Efficient Key Recovery for all HFE Signature Variants

Chengdong Tao, Albrecht Petzoldt, Jintai Ding

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Multivariate Cryptography

Public Key: System of multivariate quadratic polynomials

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\begin{aligned}
p^{(1)}(x_1,\ldots,x_n) &= \sum_{i=1}^{n}\sum_{j=i}^{n} p_{ij}^{(1)} \cdot x_i x_j \quad + \quad \sum_{i=1}^{n} p_i^{(1)} \cdot x_i + p_0^{(1)} \\
p^{(2)}(x_1,\ldots,x_n) &= \sum_{i=1}^{n}\sum_{j=i}^{n} p_{ij}^{(2)} \cdot x_i x_j \quad + \quad \sum_{i=1}^{n} p_i^{(2)} \cdot x_i + p_0^{(2)} \\
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Security based on the

Problem MQ: Given m multivariate quadratic polynomials $p^{(1)}(\mathbf{x}),\ldots,p^{(m)}(\mathbf{x})$, find a vector $\bar{\mathbf{x}} = (\bar{x}_1,\ldots,\bar{x}_n)$ such that $p^{(1)}(\bar{\mathbf{x}}) = \ldots = p^{(m)}(\bar{\mathbf{x}}) = 0$.

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- Extension field $\mathbb{F}_{q^n}$

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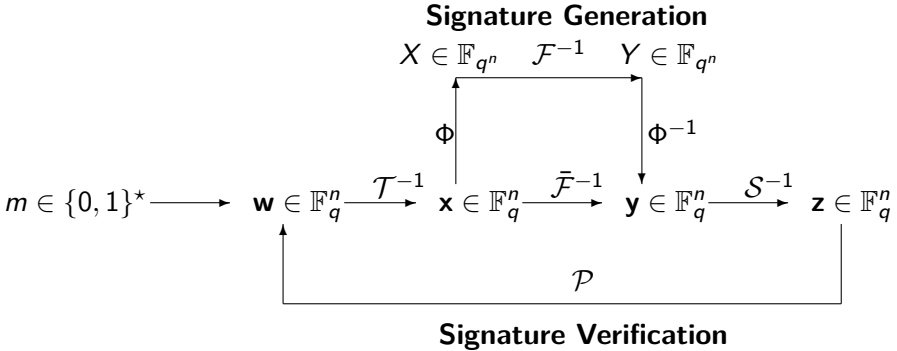
BigField Signature Schemes



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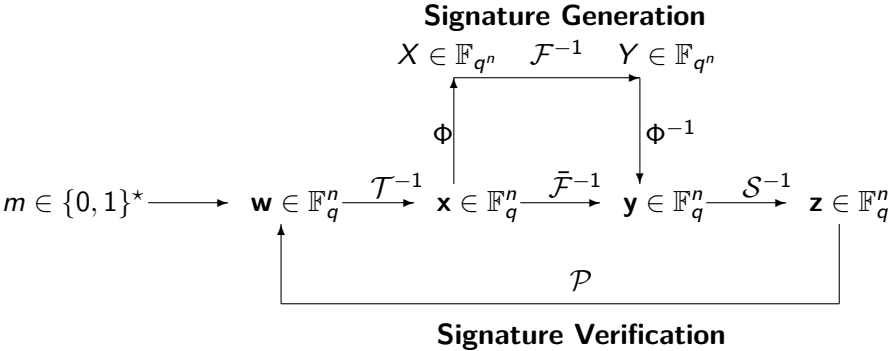

Signature Generation: Given: message $m \in \{0,1\}^\star$, private key $\mathcal{S}, \mathcal{F}, \mathcal{T}$
compute recursively $\mathbf{w} = \mathcal{H}(m)$, $\mathbf{x} = \mathcal{T}^{-1}(\mathbf{w})$, $X = \Phi(\mathbf{x})$, $Y = \mathcal{F}^{-1}(X)$, $\mathbf{y} = \Phi^{-1}(Y)$ and $\mathbf{z} = \mathcal{S}^{-1}(\mathbf{y})$

