



Immanuel Kant
Baltic Federal University

TU/e
EINDHOVEN
UNIVERSITY OF
TECHNOLOGY

Lower bounds on lattice sieving and information set decoding

Elena Kirshanova, Thijs Laarhoven

`mail@thijs.com`
`https://www.thijs.com/`

Crypto 2021, virtual
(August 17, 2021)

Abstract

- **Post-quantum cryptography:** Lattice-based, code-based cryptography.

Abstract

- **Post-quantum cryptography:** Lattice-based, code-based cryptography.
 - ▶ NIST standardization: Dominated by lattices and codes.

Abstract

- **Post-quantum cryptography:** Lattice-based, code-based cryptography.
 - ▶ NIST standardization: Dominated by lattices and codes.
 - ▶ Security relies on hardness of lattice problems, decoding problems.

Abstract

- **Post-quantum cryptography:** Lattice-based, code-based cryptography.
 - ▶ NIST standardization: Dominated by lattices and codes.
 - ▶ Security relies on hardness of lattice problems, decoding problems.
 - ▶ Long-term security \implies Conservative bounds.

Abstract

- **Post-quantum cryptography:** Lattice-based, code-based cryptography.
 - ▶ NIST standardization: Dominated by lattices and codes.
 - ▶ Security relies on hardness of lattice problems, decoding problems.
 - ▶ Long-term security \implies Conservative bounds.
- **State-of-the-art cryptanalysis:** Lattice sieving, information set decoding.

Abstract

- **Post-quantum cryptography:** Lattice-based, code-based cryptography.
 - ▶ NIST standardization: Dominated by lattices and codes.
 - ▶ Security relies on hardness of lattice problems, decoding problems.
 - ▶ Long-term security \implies Conservative bounds.
- **State-of-the-art cryptanalysis:** Lattice sieving, information set decoding.
 - ▶ Sample large database of “long” vectors.

Abstract

- **Post-quantum cryptography:** Lattice-based, code-based cryptography.
 - ▶ NIST standardization: Dominated by lattices and codes.
 - ▶ Security relies on hardness of lattice problems, decoding problems.
 - ▶ Long-term security \implies Conservative bounds.
- **State-of-the-art cryptanalysis:** Lattice sieving, information set decoding.
 - ▶ Sample large database of “long” vectors.
 - ▶ Combine “nearby” vectors to obtain shorter vectors.

Abstract

- **Post-quantum cryptography:** Lattice-based, code-based cryptography.
 - ▶ NIST standardization: Dominated by lattices and codes.
 - ▶ Security relies on hardness of lattice problems, decoding problems.
 - ▶ Long-term security \implies Conservative bounds.
- **State-of-the-art cryptanalysis:** Lattice sieving, information set decoding.
 - ▶ Sample large database of “long” vectors.
 - ▶ Combine “nearby” vectors to obtain shorter vectors.
 - ▶ Repeat until sufficiently “short” vectors are found.

Abstract

- **Post-quantum cryptography:** Lattice-based, code-based cryptography.
 - ▶ NIST standardization: Dominated by lattices and codes.
 - ▶ Security relies on hardness of lattice problems, decoding problems.
 - ▶ Long-term security \implies Conservative bounds.
- **State-of-the-art cryptanalysis:** Lattice sieving, information set decoding.
 - ▶ Sample large database of “long” vectors.
 - ▶ Combine “nearby” vectors to obtain shorter vectors.
 - ▶ Repeat until sufficiently “short” vectors are found.
- **Closest pairs problem:** Key subroutine for efficiently combining vectors.

Abstract

- **Post-quantum cryptography:** Lattice-based, code-based cryptography.
 - ▶ NIST standardization: Dominated by lattices and codes.
 - ▶ Security relies on hardness of lattice problems, decoding problems.
 - ▶ Long-term security \implies Conservative bounds.
- **State-of-the-art cryptanalysis:** Lattice sieving, information set decoding.
 - ▶ Sample large database of “long” vectors.
 - ▶ Combine “nearby” vectors to obtain shorter vectors.
 - ▶ Repeat until sufficiently “short” vectors are found.
- **Closest pairs problem:** Key subroutine for efficiently combining vectors.
 - ▶ Subroutine dominates overall algorithm complexities.

Abstract

- **Post-quantum cryptography:** Lattice-based, code-based cryptography.
 - ▶ NIST standardization: Dominated by lattices and codes.
 - ▶ Security relies on hardness of lattice problems, decoding problems.
 - ▶ Long-term security \implies Conservative bounds.
- **State-of-the-art cryptanalysis:** Lattice sieving, information set decoding.
 - ▶ Sample large database of “long” vectors.
 - ▶ Combine “nearby” vectors to obtain shorter vectors.
 - ▶ Repeat until sufficiently “short” vectors are found.
- **Closest pairs problem:** Key subroutine for efficiently combining vectors.
 - ▶ Subroutine dominates overall algorithm complexities.
 - ▶ Naive approach: Quadratic for pairs of vectors.

Abstract

- **Post-quantum cryptography:** Lattice-based, code-based cryptography.
 - ▶ NIST standardization: Dominated by lattices and codes.
 - ▶ Security relies on hardness of lattice problems, decoding problems.
 - ▶ Long-term security \implies Conservative bounds.
- **State-of-the-art cryptanalysis:** Lattice sieving, information set decoding.
 - ▶ Sample large database of “long” vectors.
 - ▶ Combine “nearby” vectors to obtain shorter vectors.
 - ▶ Repeat until sufficiently “short” vectors are found.
- **Closest pairs problem:** Key subroutine for efficiently combining vectors.
 - ▶ Subroutine dominates overall algorithm complexities.
 - ▶ Naive approach: Quadratic for pairs of vectors.
 - ▶ Hash-based approaches: Subquadratic time complexity.

