

Dummy Shuffling against Algebraic Attacks in White-box Implementations



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1 Introduction

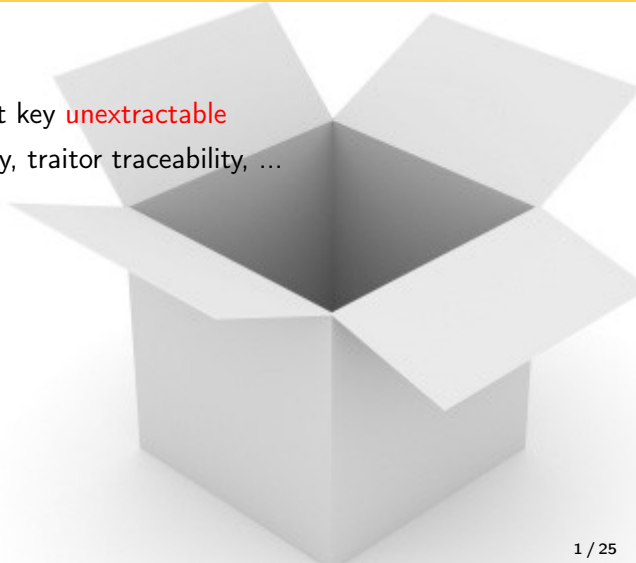
- White-box cryptography
- Grey-box algebraic attacks
- Algebraic security

2 Back to the roots - Shuffling

- Basic shuffling
- Algebraic insecurity of dummyless shuffling
- Dummy shuffling
- Linear security of dummy shuffling
- Provably security via refreshing
- Full degree security
- Implementation cost estimation

White-box implementations

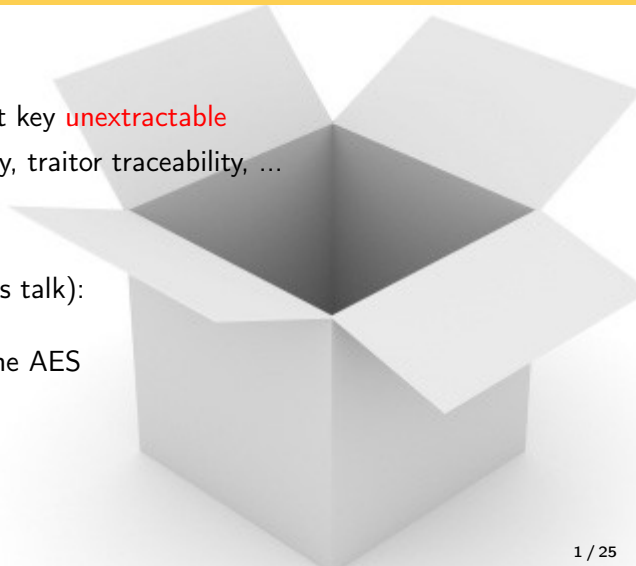
- Implementation fully **available**, secret key **unextractable**
- **Extra**: one-wayness, incompressibility, traitor traceability, ...



White-box implementations

- Implementation fully **available**, secret key **unextractable**
- **Extra**: one-wayness, incompressibility, traitor traceability, ...

- The most **challenging** direction (this talk):
white-box implementations of
existing symmetric primitives, e.g. the AES
- “Cryptographic obfuscation”



White-box: industry vs academia



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- many applications
- strong need for *practical* white-box
- industry **does** WB:
secret designs

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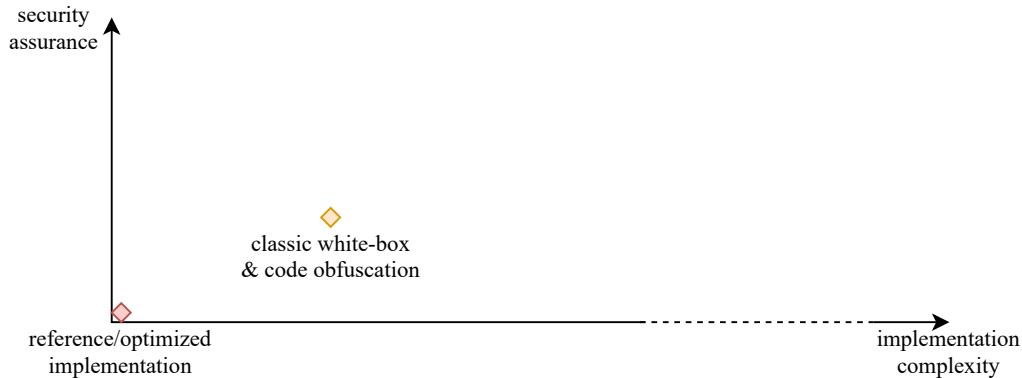


- **theory**: approaches using iO, currently *impractical*
- **practical WB-AES/DES**:
few attempts (2002-2017, [[CEJv03](#)], ...),
all broken
- powerful **grey-box** attacks
[[BHMT16](#)](CHES 2016)

