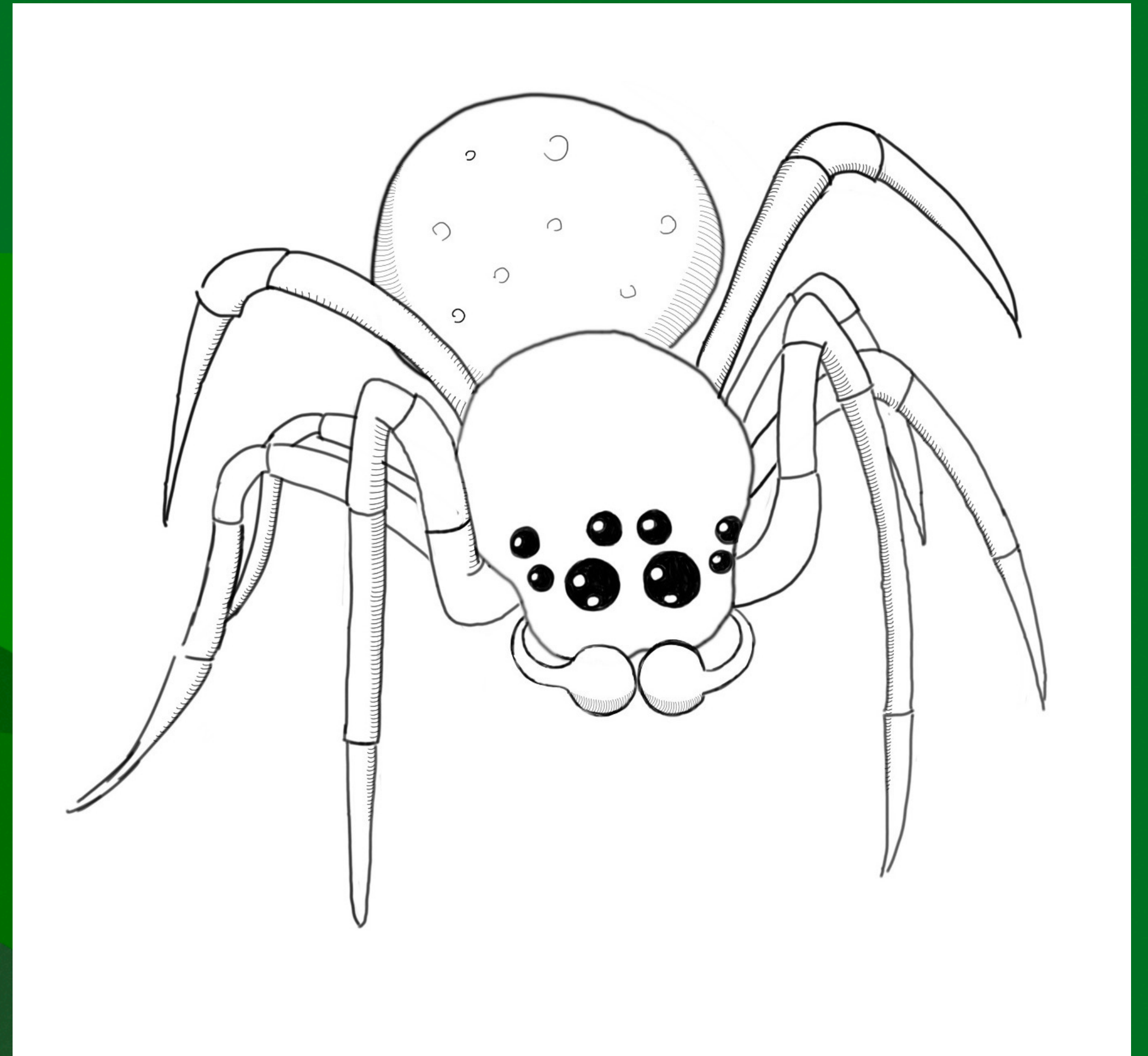


Orbweaver

Succinct Linear Functional Commitments from Lattices

Ben Fisch, Zeyu Liu, and Psi Vesely

Yale University



Lattice Orbweaver spider by Jackie Parker

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- Lattice arguments with $O(\log n \log \log n)$ complexity verifier*