Abstract

- **Post-quantum cryptography:** Lattice-based, code-based cryptography.
 - ▶ NIST standardization: Dominated by lattices and codes.
 - ▶ Security relies on hardness of lattice problems, decoding problems.
 - ▶ Long-term security \implies Conservative bounds.
- **State-of-the-art cryptanalysis:** Lattice sieving, information set decoding.
 - ▶ Sample large database of “long” vectors.
 - ▶ Combine “nearby” vectors to obtain shorter vectors.
 - ▶ Repeat until sufficiently “short” vectors are found.
- **Closest pairs problem:** Key subroutine for efficiently combining vectors.
 - ▶ Subroutine dominates overall algorithm complexities.
 - ▶ Naive approach: Quadratic for pairs of vectors.
 - ▶ Hash-based approaches: Subquadratic time complexity.
 - ▶ No matching lower bounds \implies Improvements still possible?

- **Closest pairs problem:** Key subroutine for efficiently combining vectors.
 - ▶ Subroutine dominates overall algorithm complexities.
 - ▶ Naive approach: Quadratic for pairs of vectors.
 - ▶ Hash-based approaches: Subquadratic time complexity.
 - ▶ No matching lower bounds \implies Improvements still possible?

Abstract

- **Closest pairs problem:** Key subroutine for efficiently combining vectors.
 - ▶ Subroutine dominates overall algorithm complexities.
 - ▶ Naive approach: Quadratic for pairs of vectors.
 - ▶ Hash-based approaches: Subquadratic time complexity.
 - ▶ No matching lower bounds \implies Improvements still possible?

Abstract

- **Closest pairs problem:** Key subroutine for efficiently combining vectors.
 - ▶ Subroutine dominates overall algorithm complexities.
 - ▶ Naive approach: Quadratic for pairs of vectors.
 - ▶ Hash-based approaches: Subquadratic time complexity.
 - ▶ No matching lower bounds \implies Improvements still possible?
- **Contributions:** Lower bounds for corresponding nearest neighbor problems.

Abstract

- **Closest pairs problem:** Key subroutine for efficiently combining vectors.
 - ▶ Subroutine dominates overall algorithm complexities.
 - ▶ Naive approach: Quadratic for pairs of vectors.
 - ▶ Hash-based approaches: Subquadratic time complexity.
 - ▶ No matching lower bounds \implies Improvements still possible?
- **Contributions:** Lower bounds for corresponding nearest neighbor problems.
 - ▶ Conditional: Applies to “hash-based” model.

Abstract

- **Closest pairs problem:** Key subroutine for efficiently combining vectors.
 - ▶ Subroutine dominates overall algorithm complexities.
 - ▶ Naive approach: Quadratic for pairs of vectors.
 - ▶ Hash-based approaches: Subquadratic time complexity.
 - ▶ No matching lower bounds \implies Improvements still possible?
- **Contributions:** Lower bounds for corresponding nearest neighbor problems.
 - ▶ Conditional: Applies to “hash-based” model.
 - ▶ Tight lower bound for lattice sieving \implies [BDGL16] optimal.

Abstract

- **Closest pairs problem:** Key subroutine for efficiently combining vectors.
 - ▶ Subroutine dominates overall algorithm complexities.
 - ▶ Naive approach: Quadratic for pairs of vectors.
 - ▶ Hash-based approaches: Subquadratic time complexity.
 - ▶ No matching lower bounds \implies Improvements still possible?
- **Contributions:** Lower bounds for corresponding nearest neighbor problems.
 - ▶ Conditional: Applies to “hash-based” model.
 - ▶ Tight lower bound for lattice sieving \implies [BDGL16] optimal.
 - ▶ Non-tight lower bound for ISD \implies [MO15] possibly suboptimal?

Abstract

- **Closest pairs problem:** Key subroutine for efficiently combining vectors.
 - ▶ Subroutine dominates overall algorithm complexities.
 - ▶ Naive approach: Quadratic for pairs of vectors.
 - ▶ Hash-based approaches: Subquadratic time complexity.
 - ▶ No matching lower bounds \implies Improvements still possible?
- **Contributions:** Lower bounds for corresponding nearest neighbor problems.
 - ▶ Conditional: Applies to “hash-based” model.
 - ▶ Tight lower bound for lattice sieving \implies [BDGL16] optimal.
 - ▶ Non-tight lower bound for ISD \implies [MO15] possibly suboptimal?
- **Cryptographic implications:** Better understanding of hardness.

Abstract

- **Closest pairs problem:** Key subroutine for efficiently combining vectors.
 - ▶ Subroutine dominates overall algorithm complexities.
 - ▶ Naive approach: Quadratic for pairs of vectors.
 - ▶ Hash-based approaches: Subquadratic time complexity.
 - ▶ No matching lower bounds \implies Improvements still possible?
- **Contributions:** Lower bounds for corresponding nearest neighbor problems.
 - ▶ Conditional: Applies to “hash-based” model.
 - ▶ Tight lower bound for lattice sieving \implies [BDGL16] optimal.
 - ▶ Non-tight lower bound for ISD \implies [MO15] possibly suboptimal?
- **Cryptographic implications:** Better understanding of hardness.
 - ▶ Cryptanalysis: Search for improvements elsewhere [Duc18, A+19].