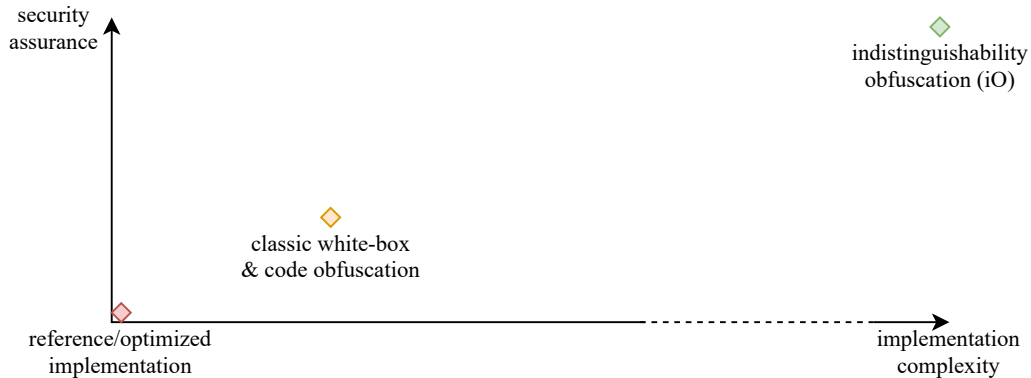
Shades of obfuscation



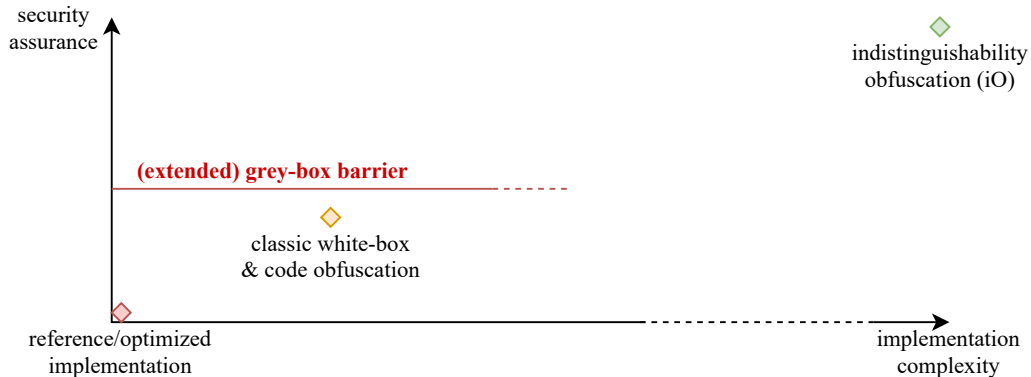
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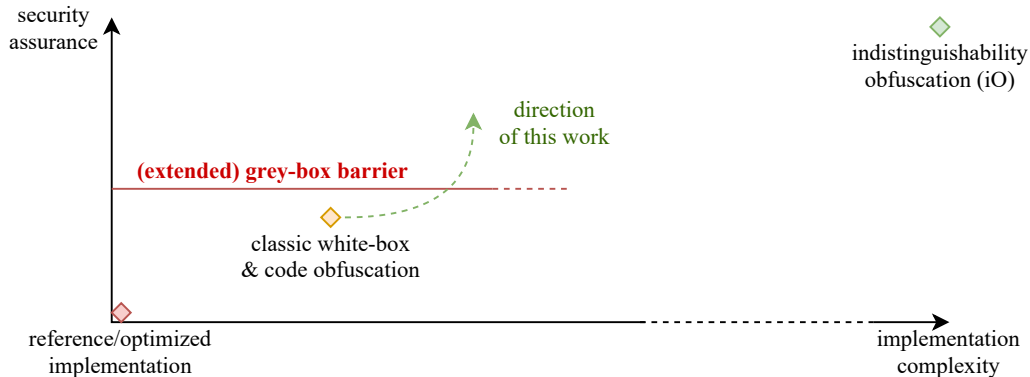
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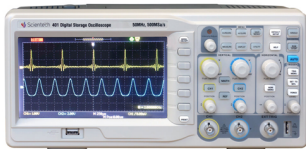
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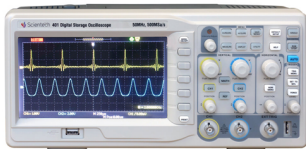
White-box: Differential Computation Analysis (DCA)



- **DCA = Differential Power Analysis (DPA)**
applied to white-box implementations [BHMT16]¹
- Most of the existing implementations **broken automatically**

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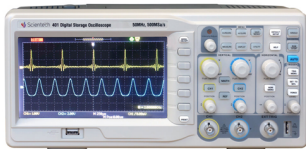
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Can we apply these countermeasures to white-box implementations?

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Weakness of linear masking

- assume a sensitive function s being protected
- **linear masking**: $\exists v_1, \dots, v_t$ shares,

$$v_1 \oplus \dots \oplus v_t = s$$

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Problem: there exists a **linear** combination of computed functions that equals the sensitive function!

Linear algebraic attack - illustration

$$\begin{pmatrix} 010000111000 \\ 000100011101 \end{pmatrix}$$

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$$\begin{pmatrix} 010000111000 \\ 000100011101 \\ 000101011110 \end{pmatrix}$$

Linear algebraic attack - illustration

$$\begin{pmatrix} 010000111000 \\ 000100011101 \\ 000101011110 \end{pmatrix}$$

$$s = \text{Sbox}_i(pt_i \oplus k_i)$$

$$\begin{pmatrix} 1 \\ 0 \\ 1 \end{pmatrix}$$

Linear algebraic attack - illustration

← trace length →

traces

↑

↓

$$\begin{pmatrix} 010000111000 \\ 000100011101 \\ 000101011110 \\ 101010111011 \\ 001011100011 \\ 011011011100 \\ 000101100111 \\ 001010001010 \\ 110110101101 \\ 111101100110 \\ 010111111010 \\ 111001110110 \\ 101000000101 \\ 010011100000 \\ 011011000100 \\ 100101010010 \end{pmatrix}$$

$$s = S \text{box}_i(pt_i \oplus k_i)$$

$$\begin{pmatrix} 1 \\ 0 \\ 1 \\ 0 \\ 1 \\ 1 \\ 0 \\ 1 \\ 1 \\ 0 \\ 1 \\ 0 \\ 0 \\ 0 \\ 0 \\ 1 \end{pmatrix}$$