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Abstract linear map equation

$$\left(\sum_{i=1}^n f_i \cdot Y^{-i} \right) \cdot \left(\sum_{i=1}^n x_i \cdot Y^i \right) \equiv \langle \mathbf{f}, \mathbf{x} \rangle + \sum_{\substack{i=-n+1, \\ i \neq 0}}^{n-1} b_i \cdot Y^i \pmod{q}$$

Form used in [Gro10,LRY16,AC20]

Evaluation verification equation

\mathbf{f}, \mathbf{x} short

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\cdot

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Form used in [Gro10,LRY16,AC20], translated to lattice setting using techniques from [ACLMT22]

Ring Vandermonde SIS (R-V-SIS) commitment

$$c := \sum_{i=1}^n x_i \cdot v^i \pmod{q}, \text{ where } v \stackrel{\$}{\leftarrow} R_q \text{ is public}$$

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- Ajtai's R-SIS commitment, with a Vandermonde key
- Similar to assumption used in PASS Sign. If we pick v instead from the primitive roots of unity binding reduces to Vandermonde R-SIS [HS15,LZA18,BSS22]

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$$c_{\mathbf{f}} \cdot c_{\mathbf{x}} \equiv y$$

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$+$

$\langle \mathbf{a}, \boldsymbol{\pi} \rangle \pmod{q}$

(preprocessed)

$\boldsymbol{\pi}$ short

Form used in [Gro10,LRY16,AC20], translated to lattice setting using techniques from [ACLMT22]

Prover key

Generate short preimages \mathbf{u}_i for $i \in \{-n + 1, \dots, n - 1\} \setminus \{0\}$ such that

$$\langle \mathbf{a}, \mathbf{u}_i \rangle \equiv v^i \pmod{q}$$

Using a trapdoor public SIS matrix \mathbf{a} [MP12]

Computing the proof

- Given $\langle \mathbf{a}, \mathbf{u}_i \rangle \equiv v^i \pmod{q}$ except for $i = 0$
- Where b_i is the sum of cross terms corresponding to the coefficient of v^i compute

$$\pi := \sum_{\substack{i = -n + 1, \\ i \neq 0}}^{n-1} b_i \cdot \mathbf{u}_i \pmod{q}$$

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- Then

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$$\langle \mathbf{a}, \pi \rangle \equiv \sum_{\substack{i = -n+1, \\ i \neq 0}}^{n-1} b_i \cdot v_i \pmod{q}$$

- \mathbf{f}, \mathbf{x} short $\implies b_i$ short, u_i short $\implies \pi$ short

Evaluation binding

$$\left(\sum_{i=1}^n f_i \cdot v^{-i} \right) \cdot \left(\sum_{i=1}^n x_i \cdot v^i \right) \equiv \langle \mathbf{f}, \mathbf{x} \rangle + \sum_{\substack{i=-n+1, \\ i \neq 0}}^{n-1} b_i \cdot v^i \pmod{q}$$

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$$c_{\mathbf{f}} \cdot c_{\mathbf{x}} \equiv y + \langle \mathbf{a}, \boldsymbol{\pi} \rangle \pmod{q}$$

$$\langle \mathbf{a}, \boldsymbol{\pi} - \boldsymbol{\pi}' \rangle \equiv y' - y \pmod{q}$$

Evaluation binding

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- k-R-ISIS family of assumptions: can only generate short preimages for targets short linear span of the v^i or for random targets [ACLMT22]

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- k-R-ISIS family of assumptions: can only generate short preimages for targets short linear span of the v^i or for random targets [ACLMT22]
- $y' - y$ is short, while for $v \xleftarrow{\$} R_q$ all $v^i \pmod{q}$ will be long whp, as will the random targets