Abstract

- **Closest pairs problem:** Key subroutine for efficiently combining vectors.
 - ▶ Subroutine dominates overall algorithm complexities.
 - ▶ Naive approach: Quadratic for pairs of vectors.
 - ▶ Hash-based approaches: Subquadratic time complexity.
 - ▶ No matching lower bounds \implies Improvements still possible?
- **Contributions:** Lower bounds for corresponding nearest neighbor problems.
 - ▶ Conditional: Applies to “hash-based” model.
 - ▶ Tight lower bound for lattice sieving \implies [BDGL16] optimal.
 - ▶ Non-tight lower bound for ISD \implies [MO15] possibly suboptimal?
- **Cryptographic implications:** Better understanding of hardness.
 - ▶ Cryptanalysis: Search for improvements elsewhere [Duc18, A+19].
 - ▶ Motivates focus on best lattice sieve [AGPS20, DSvW21].

Abstract

- **Closest pairs problem:** Key subroutine for efficiently combining vectors.
 - ▶ Subroutine dominates overall algorithm complexities.
 - ▶ Naive approach: Quadratic for pairs of vectors.
 - ▶ Hash-based approaches: Subquadratic time complexity.
 - ▶ No matching lower bounds \implies Improvements still possible?
- **Contributions:** Lower bounds for corresponding nearest neighbor problems.
 - ▶ Conditional: Applies to “hash-based” model.
 - ▶ Tight lower bound for lattice sieving \implies [BDGL16] optimal.
 - ▶ Non-tight lower bound for ISD \implies [MO15] possibly suboptimal?
- **Cryptographic implications:** Better understanding of hardness.
 - ▶ Cryptanalysis: Search for improvements elsewhere [Duc18, A+19].
 - ▶ Motivates focus on best lattice sieve [AGPS20, DSvW21].
 - ▶ Parameter selection: Conditional security guarantees.

Hash-based model

- **Closest pairs problem:**

Let (M, d) be a bounded metric space, and let $r \geq 0$ be a given target distance.
Let $L \subset M$ be a subset of M , with elements drawn uniformly at random from M .
Find “almost all” pairs $\mathbf{x}, \mathbf{y} \in L$ satisfying $d(\mathbf{x}, \mathbf{y}) \leq r$.

Hash-based model

- **Closest pairs problem:**

Let (M, d) be a bounded metric space, and let $r \geq 0$ be a given target distance. Let $L \subset M$ be a subset of M , with elements drawn uniformly at random from M . Find “almost all” pairs $\mathbf{x}, \mathbf{y} \in L$ satisfying $d(\mathbf{x}, \mathbf{y}) \leq r$.

- **Locality-sensitive hash functions:** Functions h satisfying:

$$\Pr_{\substack{\mathbf{x}, \mathbf{y} \sim M \\ d(\mathbf{x}, \mathbf{y}) \leq r}} [h(\mathbf{x}) = h(\mathbf{y})] \gg \Pr_{\mathbf{x}, \mathbf{y} \sim M} [h(\mathbf{x}) = h(\mathbf{y})].$$

Hash-based model

- **Closest pairs problem:**

Let (M, d) be a bounded metric space, and let $r \geq 0$ be a given target distance. Let $L \subset M$ be a subset of M , with elements drawn uniformly at random from M . Find “almost all” pairs $\mathbf{x}, \mathbf{y} \in L$ satisfying $d(\mathbf{x}, \mathbf{y}) \leq r$.

- **Locality-sensitive hash functions:** Functions h satisfying:

$$\Pr_{\substack{\mathbf{x}, \mathbf{y} \sim M \\ d(\mathbf{x}, \mathbf{y}) \leq r}} [h(\mathbf{x}) = h(\mathbf{y})] \gg \Pr_{\mathbf{x}, \mathbf{y} \sim M} [h(\mathbf{x}) = h(\mathbf{y})].$$

- **Locality-sensitive hashing:** Build and populate hash tables using “nice” hash functions, and combine pairs of vectors within hash buckets.

Hash-based model

- **Closest pairs problem:**

Let (M, d) be a bounded metric space, and let $r \geq 0$ be a given target distance. Let $L \subset M$ be a subset of M , with elements drawn uniformly at random from M . Find “almost all” pairs $\mathbf{x}, \mathbf{y} \in L$ satisfying $d(\mathbf{x}, \mathbf{y}) \leq r$.

- **Locality-sensitive hash functions:** Functions h satisfying:

$$\Pr_{\substack{\mathbf{x}, \mathbf{y} \sim M \\ d(\mathbf{x}, \mathbf{y}) \leq r}} [h(\mathbf{x}) = h(\mathbf{y})] \gg \Pr_{\mathbf{x}, \mathbf{y} \sim M} [h(\mathbf{x}) = h(\mathbf{y})].$$

- **Locality-sensitive hashing:** Build and populate hash tables using “nice” hash functions, and combine pairs of vectors within hash buckets.

► **Lattice sieving:** $M = \mathcal{S}^{d-1}$, $d(\mathbf{x}, \mathbf{y}) = \|\mathbf{x} - \mathbf{y}\|_2$, $|L| = 2^{\Theta(d)}$.

