

Higher-Order Masked Ciphertext Comparison for Lattice-Based Cryptography

Jan-Pieter D'Anvers¹
Verbauwhede¹

Daniel Heinz^{2,3}

Peter Pessl³

Michiel Van Beirendonck¹

Ingrid

¹imec-COSIC KU Leuven

²Research Institute CODE

³Infineon Technologies

Lattice-based Cryptography

NIST selected **Kyber** as PQC Key Encapsulation Mechanism (KEM) standard.

NIST IR 8413

**Status Report on the Third Round of the
NIST Post-Quantum Cryptography
Standardization Process**

Higher-order Masked Ciphertext Comparison for Lattice-based Cryptography

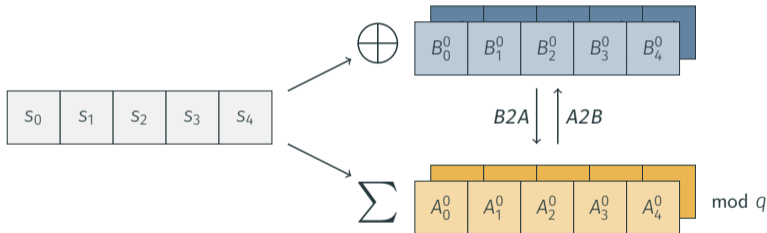
Higher-order Masking

Implementations require protections against **Side-Channel Attacks (SCA)**

Higher-order Masked Ciphertext Comparison for Lattice-based Cryptography

Higher-order Masking

Implementations require protections against Side-Channel Attacks (SCA)



$B2A, A2B$ are key building blocks in masking Lattice-based schemes

Higher-order Masked **Ciphertext Comparison** for Lattice-based Cryptography

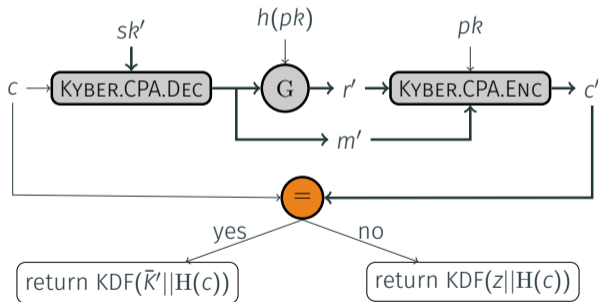
Ciphertext Comparison

Kyber uses the **FO Transform** from IND-CPA PKE to IND-CCA KEM

Higher-order Masked **Ciphertext Comparison** for Lattice-based Cryptography

Ciphertext Comparison

Kyber uses the **FO Transform** from IND-CPA PKE to IND-CCA KEM

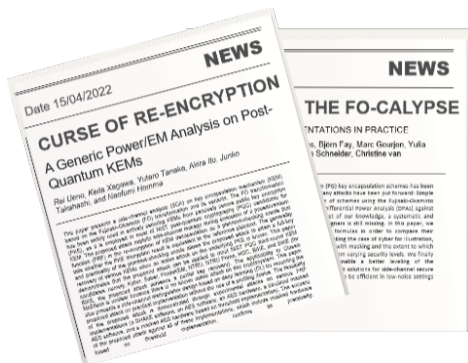


Side-channel Attacks on the FO Transform

- The FO transform is a target for SCA that builds plaintext-checking oracles

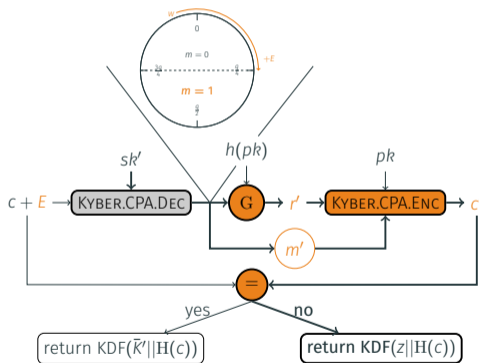
Side-channel Attacks on the FO Transform

- The FO transform is a target for SCA that builds plaintext-checking oracles



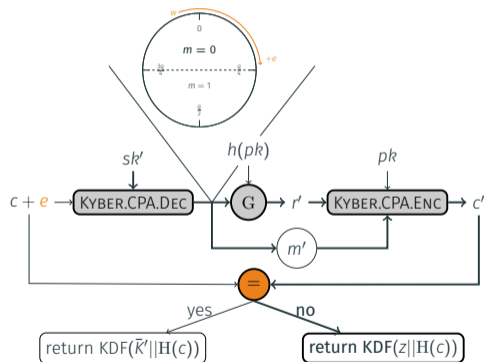
Side-channel Attacks on the FO Transform

