# Lower Bounds for (Batch) PIR with Private Preprocessing

Kevin Yeo



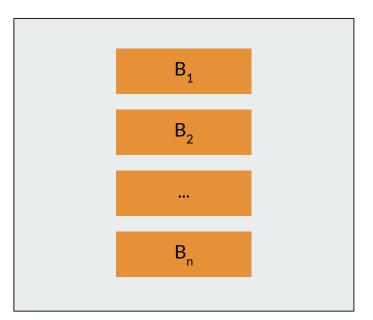
# Outline

(Batch) PIR with Private Preprocessing

**Our Contributions** 

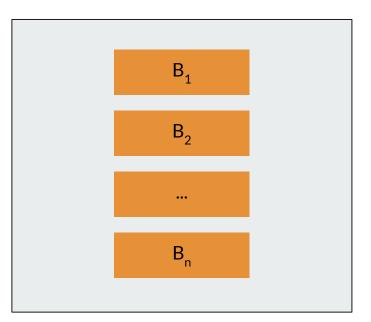
**Lower Bound Proof** 

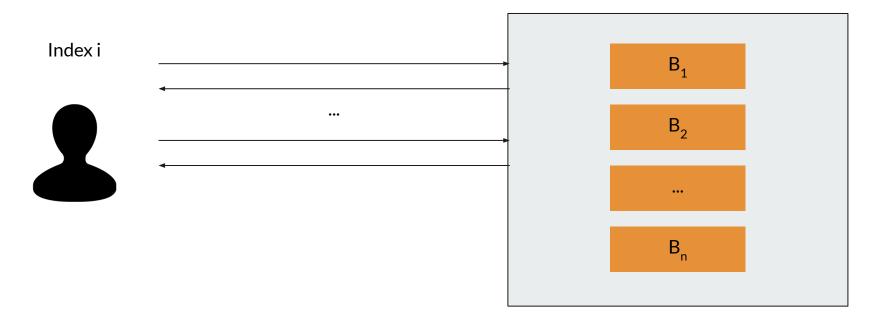


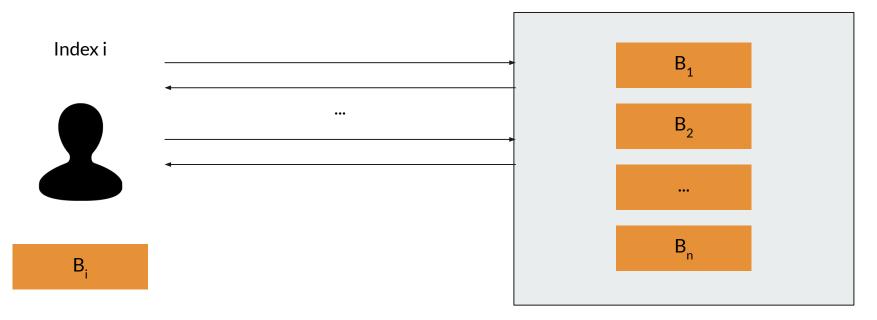


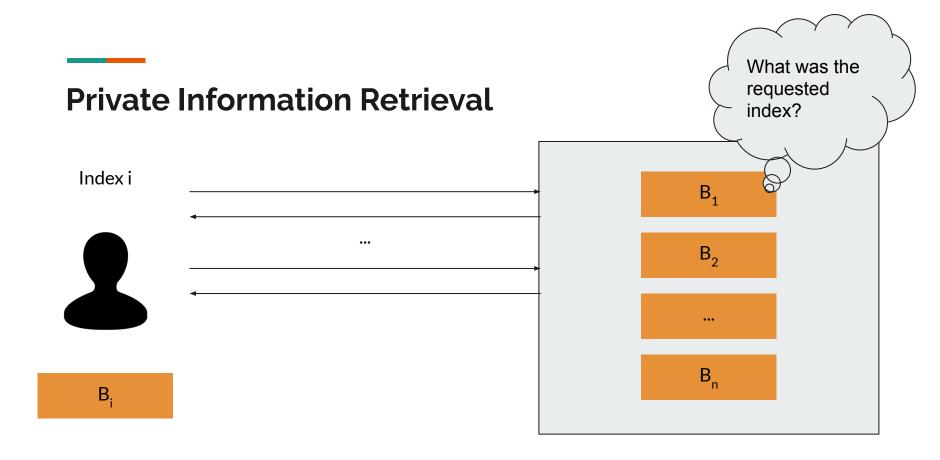
Index i



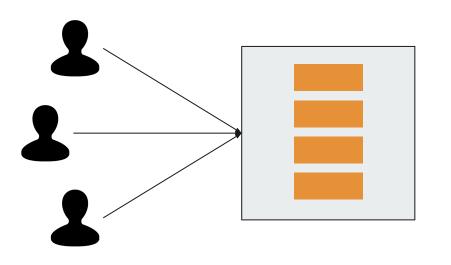


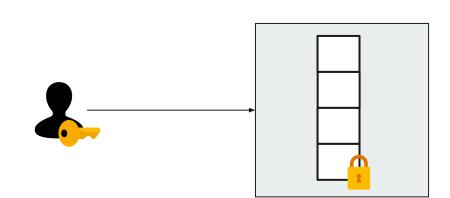




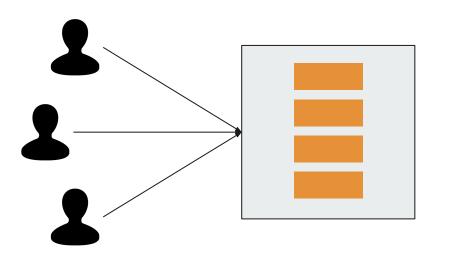


