# Fast Blind Rotation for Bootstrapping FHEs

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#### Part I

### **Preliminaries**

#### • Two-layer framework:

- 1. Noise-based somewhat HE
- 2. Homomorphically computes the decryption of SHE (Bootstrapping)



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• Homomorphically decrypt an LWE ciphertext on the exponent.

#### Definition (Blind Rotation(case q = 2N)

- Input:
  - LWE ciphertext  $(\mathbf{a}, b = \sum_{i=0}^{n-1} a_i s_i \text{noised}(m)) \in \mathbb{Z}_q^{n+1}$ ;
  - $r(X) \in R_Q = \mathbb{Z}_Q[X]/(X^N + 1)$ ; evaluation key **EVK**;
- Output:  $g(r(X) \cdot X^{noised(m)})$
- The modulo q operation can be done for free in the exponent.

$$X^{\text{noised}(m)} = X^{\sum_{i=0}^{n-1} a_i s_i - b \mod q} = X^{-b} X^{\sum_{i=0}^{n-1} a_i s_i}$$

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#### • RLWE-based blind rotation:

- AP/FHEW [ASP14, DM15]
  - decompose  $a_i = \sum_j a_{i,j} B^j$ , all secret key distribution, large evaluation key
- GINX/TFHE [GINX16, CGGI20]
  - decompose s<sub>i</sub> = ∑<sub>u∈U</sub> s<sub>i,u</sub>u for some public set U, limited secret key distribution, small evaluation key
- Lee et al. [LMK<sup>+</sup>23]
  - ring automorphism, all secret key distribution, small evaluation key

#### • NTRU-based blind rotation:

- Final[BIP+22], NTRU-vum[Klu22]
  - TFHE-like, limited secret key distribution, faster external product

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- Final[BIP<sup>+</sup>22], NTRU-vum[Klu22] use binary or ternary secrets for performance consideration.
- Potential Problem: small secrets are subject to special attacks [Alb17, AGVW17, SC19, EJK20].
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#### Part II

- We design a new NTRU-based GSW-like encryption
  - Faster external product
  - Faster key-switching and ring automorphism
- We propose a new blind rotation using NTRU and ring automorphism
  - performance asymptotically independent from the key distributions
  - all secret key distribution, small evaluation key
- We use our new blind rotation to bootstrap an LWE-based scheme
  - Faster bootstrapping time( 53% faster than TFHE)
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#### Part III

#### **NTRU-based GSW-like Scheme**

• Parameters  $( au, \Delta)$  depends on the encoding

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• scalar ciphertext:  $\mathsf{NTRU}_{Q,f,\tau,\Delta}(u) := \tau \cdot g/f + \Delta \cdot u/f \in R_Q$ 

• vector ciphertext:  $\operatorname{NTRU}_{Q, f, \tau}'(v) := (\tau \cdot g_0 / f + B^0 \cdot v, \cdots, \tau \cdot g_{d-1} / f + B^{d-1} \cdot v) \in R_Q^d$ 

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• Let 
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Basic operation

• BitDecom<sub>B</sub>(c) = 
$$(c_0, c_1, \dots, c_{d-1}) \in R^d_B$$
 such that  $c = \sum_{i=0}^{d-1} c_i \cdot B^i$ 

$$c \odot \mathbf{c}' = \sum_{i=0}^{d-1} c_i c'_i = \tau \cdot \left(\sum_{i=0}^{d-1} g_i c_i\right) / \mathbf{f}' + \tau \cdot g v / f + \Delta \cdot u v / f$$

• External product

• Let 
$$f = f$$
,  $c \odot c' = \tau \cdot \left(\sum_{i=0}^{d-1} g_i c_i + gv\right) / f + \Delta \cdot uv / f$ 

- d multiplications on  $R_q$
- Key Switching

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#### Part IV

#### New blind rotation
• Recall blind rotation: homomorphically decrypt the LWE ciphertext on the exponent

$$r(X)X^{\sum_{i=0}^{n-1}a_is_i-b \mod q} = r(X)X^{-b}X^{\sum_{i=0}^{n-1}a_is_i}$$