- The FO transform is a target for SCA that builds **plaintext-checking oracles**



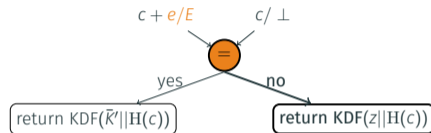
Side-channel Attacks on the FO Transform

- The FO transform is a target for SCA that builds **plaintext-checking oracles**



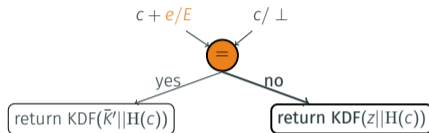
Side-channel Attacks on the FO Transform

- The FO transform is a target for SCA that builds **plaintext-checking oracles**



Side-channel Attacks on the FO Transform

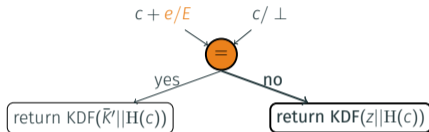
- The FO transform is a target for SCA that builds **plaintext-checking oracles**



- [BDH⁺21] Two **masked comparisons** broken, with fixes proposed:
 1. First-order Hash-based Comparison
 2. Higher-order Reduce-Comparisons
 - Not applicable to Kyber, Saber due to ciphertext compression

Side-channel Attacks on the FO Transform

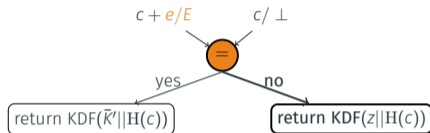
- The FO transform is a target for SCA that builds **plaintext-checking oracles**



- [BDH⁺21] Two **masked comparisons** broken, with fixes proposed:
 - First-order Hash-based Comparison
 - Higher-order Reduce-Comparisons
 - Not applicable to Kyber, Saber due to ciphertext compression
- [BGR⁺21] Decompressed-Comparison : **> 50% of masked KYBER.CCA.DEC execution time**

Side-channel Attacks on the FO Transform

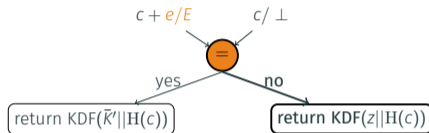
- The FO transform is a target for SCA that builds **plaintext-checking oracles**



- [BDH⁺21] Two **masked comparisons** broken, with fixes proposed:
 - First-order Hash-based Comparison → **1. we show SCA collision attack**
 - Higher-order Reduce-Comparisons
 - Not applicable to Kyber, Saber due to ciphertext compression
- [BGR⁺21] Decompressed-Comparison : **> 50% of masked KYBER.CCA.DEC execution time**

Side-channel Attacks on the FO Transform

- The FO transform is a target for SCA that builds **plaintext-checking oracles**



- [BDH⁺21] Two **masked comparisons** broken, with fixes proposed:
 - First-order Hash-based Comparison → **1. we show SCA collision attack**
 - Higher-order Reduce-Comparisons → **2. we develop into a new method**
 - Not applicable to Kyber, Saber due to ciphertext compression
- [BGR⁺21] Decompressed-Comparison : **> 50% of masked KYBER.CCA.DEC execution time**

Hash-based Masked Comparison

- Masked Ciphertext Comparison

$$c \stackrel{?}{=} c^{(0)} + c^{(1)}$$

Hash-based Masked Comparison

- Masked Ciphertext Comparison

$$c \stackrel{?}{=} c^{(0)} + c^{(1)}$$

- Extra hashing step prevents sensitive leakage

$$H(c - c^{(0)}) \stackrel{?}{=} H(c^{(1)})$$

SCA on Hash-based Masked Comparison

- Trace contains 2 hash invocations:
 1. $H(c - c'^{(0)})$
 2. $H(c'^{(1)})$

SCA on Hash-based Masked Comparison

- Trace contains 2 hash invocations:
 1. $H(c - \mathbf{c}'^{(0)})$
 2. $H(\mathbf{c}'^{(1)})$
- We want to distinguish with 2nd-order SCA between:
 1. $c - \mathbf{c}'^{(0)} = \mathbf{c}'^{(1)} + e$
 2. $c - \mathbf{c}'^{(0)} = \perp_1, \mathbf{c}'^{(2)} = \perp_2$