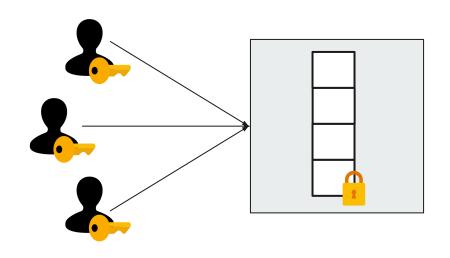
### **Comparison between PIR and ORAM**





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### Linear Server Time Lower Bound

Thm. [Beimel, Ishai and Malkin '00]. In the standard PIR model, the server computation must be linear.

### Linear Server Time Lower Bound

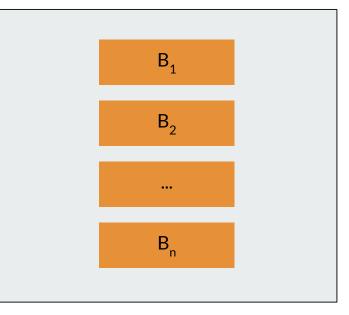
Thm. [Beimel, Ishai and Malkin '00]. In the standard PIR model, the server computation must be linear.

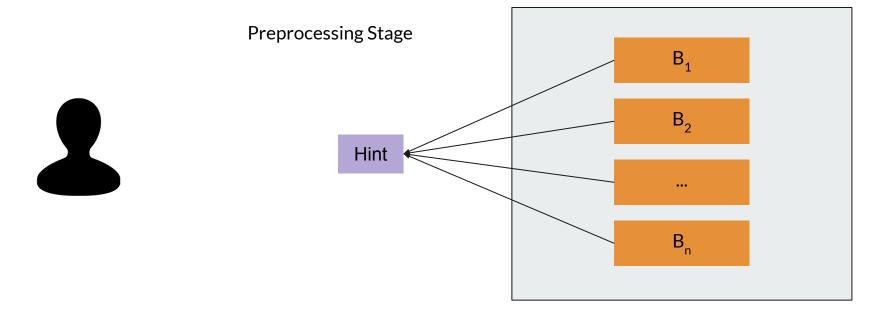
Two Ways to Circumvent Linear Lower Bound:

- 1. PIR with Preprocessing
- 2. Batch PIR

**Preprocessing Stage** 







**Preprocessing Stage** 



 $B_1$  $B_2$ •••  $\mathsf{B}_{\mathsf{n}}$ 

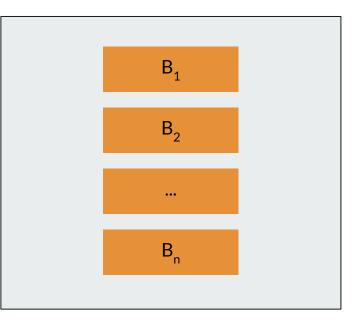
Hint

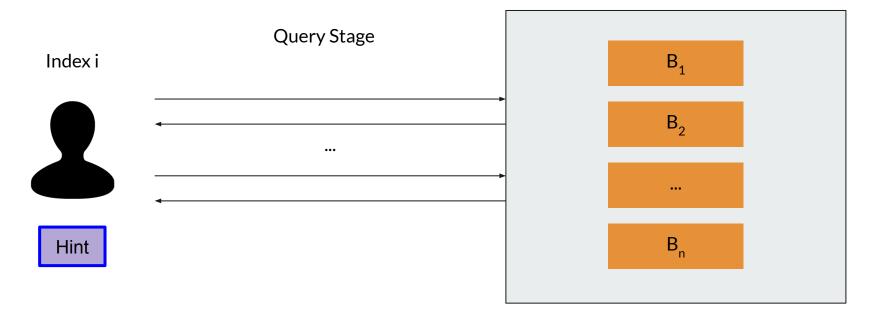
Query Stage

Index i



Hint





### **Complexity Measures**

Hint Size: The size of the r-bit hint stored by client.

**Computational Time:** The number of entries, **t**, probed during queries.

- Doubly-Efficient PIR
  - [Boyle, Ishai, Pass, Wootters '17], [Canetti, Holmgren, Richelson '17]
- Private Stateful Information Retrieval
  - [Patel, Persiano, Y '18]
- Offline-Online PIR
  - [Corrigan-Gibbs, Kogan '20], [Shi, Aqeel, Chandrasekaran, Maggs '21], [Corrigan-Gibbs, Henzinger, Kogan '22] and many more...

**Thm.** [Corrigan-Gibbs and Kogan '20]. There exists a construction with t \* r = O(n).

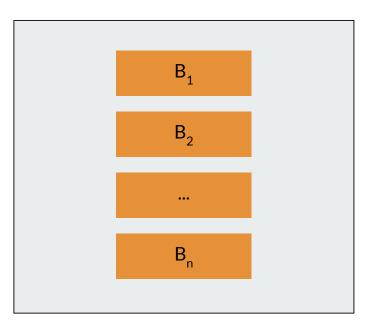
#### Sublinear Server Time: $t + r = O(n^{1/2})$

**Thm.** [Corrigan-Gibbs and Kogan '20]. There exists a construction with t \* r =  $\Omega$ (n/polylog(n)).

## **Batch PIR**

Index i

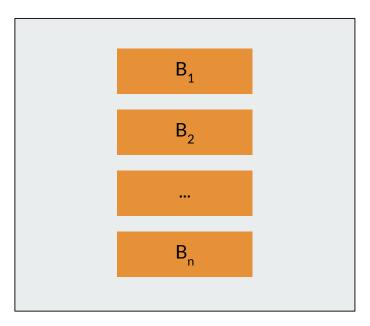


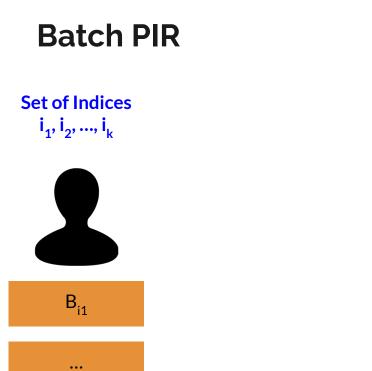


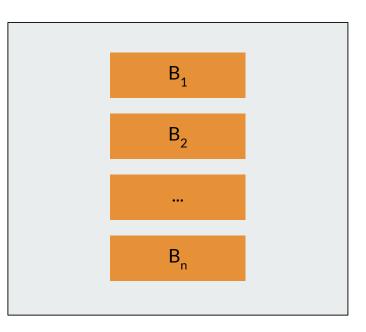
### **Batch PIR**

# Set of Indices $i_1, i_2, ..., i_k$









### **Batch PIR**

**Thm. [Ishai, Kushilevitz, Ostrovsky and Sahai '04].** There exists a construction for k-query batch PIR requiring t = O(n polylog(n)).

