## Parallel Repetition of $(k_1, \ldots, k_\mu)$ -Special-Sound Multi-Round Interactive Proofs

Thomas Attema and Serge Fehr

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#### Preliminaries

- Prior Knowledge Extractor Single Invocation
- Parallel Repetition Naive Extractor
- Our Solution Parallel Repetition of 3-Round Interactive Proofs
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- Summary

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 $(x; w) \in R$  $\mathcal{V}(x)$  $\mathcal{P}(x; w)$ **a**0  $c_1$  $a_1$ .  $c_{\mu}$  $a_{\mu}$ Accept/ Reject

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• Prove knowledge of a witness w for a public statement x.





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We only consider <u>public-coin</u> protocols, i.e., the verifier's messages  $c_i$  are challenges sampled uniformly at random.

 $(x; w) \in R$ 



#### Desirable Security Properties:

- Completeness: Honest provers always succeed in convincing a verifier.
- Knowledge Soundness: Dishonest provers (almost) never succeed.
- Zero-Knowledge: No information about the witness is revealed.

Knowledge soundness  $\iff$  existence of a *knowledge extractor*.

Knowledge extractor

- Input: Statement x and oracle access to a prover  $\mathcal{P}^*$  attacking the protocol.
- Goal: Compute a witness *w* for statement *x*.

- $\epsilon(x, \mathcal{P}^*)$ : success probability of  $\mathcal{P}^*$  on public input x.
- $\kappa(|x|)$ : knowledge error of the protocol.

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#### Definition (Standard Definition - Knowledge Soundness)

If  $\epsilon(x, \mathcal{P}^*) > \kappa(|x|)$ , knowledge extractor extracts in expected runtime

 $rac{\mathsf{poly}(|x|)}{\epsilon(x,\mathcal{P}^*)-\kappa(|x|)}\,.$ 

#### Lemma (Informal)

It is sufficient to consider deterministic provers  $\mathcal{P}^*$ .

Hence,  $\mathcal{P}^*$  always starts with the same message.

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- Reduces knowledge error from  $\kappa$  down to  $\kappa^t$ ;
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Generic (*weak*) result for any public-coin interactive proof:

• Reduces knowledge error from  $\kappa$  down to  $\kappa^t + \nu$  for any non-negligible  $\nu$  [ACK21].

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<u>This work</u>: Strong parallel repetition result for a rich subclass of protocols: *special-sound* protocols.

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Natural generalizations:

- *k*-out-of-*N* special-soundness  $\implies$  knowledge error (k-1)/N.
- multi-round protocols:
  - Also here special-soundness tightly implies knowledge soundness (CRYPTO'21 [ACK21]).

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Let  $\Pi$  be *k*-out-of-*N* special-sound,

• and  $\mathcal{P}^*$  a *deterministic* prover attacking  $\Pi$  on input *x*.

 $\mathcal{P}^* \colon \mathcal{C} \to \{0,1\}^*, \quad c \mapsto z.$ 

- $\mathcal{P}^*$ 's first message *a* is fixed;
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 $\mathcal{P}^*$ 's behavior can be summarized by a binary vector  $H(\mathcal{P}^*)$  indexed by the challenges  $c_i$ .

- 1-entry corresponds to  $\mathcal{P}^*$  succeeding;
- $\bullet$  0-entry corresponds to  $\mathcal{P}^{\ast}$  failing.
- $\epsilon(x, \mathcal{P}^*)$  equals fraction of 1-entries.

$$c_1 \quad c_2 \quad c_3 \quad \cdots \quad c_{N-1} \quad c_N$$
  
 $\mathcal{H}(\mathcal{P}^*) = (0 \quad 1 \quad 0 \quad \cdots \quad 0 \quad 1)$ 

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(1) Sample entries until a 1-entry is found  $\implies$  Expected time  $1/\epsilon(x, \mathcal{P}^*)$ .

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(k) Sample entries until k-th 1-entry is found  $\implies$  Expected time  $\leq \frac{1}{\epsilon(x,\mathcal{P}^*) - (k-1)/N}$ .

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Expected runtime 
$$\leq \frac{k}{\epsilon(x, \mathcal{P}^*) - (k-1)/N}$$
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 $\mathcal{P}^*$  is a (deterministic) function:

$$\mathcal{P}^*\colon \mathcal{C} imes \mathcal{C} o \{0,1\}^*, \quad (c_1,c_2) \mapsto (z_1,z_2).$$

## 2-Fold Parallel Repetition - Naive Extractor (2/4)

 $\mathcal{P}^*$  defines two provers attacking a single invocation of  $\Pi:$ 

$$\mathcal{P}_1^*: c_1 \longrightarrow \begin{array}{c} c_2 \leftarrow_R \mathcal{C} \\ c_1, c_2 \longrightarrow \mathcal{P}^* \longrightarrow z_1, z_2 \end{array} \xrightarrow{} z_1$$

$$\mathcal{P}_{2}^{*}: c_{2} \longrightarrow \begin{array}{c} c_{1} \leftarrow_{R} \mathcal{C} \\ c_{1}, c_{2} \longrightarrow \mathcal{P}^{*} \longrightarrow z_{1}, z_{2} \end{array} \xrightarrow{} z_{2}$$

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This does not work:

- Gives the same knowledge error (k-1)/N;
- Goal is to reduce knowledge error down to  $(k-1)^2/N^2$ .

