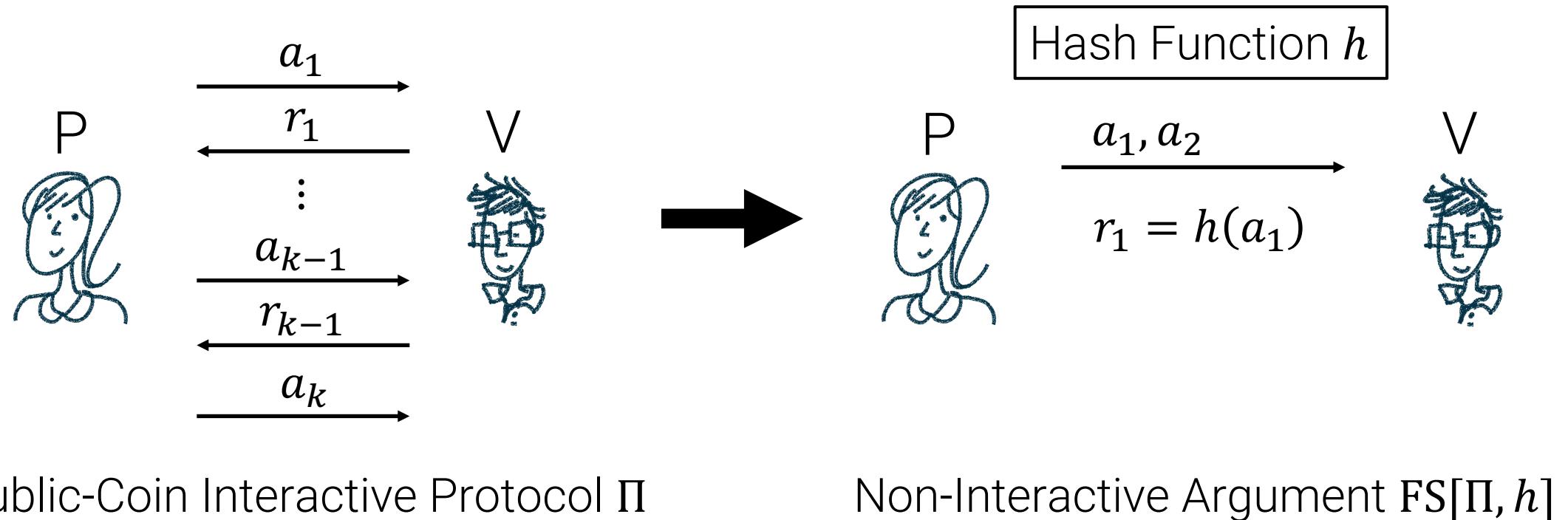
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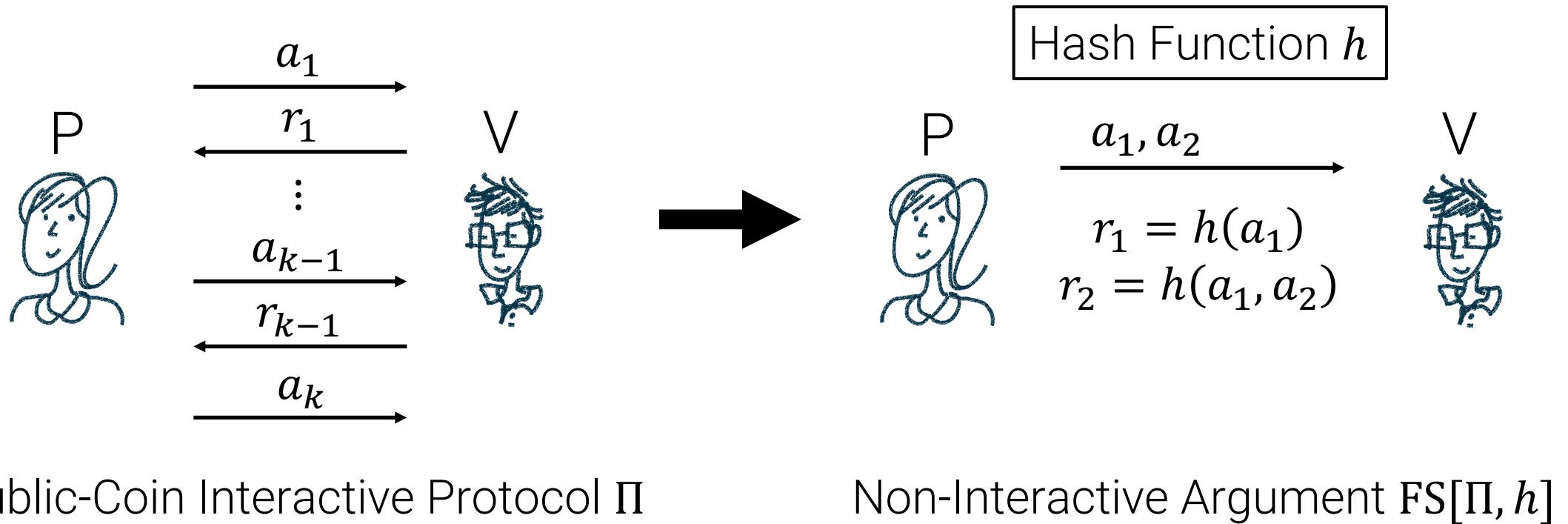
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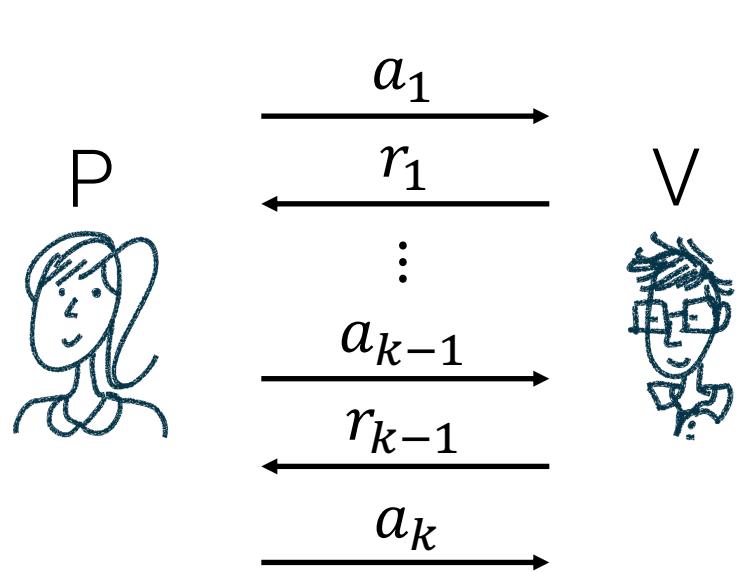
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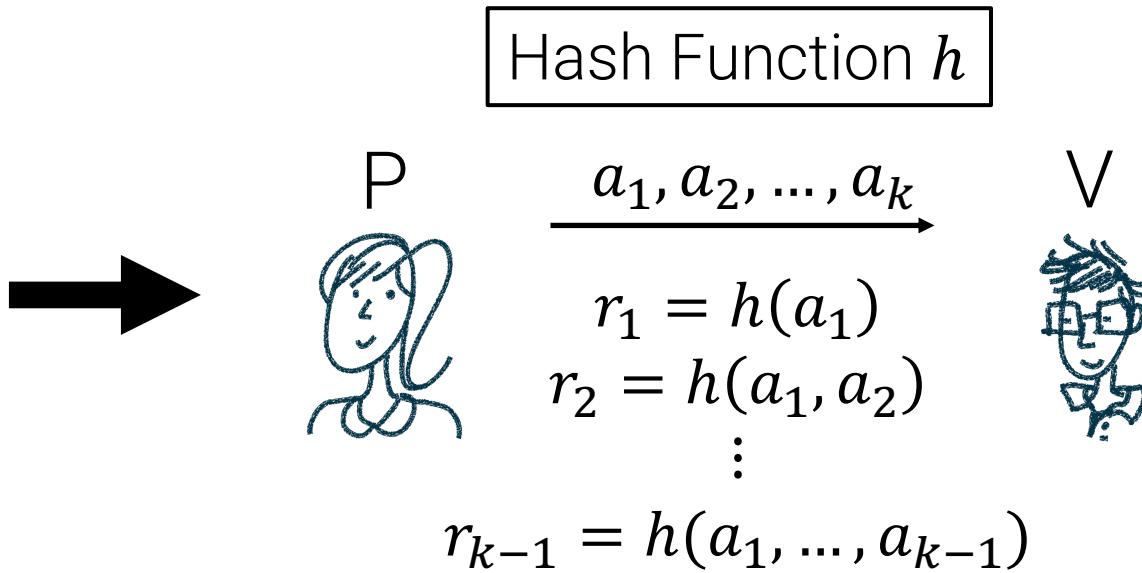


