

Don't Reject This: Key-Recovery Timing Attacks Due to Rejection-Sampling in HQC and BIKE

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Rejection sampling with a seed derived from the message leaks the secret key.

Fundamentals

Attack

Countermeasures

Hamming-Quasi-Cyclic (HQC)

Code-based round 3 contender

Based on hard problems related to quasi-cyclic codes.

Key Encapsulation Mechanism (KEM) \mathcal{E}_{kem} with security parameter λ

Tuple of algorithms: (KeyGen, Encaps, Decaps)

$$(pk, sk) \leftarrow \$ \text{KeyGen}(1^\lambda)$$

$$(k_0, c) \leftarrow \$ \text{Encaps}(pk, 1^\lambda)$$

$$k_1 \leftarrow \text{Decaps}(sk, c)$$

Correctness: $k_0 = k_1$ with overwhelming probability

Game Based Security:

IND-CPA: given encaps oracle, can't distinguish real key from random key

IND-CCA: given additional decaps oracle

Hamming Quasi-Cyclic (HQC) PKE: Key Generation [Agu+16; Car+20]

$$\mathcal{R} := \mathbb{F}_2[X]/\langle X^n - 1 \rangle$$

KeyGen(param)

- 1 $\mathbf{h}, \mathbf{x}, \mathbf{y} \leftarrow \mathcal{R}$ with $\omega(\mathbf{x}) = \omega(\mathbf{y}) = \omega$
 - 2 $\text{sk} = (\mathbf{x}, \mathbf{y})$
 - 3 $\text{pk} = (\mathbf{h}, \mathbf{s} = \mathbf{x} + \mathbf{h} \cdot \mathbf{y})$
 - 4 **return** (pk, sk)
-

HQC PKE: Encryption and Decryption

Encrypt(pk, m)

- 1 $\mathbf{e}, \mathbf{r}_1, \mathbf{r}_2 \leftarrow \mathcal{R}$ with $\omega(\mathbf{e}) = \omega_e$ and $\omega(\mathbf{r}_1) = \omega(\mathbf{r}_2) = \omega_r$
 - 2 $\mathbf{u} = \mathbf{r}_1 + \mathbf{h} \cdot \mathbf{r}_2$
 - 3 $\mathbf{v} = \mathbf{mG} + \mathbf{s} \cdot \mathbf{r}_2 + \mathbf{e}$
 - 4 **return** $c = (\mathbf{u}, \mathbf{v})$
-

Decrypt(sk = (x, y), c = (u, v))

- 1 **return** $\mathcal{C}.\text{Decode}(\mathbf{v} - \mathbf{u} \cdot \mathbf{y})$
-

Decryption Failures

Decoding is successful when $\mathbf{v} - \mathbf{u} \cdot \mathbf{y}$ has $\leq \delta$ errors:

$$\begin{aligned} & \mathbf{v} - \mathbf{u} \cdot \mathbf{y} \\ &= \mathbf{mG} + \mathbf{s} \cdot \mathbf{r}_2 + \mathbf{e} - (\mathbf{r}_1 + \mathbf{h} \cdot \mathbf{r}_2) \cdot \mathbf{y} \\ &= \mathbf{mG} + (\mathbf{x} + \mathbf{h} \cdot \mathbf{y}) \cdot \mathbf{r}_2 + \mathbf{e} - (\mathbf{r}_1 + \mathbf{h} \cdot \mathbf{r}_2) \cdot \mathbf{y} \\ &= \mathbf{mG} + \underbrace{\mathbf{x} \cdot \mathbf{r}_2 + \mathbf{e} - \mathbf{r}_1 \cdot \mathbf{y}}_{\text{sparse}} \end{aligned}$$

HQC KEM: Key Generation and Encapsulation

KeyGen(param)

1 **return** $PKE.KeyGen(param)$

Encaps(pk)

1 $\mathbf{m} \leftarrow \$ \mathbb{F}_2^k$

2 $\theta = \mathcal{G}(\mathbf{m})$

3 $c = PKE.Encrypt(pk, \mathbf{m}; \theta)$

4 $K = \mathcal{K}(\mathbf{m}, c)$

5 $d = \mathcal{H}(\mathbf{m})$

6 **return** $(K, (c, d))$

HQC KEM: Decaps

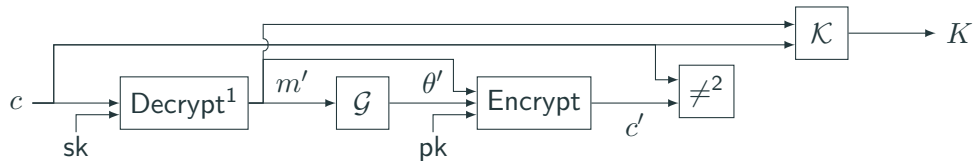


d

¹WT+19; PT19.