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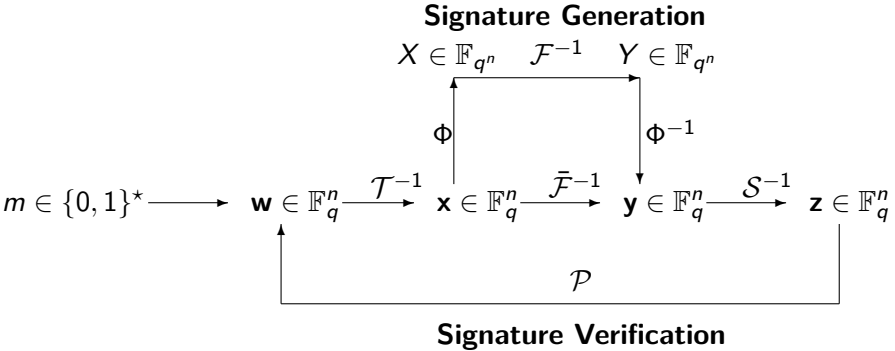

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Signature Verification: Given: message $m \in \{0,1\}^\star$, signature $\mathbf{z} \in \mathbb{F}_q^n$, public key $\mathcal{P}$
check if $\mathcal{P}(\mathbf{z}) = \mathcal{H}(m)$

HFEv$^-$ - Key Generation

- BigField + Minus Modification + Vinegar Variation
- central map $\mathcal{F} : \mathbb{F}_q^v \times \mathbb{F}_{q^n} \to \mathbb{F}_{q^n}$,

$$\mathcal{F}(X) = \sum_{\substack{0 \le i \le j \\ q^i + q^j \le D}} \alpha_{ij} X^{q^i + q^j} + \sum_{\substack{i=0 \\ q^i \le D}} \beta_i(v_1, \ldots, v_v) \cdot X^{q^i} + \gamma(v_1, \ldots, v_v)$$

  $\Rightarrow \bar{\mathcal{F}} = \Phi^{-1} \circ \mathcal{F} \circ \Phi$ quadratic map from $\mathbb{F}_q^n$ to $\mathbb{F}_q^n$
- linear maps $\mathcal{T} : \mathbb{F}_q^n \to \mathbb{F}_q^{n-a}$ and $\mathcal{S} : \mathbb{F}_q^{n+v} \to \mathbb{F}_q^{n+v}$ of maximal rank
- **Public key**: $\mathcal{P} = \mathcal{T} \circ \bar{\mathcal{F}} \circ \mathcal{S} : \mathbb{F}_q^{n+v} \to \mathbb{F}_q^{n-a}$
- **Private key**: $\mathcal{S}$, $\mathcal{F}$, $\mathcal{T}$

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1. Compute $\mathbf{w} = \mathcal{H}(m) \in \mathbb{F}_q^{n-a}$
2. Compute $\mathbf{x} = \mathcal{T}^{-1}(\mathbf{w}) \in \mathbb{F}_q^n$ and $X = \Phi(\mathbf{x}) \in \mathbb{F}_{q^n}$
3. Choose random values for the vinegar variables $v_1, \ldots, v_v$
   Solve $\mathcal{F}_{v_1,\ldots,v_v}(Y) = X$ over $\mathbb{F}_{q^n}$ via Berlekamps algorithm
4. Compute $\mathbf{y} = \Phi^{-1}(Y) \in \mathbb{F}_q^n$ and $\mathbf{z} = \mathcal{S}^{-1}(\mathbf{y}||v_1||\ldots||v_v)$

Signature: $\mathbf{z} \in \mathbb{F}_q^{n+v}$.

Signature Verification

Given: message $m \in \{0,1\}^\star$, signature $\mathbf{z} \in \mathbb{F}_q^{n+v}$, public key $\mathcal{P}$

1. Compute $\mathbf{w} = \mathcal{H}(m) \in \mathbb{F}_q^{n-a}$
2. Compute $\mathbf{w}' = \mathcal{P}(\mathbf{z}) \in \mathbb{F}_q^{n-a}$
3. Accept the signature $\mathbf{z} \Leftrightarrow \mathbf{w}' = \mathbf{w}$.