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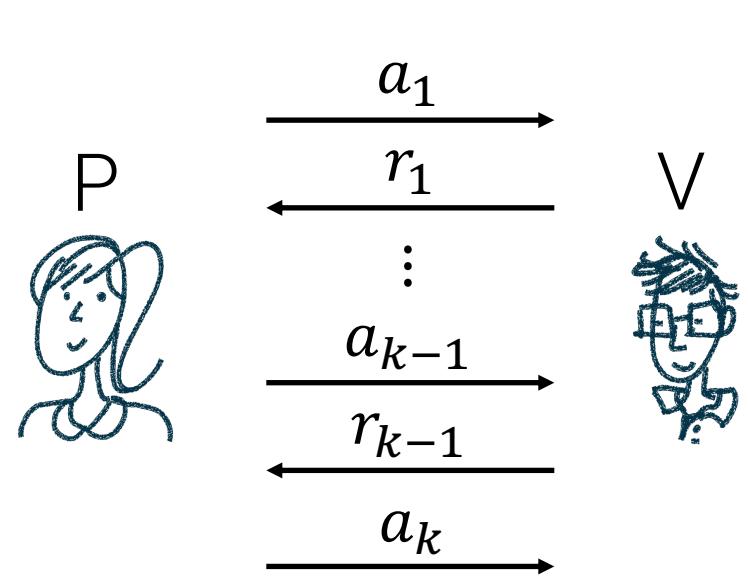
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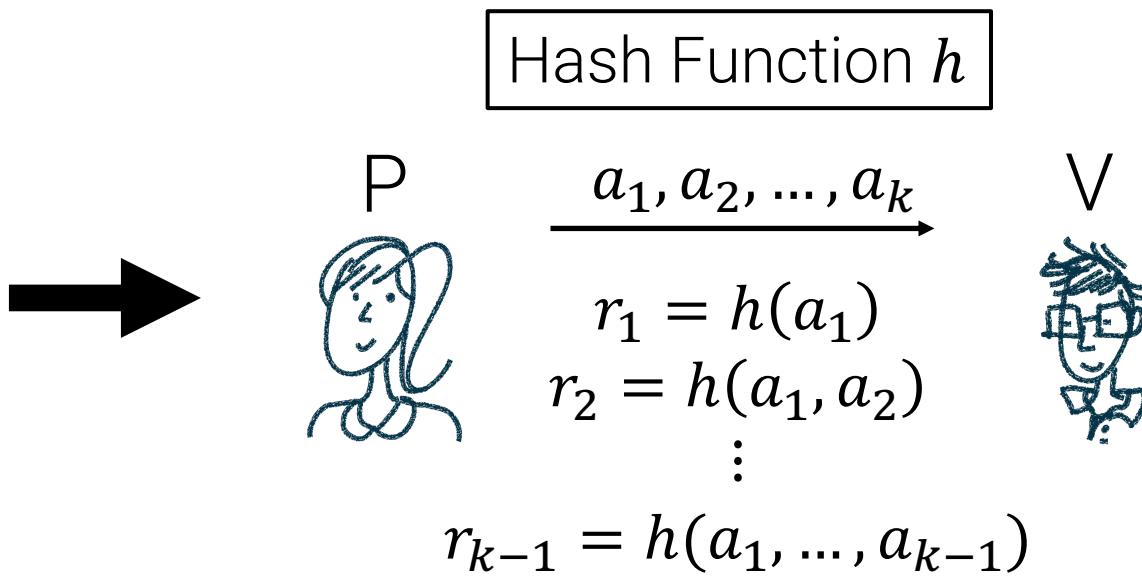
Non-Interactive Argument $FS[\Pi, h]$

Fruitful approach:

Construct *interactive* protocol for desired functionality (e.g., identification, verifiable computation) and apply Fiat-Shamir.