Multiple outputs

Can prove $\langle \mathbf{f}_i, \mathbf{x} \rangle = y_i$ for $i \in [t]$ with a single evaluation proof:

$$\langle \mathbf{a}, \pi \rangle \equiv c \cdot \sum_{i=1}^t h_i \cdot \text{ck}_{\mathbf{f}_i} - \sum_{i=1}^t h_i \cdot y_i \pmod{q}$$

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Key observation: straightline extractor uses separate knowledge proof to obtain \mathbf{x} . Don't have to extract the hypothetical π_i

$$\pi = \sum_{i=1}^t h_i \cdot \pi_i$$

Multiple outputs

Using extracted \mathbf{x} we get

$$\langle \mathbf{a}, \pi \rangle \equiv \sum_{i=1}^t h_i \cdot (\langle \mathbf{f}_i, \mathbf{x} \rangle - y_i) - \sum_{i=1}^t h_i \cdot \sum_{\substack{j = -n + 1, \\ j \neq 0}}^{n-1} b_{i,j} \cdot Y^i \pmod{q}$$

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$$p(h_1, \dots, h_t)$$

- For $h_1, \dots, h_t \leftarrow \mathcal{H}$ want $p(h_1, \dots, h_t) = 0$ only with negligible probability if p is not the zero polynomial

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- Can pick *exponential size* “exceptional set” \mathcal{H} over R_q for large q [LS18] and invoke Generalized Alon-Füredi Theorem [BCPS18]
- Better to perform ternary decomposition on \mathbf{f}, \mathbf{x} and batch verification

Proof and SRS sizes for $\mathbb{Z}_{2^{32}}$

$\log_2(x)$	18	22	26	30
$ c $ (B)	293	347	422	505
total proof size (KiB)	845	1,081	1,315	1,571
verifier key (MiB)	12	17	23	30
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- These are maximum proof sizes. When \mathbf{f} or \mathbf{x} are sparse or have entries much smaller than the norm bound this is reflected by the proof size.
- Binding only version reduces proof size by ~65%, prover key size by ~75%
- Smallest compressing proofs start around 165 KiB (binding) and 668 KiB (extractable) — recursion threshold



Lattice-based Succinct Arguments from Vanishing Polynomials

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³Max Planck Institute for Security and Privacy, Germany

CRYPTO, Santa Barbara, CA, U.S., 2023

Lattice-based Succinct Arguments

Approach	Publicly verifiable	Sublinear-verifier (preprocessing)	Linear-prover
PCP/IOP + linear-only enc. [BCIOP13; BISW17; BISW18; GMNO18]	✗	✓	✓
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† New assumption: Vanishing Short Integer Solution (vSIS)

‡ generalization of SIS

† New tool: vSIS commitment for committing to polynomials with short coefficients

‡ Very small ($\text{polylog}(|\text{stmt}|)$) commitment key

‡ (Almost) additively and *multiplicatively* homomorphic

‡ Admit $\tilde{O}(|\text{stmt}|)$ -prover $\text{polylog}(|\text{stmt}|)$ -verifier arguments for commitment openings

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Instantiations	$ \pi $	$\text{Time}(\mathcal{P})$	$\text{Time}(\mathcal{V})$	Setup	Assumptions
Folding	$\tilde{O}_\lambda(1)$	$\tilde{O}_\lambda(\text{stmt})$	$\tilde{O}_\lambda(1)$	Transparent	vSIS (+ RO for NI)
Knowledge assumption	$\tilde{O}_\lambda(1)$	$\tilde{O}_\lambda(\text{stmt})$	$\tilde{O}_\lambda(1)$	Trusted	vSIS + Knowledge-kRISIS

Roadmap

1. vSIS assumptions and commitments
2. Quadratic Relations using vSIS commitments
3. Succinct arguments for vSIS commitment openings

Short Integer Solution (SIS) Assumption

† Parameters: # rows n , # columns m , modulus q .