- Basic Construction:
  - Given a ciphertext NTRU<sub>f(x)</sub>( $X^{s_i}$ ), applying  $X \to X^{a_i}$ .
  - Perform once key-switching: convert the secret key f(X<sup>a</sup>) to f(x).

$$\mathsf{NTRU}_{f(X)}(X^{s_i}) \xrightarrow{\mathsf{EvalAuto}} \mathsf{NTRU}_{f(X^{a_i})}(X^{a_is_i}) \xrightarrow{\mathsf{KS}} \mathsf{NTRU}_{f(X)}(X^{a_is_i})$$

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- Proper automorphism ensures uniform ciphertext structure (eg.  $X \to X^{a_0 a_1^{-1}}$ ).
- *n* external products and *n* key-switchings



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- Problem I: Each  $a_i \in \mathbb{Z}_q$  can be not coprime to 2N (probability 1/2)
- Transformation:
  - Set  $q = N, X^2$  has order q
  - Define  $S = \{2i + 1 : 1 \le i \le q 1\} \subset \mathbb{Z}_{2N}, S \cup \{1\}$  is a multiplicative subgroup of  $\mathbb{Z}_{2N}$
  - Easily check:  $\forall w, \hat{w} \in S \cup \{1\} \ w^{-1}, \hat{w}^{-1}, w\hat{w} \in S \cup \{1\}$
- $X^{2a_is_i} = X^{(2a_i+1)s_i-s_i} = X^{w_is_i}X^{-s_i}$ , where  $w_i = 2a_i + 1 < 2N$

• 
$$r(X^2) \cdot X^{-2b} X^{2(\sum_{i=0}^{n-1} a_i s_i)} = r(X^2) \cdot X^{-2b} X^{\sum_{i=0}^{n-1} w_i s_i} X^{-\sum_{i=0}^{n-1} s_i}$$

- Extra once external product and one evaluation key.
- General case (q|N): $X^{\frac{2N}{q}a_is_i} = X^{(\frac{2N}{q}a_i+1)s_i-s_i} = X^{w_is_i}X^{-s_i}$

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  - Easily check:  $\forall w, \hat{w} \in S \cup \{1\} \ w^{-1}, \hat{w}^{-1}, w\hat{w} \in S \cup \{1\}$
- $X^{2a_is_i} = X^{(2a_i+1)s_i-s_i} = X^{w_is_i}X^{-s_i}$ , where  $w_i = 2a_i + 1 < 2N$

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$$r(X^2) \cdot X^{-2b} X^{2(\sum_{i=0}^{n-1} a_i s_i)} = r(X^2) \cdot X^{-2b} X^{\sum_{i=0}^{n-1} w_i s_i} X^{-\sum_{i=0}^{n-1} s_i}$$

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  - Define S = {2i + 1 : 1 ≤ i ≤ q − 1} ⊂ Z<sub>2N</sub>, S ∪ {1} is a multiplicative subgroup of Z<sub>2N</sub>
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- Problem II: Accumulator initialization
  - RLWE  $\left(r(X^{\frac{2N}{q}}) \cdot X^{-\frac{2N}{q}b}\right) = \left(0, r(X^{\frac{2N}{q}}) \cdot X^{-\frac{2N}{q}b}\right)$  is a noiseless ciphertext.
  - $r(X^{\frac{2N}{q}}) \cdot X^{-\frac{2N}{q}b}$  cannot be publicly created in our case.
- Design the evaluation key carefully  $\mathbf{evk}_0 = \mathrm{NTRU}'_{Q,f,\tau}(X^{s_0}/f), \quad \mathbf{evk}_i = \mathrm{NTRU}'_{Q,f,\tau}(X^{s_i}) \text{ for } 1 \leq i < n,$  $\mathbf{evk}_n = \mathrm{NTRU}'_{Q,f,\tau}(X^{-\sum_{i=0}^{n-1} s_i}).$
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- External product still satisfied.