Public-Coin Interactive Protocol Π

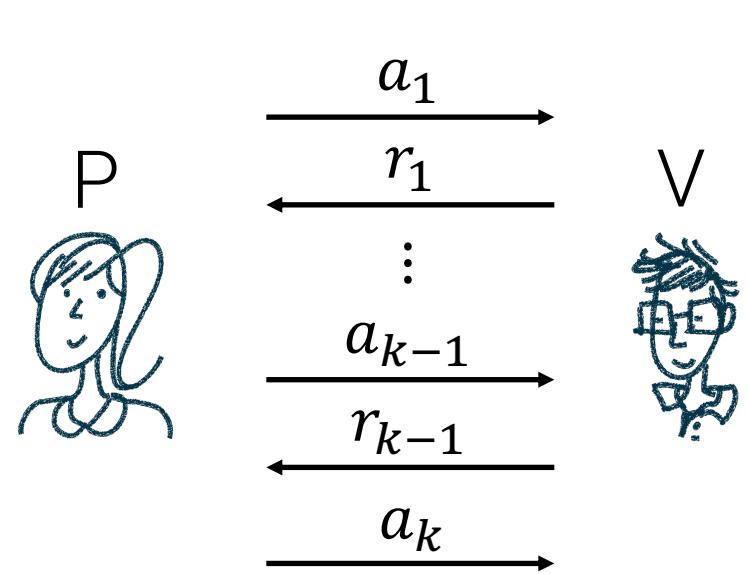


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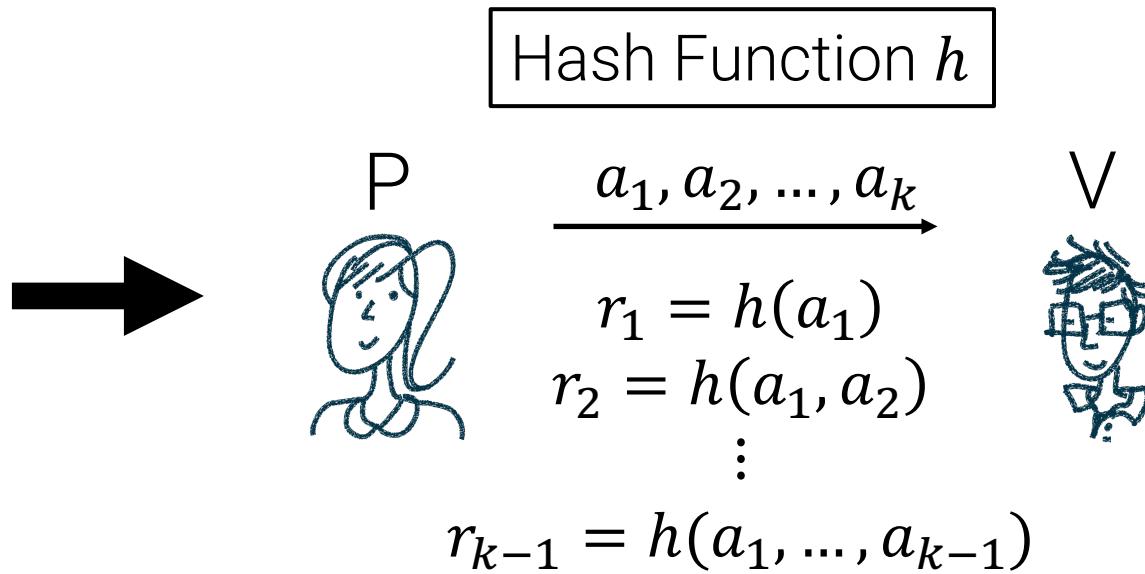
When does Fiat-Shamir preserve soundness?

Intuition: Interactive protocol sound when r_i chosen randomly.

FS hash function h shouldn't let prover obtain "favorable" r_i .



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- 1) h is a random oracle [FS86, BR93, PS96]
- 2) h is correlation intractable [CGH04, CCHLRRW19, PS19, ...]

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This work: Is this necessary?

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Our Results, Part 1

We can compile some [protocols](#) with simple FS hash functions.

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What is a **simple FS hash function**?

Examples:

- $h(x) = \text{BitDecomp}(x)$
- $h(x) = ax + b \ (\text{mod } p)$

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- Schnorr's ID protocol
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Which **protocols**?

- Lyubashevsky's ID protocol  plain model
- Schnorr's ID protocol  generic group model
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Cryptographic FS hash functions are *necessary* to compile many 3-message HVZK arguments.

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Example protocols:

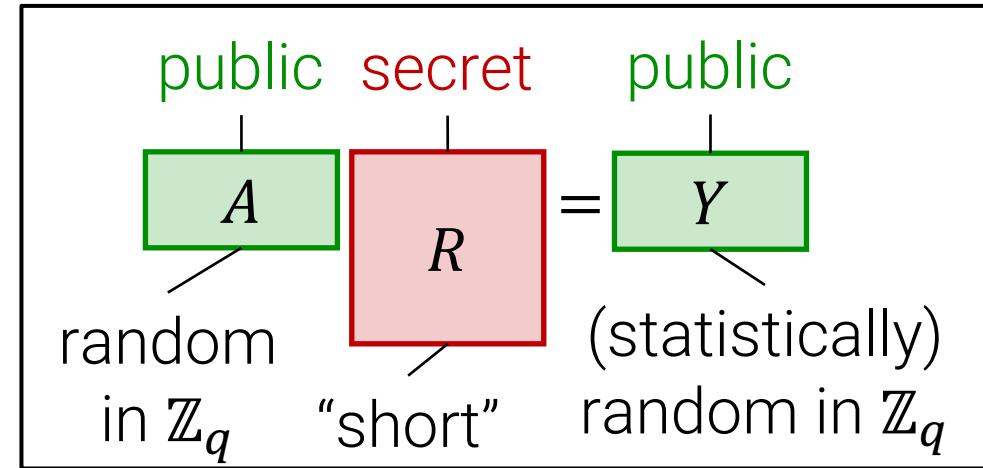
- Blum’s Hamiltonicity protocol (with parallel repetition)
- GMW86 3-Coloring protocol (with parallel repetition)
- 1-bit challenge Schnorr (with parallel repetition)

This talk

- Simple FS compiler for Lyubashevsky's protocol
 - Why some protocols require a cryptographic FS hash function
- (see paper for positive results on Schnorr signatures)

Lyubashevsky's Protocol (recap)

I know R



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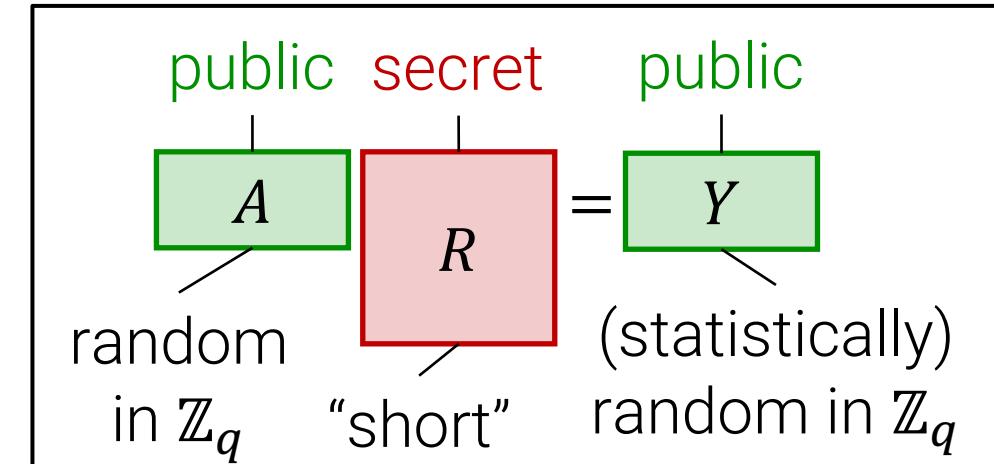
I know R