SCA on Hash-based Masked Comparison

- Trace contains 2 hash invocations:
 1. $H(c - \mathbf{c}'^{(0)})$
 2. $H(\mathbf{c}'^{(1)})$
- We want to distinguish with 2nd-order SCA between:
 1. $c - \mathbf{c}'^{(0)} = \mathbf{c}'^{(1)} + e$
 2. $c - \mathbf{c}'^{(0)} = \perp_1, \mathbf{c}'^{(2)} = \perp_2$
- Keccak incrementally hashes these inputs in 5 *blocks*

SCA on Hash-based Masked Comparison

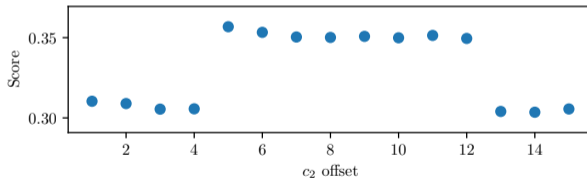
- Trace contains 2 hash invocations:
 1. $H(c - \mathbf{c}'^{(0)})$
 2. $H(\mathbf{c}'^{(1)})$
- We want to distinguish with 2nd-order SCA between:
 1. $c - \mathbf{c}'^{(0)} = \mathbf{c}'^{(1)} + e$
 2. $c - \mathbf{c}'^{(0)} = \perp_1, \mathbf{c}'^{(2)} = \perp_2$
- Keccak incrementally hashes these inputs in 5 *blocks*
- Horizontal collision attack
 1. Place the error e into the final block
 2. Compute "*collision score*" for first block

SCA on Hash-based Masked Comparison

- Trace contains 2 hash invocations:
 1. $H(c - c^{(0)})$
 2. $H(c^{(1)})$
- We want to distinguish with 2nd-order SCA between:
 1. $c - c^{(0)} = c^{(1)} + e \rightarrow$ **first block identical**
 2. $c - c^{(0)} = \perp_1, c^{(2)} = \perp_2 \rightarrow$ **first block completely different**
- Keccak incrementally hashes these inputs in 5 *blocks*
- Horizontal collision attack
 1. Place the error e into the final block
 2. Compute "*collision score*" for first block

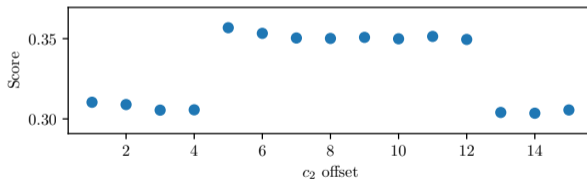
SCA on Hash-based Masked Comparison

- We want to distinguish with 2nd-order SCA between:
 1. $c - c^{(0)} = c^{(1)} + e \rightarrow$ **first block identical**
 2. $c - c^{(0)} = \perp_1, c^{(2)} = \perp_2 \rightarrow$ **first block completely different**
- Horizontal collision attack
 1. Place the error e into the final block
 2. Compute "collision score" for first block



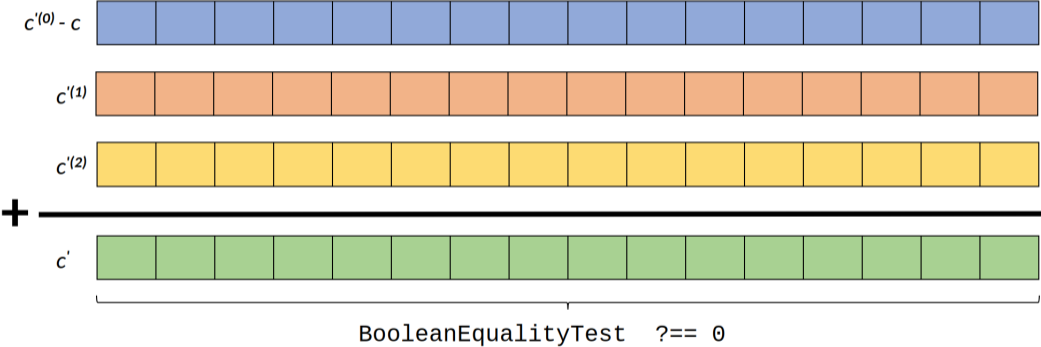
SCA on Hash-based Masked Comparison

- We want to distinguish with 2nd-order SCA between:
 1. $c - c^{(0)} = c^{(1)} + e \rightarrow$ **first block identical**
 2. $c - c^{(0)} = \perp_1, c^{(2)} = \perp_2 \rightarrow$ **first block completely different**
- Horizontal collision attack
 1. Place the error e into the final block
 2. Compute "collision score" for first block