Hash-based model

- **Closest pairs problem:**

Let (M, d) be a bounded metric space, and let $r \geq 0$ be a given target distance. Let $L \subset M$ be a subset of M , with elements drawn uniformly at random from M . Find “almost all” pairs $\mathbf{x}, \mathbf{y} \in L$ satisfying $d(\mathbf{x}, \mathbf{y}) \leq r$.

- **Locality-sensitive hash functions:** Functions h satisfying:

$$\Pr_{\substack{\mathbf{x}, \mathbf{y} \sim M \\ d(\mathbf{x}, \mathbf{y}) \leq r}} [h(\mathbf{x}) = h(\mathbf{y})] \gg \Pr_{\mathbf{x}, \mathbf{y} \sim M} [h(\mathbf{x}) = h(\mathbf{y})].$$

- **Locality-sensitive hashing:** Build and populate hash tables using “nice” hash functions, and combine pairs of vectors within hash buckets.

- ▶ **Lattice sieving:** $M = \mathcal{S}^{d-1}$, $d(\mathbf{x}, \mathbf{y}) = \|\mathbf{x} - \mathbf{y}\|_2$, $|L| = 2^{\Theta(d)}$.
- ▶ **ISD setting:** $M = \{0, 1\}^d$, $d(\mathbf{x}, \mathbf{y}) = \|\mathbf{x} - \mathbf{y}\|_1$, $|L| = 2^{\Theta(d)}$.

Hash-based model

- **Closest pairs problem:**

Let (M, d) be a bounded metric space, and let $r \geq 0$ be a given target distance. Let $L \subset M$ be a subset of M , with elements drawn uniformly at random from M . Find “almost all” pairs $\mathbf{x}, \mathbf{y} \in L$ satisfying $d(\mathbf{x}, \mathbf{y}) \leq r$.

- **Locality-sensitive hash functions:** Functions h satisfying:

$$\Pr_{\substack{\mathbf{x}, \mathbf{y} \sim M \\ d(\mathbf{x}, \mathbf{y}) \leq r}} [h(\mathbf{x}) = h(\mathbf{y})] \gg \Pr_{\mathbf{x}, \mathbf{y} \sim M} [h(\mathbf{x}) = h(\mathbf{y})].$$

- **Locality-sensitive hashing:** Build and populate hash tables using “nice” hash functions, and combine pairs of vectors within hash buckets.

- ▶ **Lattice sieving:** $M = \mathcal{S}^{d-1}$, $d(\mathbf{x}, \mathbf{y}) = \|\mathbf{x} - \mathbf{y}\|_2$, $|L| = 2^{\Theta(d)}$.
- ▶ **ISD setting:** $M = \{0, 1\}^d$, $d(\mathbf{x}, \mathbf{y}) = \|\mathbf{x} - \mathbf{y}\|_1$, $|L| = 2^{\Theta(d)}$.
- ▶ **Nearest neighbor literature:** Various (M, d) , focus on $|L| = 2^{o(d)}$.

Hash-based model

- **Locality-sensitive hash functions:** Functions h satisfying:

$$\Pr_{\substack{\mathbf{x}, \mathbf{y} \sim M \\ d(\mathbf{x}, \mathbf{y}) \leq r}} [h(\mathbf{x}) = h(\mathbf{y})] \gg \Pr_{\mathbf{x}, \mathbf{y} \sim M} [h(\mathbf{x}) = h(\mathbf{y})].$$

Lower bounds (Euclidean sphere)

- **Locality-sensitive hash functions:** Functions h satisfying:

$$\Pr_{\substack{\mathbf{x}, \mathbf{y} \sim M \\ d(\mathbf{x}, \mathbf{y}) \leq r}} [h(\mathbf{x}) = h(\mathbf{y})] \gg \Pr_{\mathbf{x}, \mathbf{y} \sim M} [h(\mathbf{x}) = h(\mathbf{y})].$$

Lower bounds (Euclidean sphere)

- **Locality-sensitive hash functions:** Functions h satisfying:

$$\Pr_{\substack{\mathbf{x}, \mathbf{y} \sim S^{d-1} \\ \mathbf{x} \cdot \mathbf{y} \geq \gamma}} [h(\mathbf{x}) = h(\mathbf{y})] \gg \Pr_{\mathbf{x}, \mathbf{y} \sim S^{d-1}} [h(\mathbf{x}) = h(\mathbf{y})].$$

Lower bounds (Euclidean sphere)

- **Locality-sensitive hash functions:** Functions h satisfying:

$$\sum_n \Pr_{\substack{\mathbf{x}, \mathbf{y} \sim \mathcal{S}^{d-1} \\ \mathbf{x} \cdot \mathbf{y} \geq \gamma}} [h(\mathbf{x}) = h(\mathbf{y}) = n] \gg \sum_n \Pr_{\mathbf{x}, \mathbf{y} \sim \mathcal{S}^{d-1}} [h(\mathbf{x}) = h(\mathbf{y}) = n].$$

Lower bounds (Euclidean sphere)

- **Locality-sensitive hash functions:** Functions h satisfying:

$$\sum_n \Pr_{\substack{\mathbf{x}, \mathbf{y} \sim S^{d-1} \\ \mathbf{x} \cdot \mathbf{y} \geq \gamma}} [\mathbf{x}, \mathbf{y} \in h^{-1}(n)] \gg \sum_n \Pr_{\mathbf{x}, \mathbf{y} \sim S^{d-1}} [\mathbf{x}, \mathbf{y} \in h^{-1}(n)].$$