Linear algebraic attack - illustration

$$\begin{array}{c} v_1 \quad v_2 \quad v_3 \\ \left(\begin{array}{c} 010000111000 \\ 000100011101 \\ 000101011110 \\ 101010111011 \\ 001011100011 \\ 011011011100 \\ 000101100111 \\ 001010001010 \\ 110110101101 \\ 111101100110 \\ 010111111010 \\ 111001110110 \\ 101000000101 \\ 010011100000 \\ 011011000100 \\ 100101010010 \end{array} \right) \times \left(\begin{array}{c} 0 \\ 0 \\ 0 \\ 0 \\ 1 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 1 \\ 0 \\ 0 \\ 0 \\ 1 \\ 0 \end{array} \right) = \left(\begin{array}{c} 1 \\ 0 \\ 1 \\ 0 \\ 1 \\ 1 \\ 0 \\ 1 \\ 1 \\ 0 \\ 1 \\ 0 \\ 0 \\ 0 \\ 0 \\ 1 \end{array} \right)$$

$s = \text{Sbox}_i(pt_i \oplus k_i) = v_1 \oplus v_2 \oplus v_3$

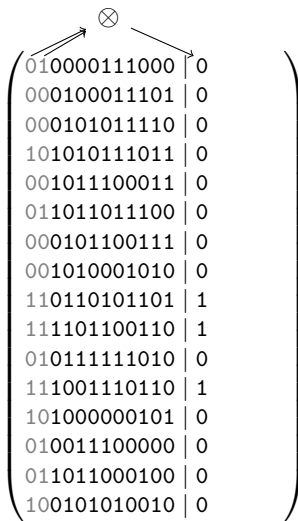
Generalization I: higher-degree attack vs nonlinear masking (1/2)

010000111000	
000100011101	
000101011110	
101010111011	
001011100011	
011011011100	
000101100111	
001010001010	
110110101101	
111101100110	
010111111010	
111001110110	
101000000101	
010011100000	
011011000100	
100101010010	

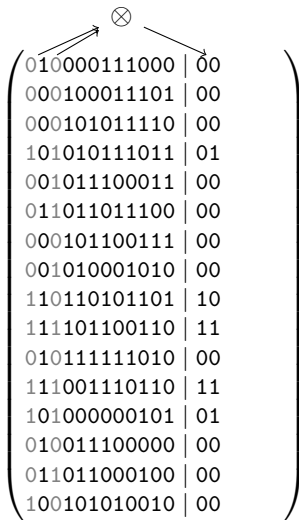
$$s = \text{Sbox}_i(pt_i \oplus k_i)$$

0
0
1
0
1
0
1
1
1
0
0
1
0
1
0
0
1

Generalization I: higher-degree attack vs nonlinear masking (1/2)


$$\begin{pmatrix} 010000111000 & | & 0 \\ 000100011101 & | & 0 \\ 000101011110 & | & 0 \\ 101010111011 & | & 0 \\ 001011100011 & | & 0 \\ 011011011100 & | & 0 \\ 000101100111 & | & 0 \\ 001010001010 & | & 0 \\ 110110101101 & | & 1 \\ 111101100110 & | & 1 \\ 010111111010 & | & 0 \\ 111001110110 & | & 1 \\ 101000000101 & | & 0 \\ 010011100000 & | & 0 \\ 011011000100 & | & 0 \\ 100101010010 & | & 0 \end{pmatrix}$$
$$s = \text{Sbox}_i(pt_i \oplus k_i)$$
$$\begin{pmatrix} 0 \\ 0 \\ 1 \\ 0 \\ 1 \\ 0 \\ 1 \\ 1 \\ 0 \\ 0 \\ 1 \\ 0 \\ 1 \\ 0 \\ 0 \\ 1 \end{pmatrix}$$

Generalization I: higher-degree attack vs nonlinear masking (1/2)



010000111000		00
000100011101		00
000101011110		00
101010111011		01
001011100011		00
011011011100		00
000101100111		00
001010001010		00
110110101101		10
111101100110		11
010111111010		00
111001110110		11
101000000101		01
010011100000		00
011011000100		00
100101010010		00

$$s = \text{Sbox}_i(pt_i \oplus k_i)$$

0
0
1
0
1
0
1
1
1
0
0
1
0
1
0
0
1

Generalization I: higher-degree attack vs nonlinear masking (1/2)

010000111000		000...0
000100011101		000...0
000101011110		000...0
101010111011		010...1
001011100011		000...1
011011011100		000...0
000101100111		000...1
001010001010		000...0
110110101101		101...0
111101100110		111...0
010111111010		000...0
111001110110		110...0
101000000101		010...0
010011100000		000...0
011011000100		000...0
100101010010		001...0

$$s = \text{Sbox}_i(pt_i \oplus k_i)$$

0
0
1
0
1
0
1
1
1
0
0
1
0
1
0
0
1

Generalization I: higher-degree attack vs nonlinear masking (1/2)

$$\begin{pmatrix}
 010000111000 & | & 000 \dots 0 \\
 000100011101 & | & 000 \dots 0 \\
 000101011110 & | & 000 \dots 0 \\
 101010111011 & | & 010 \dots 1 \\
 001011100011 & | & 000 \dots 1 \\
 011011011100 & | & 000 \dots 0 \\
 000101100111 & | & 000 \dots 1 \\
 001010001010 & | & 000 \dots 0 \\
 110110101101 & | & 101 \dots 0 \\
 111101100110 & | & 111 \dots 0 \\
 010111111010 & | & 000 \dots 0 \\
 111001110110 & | & 110 \dots 0 \\
 101000000101 & | & 010 \dots 0 \\
 010011100000 & | & 000 \dots 0 \\
 011011000100 & | & 000 \dots 0 \\
 100101010010 & | & 001 \dots 0
 \end{pmatrix}
 \times
 \begin{pmatrix}
 0 \\
 \vdots \\
 0 \\
 1 \\
 0 \\
 0 \\
 1 \\
 0 \\
 \vdots \\
 0
 \end{pmatrix}
 =
 \begin{pmatrix}
 0 \\
 0 \\
 1 \\
 0 \\
 1 \\
 0 \\
 1 \\
 1 \\
 0 \\
 0 \\
 1 \\
 0 \\
 1 \\
 0 \\
 0 \\
 1
 \end{pmatrix}$$