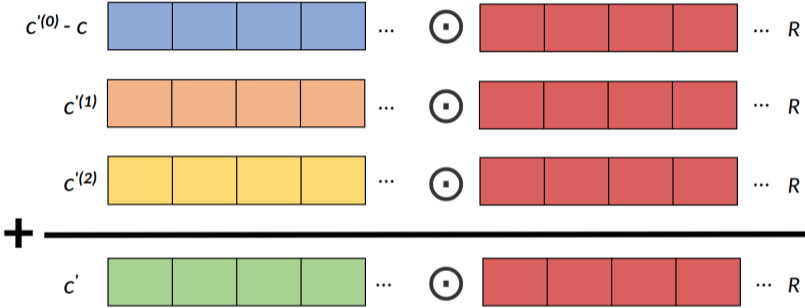


- Single trace for plaintext-checking, 6,000 traces to recover a Kyber512 key
 - Higher-order Masked Comparison is very necessary

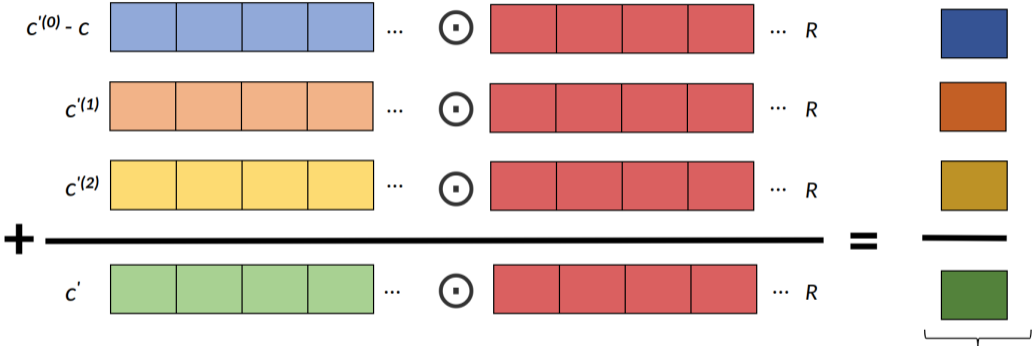
Reduce-Comparisons



Reduce-Comparisons



Reduce-Comparisons



BooleanEqualityTest ??= 0

Reduce-Comparisons

Collision probability P_{col} that $e_i \cdot R_i = 0 \pmod q$

1. Prime modulus q : $P_{col} = 1/q$
2. Power-of-two-modulus $q = 2^k$: $P_{col} = 1/2$

Repeat the check L times for probability P_{col}^L

Reduce-Comparisons

Collision probability P_{col} that $e_i \cdot R_i = 0 \pmod q$

1. Prime modulus q : $P_{col} = 1/q$
2. Power-of-two-modulus $q = 2^k$: $P_{col} = 1/2$

Repeat the check L times for probability P_{col}^L

Saber, but also Kyber is in Category 2 due to ciphertext compression

- $Compress_q(x) = \lfloor (2^k/q) \cdot x \rfloor \pmod{2^k}$

Random-sum Method

Collision probability $P_{col} = 1/2$ that $e_i \cdot R_i = 0 \pmod{2^k}$

- $e_i \leftarrow \mathbb{Z}_{2^k}, R_i \overset{\$}{\leftarrow} \mathbb{Z}_{2^k}$

Random-sum Method

Collision probability $P_{col} = 1/2$ that $e_i \cdot R_i = 0 \pmod{2^k}$

- $e_i \leftarrow \mathbb{Z}_{2^k}, R_i \overset{\$}{\leftarrow} \mathbb{Z}_{2^k}$

Simple key idea (from MPC literature) : enlarge the masking modulus

Collision probability $P_{col} = 1/2^s$ that $e_i \cdot R_i = 0 \pmod{2^{k+s}}$

- $e_i \leftarrow \mathbb{Z}_{2^k}, R_i \overset{\$}{\leftarrow} \mathbb{Z}_{2^s}$

Random-sum Method

Collision probability $P_{col} = 1/2$ that $e_i \cdot R_i = 0 \pmod{2^k}$

$$\cdot e_i \leftarrow \mathbb{Z}_{2^k}, R_i \overset{\$}{\leftarrow} \mathbb{Z}_{2^k}$$