Lower bounds (Euclidean sphere)

- **Locality-sensitive hash functions:** Functions h satisfying:

$$\sum_n \Pr_{\substack{\mathbf{x}, \mathbf{y} \sim S^{d-1} \\ \mathbf{x} \cdot \mathbf{y} \geq \gamma}} [\mathbf{x}, \mathbf{y} \in h^{-1}(n)] \gg \sum_n \Pr_{\mathbf{x}, \mathbf{y} \sim S^{d-1}} [\mathbf{x}, \mathbf{y} \in h^{-1}(n)].$$

- Usually $h^{-1}(n)$ has similar shapes for all n .

Lower bounds (Euclidean sphere)

- **Locality-sensitive hash functions:** Functions h satisfying:

$$n \cdot \Pr_{\substack{\mathbf{x}, \mathbf{y} \sim \mathcal{S}^{d-1} \\ \mathbf{x} \cdot \mathbf{y} \geq \gamma}} [\mathbf{x}, \mathbf{y} \in h^{-1}(0)] \gg n \cdot \Pr_{\mathbf{x}, \mathbf{y} \sim \mathcal{S}^{d-1}} [\mathbf{x}, \mathbf{y} \in h^{-1}(0)].$$

- Usually $h^{-1}(n)$ has similar shapes for all n .

Lower bounds (Euclidean sphere)

- **Locality-sensitive hash functions:** Functions h satisfying:

$$\Pr_{\substack{\mathbf{x}, \mathbf{y} \sim \mathcal{S}^{d-1} \\ \mathbf{x} \cdot \mathbf{y} \geq \gamma}} [\mathbf{x}, \mathbf{y} \in h^{-1}(0)] \gg \Pr_{\mathbf{x}, \mathbf{y} \sim \mathcal{S}^{d-1}} [\mathbf{x}, \mathbf{y} \in h^{-1}(0)].$$

- Usually $h^{-1}(n)$ has similar shapes for all n .

Lower bounds (Euclidean sphere)

- **Locality-sensitive hash functions:** Functions h satisfying:

$$\Pr_{\substack{\mathbf{x}, \mathbf{y} \sim \mathcal{S}^{d-1} \\ \mathbf{x} \cdot \mathbf{y} \geq \gamma}} [\mathbf{x}, \mathbf{y} \in A] \gg \Pr_{\mathbf{x}, \mathbf{y} \sim \mathcal{S}^{d-1}} [\mathbf{x}, \mathbf{y} \in A].$$

- Usually $h^{-1}(n)$ has similar shapes for all n .

Lower bounds (Euclidean sphere)

- **Locality-sensitive hash functions:** Functions h satisfying:

$$\Pr_{\substack{\mathbf{x}, \mathbf{y} \sim S^{d-1} \\ \mathbf{x} \cdot \mathbf{y} \geq \gamma}} [\mathbf{x}, \mathbf{y} \in A] \gg \sigma(A)^2.$$

- Usually $h^{-1}(n)$ has similar shapes for all n .

Lower bounds (Euclidean sphere)

- **Locality-sensitive hash functions:** Functions h satisfying:

$$\Pr_{\substack{\mathbf{x}, \mathbf{y} \sim \mathcal{S}^{d-1} \\ \mathbf{x} \cdot \mathbf{y} \geq \gamma}} [\mathbf{x}, \mathbf{y} \in A] \gg \sigma(A)^2.$$

- Usually $h^{-1}(n)$ has similar shapes for all n .
- **Problem:** For fixed $\sigma(A)$, find $A \subset \mathcal{S}^{d-1}$ which maximizes:

$$\Pr_{\substack{\mathbf{x}, \mathbf{y} \sim \mathcal{S}^{d-1} \\ \mathbf{x} \cdot \mathbf{y} \geq \gamma}} [\mathbf{x}, \mathbf{y} \in A].$$

Lower bounds (Euclidean sphere)

Lemma (Baernstein–Taylor inequality for S^{d-1} [BT76])

Let $f, g : S^{d-1} \rightarrow \mathbb{R}$ be arbitrary Lebesgue-integrable functions. Let $h : [-1, 1] \rightarrow \mathbb{R}$ be non-decreasing, bounded, and measurable. Let $f^*, g^* : S^{d-1} \rightarrow \mathbb{R}$ be the symmetric non-decreasing rearrangements of f, g . Then:

$$\iint_{S^{d-1} \times S^{d-1}} f(\mathbf{x})g(\mathbf{y})h(\mathbf{x} \cdot \mathbf{y}) \, d\sigma(\mathbf{x}) \, d\sigma(\mathbf{y}) \leq \iint_{S^{d-1} \times S^{d-1}} f^*(\mathbf{x})g^*(\mathbf{y})h(\mathbf{x} \cdot \mathbf{y}) \, d\sigma(\mathbf{x}) \, d\sigma(\mathbf{y}).$$

Lower bounds (Euclidean sphere)

Lemma (Baernstein–Taylor inequality for \mathcal{S}^{d-1} [BT76])