† Instance: A matrix $\mathbf{A} \in \mathcal{R}_q^{n \times m}$.

† Problem: Find a short vector $\mathbf{u} \in \mathcal{R}^m$ such that

$$\mathbf{A} \cdot \mathbf{u} = \mathbf{0} \pmod{q} \quad \text{and} \quad 0 < \|\mathbf{u}\| \approx 0.$$

† Shorthand: If \mathbf{u} is a short non-zero vector satisfying $\mathbf{A} \cdot \mathbf{u} = \mathbf{v} \pmod{q}$, write

$$\mathbf{u} \in \mathbf{A}^{-1}(\mathbf{v}).$$

Vanishing SIS as SIS Generalisations

SIS

Find short solution to linear equations

$$\mathbf{A} \cdot \mathbf{u} = \mathbf{0} \pmod{q} \quad \text{and} \quad 0 < \|\mathbf{u}\| \approx 0.$$

SIS (Alternative Interpretation)

Find linear function with short coefficients which vanishes at all given points

Vanishing SIS (vSIS)

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Vanishing Short Integer Solution (vSIS) Assumption

Example: Univariate

† Problem: Find short degree m polynomial without constant term

$$p(X) = p_1X + \dots + p_mX^m \in \mathcal{R}[X]$$

which vanishes at $v \in \mathcal{R}_q^\times$ modulo q , i.e.

$$p(v) = 0 \pmod{q} \quad \text{and} \quad 0 < \|p\| \approx 0.$$

In other words, find short vector $\mathbf{p} \in \mathcal{R}^m$ such that

$$[v \quad v^2 \quad \dots \quad v^m] \cdot \mathbf{p} = 0 \pmod{q} \quad \text{and} \quad 0 < \|\mathbf{p}\| \approx 0.$$

Simple vSIS Commitments (or Hash Functions)

† Domain: Polynomials $p \in \mathcal{R}[X, X^{-1}]$ (of some class) with short coefficients.

† Public parameters: Random unit $v \leftarrow_{\$} \mathcal{R}_q^\times$.

† Commitment of polynomial p :

$$\text{com}(p) = p(v) \bmod q.$$

† Binding: If $p(v) = p'(v) \bmod q$, then we break vSIS, i.e.

$$(p - p')(v) = 0 \bmod q \qquad \|p - p'\| \leq \|p\| + \|p'\| \approx 0.$$

† (Almost) additively and multiplicatively homomorphic (w.r.t. polynomial addition and multiplications):

$$\begin{aligned} p(v) + p'(v) &= (p + p')(v) \bmod q & \|p + p'\| &\leq \|p\| + \|p'\| \approx 0 \\ p(v) \cdot p'(v) &= (p \cdot p')(v) \bmod q & \|p \cdot p'\| &\lesssim \|p\| \cdot \|p'\| \approx 0. \end{aligned}$$

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Encoding Vectors as (Laurent) Polynomials

$$\begin{aligned}
 \mathbf{a} &:= (a_1, \dots, a_m) \in \mathcal{R}^m & \bar{\rho}_{\mathbf{a}}(X) &:= \rho_{\mathbf{a}}(X^{-1}) := a_1 X^{-1} + a_2 X^{-2} + \dots + a_m X^{-m} \\
 \mathbf{b} &:= (b_1, \dots, b_m) \in \mathcal{R}^m & \rho_{\mathbf{b}}(X) &:= b_1 X + b_2 X^2 + \dots + b_m X^m
 \end{aligned}$$

Note that

$$\bar{\rho}_{\mathbf{a}}(X) \cdot \rho_{\mathbf{b}}(X) = \hat{\rho}_{\mathbf{a} * \mathbf{b}}(X) \implies \hat{\rho}_{\mathbf{a} * \mathbf{b}} \text{ has } O(m) \text{ terms (lots of collisions!)}$$

where

$$\dagger \mathbf{a} * \mathbf{b} := \left(\sum_{j-i=k} a_i \cdot b_j \right)_{k=-m}^m \text{ "convolution", and}$$

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Key Example

Want to prove that \mathbf{x} is binary (i.e. $x_i \cdot (1 - x_i) = 0$ for all i).