$s = \text{Sbox}_i(pt_i \oplus k_i) = v_1 v_2 \oplus v_3$

Generalization I: higher-degree attack vs nonlinear masking (2/2)

Takeaway:

- higher-degree schemes can be attacked, but at a higher cost

Generalization II: noisy linear attack (1/2)

$$\begin{pmatrix} 01011111010 \\ 001010001010 \\ 011011000100 \\ 110110101101 \\ 101010111011 \\ 100101010010 \\ 001011100011 \\ 010011100000 \\ 000100011101 \\ 101000000101 \\ 010000111000 \\ 011011011100 \\ 000101100111 \\ 000101011110 \\ 111101100110 \\ 111001110110 \end{pmatrix} \times \begin{pmatrix} ? \\ ? \\ ? \\ ? \\ ? \\ ? \\ ? \\ ? \\ ? \\ ? \\ ? \\ ? \\ ? \\ ? \\ ? \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \\ 0 \\ 1 \\ 1 \\ 0 \\ 1 \\ 0 \\ 0 \\ 1 \\ 0 \\ 0 \\ 1 \\ 0 \\ 1 \\ 1 \end{pmatrix} \oplus \begin{pmatrix} ? \\ ? \\ ? \\ ? \\ ? \\ ? \\ ? \\ ? \\ ? \\ ? \\ ? \\ ? \\ ? \\ ? \\ ? \end{pmatrix}$$

$s = \text{Sbox}_i(pt_i \oplus k_i) \oplus e$ (low weight)

Generalization II: noisy linear attack (1/2)

$$\begin{pmatrix} 111101100110 \\ 111001110110 \\ 110110101101 \\ 010000111000 \\ 000101100111 \\ 010111111010 \\ 011011011100 \\ 100101010010 \\ 101000000101 \\ 001011100011 \\ 010011100000 \\ 101010111011 \\ 000100011101 \\ 011011000100 \\ 001010001010 \\ 000101011110 \end{pmatrix} \times \begin{pmatrix} ? \\ ? \\ ? \\ ? \\ ? \\ ? \\ ? \\ ? \\ ? \\ ? \\ ? \\ ? \\ ? \\ ? \\ ? \end{pmatrix} = \begin{pmatrix} 1 \\ 1 \\ 0 \\ 1 \\ 1 \\ 0 \\ 1 \\ 0 \\ 0 \\ 0 \\ 1 \\ 0 \\ 1 \\ 0 \\ 0 \\ 1 \end{pmatrix} \oplus \begin{pmatrix} 1 \\ 1 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 1 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \end{pmatrix}$$

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$$\begin{pmatrix}
 010000111000 \\
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 010111111010 \\
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 010011100000 \\
 011011000100 \\
 100101010010
 \end{pmatrix}
 \times
 \begin{pmatrix}
 0 \\
 0 \\
 0 \\
 0 \\
 1 \\
 0 \\
 0 \\
 0 \\
 0 \\
 0 \\
 1 \\
 0 \\
 0 \\
 1 \\
 0
 \end{pmatrix}
 =
 \begin{pmatrix}
 1 \\
 0 \\
 1 \\
 0 \\
 1 \\
 1 \\
 0 \\
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 1 \\
 0 \\
 1 \\
 0 \\
 0 \\
 0 \\
 1
 \end{pmatrix}
 \oplus
 \begin{pmatrix}
 0 \\
 0 \\
 0 \\
 0 \\
 0 \\
 0 \\
 0 \\
 0 \\
 0 \\
 0 \\
 0 \\
 0 \\
 0 \\
 0 \\
 0
 \end{pmatrix}$$

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Generalization II: noisy linear attack (2/2)

- General attack framework: *Learning Parity with Noise* (LPN)

Takeaway:

- good low-degree **approximations** are sufficient for an attack
- need to ensure **sufficient weight** of the error term e

Generalization III: input restriction (1/3)

- assume $f = s \cdot r$ is computed/shared in the circuit, r is pseudorandom
- linear algebra attack would fail

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- assume $f = s \cdot r$ is computed/shared in the circuit, r is pseudorandom
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- observation: $s = 0 \Rightarrow f = 0$

Generalization III: input restriction (2/3)

$$\begin{pmatrix} 010000111000 \\ 000100011101 \\ 000101011110 \\ 101010111011 \\ 001011100011 \\ 011011011100 \\ 000101100111 \\ 001010001010 \\ 110110101101 \\ 111101100110 \\ 010111111010 \\ 111001110110 \\ 101000000101 \\ 010011100000 \\ 011011000100 \\ 100101010010 \end{pmatrix} \times \begin{pmatrix} ? \\ ? \\ ? \\ ? \\ ? \\ ? \\ ? \\ ? \\ ? \\ ? \\ ? \\ ? \\ ? \\ ? \\ ? \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \\ 1 \\ 0 \\ 1 \\ 1 \\ 0 \\ 1 \\ 1 \\ 0 \\ 1 \\ 0 \\ 0 \\ 0 \\ 0 \\ 1 \end{pmatrix}$$