Let $f, g : \mathcal{S}^{d-1} \rightarrow \mathbb{R}$ be arbitrary Lebesgue-integrable functions. Let $h : [-1, 1] \rightarrow \mathbb{R}$ be non-decreasing, bounded, and measurable. Let $f^*, g^* : \mathcal{S}^{d-1} \rightarrow \mathbb{R}$ be the symmetric non-decreasing rearrangements of f, g . Then:

$$\iint_{\mathcal{S}^{d-1} \times \mathcal{S}^{d-1}} f(\mathbf{x})g(\mathbf{y})h(\mathbf{x} \cdot \mathbf{y}) \, d\sigma(\mathbf{x}) \, d\sigma(\mathbf{y}) \leq \iint_{\mathcal{S}^{d-1} \times \mathcal{S}^{d-1}} f^*(\mathbf{x})g^*(\mathbf{y})h(\mathbf{x} \cdot \mathbf{y}) \, d\sigma(\mathbf{x}) \, d\sigma(\mathbf{y}).$$

$$f(\mathbf{x}) = \mathbb{1}\{\mathbf{x} \in A\}$$

$$g(\mathbf{y}) = \mathbb{1}\{\mathbf{y} \in A\}$$

$$h(\mathbf{x} \cdot \mathbf{y}) = \mathbb{1}\{\mathbf{x} \cdot \mathbf{y} \geq \gamma\}$$

Lower bounds (Euclidean sphere)

Lemma (Baernstein–Taylor inequality for \mathcal{S}^{d-1} [BT76])

Let $f, g : \mathcal{S}^{d-1} \rightarrow \mathbb{R}$ be arbitrary Lebesgue-integrable functions. Let $h : [-1, 1] \rightarrow \mathbb{R}$ be non-decreasing, bounded, and measurable. Let $f^*, g^* : \mathcal{S}^{d-1} \rightarrow \mathbb{R}$ be the symmetric non-decreasing rearrangements of f, g . Then:

$$\iint_{\mathcal{S}^{d-1} \times \mathcal{S}^{d-1}} f(\mathbf{x})g(\mathbf{y})h(\mathbf{x} \cdot \mathbf{y}) \, d\sigma(\mathbf{x}) \, d\sigma(\mathbf{y}) \leq \iint_{\mathcal{S}^{d-1} \times \mathcal{S}^{d-1}} f^*(\mathbf{x})g^*(\mathbf{y})h(\mathbf{x} \cdot \mathbf{y}) \, d\sigma(\mathbf{x}) \, d\sigma(\mathbf{y}).$$

$$f(\mathbf{x}) = \mathbb{1}\{\mathbf{x} \in A\} \quad \rightarrow \quad f^*(\mathbf{x}) = \mathbb{1}\{\mathbf{x} \in \mathcal{C}_A\}$$

$$g(\mathbf{y}) = \mathbb{1}\{\mathbf{y} \in A\} \quad \rightarrow \quad g^*(\mathbf{y}) = \mathbb{1}\{\mathbf{y} \in \mathcal{C}_A\}$$

$$h(\mathbf{x} \cdot \mathbf{y}) = \mathbb{1}\{\mathbf{x} \cdot \mathbf{y} \geq \gamma\} \quad (\sigma(A) = \sigma(\mathcal{C}_A))$$

Lower bounds (Euclidean sphere)

Lemma (Baernstein–Taylor inequality for \mathcal{S}^{d-1} [BT76])

Let $f, g : \mathcal{S}^{d-1} \rightarrow \mathbb{R}$ be arbitrary Lebesgue-integrable functions. Let $h : [-1, 1] \rightarrow \mathbb{R}$ be non-decreasing, bounded, and measurable. Let $f^*, g^* : \mathcal{S}^{d-1} \rightarrow \mathbb{R}$ be the symmetric non-decreasing rearrangements of f, g . Then:

$$\iint_{\mathcal{S}^{d-1} \times \mathcal{S}^{d-1}} \mathbb{1}\{\mathbf{x} \in A\} \mathbb{1}\{\mathbf{y} \in A\} \mathbb{1}\{\mathbf{x} \cdot \mathbf{y} \geq \gamma\} d\sigma^2 \leq \iint_{\mathcal{S}^{d-1} \times \mathcal{S}^{d-1}} \mathbb{1}\{\mathbf{x} \in \mathcal{C}_A\} \mathbb{1}\{\mathbf{y} \in \mathcal{C}_A\} \mathbb{1}\{\mathbf{x} \cdot \mathbf{y} \geq \gamma\} d\sigma^2.$$

$$f(\mathbf{x}) = \mathbb{1}\{\mathbf{x} \in A\} \quad \rightarrow \quad f^*(\mathbf{x}) = \mathbb{1}\{\mathbf{x} \in \mathcal{C}_A\}$$

$$g(\mathbf{y}) = \mathbb{1}\{\mathbf{y} \in A\} \quad \rightarrow \quad g^*(\mathbf{y}) = \mathbb{1}\{\mathbf{y} \in \mathcal{C}_A\}$$

$$h(\mathbf{x} \cdot \mathbf{y}) = \mathbb{1}\{\mathbf{x} \cdot \mathbf{y} \geq \gamma\} \quad (\sigma(A) = \sigma(\mathcal{C}_A))$$

Lower bounds (Euclidean sphere)

Lemma (Baernstein–Taylor inequality for S^{d-1} [BT76])