²GJN20.

HQC KEM: Decaps

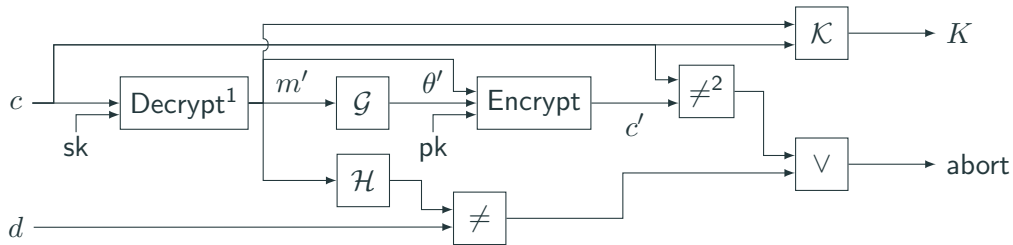


d

¹WT+19; PT19.

²GJN20.

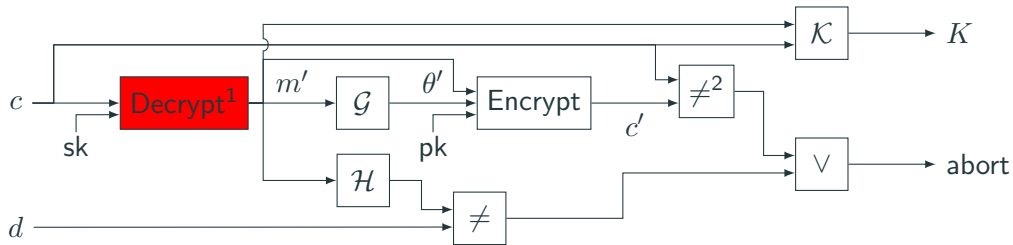
HQC KEM: Decaps



¹WT+19; PT19.

²GJN20.

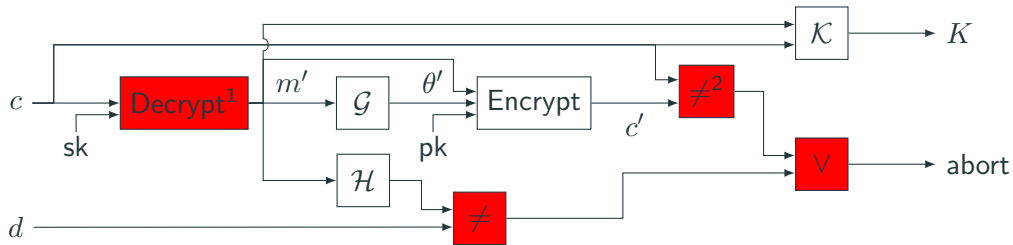
HQC KEM: Decaps



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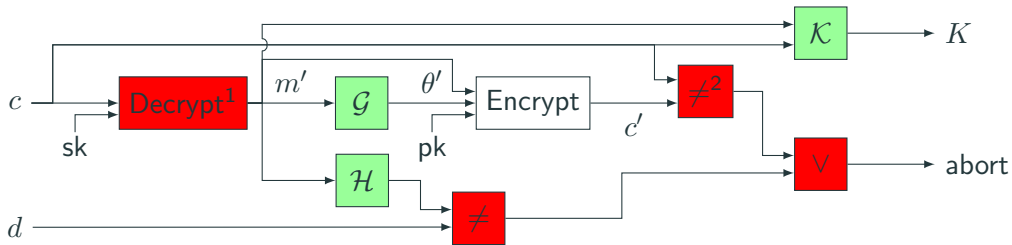
HQC KEM: Decaps



¹WT+19; PT19.

²GJN20.