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Generalization III: input restriction (2/3)

$$\begin{pmatrix} 000100011101 \\ 101010111011 \\ \\ 000101100111 \\ \\ 111101100110 \\ \\ 111001110110 \\ 101000000101 \\ 010011100000 \\ 011011000100 \end{pmatrix} \times \begin{pmatrix} ? \\ ? \\ ? \\ ? \\ ? \\ ? \\ ? \\ ? \\ ? \\ ? \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ \\ 0 \\ \\ 0 \\ \\ 0 \\ 0 \\ 0 \\ 0 \end{pmatrix}$$

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Generalization III: input restriction (2/3)

$$s \cdot r = s = \text{Sbox}_i(pt_i \oplus k_i) = (v_1 \oplus v_2 \oplus v_3) \cdot r$$

$$\begin{pmatrix} 000100011101 \\ 101010111011 \\ 000101100111 \\ 111101100110 \\ 111001110110 \\ 101000000101 \\ 010011100000 \\ 011011000100 \end{pmatrix} \times \begin{pmatrix} 0 \\ 0 \\ 0 \\ 1 \\ 0 \\ 0 \\ 0 \\ 1 \\ 0 \\ 0 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \end{pmatrix}$$

Generalization III: input restriction (3/3)

Takeaway:

- protection should not depend critically on “predictable” values such as s

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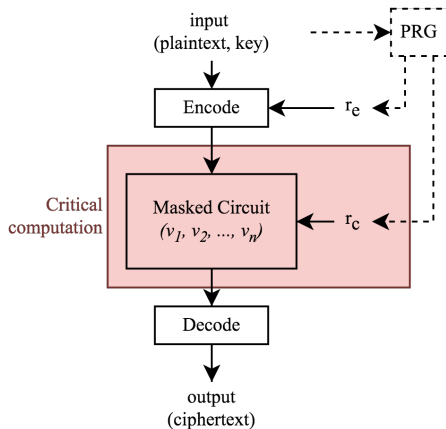
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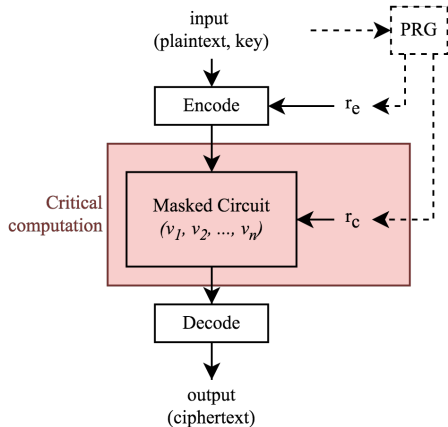
Algebraic security (BU-model [BU18], ASIACRYPT 2018)

- 1 **random** bits allowed
 - as in classic masking
 - model **unpredictability**
 - in WB impl. as **pseudorandom**



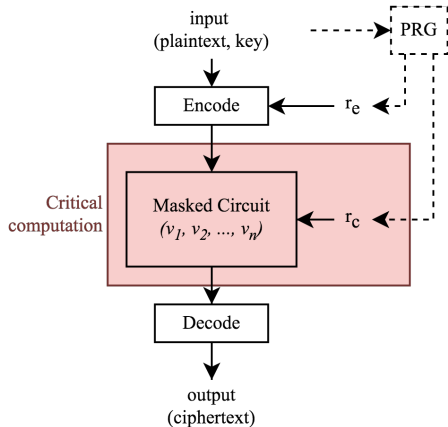
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- 3 protects against the generalizations



Nonlinear Masking

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- [BU18]²: *provably secure* minimalist quadratic masking (deg $f = 2$):

$$f(v_1, v_2, v_3) = v_1 v_2 \oplus v_3$$

²(ASIACRYPT 2018) Biryukov, Udovenko. Attacks and countermeasures for white-box designs.

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$$f(v_1, \dots, v_t) = (v_1 v_2 \dots v_d) \oplus v_{d+1} \oplus \dots \oplus v_t$$

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- Proofs are involved, larger security degrees are hard

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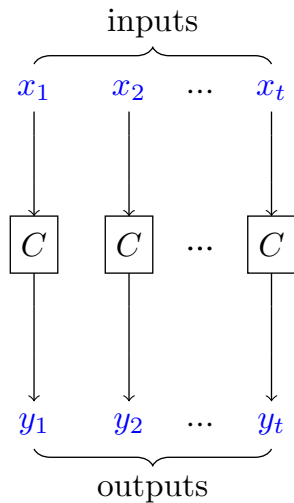
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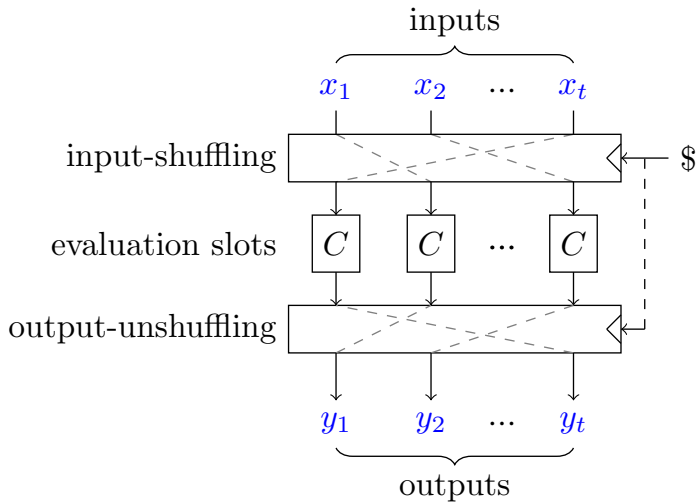
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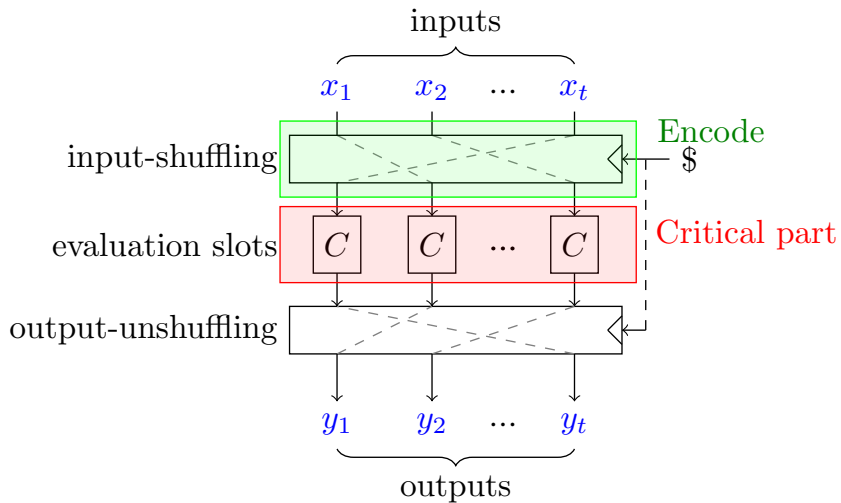
Basic shuffling