Let $f, g : S^{d-1} \rightarrow \mathbb{R}$ be arbitrary Lebesgue-integrable functions. Let $h : [-1, 1] \rightarrow \mathbb{R}$ be non-decreasing, bounded, and measurable. Let $f^*, g^* : S^{d-1} \rightarrow \mathbb{R}$ be the symmetric non-decreasing rearrangements of f, g . Then:

$$\iint_{S^{d-1} \times S^{d-1}} \mathbb{1}\{\mathbf{x} \in A\} \mathbb{1}\{\mathbf{y} \in A\} \mathbb{1}\{\mathbf{x} \cdot \mathbf{y} \geq \gamma\} d\sigma^2 \leq \iint_{S^{d-1} \times S^{d-1}} \mathbb{1}\{\mathbf{x} \in C_A\} \mathbb{1}\{\mathbf{y} \in C_A\} \mathbb{1}\{\mathbf{x} \cdot \mathbf{y} \geq \gamma\} d\sigma^2.$$

Lower bounds (Euclidean sphere)

Lemma (Baernstein–Taylor inequality for \mathcal{S}^{d-1} [BT76])

Let $f, g : \mathcal{S}^{d-1} \rightarrow \mathbb{R}$ be arbitrary Lebesgue-integrable functions. Let $h : [-1, 1] \rightarrow \mathbb{R}$ be non-decreasing, bounded, and measurable. Let $f^*, g^* : \mathcal{S}^{d-1} \rightarrow \mathbb{R}$ be the symmetric non-decreasing rearrangements of f, g . Then:

$$\Pr_{\mathbf{x}, \mathbf{y} \sim \mathcal{S}^{d-1}} [\mathbf{x}, \mathbf{y} \in A, \mathbf{x} \cdot \mathbf{y} \geq \gamma] \leq \Pr_{\mathbf{x}, \mathbf{y} \sim \mathcal{S}^{d-1}} [\mathbf{x}, \mathbf{y} \in \mathcal{C}_A, \mathbf{x} \cdot \mathbf{y} \geq \gamma].$$

Lower bounds (Euclidean sphere)

Lemma (Baernstein–Taylor inequality for \mathcal{S}^{d-1} [BT76])

Let $f, g : \mathcal{S}^{d-1} \rightarrow \mathbb{R}$ be arbitrary Lebesgue-integrable functions. Let $h : [-1, 1] \rightarrow \mathbb{R}$ be non-decreasing, bounded, and measurable. Let $f^*, g^* : \mathcal{S}^{d-1} \rightarrow \mathbb{R}$ be the symmetric non-decreasing rearrangements of f, g . Then:

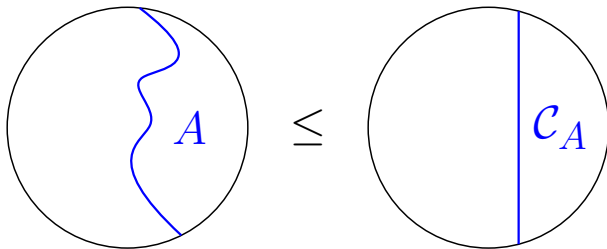
$$\Pr_{\substack{\mathbf{x}, \mathbf{y} \sim \mathcal{S}^{d-1} \\ \mathbf{x} \cdot \mathbf{y} \geq \gamma}} [\mathbf{x}, \mathbf{y} \in A] \leq \Pr_{\substack{\mathbf{x}, \mathbf{y} \sim \mathcal{S}^{d-1} \\ \mathbf{x} \cdot \mathbf{y} \geq \gamma}} [\mathbf{x}, \mathbf{y} \in \mathcal{C}_A].$$

Lower bounds (Euclidean sphere)

Lemma (Baernstein–Taylor inequality for \mathcal{S}^{d-1} [BT76])

Let $f, g : \mathcal{S}^{d-1} \rightarrow \mathbb{R}$ be arbitrary Lebesgue-integrable functions. Let $h : [-1, 1] \rightarrow \mathbb{R}$ be non-decreasing, bounded, and measurable. Let $f^*, g^* : \mathcal{S}^{d-1} \rightarrow \mathbb{R}$ be the symmetric non-decreasing rearrangements of f, g . Then:

$$\Pr_{\substack{\mathbf{x}, \mathbf{y} \sim \mathcal{S}^{d-1} \\ \mathbf{x} \cdot \mathbf{y} \geq \gamma}} [\mathbf{x}, \mathbf{y} \in A] \leq \Pr_{\substack{\mathbf{x}, \mathbf{y} \sim \mathcal{S}^{d-1} \\ \mathbf{x} \cdot \mathbf{y} \geq \gamma}} [\mathbf{x}, \mathbf{y} \in \mathcal{C}_A].$$



Lower bounds (Euclidean sphere)

- **Locality-sensitive hash functions:** Functions h satisfying:

$$\Pr_{\substack{\mathbf{x}, \mathbf{y} \sim \mathcal{S}^{d-1} \\ \mathbf{x} \cdot \mathbf{y} \geq \gamma}} [\mathbf{x}, \mathbf{y} \in A] \gg \sigma(A)^2.$$

- Usually $h^{-1}(n)$ has similar shapes for all n .
- **Problem:** For fixed $\sigma(A)$, find $A \subset \mathcal{S}^{d-1}$ which maximizes:

$$\Pr_{\substack{\mathbf{x}, \mathbf{y} \sim \mathcal{S}^{d-1} \\ \mathbf{x} \cdot \mathbf{y} \geq \gamma}} [\mathbf{x}, \mathbf{y} \in A]$$