Simple key idea (from MPC literature) : enlarge the masking modulus

Collision probability $P_{col} = 1/2^s$ that $e_i \cdot R_i = 0 \pmod{2^{k+s}}$

$$\cdot e_i \leftarrow \mathbb{Z}_{2^k}, R_i \overset{\$}{\leftarrow} \mathbb{Z}_{2^s}$$

More involved implementation :

1. Higher-order MaskedCompress [FVBBR⁺21]
2. A combination of B2A, A2B to enlarge the masking modulus

Random-sum Method

Input : c', c . Output : $\text{Compress}(c') \stackrel{?}{=} c$

Step 0 : Pre-processing

Random-sum Method

Input : c' , c . Output : $\text{Compress}(c') \stackrel{?}{=} c$

Step 0 : Pre-processing

- $c^{(0)} \leftarrow c^{(0)} - c$

Random-sum Method

Input : c', c . Output : $\text{Compress}(c') \stackrel{?}{=} c$

Step 0 : Pre-processing

- $c'^{(0)} \leftarrow c'^{(0)} - c$
- $c_i'^{(j)} \leftarrow \lfloor (2^{k+f_{bits}}/q) \cdot c_i'^{(j)} \rfloor \bmod 2^{k+f_{bits}}$
 - Higher-order correctness proof for f_{bits} in the paper

Random-sum Method

Input : c' , c . Output : $\text{Compress}(c') \stackrel{?}{=} c$

Step 0 : Pre-processing

- $c'^{(0)} \leftarrow c'^{(0)} - c$
- $c_i'^{(j)} \leftarrow \lfloor (2^{k+f_{bits}}/q) \cdot c_i'^{(j)} \rfloor \bmod 2^{k+f_{bits}}$
 - Higher-order correctness proof for f_{bits} in the paper

Step 1 : Convert to Boolean masking

- $c' \leftarrow A2B(c') \ggg f_{bits}$

Random-sum Method

Input : c' , c . Output : $\text{Compress}(c') \stackrel{?}{=} c$

Step 0 : Pre-processing

- $c'^{(0)} \leftarrow c'^{(0)} - c$
- $c_i'^{(j)} \leftarrow \lfloor (2^{k+f_{bits}}/q) \cdot c_i'^{(j)} \rfloor \bmod 2^{k+f_{bits}}$
 - Higher-order correctness proof for f_{bits} in the paper

Step 1 : Convert to Boolean masking

- $c' \leftarrow A2B(c') \ggg f_{bits}$

Step 2 : Convert to arithmetic masking modulo 2^{k+s}

- $c' \leftarrow B2A_{2^k \rightarrow 2^{k+s}}(c')$

Random-sum Method

Input : c' , c . Output : $\text{Compress}(c') \stackrel{?}{=} c$

Step 0 : Pre-processing

- $c'^{(0)} \leftarrow c'^{(0)} - c$
- $c_i'^{(j)} \leftarrow \lfloor (2^{k+f_{bits}}/q) \cdot c_i'^{(j)} \rfloor \bmod 2^{k+f_{bits}}$
 - Higher-order correctness proof for f_{bits} in the paper

Step 1 : Convert to Boolean masking

- $c' \leftarrow A2B(c') \ggg f_{bits}$

Step 2 : Convert to arithmetic masking modulo 2^{k+s}

- $c' \leftarrow B2A_{2^k \rightarrow 2^{k+s}}(c')$

Step 3 : ReduceComparisons

Random-sum Method

Input : c' , c . Output : $\text{Compress}(c') \stackrel{?}{=} c$

Step 0 : Pre-processing

- $c'^{(0)} \leftarrow c'^{(0)} - c$
- $c_i'^{(j)} \leftarrow \lfloor (2^{k+f_{bits}}/q) \cdot c_i'^{(j)} \rfloor \bmod 2^{k+f_{bits}}$
 - Higher-order correctness proof for f_{bits} in the paper

Step 1 : Convert to Boolean masking

- $c' \leftarrow A2B(c') \ggg f_{bits}$

Step 2 : Convert to arithmetic masking modulo 2^{k+s}

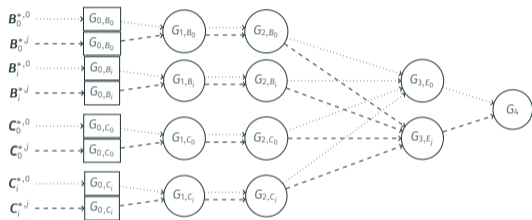
- $c' \leftarrow B2A_{2^k \rightarrow 2^{k+s}}(c')$