# Comparison



# Comparison



#### $\mathsf{Part}\ \mathsf{V}$

## Bootstrapping













- Our bootstrapping First – layer  $LWE_{q,s}(m)$  BRE val ACC Ext  $LWE_{Q,s}(m)$  ModSwitch  $LWE_{q,s}(m)$  $NTRU_{Q,s}(X^{r(X)noised}(m))$
- Ext: NTRU  $\rightarrow$  LWE
  - c = (g+m)/f, coefficient vectors:  $c = (c_0, ..., c_{N-1})$ ,  $f = (f_0, ..., f_{N-1})$
  - extract  $LWE_{Q,f}(m) = (\hat{c} = (c_0, -c_{N-1}, \dots, -c_1), 0)$  from  $c = NTRU_{Q,f}(m)$

- Our bootstrapping First – layer  $LWE_{q,s}(m)$  BRE val ACC Ext  $LWE_{Q,s}(m)$  ModSwitch  $LWE_{q,s}(m)$  $NTRU_{Q,s}(X^{r(X)noised}(m))$
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  - c = (g + m)/f, coefficient vectors:  $\mathbf{c} = (c_0, \dots, c_{N-1})$ ,  $\mathbf{f} = (f_0, \dots, f_{N-1})$
  - extract  $\mathsf{LWE}_{Q, \mathsf{f}}(m) = (\hat{\mathbf{c}} = (c_0, -c_{N-1}, \dots, -c_1), 0)$  from  $c = \mathsf{NTRU}_{Q, \mathsf{f}}(m)$

## Part VI

## **Experimental Results**

Table: Parameters for bootstrapping LWE-based first-layer ciphertexts.

Parameters	Key distrib.	п	q	Ν	Q	В	$Q_{ks}$	$B_{ks}$
STD128 [MP21]	Ternary	512	1024	1024	2 <sup>27</sup>	2 <sup>7</sup>	2 <sup>14</sup>	2 <sup>7</sup>
P128T	Ternary	512	1024	1024	$995329 pprox 2^{19.9}$	24	2 <sup>14</sup>	27
P128G	Gaussian	465	1024	1024	$995329 pprox 2^{19.9}$	2 <sup>4</sup>	2 <sup>14</sup>	2 <sup>7</sup>
STD192 [MP21]	Ternary	1024	1024	2048	2 <sup>37</sup>	$2^{13}$	2 <sup>19</sup>	28
P192T	Ternary	1024	1024	2048	$44421121 \approx 2^{25.4}$	2 <sup>9</sup>	2 <sup>19</sup>	28
P192G	Gaussian	870	1024	2048	$44421121 pprox 2^{25.4}$	2 <sup>9</sup>	2 <sup>17</sup>	28

Table: Timings and key sizes for bootstrapping ( $\lambda = 128$ )

Algorithms	Parameters	Key	Timings	EVK	KSK	Boots. key
Algorithms	Farameters	distrib.	(ms)	(MB)	(MB)	(MB)
FHEW/AP	STD128 [MP21]	Ternary	359	1674	224	1898
TFHE/GINX	STD128 [MP21]	Ternary	234	54	224	278
Ours	P128T	Ternary	112	18.65	224	242.65
	P128G	Gaussian	100	17.90	203.44	221.34

Extra 10% improvement over P128T

Table: Timings and key sizes for bootstrapping ( $\lambda = 192$ )

Algorithms	Parameters	Key	Timings	EVK	KSK	Boots. key
Algorithms	Farameters	distrib.	(ms)	(MB)	(MB)	(MB)
FHEW/AP	STD192 [MP21]	Ternary	1200	6682	532	7214
TFHE/GINX	STD192 [MP21]	Ternary	859	222	532	754
Ours	P192T	Ternary	320	38.10	532	570.10
	P192G	Gaussian	273	34.30	404.41	438.71

Extra 17% improvement over P192T

## Conclusion

- An NTRU-based GSW-like scheme with faster external product and key switching
- A new blind rotation technique based on our scheme and ring automorphism
  - Arbitrary secret distribution, small evaluation key
- Faster bootstrapping than TFHE/FHEW
  - Improve parameter by using Gaussian distribution
  - Large secret distribution offers better security
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