Basic shuffling



Basic shuffling



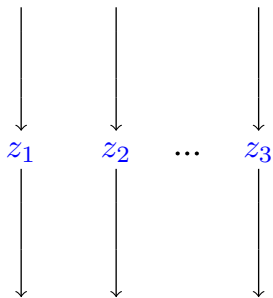
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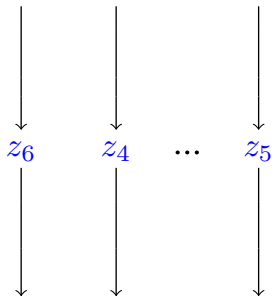
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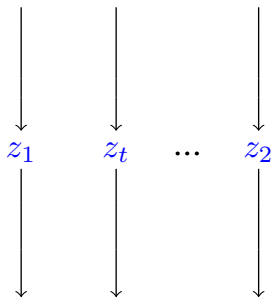
Algebraic insecurity of dummyless shuffling (1/3)



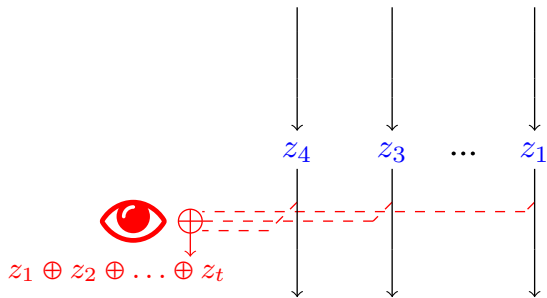
Algebraic insecurity of dummyless shuffling (1/3)



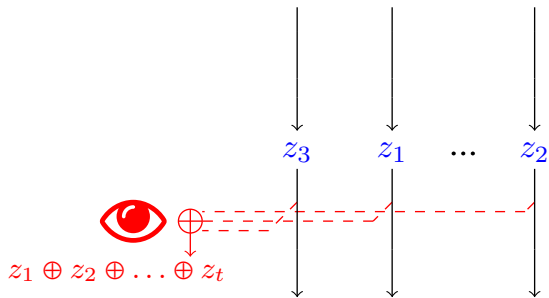
Algebraic insecurity of dummyless shuffling (1/3)



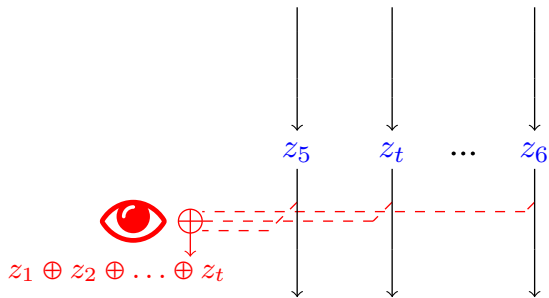
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Algebraic insecurity of dummyless shuffling (1/3)



Algebraic insecurity of dummyless shuffling (1/3)



Algebraic insecurity of dummyless shuffling (3/3)

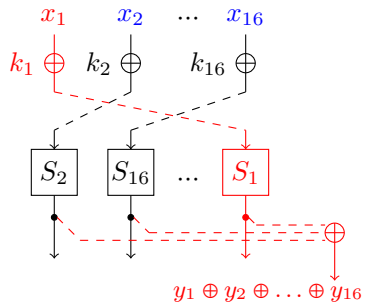
- Generally, all **symmetric** functions are leaked!
- No matter how shuffling is implemented...

Algebraic insecurity of dummyless shuffling (3/3)

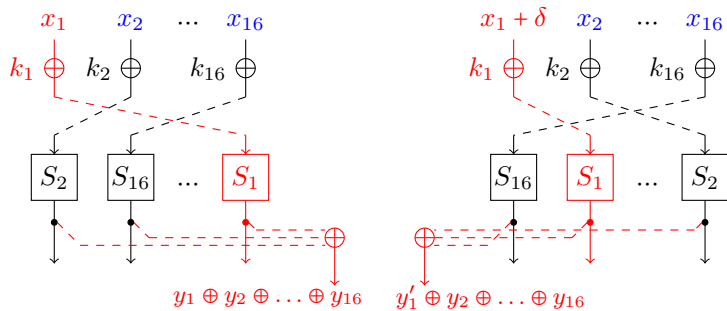
- Generally, all **symmetric** functions are leaked!
- No matter how shuffling is implemented...

- But is it exploitable?