Amortized Server Time per Query: ~O(n/k)

PIR with Private Preprocessing: t \* r = O(n)

Batch PIR:  $t = \sim O(n/k)$ 

Can we combine private preprocessing and batch queries to obtain even faster server times?

### **Dream Batch PIR with Private Preprocessing**

	Single-Query	k-Query
No Preprocessing	t = O(n)	
Private Preprocessing	t * r = O(n)	

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	Single-Query	k-Query
No Preprocessing	t = O(n)	t = ~O(n)
Private Preprocessing	t * r = O(n)	t * r = ~O(n)???

## Our Contributions: Lower Bound

Thm. For any computationally-secure, L-server, k-query PIR with private preprocessing where L = O(1), it must be

- If  $r \ge k$ , then  $t * r = \Omega(n * k)$ .
- If r < k, then  $t = \Omega(n)$ .

This holds when the PIR scheme errs with probability at most **1/15**.

## Our Contributions: Lower Bound

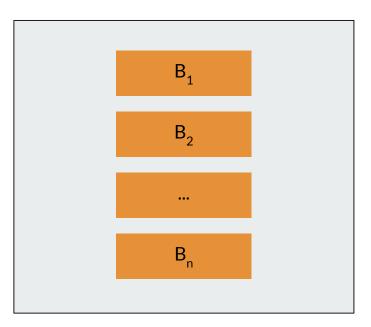
**Thm.** For any computationally-secure, L-server, **single-query** PIR with private preprocessing where L = O(1), it must be

t \* r = Ω(n).

Improves upon prior single-query lower bound of  $\mathbf{t} * \mathbf{r} = \Omega(\mathbf{n} / \text{polylog}(\mathbf{n}))$ [Corrigan-Gibbs, Kogan '20]