Previous Attacks against HFE

- direct attack (signature forgery)
  degree of regularity is bounded by

$$\begin{cases} \frac{(q-1)(d+v+a-1)}{2} + 2 & \text{if } q \text{ is even and } d+a \text{ is odd,} \\ \frac{(q-1)(d+v+a)}{2} + 2 & \text{otherwise.} \end{cases}$$

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We propose a MinRank style attack against all HFE signature variants.
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Preliminaries

- We use the matrix representation of the HFE central map, i.e.

$$F(X, x_1, \ldots, x_v) = (X, X^q, \ldots, X^{q^{n-1}}, x_1, \ldots, x_v) F^{*0} (X, X^q, \ldots, X^{q^{n-1}}, x_1, \ldots, x_v)^t \text{ with}$$

$$F^{*0} = \begin{pmatrix} \alpha_{00} & \alpha_{01} & \cdots & \alpha_{0,n-1} & \gamma_{00} & \gamma_{01} & \cdots & \gamma_{0,v-1} \\ \alpha_{10} & \alpha_{11} & \cdots & \alpha_{1,n-1} & \gamma_{10} & \gamma_{11} & \cdots & \gamma_{1,v-1} \\ \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \ddots & \vdots \\ \alpha_{n-1,0} & \alpha_{n-1,1} & \cdots & \alpha_{n-1,n-1} & \gamma_{n-1,0} & \gamma_{n-1,1} & \cdots & \gamma_{n-1,v-1} \\ \beta_{00} & \beta_{01} & \cdots & \beta_{0,n-1} & \delta_{00} & \delta_{01} & \cdots & \delta_{0,v-1} \\ \beta_{10} & \beta_{11} & \cdots & \beta_{1,n-1} & \delta_{10} & \delta_{11} & \cdots & \delta_{1,v-1} \\ \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \ddots & \vdots \\ \beta_{v-1,0} & \beta_{v-1,1} & \cdots & \beta_{v-1,n-1} & \delta_{v-1,0} & \delta_{v-1,1} & \cdots & \delta_{v-1,v-1} \end{pmatrix}$$

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$\Rightarrow$ We get

$$F^{q^k}(X, x_1, \ldots, x_v) = (X, X^q, \ldots, X^{q^{n-1}}, x_1, \ldots, x_v) F^{*k} (X, X^q, \ldots, X^{q^{n-1}}, x_1, \ldots, x_v)^t,$$

Preliminaries (2)

- We use a morphism $\Phi : \mathbb{F}_{q^n} \to \mathbb{F}_q^n$ given by the matrix

$$M = \begin{pmatrix} 1 & 1 & \cdots & 1 \\ \theta & \theta^q & \cdots & \theta^{q^{n-1}} \\ \vdots & \vdots & \ddots & \vdots \\ \theta^{n-1} & (\theta^{n-1})^q & \cdots & (\theta^{n-1})^{q^{n-1}} \end{pmatrix},$$

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- In order to cover the Vinegar variables, we define

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- We can show

Lemma

The rank of the matrix $Q = W^t \cdot \begin{pmatrix} \mathbf{a}_0 \\ \vdots \\ \mathbf{a}_{n-1} \end{pmatrix}$ *is at most* $d = \lceil \log_q(D) \rceil$.

In particular, we have

$$\begin{pmatrix} \mathbf{a}_0 \\ \mathbf{a}_1 \\ \cdots \\ \mathbf{a}_{n-1} \end{pmatrix} = \begin{pmatrix} A_1 \\ 0 \\ A_2 \end{pmatrix},$$

Recovering $\mathcal{S}$ (2)

- From the Lemma we directly follow

Theorem

Let $\mathbf{u} = (u_0, u_1, \cdots, u_{n+v-1})$ *be the first row of* U *and* $\mathbf{b}_i = (u_0, u_1, \ldots, u_{n+v-1})P_i$, $(i = 0, 1, \ldots, n-a)$. *Define* $Z \in \mathcal{M}_{(n-a)\times(n+v)}(\mathbb{F}_{q^n})$ *as the matrix whose row vectors are the* $\mathbf{b}_i$. *Then the rank of* Z *is at most* d.

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Lemma

Let A *be an* $m \times n$ *matrix over* $\mathbb{F}_q$ *and* $B = [b_{ij}] = M^{-1}A$. *Then we have*

$$b_{ij} = b_{i-1,j}^q, \quad \text{for all } i, j, \text{ with } 0 \leq i < n, 0 \leq j < m.$$

i.e. the matrix B is determined by its first row.