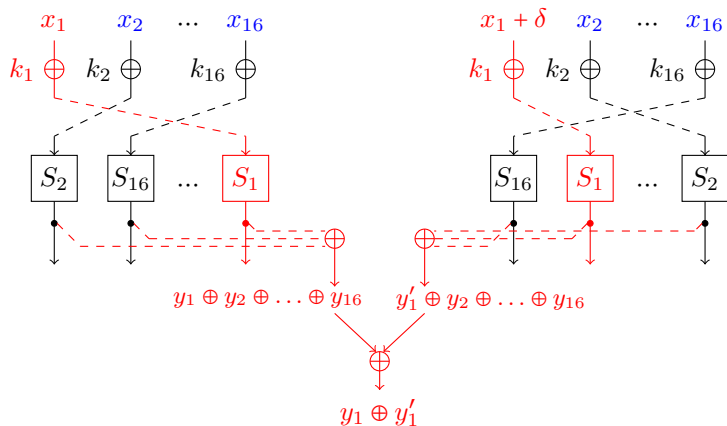
Differential Algebraic Attack



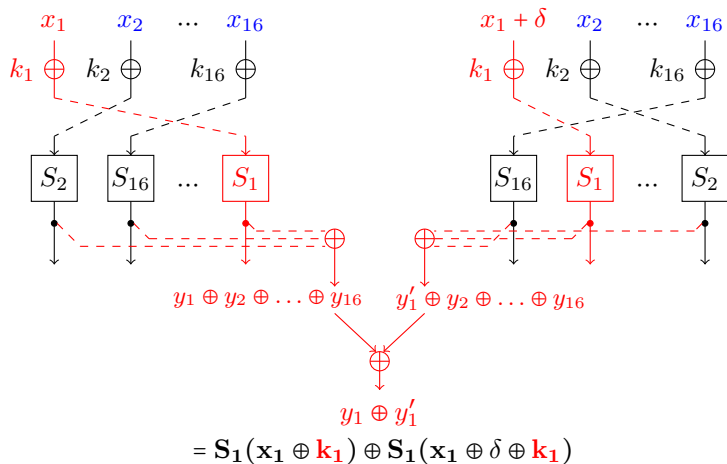
Differential Algebraic Attack



Differential Algebraic Attack



Differential Algebraic Attack



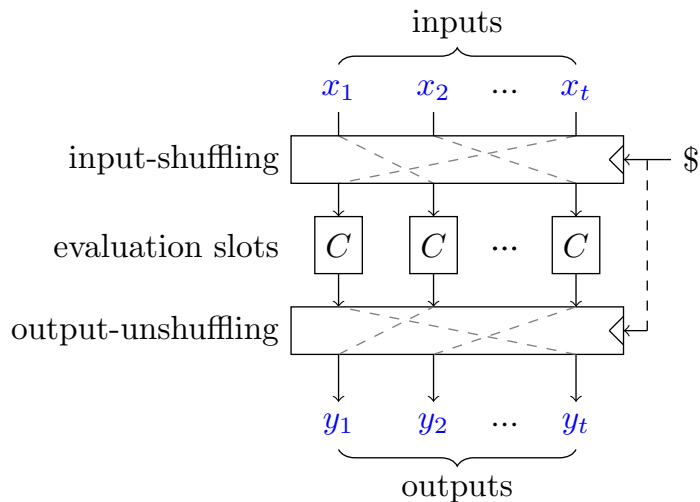
1 Introduction

- White-box cryptography
- Grey-box algebraic attacks
- Algebraic security

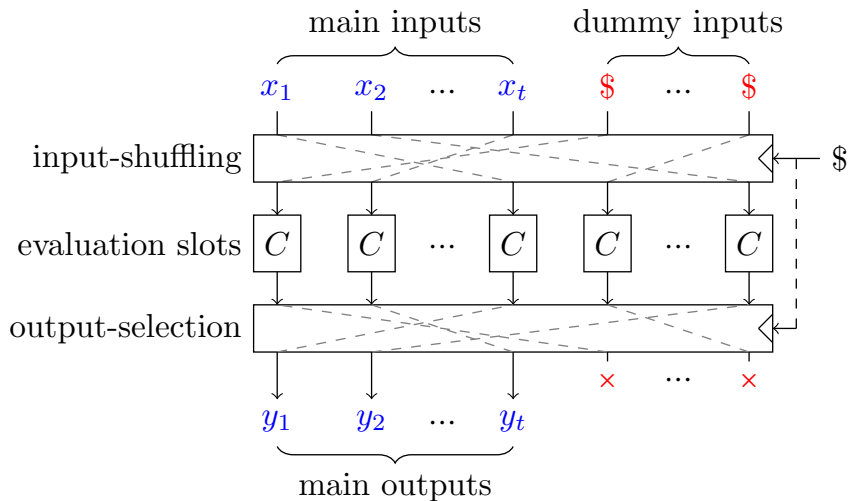
2 Back to the roots - Shuffling

- Basic shuffling
- Algebraic insecurity of dummyless shuffling
- **Dummy shuffling**
- Linear security of dummy shuffling
- Provably security via refreshing
- Full degree security
- Implementation cost estimation

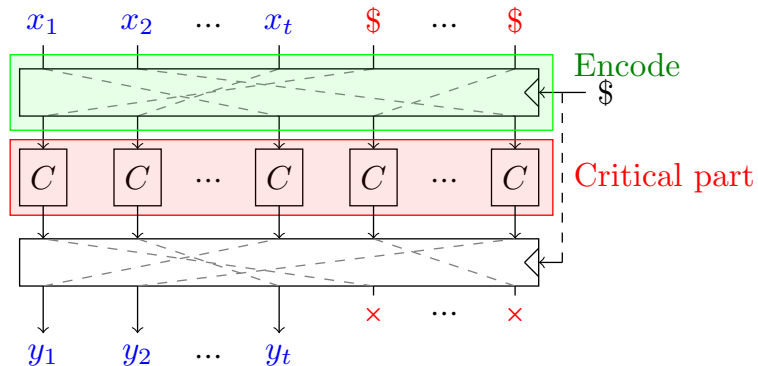
Dummy shuffling



Dummy shuffling



Dummy shuffling



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Linear security of dummy shuffling