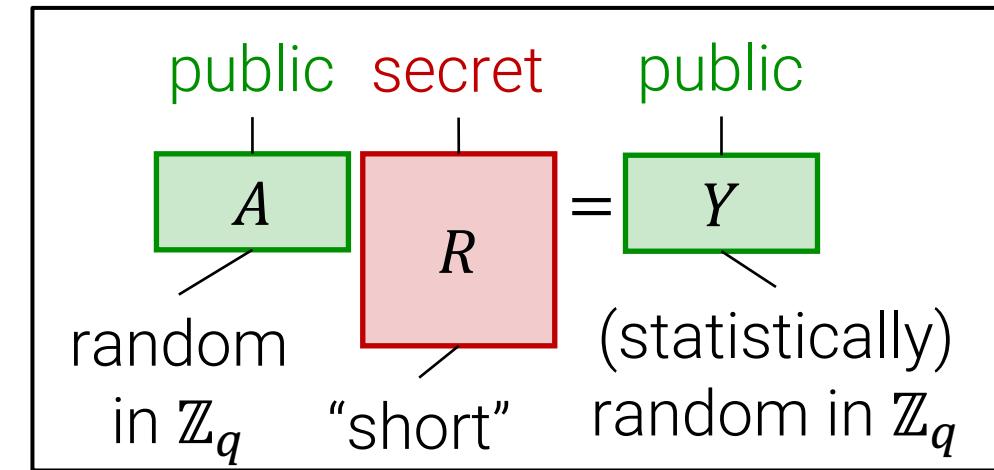
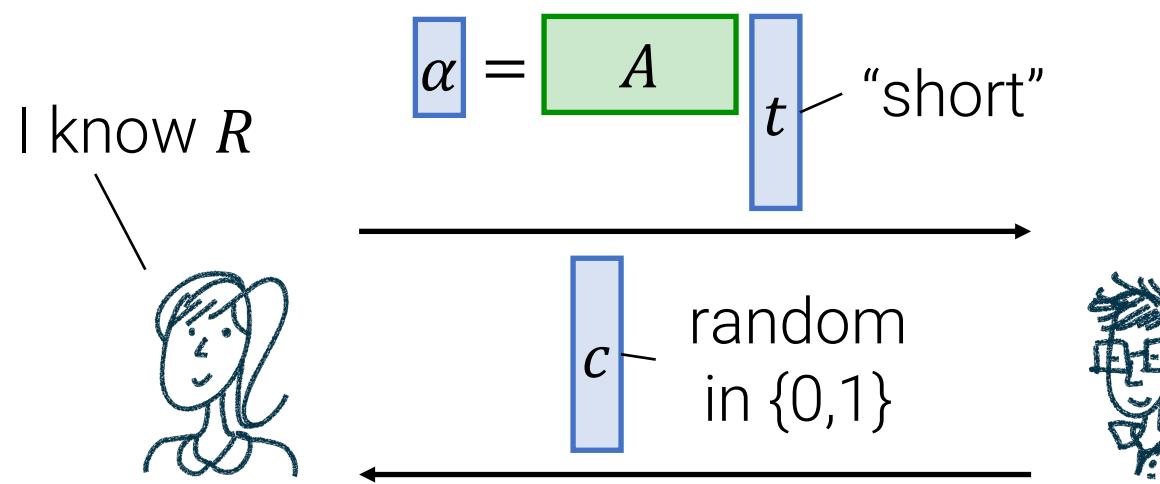
$$\alpha = \boxed{A} \boxed{t}$$

“short”

A diagram showing a horizontal arrow pointing from left to right. Inside the arrow, there is a green box labeled A and a blue box labeled t . A bracket below the arrow is labeled “short”.

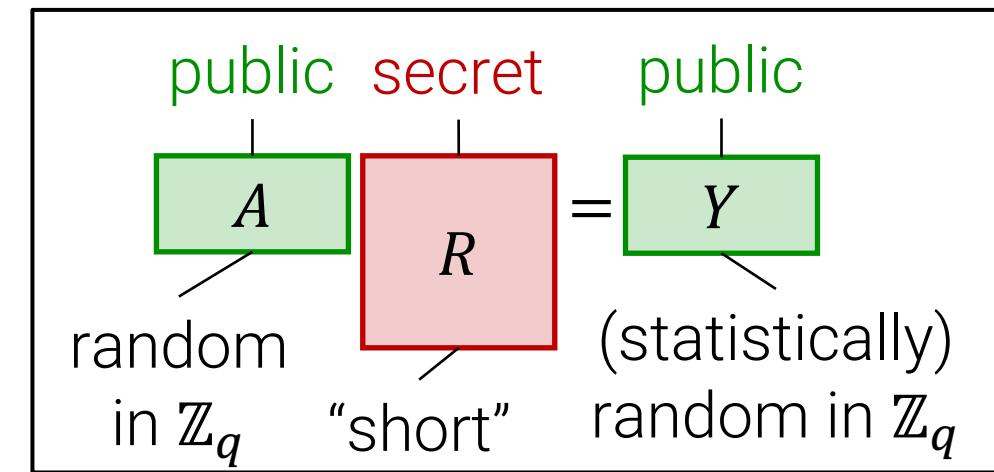
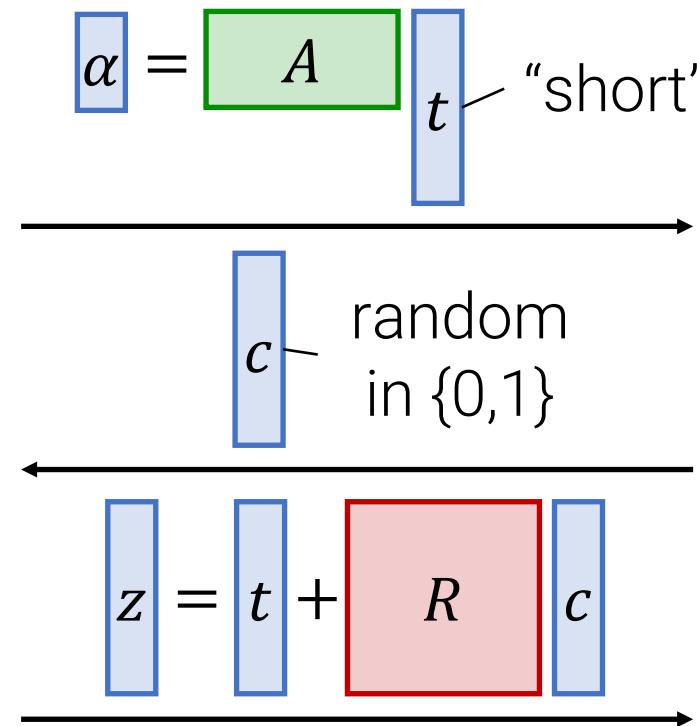