Step 3 : ReduceComparisons

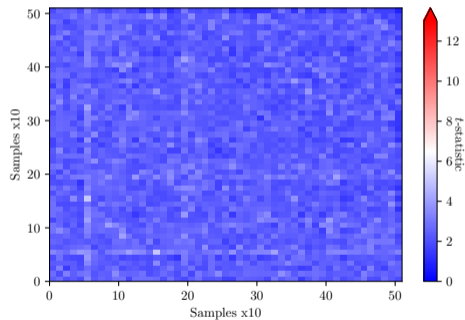
Step 4 : BooleanEqualityTest

Security Proof and Leakage Evaluation

t -SNI security proof



Bivariate 2^{nd} -order t -test evaluation



Performance Evaluation

Performance breakdown on ARM-Cortex M4 with collision probability $2^{-s} = 2^{-54}$

Scheme	Comparison	CPU [k]cycles		#random bytes	
		2^{nd}	3^{rd}	2^{nd}	3^{rd}
Saber	MaskedComp	3,363	6,543	90,264	205,104
Kyber768	MaskedComp	5,253	9,712	111,256	251,184
	-Step 0	-355	-467	-0	-0
	-Step 1	-3,485	-5,742	-44,544	-93,184
	-Step 2	-1,157	-3,179	-57,344	-147,456
	-Step 3	-182	-197	-8,192	-8,192
	-Step 4	-73	-128	-1,176	-2,352
	[BGR ⁺ 21]	22,017 (4.2x)	72,568 (7.5x)	902,126 [†]	2,434,170 [†]

Revisiting Higher-Order Masked Ciphertext Comparison for Lattice-Based Cryptography

Original Reduce-Comparisons : $e_i \cdot R_i = 0 \pmod{2^k}$

Our Reduce-Comparisons : $e_i \cdot R_i = 0 \pmod{2^{k+s}}$

- Need A2B, B2A to enlarge the masking modulus

Revisiting Higher-Order Masked Ciphertext Comparison for Lattice-Based Cryptography

Original Reduce-Comparisons : $e_i \cdot R_i = 0 \pmod{2^k}$

Our Reduce-Comparisons : $e_i \cdot R_i = 0 \pmod{2^{k+s}}$

- Need A2B, B2A to enlarge the masking modulus

Galois-field Reduce-Comparisons : $e_i \odot R_i = 0$

- After A2B, natively in "GF" format




Revisiting Higher-Order Masked Ciphertext Comparison for Lattice-Based Cryptography

Scheme	Comparison	CPU cycles		#random bytes	
		2 nd	3 rd	2 nd	3 rd
Kyber768	This work (2.1x)	5,2M	9,7M	111K	251K
	GF (1.7x)	4,2M	6,7M	47K	95K
	Simple (1.2x)	3,1M	5,2M	48K	100K
	[CGMZ21] (1.3x)	3.3M	4.5M	80K	94K
	Streamlined Hybrid (1.0x)	2,5M	3,6M	44K	62K

 github.com/KULeuven-COSIC/Masked-Comparison

 github.com/KULeuven-COSIC/Revisiting-Masked-Comparison

References

-  Shivam Bhasin, Jan-Pieter D’Anvers, Daniel Heinz, Thomas Pöppelmann, and Michiel Van Beirendonck.
Attacking and defending masked polynomial comparison.
IACR TCHES, 2021(3):334–359, 2021.
<https://tches.iacr.org/index.php/TCHES/article/view/8977>.
-  Joppe W. Bos, Marc Gourjon, Joost Renes, Tobias Schneider, and Christine van Vredendaal.
Masking kyber: First- and higher-order implementations.
IACR TCHES, 2021(4):173–214, 2021.
<https://tches.iacr.org/index.php/TCHES/article/view/9064>.
-  Jean-Sébastien Coron, François Gérard, Simon Montoya, and Rina Zeitoun.
High-order polynomial comparison and masking lattice-based encryption.
Cryptology ePrint Archive, Paper 2021/1615, 2021.
<https://eprint.iacr.org/2021/1615>.
-  Tim Fritzmann, Michiel Van Beirendonck, Debapriya Basu Roy, Patrick Karl, Thomas Schamberger, Ingrid Verbauwhede, and Georg Sigl.
Masked accelerators and instruction set extensions for post-quantum cryptography.
IACR TCHES, 2022(1):414–460, 2021.
<https://tches.iacr.org/index.php/TCHES/article/view/9303>.