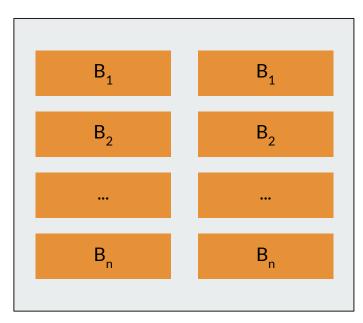






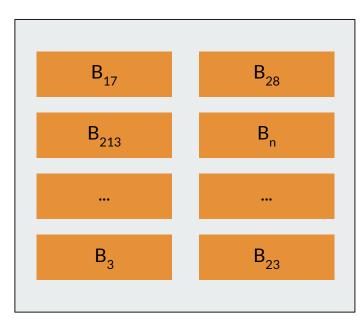
Hint

### Replicate



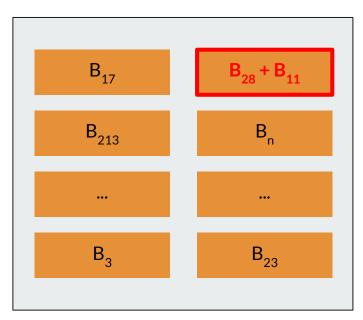
Hint

#### Permute



Hint

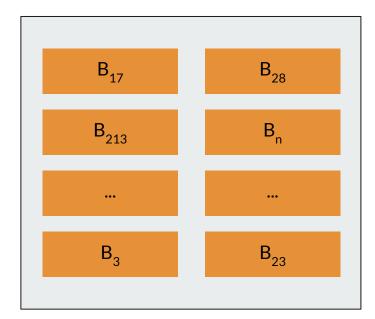
### No Encoding



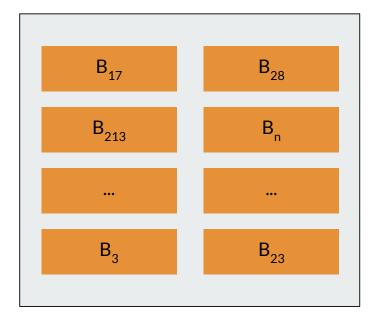
2

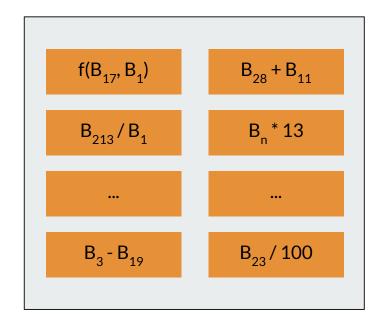
Hint

Arbitrary Encoding



### Standard PIR Model vs. Cell Probe Model





## Our Contributions: Lower Bound Barrier

Thm. If for every single-query, 2-server PIR with private preprocessing, it is holds that  $t * r = \Omega(n)$  in the cell probe model, then the online matrix-vector (OMV) conjecture is true.

**Barrier:** OMV is a core conjecture in fine-grained complexity.

# Our Contributions: Upper Bound

Thm. Given any single-query PIR with private preprocessing with t \* r = f(n), there exists a k-query PIR with private preprocessing satisfying

t \* r = ~O(k \* f(n)).

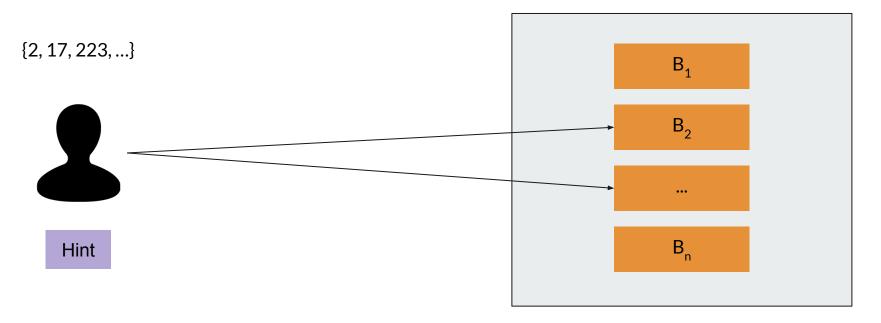
Prior reductions required either multiple rounds or certain assumptions on single-query scheme.

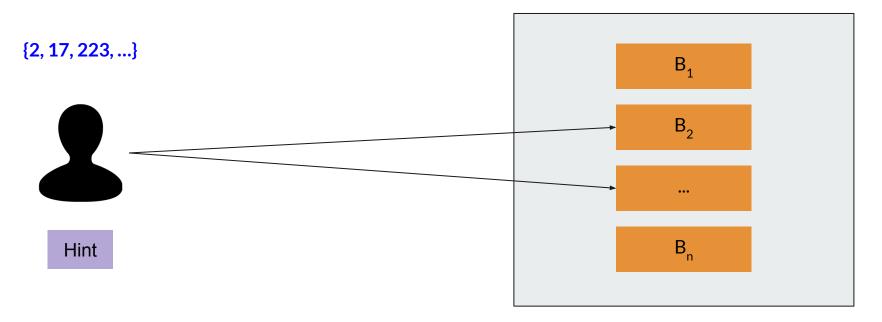
# **Lower Bound Proof Techniques**

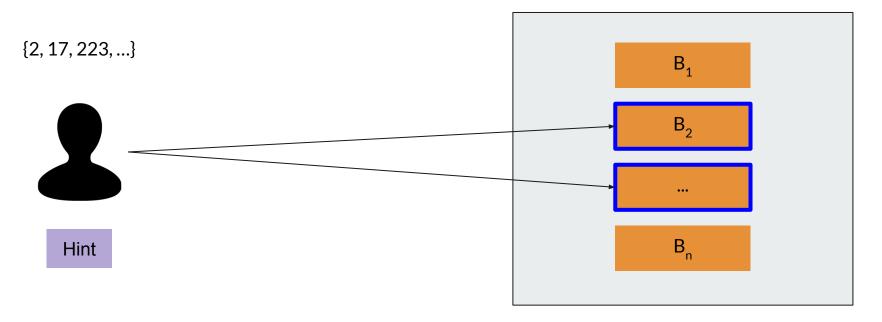
- 1. Relationship between Queried and Probed Entries
- 2. Discovering Good Batch Queries
- 3. Impossible Encoding of Database