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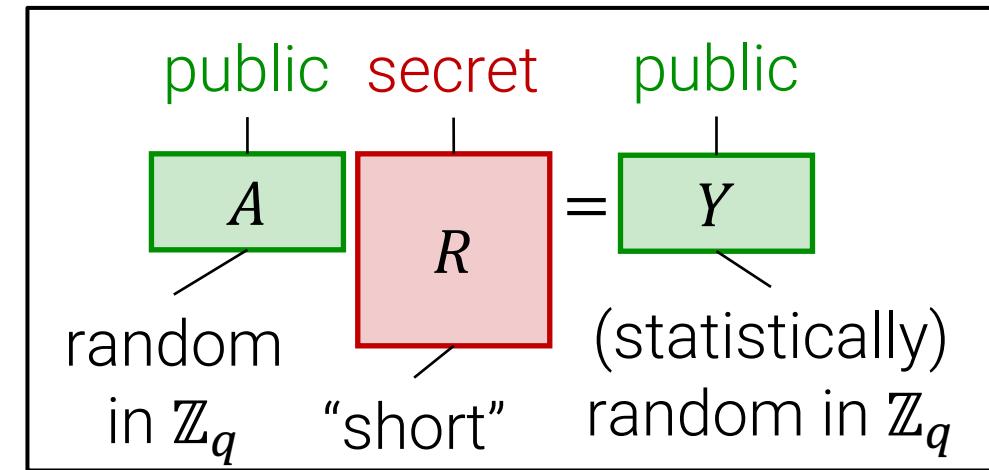
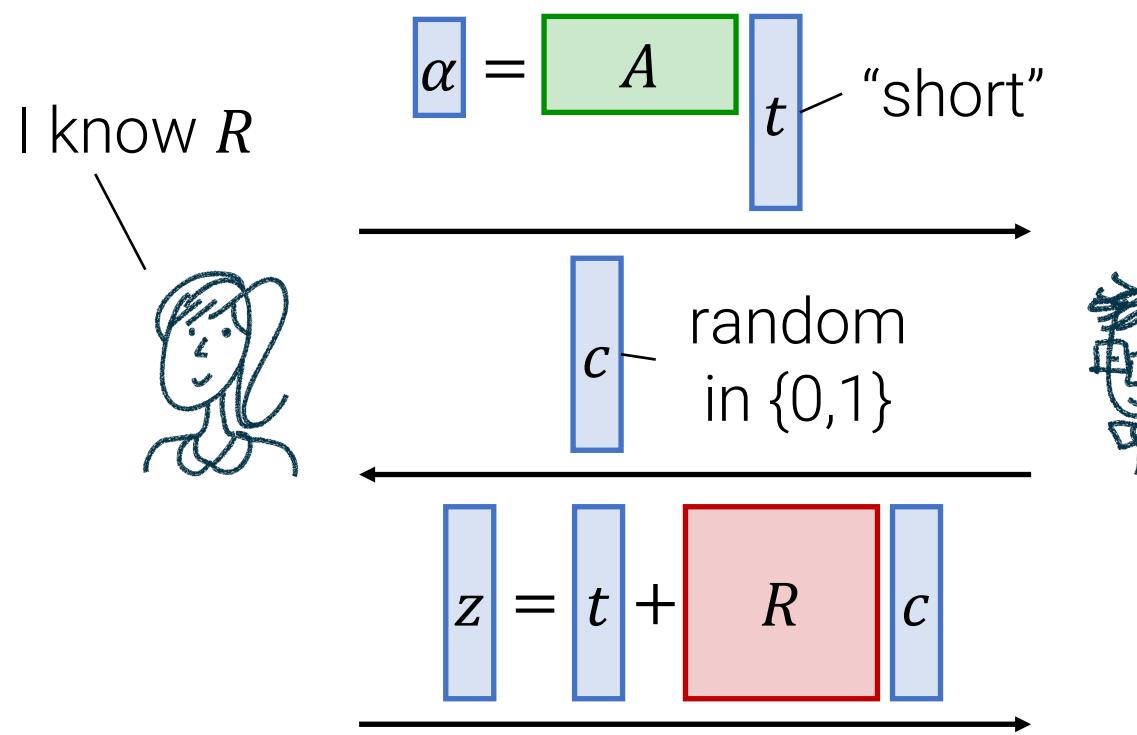


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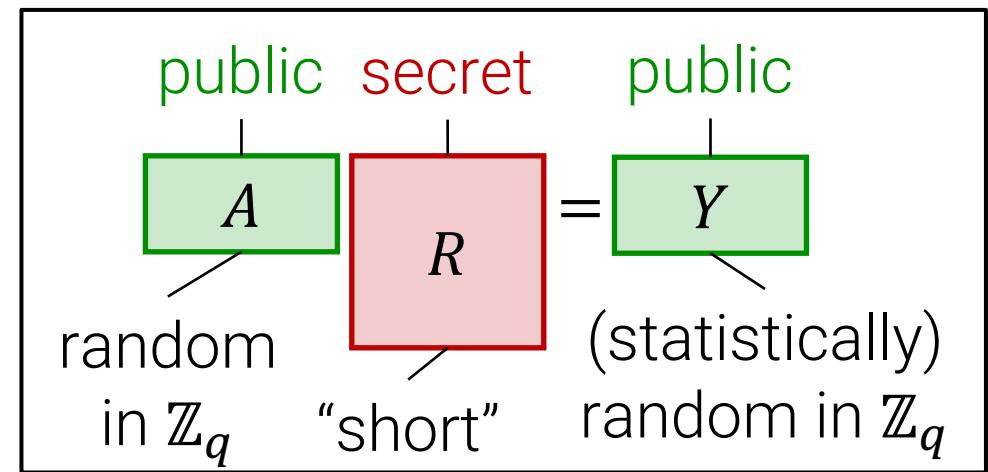
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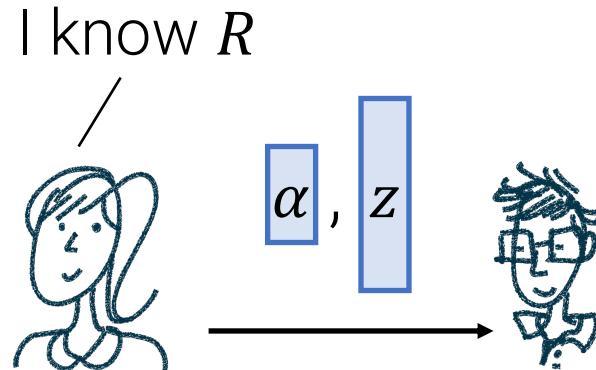


Accept if
 $A \parallel z = \alpha \parallel Y \parallel c$
and z is "short".