Lower bounds (Euclidean sphere)

- **Locality-sensitive hash functions:** Functions h satisfying:

$$\Pr_{\substack{\mathbf{x}, \mathbf{y} \sim \mathcal{S}^{d-1} \\ \mathbf{x} \cdot \mathbf{y} \geq \gamma}} [\mathbf{x}, \mathbf{y} \in A] \gg \sigma(A)^2.$$

- Usually $h^{-1}(n)$ has similar shapes for all n .
- **Problem:** For fixed $\sigma(A)$, find $A \subset \mathcal{S}^{d-1}$ which maximizes:

$$\Pr_{\substack{\mathbf{x}, \mathbf{y} \sim \mathcal{S}^{d-1} \\ \mathbf{x} \cdot \mathbf{y} \geq \gamma}} [\mathbf{x}, \mathbf{y} \in A] \leq \Pr_{\substack{\mathbf{x}, \mathbf{y} \sim \mathcal{S}^{d-1} \\ \mathbf{x} \cdot \mathbf{y} \geq \gamma}} [\mathbf{x}, \mathbf{y} \in \mathcal{C}_A].$$

Lower bounds (Euclidean sphere)

- **Locality-sensitive hash functions:** Functions h satisfying:

$$\Pr_{\substack{\mathbf{x}, \mathbf{y} \sim \mathcal{S}^{d-1} \\ \mathbf{x} \cdot \mathbf{y} \geq \gamma}} [\mathbf{x}, \mathbf{y} \in A] \gg \sigma(A)^2.$$

- Usually $h^{-1}(n)$ has similar shapes for all n .
- **Problem:** For fixed $\sigma(A)$, find $A \subset \mathcal{S}^{d-1}$ which maximizes:

$$\Pr_{\substack{\mathbf{x}, \mathbf{y} \sim \mathcal{S}^{d-1} \\ \mathbf{x} \cdot \mathbf{y} \geq \gamma}} [\mathbf{x}, \mathbf{y} \in A] \leq \Pr_{\substack{\mathbf{x}, \mathbf{y} \sim \mathcal{S}^{d-1} \\ \mathbf{x} \cdot \mathbf{y} \geq \gamma}} [\mathbf{x}, \mathbf{y} \in \mathcal{C}_A].$$

- **Solution:** Performance maximized for spherical caps!

Lower bounds (lattice sieving)

- Conditional, asymptotic bounds: Lattice sieving with hash-based searching.

Lower bounds (lattice sieving)

- Conditional, asymptotic bounds: Lattice sieving with hash-based searching.
- **Classical sieving:** $2^{0.292d+o(d)}$ [BDGL16] is conditionally optimal.

Lower bounds (lattice sieving)

- Conditional, asymptotic bounds: Lattice sieving with hash-based searching.
- **Classical sieving:** $2^{0.292d+o(d)}$ [BDGL16] is conditionally optimal.
- **Sieving + Grover:** $2^{0.265d+o(d)}$ [Laa16] is conditionally optimal.

Lower bounds (lattice sieving)

- Conditional, asymptotic bounds: Lattice sieving with hash-based searching.
- **Classical sieving:** $2^{0.292d+o(d)}$ [BDGL16] is conditionally optimal.
- **Sieving + Grover:** $2^{0.265d+o(d)}$ [Laa16] is conditionally optimal.
 - ▶ **Sieving + QRW:** $2^{0.257d+o(d)}$ [CL21] improves quantum part.

Lower bounds (lattice sieving)

- Conditional, asymptotic bounds: Lattice sieving with hash-based searching.
- **Classical sieving:** $2^{0.292d+o(d)}$ [BDGL16] is conditionally optimal.
- **Sieving + Grover:** $2^{0.265d+o(d)}$ [Laa16] is conditionally optimal.
 - ▶ **Sieving + QRW:** $2^{0.257d+o(d)}$ [CL21] improves quantum part.
 - ▶ Does not violate lower bound.

Lower bounds (lattice sieving)

- Conditional, asymptotic bounds: Lattice sieving with hash-based searching.
- **Classical sieving:** $2^{0.292d+o(d)}$ [BDGL16] is conditionally optimal.
- **Sieving + Grover:** $2^{0.265d+o(d)}$ [Laa16] is conditionally optimal.
 - ▶ **Sieving + QRW:** $2^{0.257d+o(d)}$ [CL21] improves quantum part.
 - ▶ Does not violate lower bound.
- **Tuple sieving:** results from [HKL18] are conditionally optimal.

Open problems

- Conditional on hash-based approach → Other closest pair techniques?

Open problems

- Conditional on hash-based approach → Other closest pair techniques?
- Only affects closest pairs subroutine → Improve other parts?

Open problems

- Conditional on hash-based approach → Other closest pair techniques?
- Only affects closest pairs subroutine → Improve other parts?
- Asymptotics about leading constant → Decrease subexponential overhead?

Open problems

- Conditional on hash-based approach → Other closest pair techniques?
- Only affects closest pairs subroutine → Improve other parts?
- Asymptotics about leading constant → Decrease subexponential overhead?
- Bound for ISD not tight → Better techniques/bounds?

Open problems

- Conditional on hash-based approach → Other closest pair techniques?
- Only affects closest pairs subroutine → Improve other parts?
- Asymptotics about leading constant → Decrease subexponential overhead?
- Bound for ISD not tight → Better techniques/bounds?

Thank you for watching!