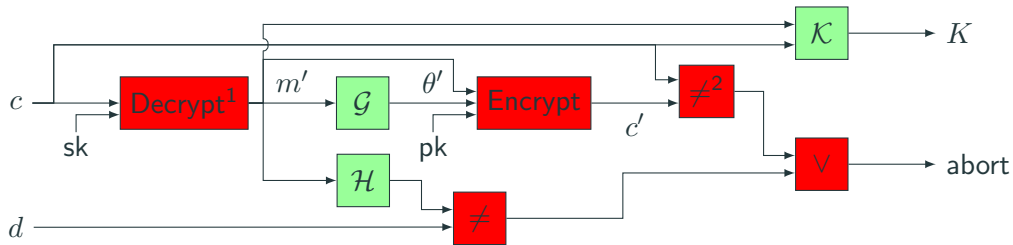
HQC KEM: Decaps



¹WT+19; PT19.

²GJN20.

HQC KEM: Decaps



¹WT+19; PT19.

²GJN20.

Discovery of a Timing-Variation

Algorithm 6: Collecting timing measurements

```
1 (pk, sk) ← KeyGen( $1^n$ )
2 for  $i \in \{1, \dots, \text{num\_ciphertexts}\}$  do
3   | (c, k) ← Encaps(pk)
4   | for  $j \in \{1, \dots, \text{num\_measurements}\}$  do
5   |   | measure_cycles(Decaps(sk, c))
6   | end
7 end
```

Detecting timing differences

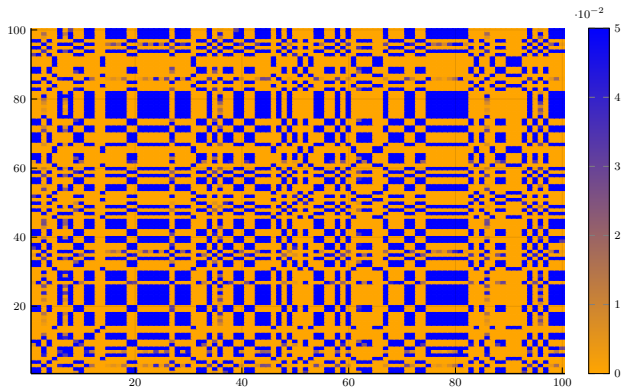


Figure 1: P-values of Welch's t-test:

Statistically significant difference & No statistically significant difference.

Detected differences: 8260 cycles ($\approx 4.13\mu s$ @ 2 GHz).

Recurring into Decaps

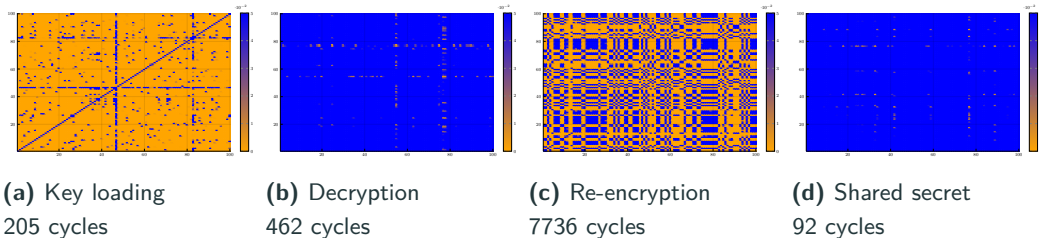


Figure 2: P-values of Welch's t-test

Recurring into Re-encryption

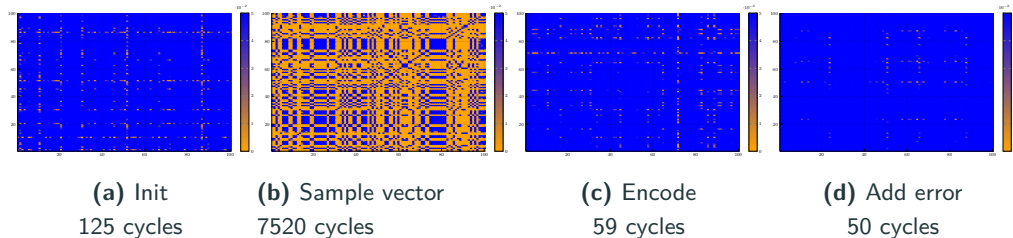


Figure 3: P-values of Welch's t-test

How to sample $\mathbf{e}, \mathbf{r}_1, \mathbf{r}_2 \leftarrow \mathcal{R}$ in Encrypt:

Rejection sampling of a vector of length n with Hamming weight $= w$.