Non-Interactive Lyubashevsky

Fiat-Shamir hash function h
outputs binary (short) vectors



- 1) Sample "short" t
- 2) $\alpha = A \parallel t$


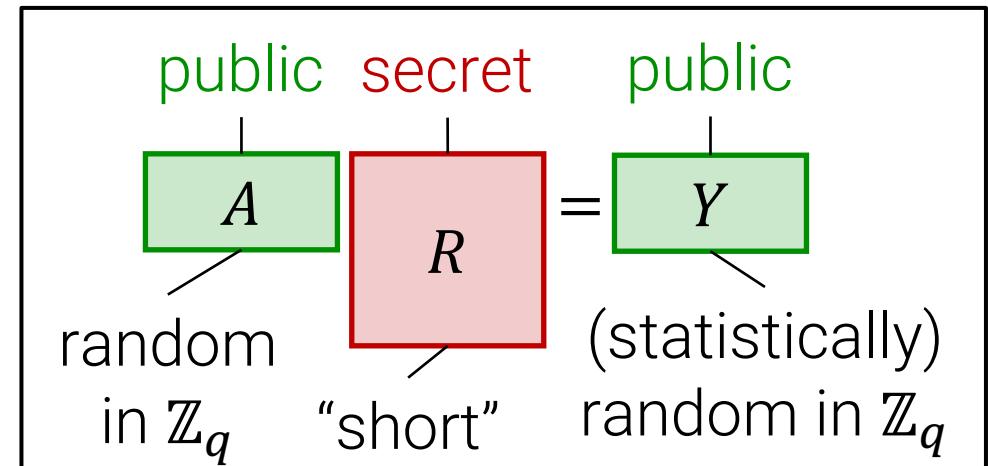
$I \text{ know } R$

α, z

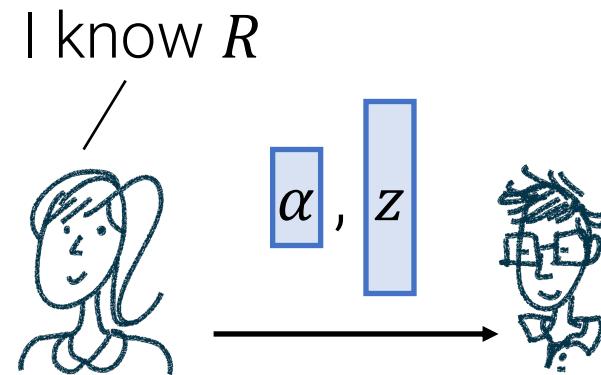
$h(\alpha)$
- 3) $z = t + R$

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 - 2) $\alpha = A t$ $h(\alpha)$
 - 3) $z = t + R$



Accept if z is “short” and:

$$A \underset{z}{=} \alpha + Y \underset{h(\alpha)}{=}$$

For soundness, want h such that it's hard to find α and short z satisfying:

$$A \boxed{z} = \alpha + Y \boxed{h(\alpha)}$$

(verifier accepts)

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$$A \begin{matrix} z \\ \end{matrix} = \alpha + Y \begin{matrix} h(\alpha) \\ \end{matrix}$$

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Key Idea: Pick h such that

$$\alpha = G \begin{matrix} h(\alpha) \\ \end{matrix} \text{ for some matrix } G.$$

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Example: $h(\alpha) = BitDecomp(\alpha)$

$$G = \begin{bmatrix} 1, 2, 4, \dots & & & \\ & 1, 2, 4, \dots & & \\ & & \ddots & \\ & & & 1, 2, 4, \dots \end{bmatrix}.$$

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$$\boxed{\begin{array}{c} A \\ z \end{array}} = \boxed{\alpha} + \boxed{Y} \boxed{h(\alpha)}$$

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rearrange

$$\begin{array}{c|c} -A & G + Y \\ \hline \end{array} \boxed{z} = \boxed{0}$$

$\boxed{h(\alpha)}$

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$$\begin{array}{c} \text{plug in } \alpha \\ \downarrow \\ A \begin{matrix} z \\ \end{matrix} = G \begin{matrix} h(\alpha) \\ \end{matrix} + Y \begin{matrix} h(\alpha) \\ \end{matrix} \\ \text{rearrange} \\ \downarrow \\ -A \begin{matrix} z \\ \end{matrix} + G + Y \begin{matrix} h(\alpha) \\ \end{matrix} = 0 \end{array}$$

Since A and Y are random, finding short $z, h(\alpha)$ amounts to breaking SIS!

Note: the honest prover in non-interactive Lyubashevsky uses short R satisfying $AR = Y$ to compute this SIS solution.

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Fun fact: Lattice trapdoors of [MP12, LW15] arise from applying Fiat-Shamir with the bit-decomposition function to Lyubashevky.

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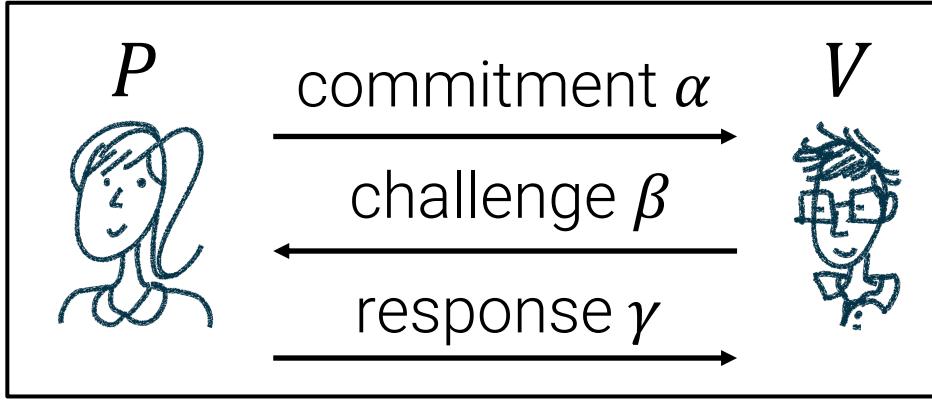
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Two seemingly unrelated approaches to lattice signatures:

- (1) [GPV08]: To sign m , apply a random oracle h , then use lattice trapdoors to compute a “pre-image” of $h(m)$.
- (2) Fiat-Shamir + Lyubashevky.

