

#### IBM Research | Zurich

#### Lattice-Based Zero-Knowledge Proofs and Applications: Shorter, Simpler, and More General

Joint work with Vadim Lyubashevsky and Maxime Plancon



statement

"I got even funnier lines in the full version of the song!"

statement

Prover



"I got even funnier lines in the full version of the song!"

Verifier



#### statement



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✓ / X



statement

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**Completeness:** if the witness is valid, the verifier accepts

#### Soundness:

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#### Zero-knowledge:

the verifier does not learn anything about the witness from the interaction

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AMY ADAMS



JEREMY RENNER

FOREST WHITAKER

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### Current state-of-the-art of Quantum-Safe ZK

| Scheme   | Structure         | Asymptotic proof<br>size (witness size =<br><i>N</i> ) | Concrete proof size $N pprox 2^{20}$ | Prover runtime |
|--|-------------------|--|--------------------------------------|----------------|
| Ligero [AHIV17]                                      | Hash<br>functions | $O(\sqrt{N})$  | 9MB                                  | 38s            |
| Aurora [BCR+19]                                      | Hash<br>functions | $O(\log^2 N)$  | 170KB                                | 304s           |
| Fractal [COS20]                                      | Hash<br>functions | $O(\log^2 N)$  | 215KB                                | 184s           |
| [BL <b>N</b> S20]                                    | Lattices          | $O(N^{1/d})$   | -                                    | -              |
| Lattice Bulletproofs<br>[BL <b>N</b> S20,AL21,ACK21] | Lattices          | $O(\log^2 N)$  | -                                    | -              |

Sizes and runtimes taken from [ACMLT22,ISW21, NS22]

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| [ <b>N</b> S22]                                      | Lattices          | $O(\sqrt{N})$  | 6 M B                                | -              |
| [ACLMT22]  | Lattices          | polylog(N)   | 32MB                                 |                |

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Construct succinct (e.g. logarithmic-sized) ZK proofs

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In this talk

#### What are the interesting statements?

#### As = u

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As = u

Equation over ring R



Benchmark: prove As = u where  $s_i \in \{-1,0,1\}$  and  $A \in \mathbb{Z}_q^{1024 \times 2048}$  for  $q \approx 2^{32}$ .

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|-----------------|--------------|--------------------|--------------|-----|------------------------|-------------|
| Scheme          | Proof size   | Scheme             | Proof size   |     | Scheme                 | Proof size  |
| Ligero [AHIV17] | 157KB        | Stern proofs (e.g. | ЗМВ          |     | [BLS19,YAZ+19]         | 384KB       |
| Aurora          | 72KB         | [Ste93,LNSW13])    | 233KB        |     | [ALS20,E <b>N</b> S20] | 47KB        |
| [BCK+19,BC0320] |              |                    |              |     | [L <b>N</b> S21]       | 33KB        |
|                 |              |                    |              |     |                        |             |
|                 |              |                    |              | / \ |                        |             |

Sizes taken from [ENS20,LNS21]



- Consider the standard polynomial ring  $R_q = \mathbb{Z}_q[X]/(X^d + 1)$  where d is a power-of-two and  $q = 1 \pmod{2d}$ .
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Given a polynomial  $a = a_0 + a_1X + \dots + a_{d-1}X^{d-1} \in R_q$ , define NTT(a) as the vector  $\hat{a} = (a(r_1), \dots, a(r_d)) \in \mathbb{Z}_q^d$ .

By definition,  $NTT(ab) = NTT(a) \circ NTT(b)$ .

We want to prove  $s \in \{0,1\}^d$ .

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s_1\\
s_2\\
\vdots\\
s_d
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$$\begin{vmatrix} s_1 - 1 \\ s_2 - 1 \\ \vdots \\ s_d - 1 \end{vmatrix} = NTT(\check{s} - 1)$$

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Note that **š** has large coefficients.

Hence, we commit to it using the [BDLOP18] homomorphic commitment.

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The verifier can thus compute:

$$z(z-\alpha) = y^2 + \alpha \cdot (2\check{s}y - y) + \alpha^2 \cdot \check{s}(\check{s} - 1).$$



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4. Given a challenge  $\alpha$ , output  $z = y + \alpha \check{s}$ . 5. Prove: (i)  $z - (t_y + \alpha t_s)$  and (ii)  $z(z - \alpha) - (t_0 + \alpha t_1)$ 

are commitments to zero.



Proving linear relations, i.e. As = u:





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- Small challenge space, soundness error  $\approx \frac{1}{a}$  [ALS20].
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- If one wants to prove a degree k equation, the prover sends k-1 garbage commitments  $t_i$  [ALS20].

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- If one wants to prove a degree k equation, the prover sends k-1 garbage commitments  $t_i$  [ALS20].

- Using a BDLOP commitment is relatively expensive.
- Small challenge space, soundness error  $\approx \frac{1}{3}$  [ALS20].
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   New product proof which does not require sending z [ALS20]

Do we really

need NTT

packing?

- New linear proof which does not require sending z [ENS20]

 $\bullet$  If one wants to prove a degree k equation, the prover sends k-1 garbage commitments  $t_i$  [ALS20].

Lattice-based zero-knowledge proofs aye!

- Does not rely on NTT packing
- (Almost) one-shot
- Compressing commitment



ABDLOP commitment

- It combines the Ajtai [Ajt96] and BDLOP [BDLOP18] commitments into one.
- It puts the long commitment to **s** into the "Ajtai" part of the commitment scheme.
- The BDLOP part of the commitment scheme is then used for low-dimensional auxiliary elements that will need to be committed to later in the protocol.



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ABDLOP Product proofs commitment over  $R_q$ 

- Simple adaption of the [ALS20] protocol.
- It can be used to prove product relations, e.g.  $s^T s = 0$ .
- Extended to also prove quadratic equations involving  $R_q$ -automorphisms, e.g.  $s^T \sigma(s) = 0$ .



- We prove inner products over  $\mathbb{Z}_q$ , e.g.  $\langle s, v \rangle = 0$  or  $\langle s, s \rangle = B$ .
- <u>Fact</u>: There is an automorphism  $\sigma$  such that  $\langle x, y \rangle \in \mathbb{Z}_q$  is the constant coefficient of the polynomial  $x^T \sigma(y)$ .
- Proving the const. coeff. of a polynomial is zero: [ENS20] + Product proof (with automorphisms) over  $R_q$ .



- We know how to prove equations modulo  $oldsymbol{q}$  .
- But how to prove relations over integers?
- $||\mathbf{s}||^2 = \langle \mathbf{s}, \mathbf{s} \rangle = B \pmod{q}$  and  $\mathbf{s}$  approximately small coefficients  $\implies ||\mathbf{s}||^2 = B$  over integers!





• Proving knowledge of a Module-LWE sample

| Scheme                             | Proof size |
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| Stern proofs (e.g. [Ste93,LNSW13]) | ЗMВ        |
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| This work                          | 14KB       |

"Proof size so small, made my mom impressed!"



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More applications in the paper...

#### IBM Research | Zurich





that's the end of my slides, turn off the projector

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