Algorithm 7: vect_set_random_fixed_weight

Input: weight w , length $n \leq 2^{24}$

Result: vector v of length n with weight $\|v\| = w$

```
1  $v = \mathbf{0}^n$ 
2  $\omega = 0$ 
3 repeat
4   repeat
5      $i \leftarrow [0, 2^{24})$ 
6   until  $i < \lfloor \frac{2^{24}}{n} \rfloor n$ 
7      $i = i \bmod n$ 
8   if  $v_i \neq 1$  then
9      $v_i = 1$ 
10     $\omega = \omega + 1$ 
11  end
12 until  $\omega = w$ 
13 return  $v$ 
```

```

seedexpander(ctx, rand_bytes, random_bytes_size);
for (uint32_t i = 0 ; i < weight ; ++i) {
    do {
        if (j == random_bytes_size) {
            seedexpander(ctx, rand_bytes, random_bytes_size);
            Only performed when randomness is exhausted
        }
        j = 0;
    }
    random_data = ((uint32_t) rand_bytes[j++]) << 16;
    random_data |= ((uint32_t) rand_bytes[j++]) << 8;
    random_data |= rand_bytes[j++];
} while (random_data >= UTILS_REJECTION_THRESHOLD);
random_data = random_data % PARAM_N;
// [...]

```


Data Flow and Relevance

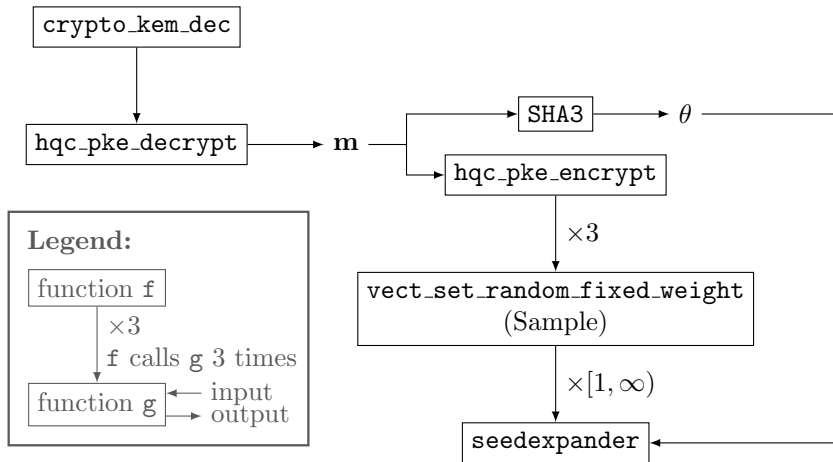


Figure 4: Data flow in HQC.

Data Flow and Relevance

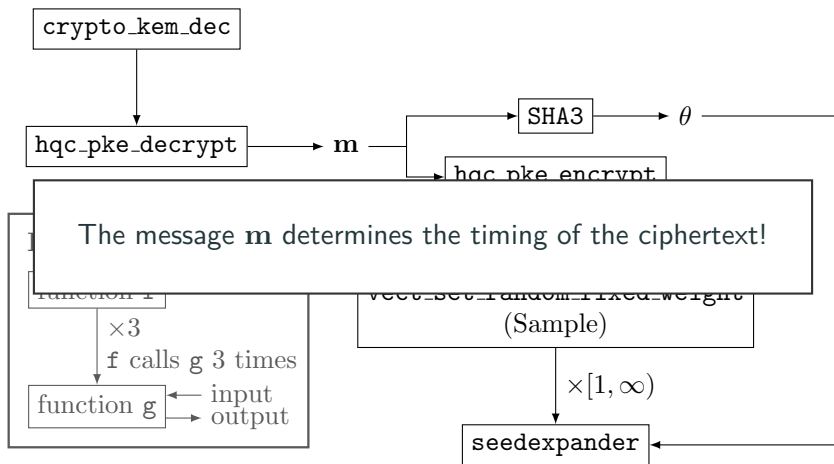


Figure 4: Data flow in HQC.