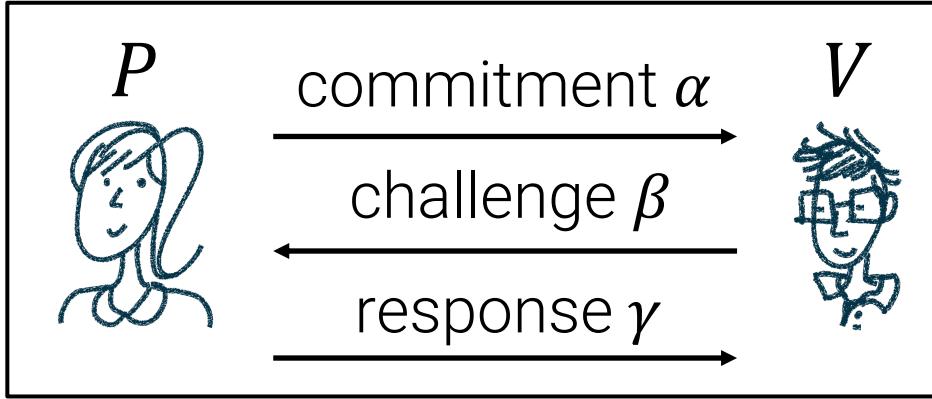
We show: these are equivalent if we instantiate (2) with a bit-decomposition-based FS hash function and use Hash-and-Sign.

Next up: why some protocols *require* a
cryptographic FS hash function



generic sigma protocol Π

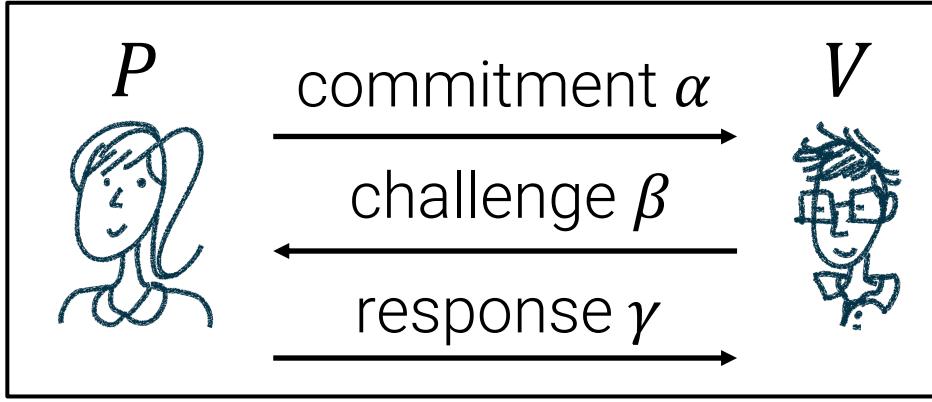
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But in many important protocols [GMR85, Blum86, GMW86, ...],
 $|R|$ is poly and soundness requires parallel repetition.

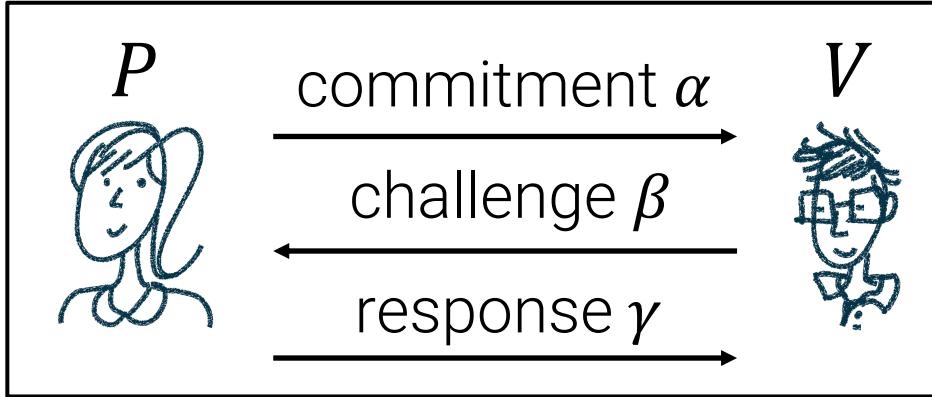


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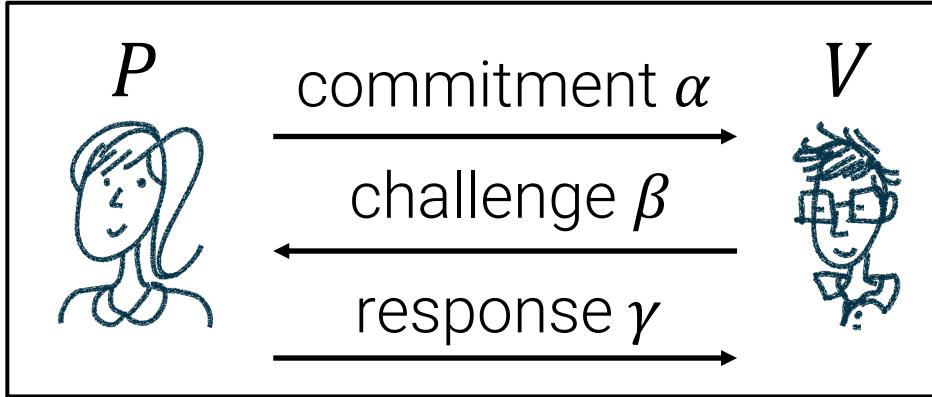
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Bad news: our positive FS results *do not apply* to these protocols!



generic sigma protocol Π

Theorem: For many common sigma protocols Π , FS hash function h for Π^t must be cryptographic.