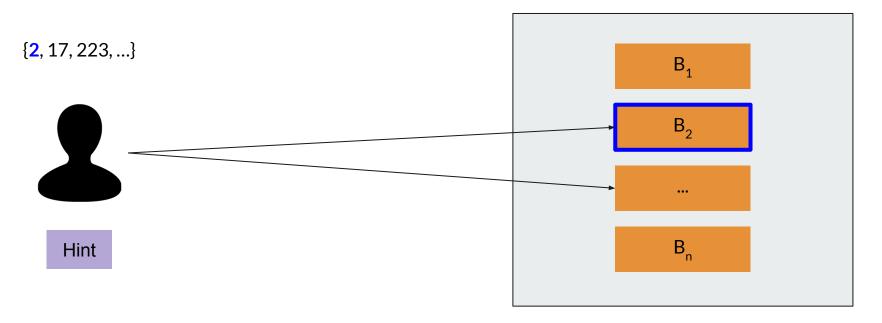
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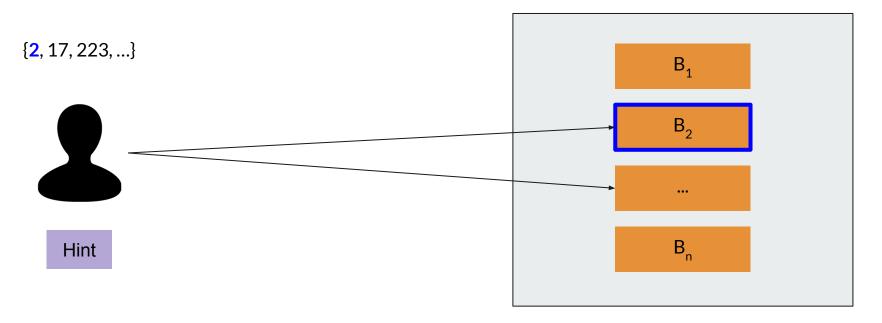


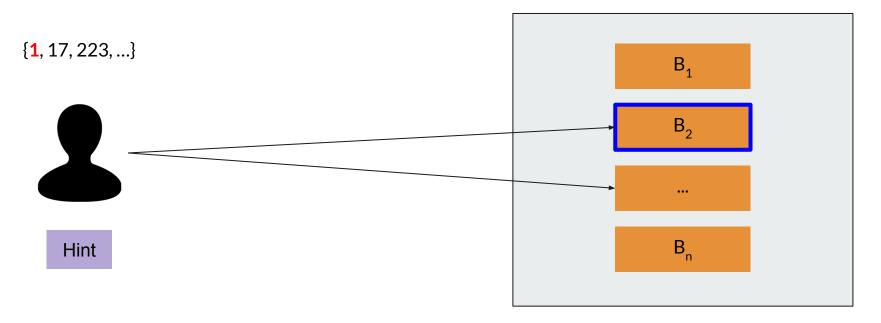




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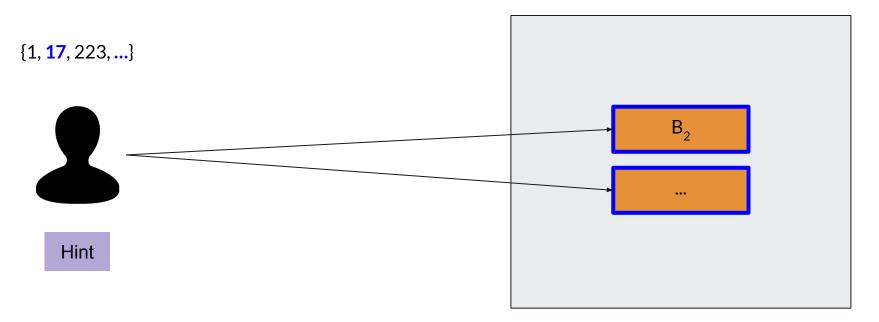
Pr[index i is probed | index i is queried] <sup>∞</sup> Pr[index i is probed | index i is not queried]