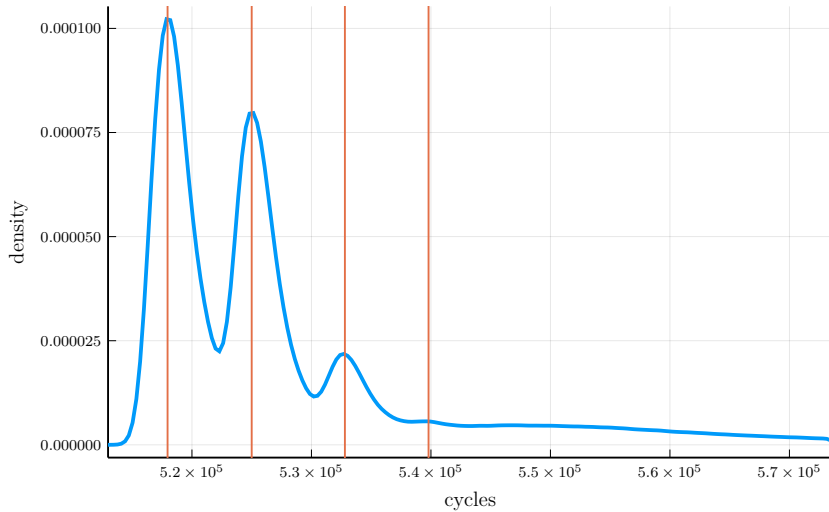


Figure 5: Timing distribution of decapsulation

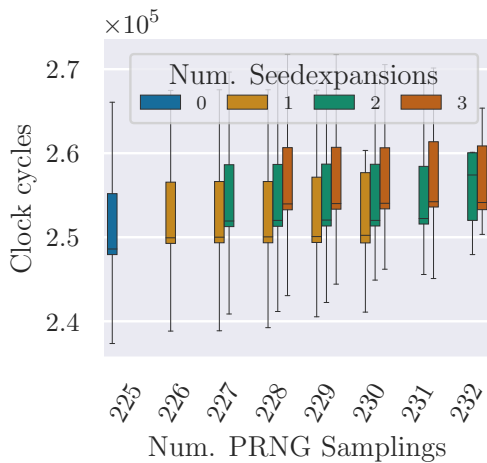


Figure 6: Timing distribution of decapsulation

Attack

The message m that a ciphertext decrypts to determines the timing of the message

The ciphertext does not have to be valid

The message m that a ciphertext decrypts to determines the timing of the message

The ciphertext does not have to be valid

→ We can distinguish whether a modified ciphertext decrypts to a message m or m' !

Recall: HQC encryption/decryption

Encrypt(pk, m)

- 1 $\mathbf{e}, \mathbf{r}_1, \mathbf{r}_2 \leftarrow \mathcal{R}$ with $\omega(\mathbf{e}) = \omega_e$ and $\omega(\mathbf{r}_1) = \omega(\mathbf{r}_2) = \omega_r$
 - 2 $\mathbf{u} = \mathbf{r}_1 + \mathbf{h} \cdot \mathbf{r}_2$
 - 3 $\mathbf{v} = \mathbf{m}\mathbf{G} + \mathbf{s} \cdot \mathbf{r}_2 + \mathbf{e}$
 - 4 **return** $c = (\mathbf{u}, \mathbf{v})$
-

Decrypt(sk = (x, y), c = (u, v))

- 1 **return** $\mathcal{C}.\text{Decode}(\underbrace{\mathbf{v} - \mathbf{u} \cdot \mathbf{y}}_{\mathbf{m}\mathbf{G} - \mathbf{y}})$
-

Set \mathbf{r}_1 to $\mathbf{1}$ and \mathbf{r}_2 and \mathbf{e} to $\mathbf{0}$ error is secret key!

Recover the error of the ciphertext to ✨🎉win🎉✨.

Additionally: we can add any extra error \mathbf{e}' we want, for a combined error of $\mathbf{e}' - \mathbf{y}$.

Using the distinguisher

Recall: ciphertexts do not have to be valid

Assume $\text{timing}(c_1) \neq \text{timing}(c_2)$

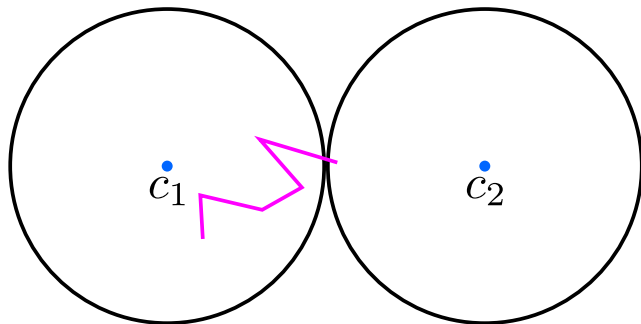


Figure 7: Random walk in ambient space \mathbb{F}_2^n (symbolic image)

Flip bits until timing changes

Flip bits back to determine if they are an error

Repeat, take a majority vote

6096 attacks performed

Success rate: 87%

Among failed attacks: 86% terminated with less than 20 incorrect bits

866,143 idealized oracle calls (median)

BIKE Side-Channel and Attack

Algorithm 10:

BIKE.KeyGen

Input: ·

Output: $sk = (\mathbf{h}_0, \mathbf{h}_1, \sigma)$

$pk = \mathbf{h} \in \mathcal{R}$

1 $(\mathbf{h}_0, \mathbf{h}_1) = \text{Sample}(\mathcal{H}_w)$

2 $\mathbf{h} = \mathbf{h}_1 \mathbf{h}_0^{-1}$

3 $\sigma = \text{Sample}(\mathcal{M})$

4 $sk = (\mathbf{h}_0, \mathbf{h}_1, \sigma)$

5 $pk = \mathbf{h}$

Algorithm 11:BIKE.Encaps

Input: $pk = \mathbf{h}$ **Output:** K, c

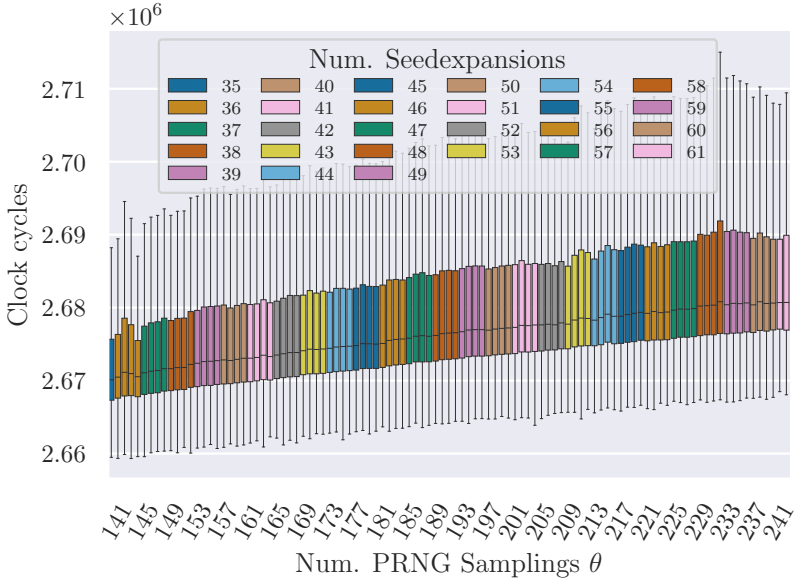
- 1 $m = \text{Sample}(\mathcal{M})$
 - 2 $(\mathbf{e}_0, \mathbf{e}_1) = \text{H}(m)$
 - 3 $c = (\mathbf{e}_0 + \mathbf{e}_1, m \oplus \text{L}(\mathbf{e}_0, \mathbf{e}_1))$
 - 4 $K = \text{K}(m, c)$
-

Algorithm 12:BIKE.Decaps

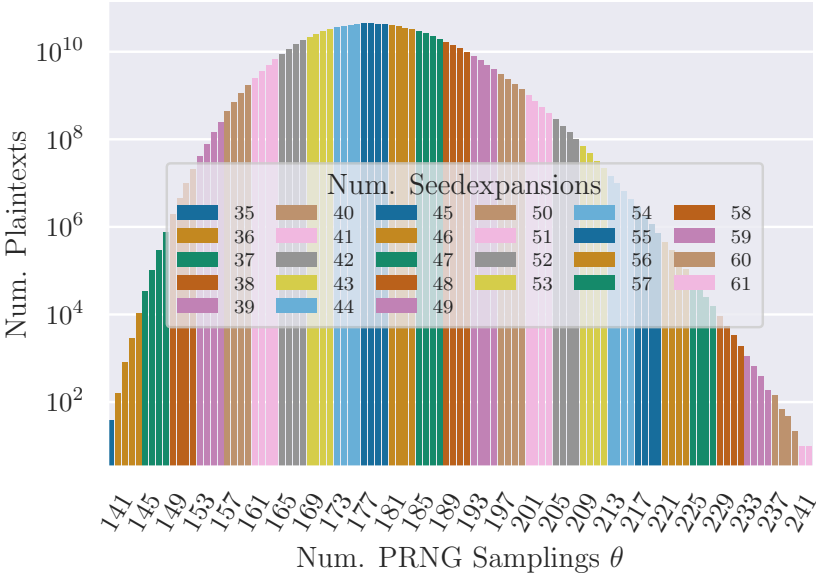
Input: $sk = (\mathbf{h}_0, \mathbf{h}_1, \sigma)$ $c = (\mathbf{c}_0, c_1)$ **Output:** K