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#### **Technical Overview:**

• Introduce more fine-grained quality measure  $\delta_k(x, \mathcal{P}^*)$  (instead of  $\epsilon(x, \mathcal{P}^*)$ ).

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$$\leq rac{k}{\delta_k(x,\mathcal{P}^*)}$$
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$$\leq rac{k}{\delta_k(x,\mathcal{P}^*)}$$
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**Or Parallel repetition**: At least one of the  $\delta$ 's is large enough, i.e.,  $\delta_k(x, \mathcal{P}_1^*)$  or  $\delta_k(x, \mathcal{P}_2^*)$ .

Currently, the figure of merit is  $\epsilon(x, \mathcal{P}^*)$ , i.e.,

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We define a 'punctured' success probability:

$$\delta_{\ell}(x, \mathcal{P}^*) = \min_{S \subset \mathcal{C}: |S| < \ell} \Pr(\mathcal{P}^*(C) \text{ succeeds } | C \notin S).$$

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 $\delta_{\ell}(x, \mathcal{P}^*)$  lower bounds the success probability of  $\mathcal{P}^*$  when "removing"  $\ell - 1$  challenges.

Probabilistic  $\mathcal{P}^*$  attacking a single invocation of a *k*-out-of-*N* special-sound protocol  $\Pi$ .

Simple extraction algorithm  $\mathcal{E}^{\mathcal{P}^*}$ :

(1) Sample entries until a 1-entry is found  $\implies$  Expected time  $1/\epsilon(x, \mathcal{P}^*) = 1/\delta_1(x, \mathcal{P}^*)$ . (2) Sample entries until second 1-entry is found  $\implies$  Expected time  $\leq 1/\delta_2(x, \mathcal{P}^*)$ .

(k) Sample entries until k-th 1-entry is found  $\implies$  Expected time  $\leq 1/\delta_k(x, \mathcal{P}^*)$ .

Expected runtime 
$$\leq \frac{k}{\delta_k(x, \mathcal{P}^*)}$$
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$$H(\mathcal{P}^*) = \begin{pmatrix} c_1 & c_2 & \cdots & c_{N-1} & c_N \\ 0 & 1 & \cdots & 0 & 1 \\ 1 & 0 & \cdots & 1 & 1 \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ 1 & 1 & \cdots & 0 & 1 \end{pmatrix} \begin{pmatrix} c_1 \\ c_2 \\ \vdots \\ c_N \end{pmatrix}$$

W.l.o.g. assume  $H(\mathcal{P}^*)$ 's rows and columns are sorted based on fraction of 1-entries.



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- $\delta_k(x, \mathcal{P}_1^*) =$ fraction of 1-entries in blue region.
- $\delta_k(x, \mathcal{P}_2^*) =$ fraction of 1-entries in red region.





$$\delta_k(x, \mathcal{P}_1^*) + \delta_k(x, \mathcal{P}_2^*) \ge \epsilon(x, \mathcal{P}^*) - \frac{(k-1)^2}{N^2}$$
$$\implies \max(\delta_k(x, \mathcal{P}_1^*), \delta_k(x, \mathcal{P}_2^*)) \ge \left(\epsilon(x, \mathcal{P}^*) - \frac{(k-1)^2}{N^2}\right)/2$$

#### Theorem (3-Round Protocols)

The t-fold parallel repetition of a k-out-of-N special-sound interactive proof is knowledge sound with knowledge error

$$rac{(k-1)^t}{N^t}$$

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## Our Solution - Parallel Repetition of Multi-Round Interactive Proofs

• Natural recursive strategy from 3-round to  $2\mu + 1$ -round extraction [ACK21].

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- However, for the above extractor this gives runtime exponential in the number of rounds.
- **Solution:** New extractor for 3-round protocols properties making it amenable for this recursive strategy (see paper).

- $\bullet\,$  New figure of merit  $\delta$  capturing "how well we can extract".
  - $\implies$  strong parallel repetition result for 3-round special-sound protocols.
- Novel 3-round extractor to handle multi-round protocols.
  - $\implies$  strong parallel repetition for multi-round special-sound protocols.
- Also works for threshold parallel repetition.
  - Allowing to decrease completeness and knowledge error simultaneously.

# Thanks!

#### 🔋 Thomas Attema, Ronald Cramer, and Lisa Kohl.

A compressed  $\Sigma$ -protocol theory for lattices. In Tal Malkin and Chris Peikert, editors, *CRYPTO 2021, Part II*, volume 12826 of *LNCS*, pages 549–579, Virtual Event, August 2021. Springer, Heidelberg.