Definition

Let C be a slot implementation. Denote by $e_1(C)$ the minimum error⁴ of a non-constant function from the linear span of C :

$$e_1(C) := \min \{ \text{err}(f) \mid f \in (\text{span } C) \setminus \{0, 1\} \}$$

Theorem

The dummy shuffling scheme with slots C is algebraically secure with the minimum error τ lower bounded as:

$$\tau \geq \frac{\# \text{dummy slots}}{\# \text{slots}} \cdot e_1(C)$$

⁴The minimum distance to a constant function

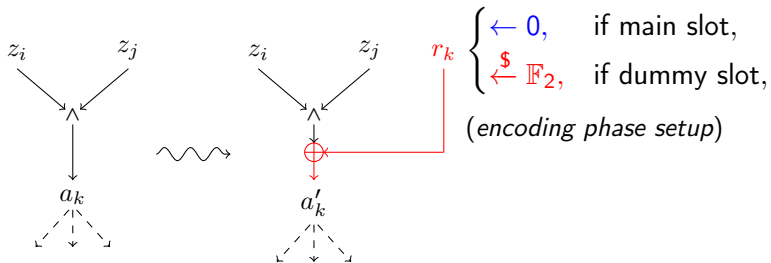
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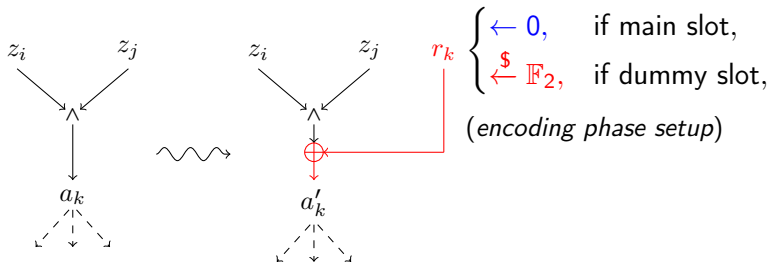
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Provably security via refreshing



Provably security via refreshing



Theorem

The dummy shuffling scheme with **refreshed** implementations of slots is degree-1 algebraically secure with the minimum error τ lower bounded as:

$$\tau \geq \frac{\# \text{dummy slots}}{\# \text{slots}} \cdot \frac{1}{4} \quad (e_1(\tilde{C}) \geq \frac{1}{4})$$

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Full degree security

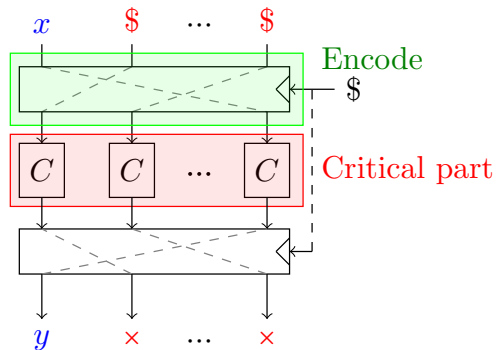
Theorem (Main)

The dummy shuffling scheme with *refreshed* implementations using *1 main slot* is

degree- d algebraically secure
($1 \leq d \leq \# \text{dummy slots}$)

with the minimum error τ lower bounded as:

$$\tau \geq \frac{\# \text{slots} - d}{\# \text{slots}} \cdot \frac{1}{2^{2d}}$$



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Implementation cost estimation

Protection degree	XOR	AND	Error τ	Ref.
1	$33 + 6\$$	$43 + 6\$$	$1/16$	[BU18, Alg. 3]
1	7	$16 + 2\$$	$1/16$	[SEL21]
1 ($t = 1$)	2	$8 + 1\$$	$1/8$	This work
2	16	$46 + 3\$$	$1/4096$	[SEL21]
2 ($t = 2$)	3	$14 + 2\$$	$1/48$	This work
d ($t \geq d$)	$t + 1$	$(6t + 2) + t\$$	$\frac{t+1-d}{t+1} \cdot \frac{1}{2^{2d}}$	This work

Estimation of gate complexity for protections against algebraic attacks per original AND/XOR gate. \$ stands for one random bit generation. t is the number of dummy slots.

The End

More in the paper:

- 1 Matching degree- $(d + 1)$ attack on d dummy slots
- 2 A proof-of-concept implementation of *public* dummy shuffling, relying on a single slot implementation (used in the [WhibOx 2019](#) contest surviving [challenge #100](#))

Open problems:

- 1 Concrete evaluation of security against LPN-based attacks
- 2 Extending the algebraic security model to cover the full implementation
- 3 Are there more generic value-based attacks to consider, or does algebraic&correlation security cover it? (excluding fault attacks and data-dependency analysis).

ia.cr/2021/290

github.com/CryptoExperts/EC21-dummy-shuffling

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- [BU18] Alex Biryukov and Aleksei Udovenko. Attacks and countermeasures for white-box designs. In Thomas Peyrin and Steven Galbraith, editors, *ASIACRYPT 2018, Part II*, volume 11273 of *LNCS*, pages 373–402. Springer, Heidelberg, 2018.
- [CEJv03] Stanley Chow, Philip A. Eisen, Harold Johnson, and Paul C. van Oorschot. White-box cryptography and an AES implementation. In Kaisa Nyberg and Howard M. Heys, editors, *SAC 2002*, volume 2595 of *LNCS*, pages 250–270. Springer, Heidelberg, 2003.

- [SEL21] Okan Seker, Thomas Eisenbarth, and Maciej Liskiewicz.
A white-box masking scheme resisting computational and algebraic attacks.
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