- 1 $\mathbf{e}' = \text{Decode}(\mathbf{c}_0 \mathbf{h}_0, \mathbf{h}_0, \mathbf{h}_1)$
 - 2 $m' = c_1 \oplus \text{L}(\mathbf{e}')$
 - 3 **if** $\mathbf{e}' = \text{H}(m')$ **then**
 - 4 $K = \text{K}(m', c)$
 - 5 **else**
 - 6 $K = \text{K}(\sigma, c)$
 - 7 **end**
-

BIKE Side-Channel



BIKE Rare Messages



Reuse [GJS16] attack and [NJW18]

Observation: if the distance of an error occurs in the secret key, it lowers the decryption failure rate

Recover distance spectrum of the secret key with side-channel

Recover the secret key from the distance spectrum using a recursive-backtracking algorithm

Recover Distance Spectrum using the Side-Channel

Simplest version:

Ciphertext with rare timing behavior + added noise

Send ciphertext to timing oracle, check whether decoding failure occurred.

Derive whether a cyclic distance d occurs in the secret key based on the decoding failure rate.

Countermeasures

Constant-Time Random Number Generation

Remove inner rejection sampling:

Sample a large number in steps, reduce modulo n

Constant Number of Iterations

Determine a sufficient number of outer rejection sampling iterations.

“Sufficient”: will not require more iterations with overwhelming probability.

Perform fixed number of iterations.

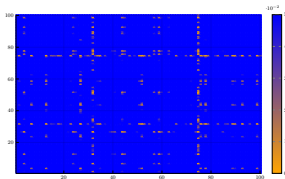


Figure 8: Fixed version

But: heavy performance hit: +29% in cycle count.

Interesting alternative approaches: Constant-time and time-efficient Fisher-Yates³

³Nicolas Sendrier. "Secure Sampling of Constant-Weight Words -Application to BIKE". In: *eprint Archive* (2021). URL: <https://eprint.iacr.org/2021/1631>.

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Bonus Slides

Attack against RS/RM version

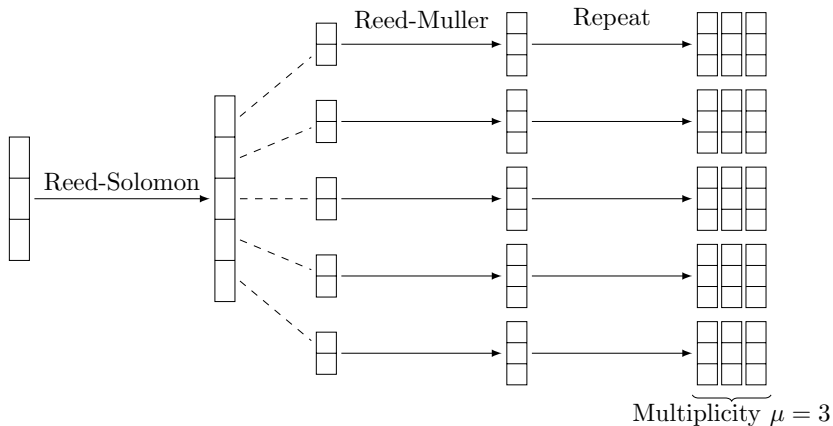


Figure 9: RS/RM Concatenated Code

Optimized Attack

Exploit the structure of the code generated by \mathbf{G} .

The public code \mathcal{C} is either:

- a Bose-Chaudhuri-Hocquenghem (BCH) code tensored with a repetition code
- a Reed-Solomon (RS) code concatenated with a Reed-Muller (RM) code

We exploit the BCH/Repetition code version.

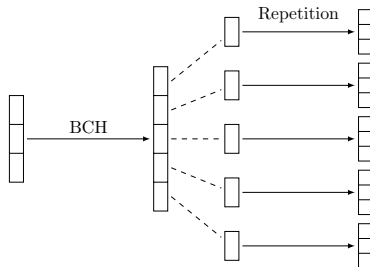


Figure 10: BCH/Repetition Tensor Code

Idea: corrupt δ BCH code blocks s.t. 1 more corruption will cause decoding failure

Then determine out the error in the repetition code block.