Recovering $\mathcal{S}$ - Summary

- Since we have $U = \widetilde{M}^{-1}S^{-1}$, it's enough to find U.
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- The remaining rows of U can be chosen at random such that U is invertible

Recovering S - The Algorithm

Input: HFEv- parameters (q, n, v, D, a), matrices $(P_0, \cdots, P_{n-a-1})$, matrix $\widetilde{M}$

Output: Equivalent linear transformation S.

1: Set $\mathbf{b}_i = (1, u_1, \cdots, u_{n+v-1})P_i$, $0 \leq i < n-a$, where $(u_1, \cdots, u_{n+v-1})$ are unknowns.

2: Construct a matrix Z whose row vectors are $\mathbf{b}_i$, $0 \leq i < n-a$.

3: Solve a MinRank problem for Z to find the unknowns $u_1, \cdots u_{n+v-1}$.

4: Set $U = \begin{pmatrix} 1 & u_1 & \cdots & u_{n+v-1} \\ 1 & u_1^q & \cdots & u_{n+v-1}^q \\ \vdots & \vdots & \ddots & \vdots \\ 1 & u_1^{q^{n-1}} & \cdots & u_{n+v-1}^{q^{n-1}} \\ r_{00} & r_{01} & \cdots & r_{0,n+v-1} \\ \vdots & \vdots & \ddots & \vdots \\ r_{v-1,0} & r_{01} & \cdots & r_{0,n+v-1} \end{pmatrix}$,

5: Compute $S' = (\widetilde{M}U)^{-1}$.

6: **return** S'.

Recovering $\mathcal{F}$ and $\mathcal{T}$

- We can show

Lemma

As soon as U is known, we can recover F^{*0} by solving a determined linear system with $n-a-1$ variables, $(d+a)\cdot(n+v)$ additional linear equations in at most $d+v$ variables, and $\binom{v+1}{2}$ univariate polynomial equations of degree q^d.

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- We can use F^{*0} to compute all F^{*i} $(i = 1, \ldots, n-1)$
- Furthermore we get

Lemma

As soon as the matrices $F^{*j}(0 \leq j < n)$ are known, $\mathcal{T}$ can be recovered by solving $n-a$ linear equations in n variables.

Complexity of the Attack

- Most costly Step: Solution of the MinRank problem (recovering U)
- Two Possibilities
- Minors Modelling: Degree of Regularity in F_4: $d+1$

$$\mathcal{O}\left(\binom{n+v+d+1}{d+1}^{\omega}\right),$$

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- Support Minors Modelling
  we don't have a unique solution of the MinRank Problem $\Rightarrow$ We solve the system by F_4
  Experiments $\Rightarrow$ degree of regularity 3

$$\mathcal{O}\left((n+v)^2\binom{2d+2}{d}+(n+v)\binom{2d+2}{d}^2\right)^{\omega}$$

Application to GeMMS

NIST security category		parameters (q, n, v, D, a)	required security level	our attack using minors modeling	our attack using support minors modeling
I	GeMSS128	(2,174,12,513,12)	143	139	118
	BlueGeMSS128	(2,175,14,129,13)		119	99
	RedGeMSS128	(2,177,15,17,15)		86	72
II	GeMSS192	(2,265,20,513,22)	207	154	120
	BlueGeMSS192	(2,265,23,129,22)		132	101
	RedGeMSS192	(2,266,25,17,23)		95	75
III	GeMSS256	(2,354,33,513,30)	272	166	121
	BlueGeMSS256	(2,358,32,129,34)		141	103
	RedGeMSS256	(2,358,35,17,34)		101	76

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The Techniques used in GeMMS don't suffice to create a HFE based signature scheme which is both efficient and reaches high levels of security

Conclusion

We proposed a new MinRank type attack against HFE signature variants. The complexity is

- exponential in d
- polynomial in v
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We proposed a new MinRank type attack against HFE signature variants. The complexity is

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Consequences

- We can't speed up the scheme by decreasing d while increasing a and v
- For high levels of security we need a large d

$\Rightarrow$ Can we build an HFE based signature scheme which is both efficient and offers a high level of security?