† \mathbf{x} is committed in vSIS commitment as $c_{\mathbf{x}} := p_{\mathbf{x}}(v)$.

† \mathbf{x} is committed also in dual vSIS commitment as $\bar{c}_{\mathbf{x}} := \bar{p}_{\mathbf{x}}(v)$,

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Want to prove that \mathbf{x} is binary (i.e. $x_i \cdot (1 - x_i) = 0$ for all i).

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To prove that a vSIS commitment is committing to a (Laurent) polynomial without constant term:

$$\begin{bmatrix} v & v^2 & \dots & v^m \\ v^{-1} & v^{-2} & \dots & v^{-m} \end{bmatrix} \cdot \mathbf{x} = \begin{bmatrix} c_{\mathbf{x}} \\ \bar{c}_{\mathbf{x}} \end{bmatrix} \bmod q \wedge \|\mathbf{x}\| \approx 0,$$

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Knowledge-kRISIS Assumption(s) [ACLMT22] (a Member of)

† Parameters:

- ‡ SIS parameters (n, m, q) ,
- ‡ submodule rank $t < n$, and
- ‡ t -tuples of Laurent monomials \mathcal{G} .

† Assumption: If a PPT (quantum) algorithm \mathcal{A} , which on input

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where $\mathbf{A} \in \mathcal{R}_q^{n \times m}$, $\mathbf{T} \in (\mathcal{R}_q^\times)^{n \times t}$, $v \in \mathcal{R}_q^\times$, and $\mathbf{u}_g \in \mathbf{A}^{-1}(\mathbf{T} \cdot \mathbf{g}(v))$,

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Using Knowledge-kRISIS

Want to prove \hat{c} and $\mathbf{w} \in \mathcal{R}^{2m+1}$ satisfies:

$$w_0 = 0$$

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Lattice-based Bulletproofs

Goal: Prove SIS relation with $O(\log m)$ communication:

$$\mathbf{x} \in \mathcal{R}^m : \mathbf{M} \cdot \mathbf{x} = \mathbf{y} \bmod q \wedge \|\mathbf{x}\| \approx 0$$

where $m = 2^\ell$, $\mathbf{M} = [\mathbf{M}_1 \mid \mathbf{M}_2]$, $\mathbf{x} = \begin{bmatrix} \mathbf{x}_1 \\ \mathbf{x}_2 \end{bmatrix}$.

Prover $\mathcal{P}((\mathbf{M}, \mathbf{y}), \mathbf{x})$

$$\mathbf{y}_{12} := \mathbf{M}_1 \cdot \mathbf{x}_2$$

$$\mathbf{y}_{21} := \mathbf{M}_2 \cdot \mathbf{x}_1$$

$$\hat{\mathbf{x}}_c := c \cdot \mathbf{x}_1 + \mathbf{x}_2$$

Verifier $\mathcal{V}(\mathbf{M}, \mathbf{y})$

$$c \leftarrow \$ \mathcal{C}$$

$$\hat{\mathbf{M}}_c := \mathbf{M}_1 + c \cdot \mathbf{M}_2$$

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Just another SIS relation but with only $m/2$ columns \implies Recursion