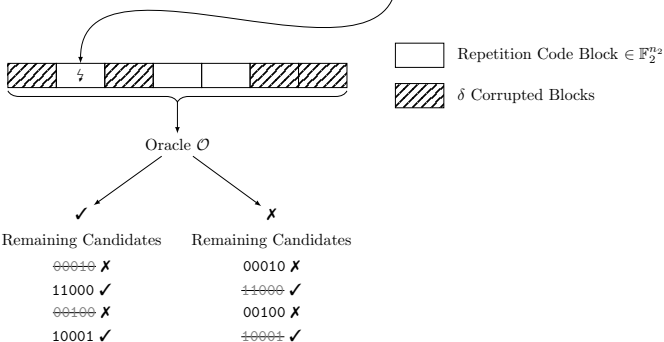
Candidate	Action 1	Decode	Candidate	Action 2	Decode
00010	\oplus 11011	$= 11001 \rightarrow 1 \times$	00010	\oplus 01000	$= 01010 \rightarrow 0 \checkmark$
11000	\oplus 11011	$= 00011 \rightarrow 0 \checkmark$	11000	\oplus 01000	$= 10000 \rightarrow 0 \checkmark$
00100	\oplus 11011	$= 11111 \rightarrow 1 \times$	00100	\oplus 01000	$= 01100 \rightarrow 0 \checkmark$
10001	\oplus 11011	$= 01010 \rightarrow 0 \checkmark$	10001	\oplus 01000	$= 11001 \rightarrow 1 \times$

$$\min(2\checkmark, 2\times) = 2$$

$$\min(3\checkmark, 1\times) = 1$$

$$\max(2, 1) = 2$$

Select Action 1



163 attacks performed

Success rate: 96.7%

Among failed attacks: less than 4 bits incorrect

19,942 idealized oracle calls (median)

Recover Distance Spectrum using the Side-Channel

Simplest version:

Construct a ciphertext with a message that has a rare timing-behavior and add an error to get close to the decoding limit⁴.

Send ciphertext to timing oracle, check whether decoding failure occurred.

Derive whether a cyclic distance d occurs in the secret key based on the decoding failure rate.

For each cyclic distance d in the error:

 If decoding success: increment observed_d .

 If decoding failure: increment failed_d .

For each distance d , compute the empirical decoding failure rate, and estimate the ~~multiplicity of the distance based on that.~~

⁴Ciphertext does not have to be valid!

Distance Spectrum of a Vector

Vector \mathbf{v} , length r .⁵

Multi-set of cyclic distances between set bits in vector \mathbf{v} .

$$\mathbf{v} = 100001001$$

$$D(\mathbf{v}) = \{\}$$

⁵Graphics heavily inspired by https://youtu.be/Gm--Sm_wJ2w

Distance Spectrum of a Vector

Vector \mathbf{v} , length r .⁵

Multi-set of cyclic distances between set bits in vector \mathbf{v} .

$$\mathbf{v} = 100001001$$


$$D(\mathbf{v}) = \{1\}$$

⁵Graphics heavily inspired by https://youtu.be/Gm--Sm_wJ2w

Distance Spectrum of a Vector

Vector \mathbf{v} , length r .⁵

Multi-set of cyclic distances between set bits in vector \mathbf{v} .

$$\mathbf{v} = 100001001$$


$$D(\mathbf{v}) = \{1, 4\}$$

⁵Graphics heavily inspired by https://youtu.be/Gm--Sm_wJ2w

Distance Spectrum of a Vector

Vector \mathbf{v} , length r .⁵

Multi-set of cyclic distances between set bits in vector \mathbf{v} .

$$\mathbf{v} = 100001001$$


$$D(\mathbf{v}) = \{1, 3, 4\}$$

⁵Graphics heavily inspired by https://youtu.be/Gm--Sm_wJ2w

Distances in the error affect the decoding failure rate

Satisfied parity checks during decoding⁶:

$$\mathbf{h} = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 1 \\ 1 & 1 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & 1 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 1 & 1 & 0 & 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 1 & 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 1 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & 1 & 1 \end{bmatrix}$$
$$\mathbf{s} = \begin{bmatrix} 1 \\ 0 \\ 1 \\ 0 \\ 1 \\ 1 \\ 0 \\ 0 \\ 0 \end{bmatrix}$$
$$\mathbf{e} = \begin{bmatrix} 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

⁶Graphics heavily inspired by https://youtu.be/Gm--Sm_wJ2w

Recover Secret Key from Distance Spectrum

Greedy recursive-backtracking algorithm:

Start with empty vector $\mathbf{h} = \mathbf{0}^r$

Check if already done (w bits already set, and \mathbf{h} is the secret key)

For each bit position i

 if all distances to i exist in the distance spectrum

 set bit i , and recurse