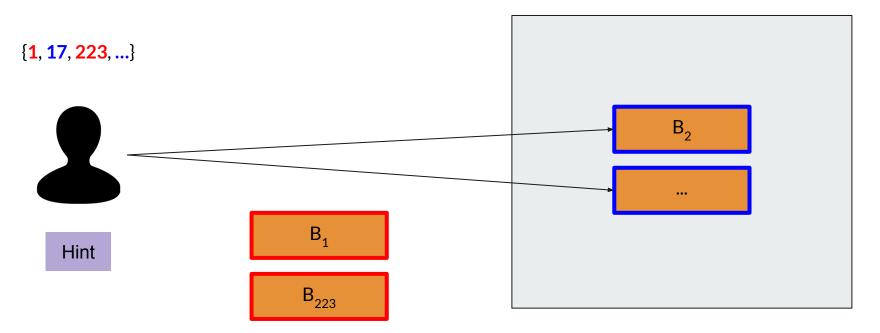
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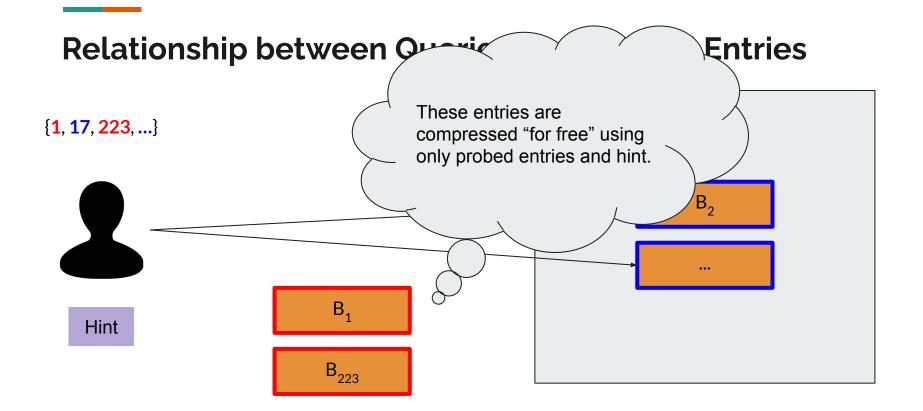
Question: If index i is queried, what is probability the i-th entry is probed?

Pr[index i is probed | index i is queried] ≈ Pr[index i is probed | index i is **not** queried]