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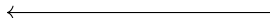
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$\mathbf{y}_{12}, \mathbf{y}_{21}$



c



$\hat{\mathbf{x}}_c$



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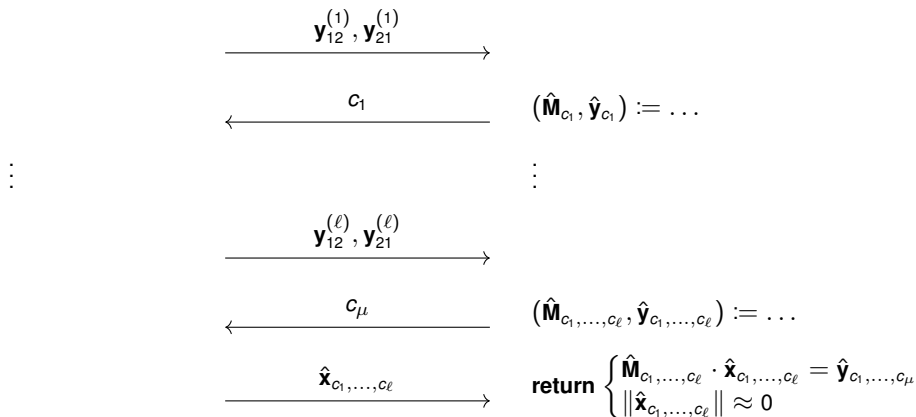
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After ℓ -fold recursive composition:

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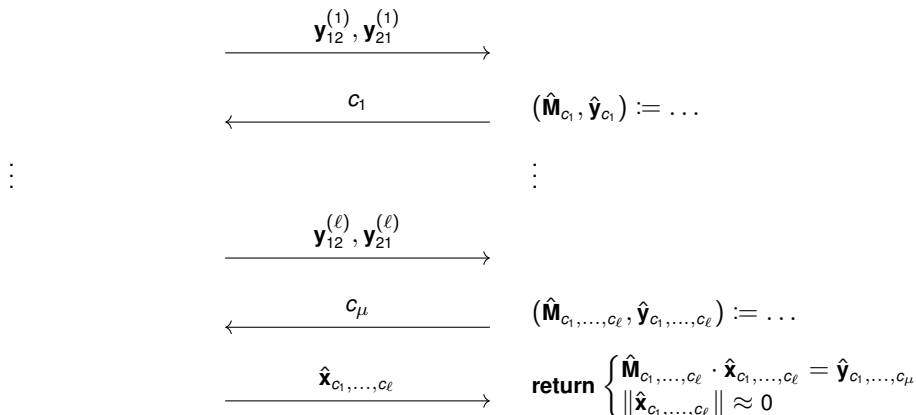
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Structured Folding for vSIS

Core Idea

For \mathbf{M} corresponding to vSIS instance, computing $\hat{\mathbf{M}}_{c_1, \dots, c_\ell}$ takes $\tilde{O}_\lambda(\log m) = \tilde{O}_\lambda(1)$ time.

Example for $\ell = 3$

$$\begin{aligned} \mathbf{M} &= (v \quad v^2 \quad v^3 \quad v^4 \quad v^5 \quad v^6 \quad v^7 \quad v^8) \\ \hat{\mathbf{M}}_{c_1} &= (v \quad v^2 \quad v^3 \quad v^4) + (v^5 \quad v^6 \quad v^7 \quad v^8) \cdot c_1 \\ &= (v \quad v^2 \quad v^3 \quad v^4) \cdot (1 + v^4 \cdot c_1) \\ \hat{\mathbf{M}}_{c_1, c_2} &= (v \quad v^2) \cdot (1 + v^4 \cdot c_1) \cdot (1 + v^2 \cdot c_2) \\ \hat{\mathbf{M}}_{c_1, c_2, c_3} &= v \cdot (1 + v^4 \cdot c_1) \cdot (1 + v^2 \cdot c_2) \cdot (1 + v \cdot c_3) \\ &= v \cdot \prod_{i=1}^3 (1 + v^{2^{3-i}} \cdot c_i) \end{aligned}$$

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Conclusion

- † Vanishing Short Integer Solution (vSIS) assumption and commitments
- † Succinct arguments for vSIS commitment openings
- † Used to construct succinct arguments for NP
 - ‡ Lattice-based
 - ‡ Quasi-linear-time prover
 - ‡ Public and Polylogarithmic-time verifier (after preprocessing)
 - ‡ Transparent setup (RO instantiation)

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