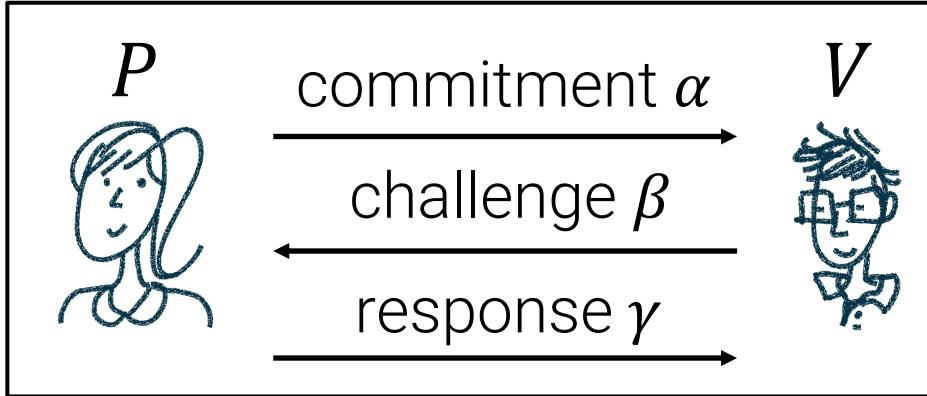


generic sigma protocol Π

Assume:

- β sampled from poly-size R
- α pseudorandom
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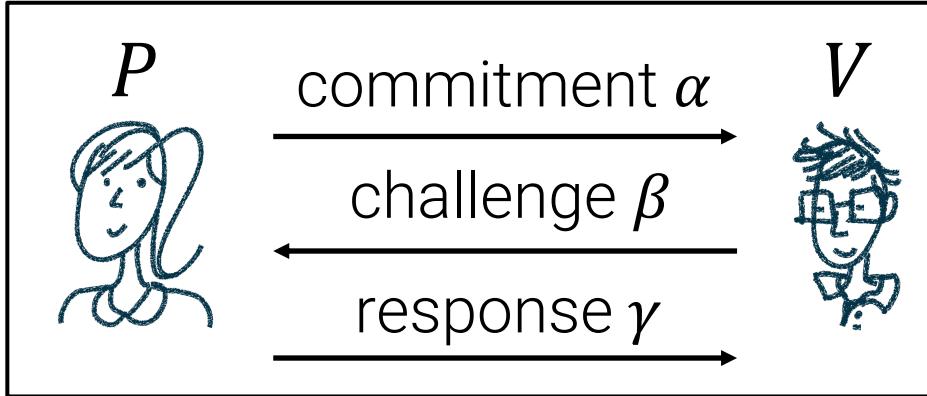
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What does it mean for h to be “cryptographic”?

Informally: can use h to define a challenger-adversary game with a computational/statistical gap.



generic sigma protocol Π

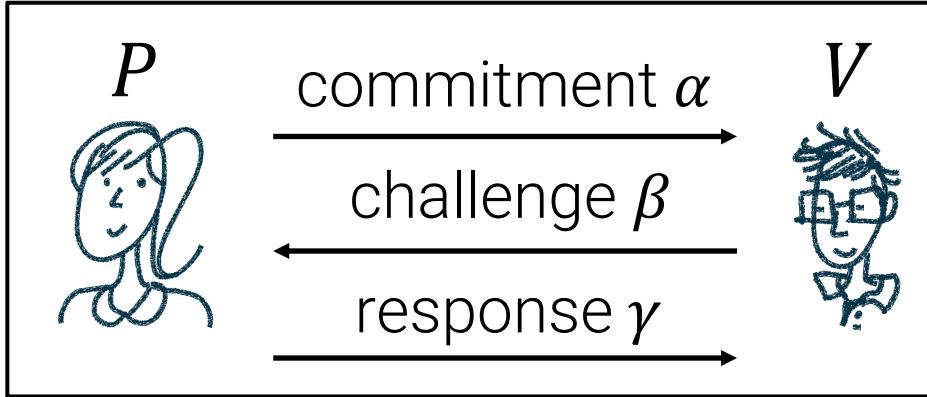
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Proof Idea:

- For any h , define a “mix-and-match” game that an *inefficient* adversary can almost always win.



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Proof Idea:

- For any h , define a “mix-and-match” game that an *inefficient* adversary can almost always win.
- We show: if an *efficient* adversary can win the “mix-and-match” game, it can break $\text{FS}[\Pi^t, h]$.

Mix-and-match game for h .

- (1) Challenger samples random
 $\{\alpha_{i,j}, \beta_{i,j}\}$ for $i \in [t], j \in [k]$.

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t columns

$\alpha_{1,1}$	$\alpha_{2,1}$	$\alpha_{3,1}$	$\alpha_{4,1}$
$\beta_{1,1}$	$\beta_{2,1}$	$\beta_{3,1}$	$\beta_{4,1}$
$\alpha_{1,2}$	$\alpha_{2,2}$	$\alpha_{3,2}$	$\alpha_{4,2}$
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k rows

Mix-and-match game for h .

- (1) Challenger samples random $\{\alpha_{i,j}, \beta_{i,j}\}$ for $i \in [t], j \in [k]$.
- (2) Given $\{\alpha_{i,j}, \beta_{i,j}\}$, adversary **wins** if it finds j_1, \dots, j_t such that $h(\alpha_{1,j_1}, \dots, \alpha_{t,j_t}) = \beta_{1,j_1}, \dots, \beta_{t,j_t}$

t columns

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Mix-and-match game for h .

- (1) Challenger samples random $\{\alpha_{i,j}, \beta_{i,j}\}$ for $i \in [t], j \in [k]$.
- (2) Given $\{\alpha_{i,j}, \beta_{i,j}\}$, adversary **wins** if it finds j_1, \dots, j_t such that $h(\alpha_{1,j_1}, \dots, \alpha_{t,j_t}) = \beta_{1,j_1}, \dots, \beta_{t,j_t}$

t columns

$\alpha_{1,1}$	$\alpha_{2,1}$	$\alpha_{3,1}$	$\alpha_{4,1}$
$\beta_{1,1}$	$\beta_{2,1}$	$\beta_{3,1}$	$\beta_{4,1}$
$\alpha_{1,2}$	$\alpha_{2,2}$	$\alpha_{3,2}$	$\alpha_{4,2}$
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Lemma: If $k = \omega(t)$, solution exists with $1 - \text{negl}(t)$ probability

t columns

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Want to show: given **efficient** attacker in the mix-and-match game for h , can break soundness of $\text{FS}[\Pi^t, h]$:

t columns

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Attack on FS[Π^t, h]

(1) Run HVZK simulator to generate accepting transcripts $\{\alpha_{i,j}, \beta_{i,j}, \gamma_{i,j}\}$

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- (3) Output $\{\alpha_{i,j_i}, \gamma_{i,j_i}\}$ for $i \in [t]$

t columns

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k rows

Why does this work?

- $\{\alpha_{i,j}, \beta_{i,j}\}$ is pseudorandom, so Mix-and-Match attacker succeeds with good probability
- If attacker succeeds, h outputs “correct” $\beta_{1,j_1}, \dots, \beta_{t,j_t}$.

In summary, Fiat-Shamir *sometimes* requires a cryptographic FS hash function.

- For certain protocols (Lyubashevsky, Schnorr, Chaum-Pedersen), simple FS hash functions can be enough.
- But for many classic protocols such as [GMR85,Blum86,GMW86], cryptographic FS hash functions are necessary.

eprint: 2020/915

drawings by Eysa Lee