 $E[\# of queried indices that are probed] \le k/2$ 

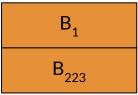


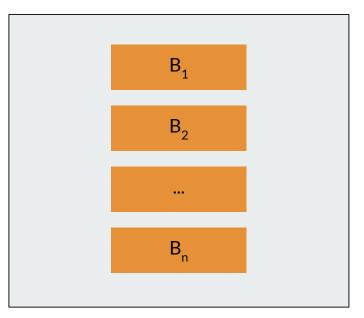






Hint

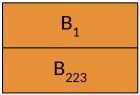


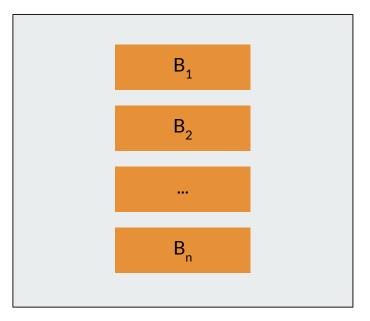


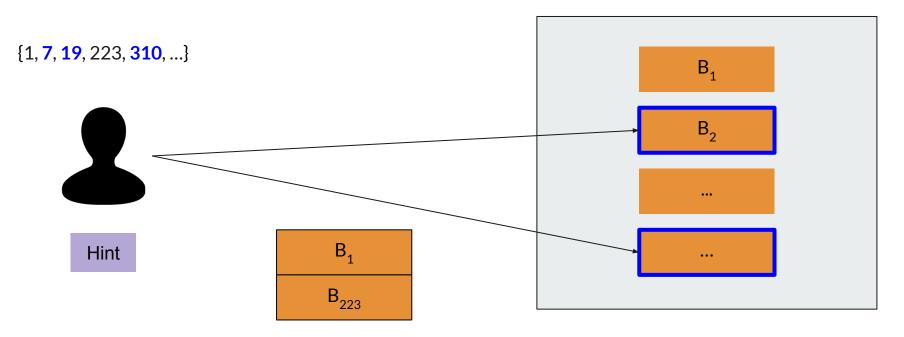
{1, 7, 19, 223, 310, ...}

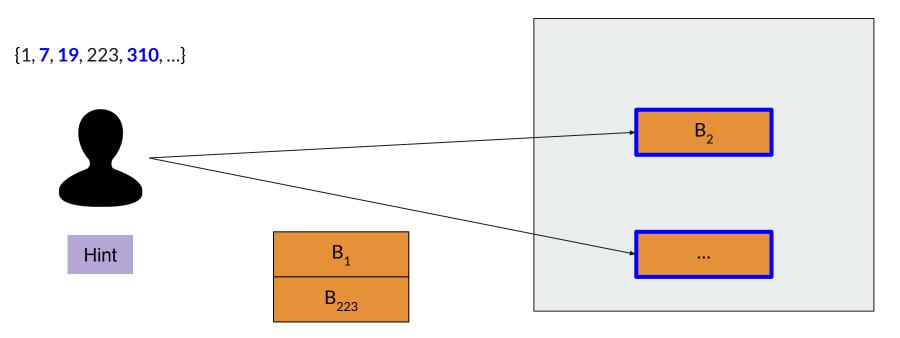


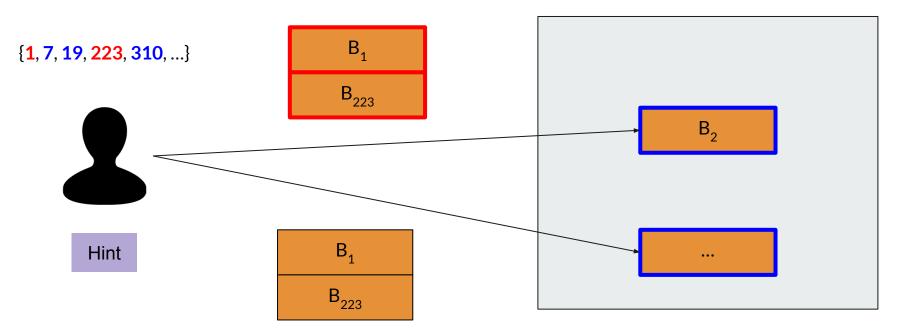
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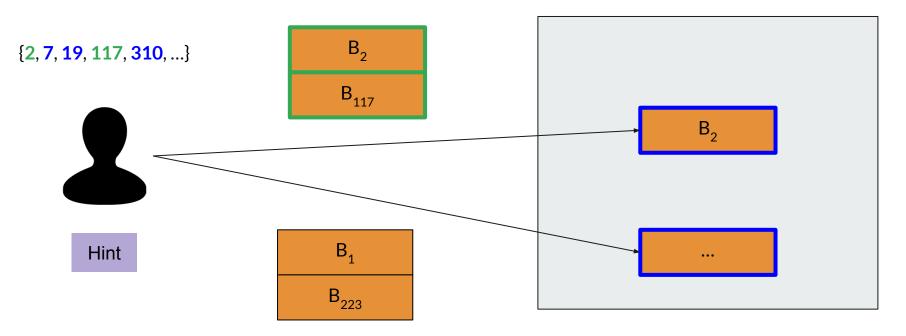








Goal: Find sequence of batch queries such that "free" entries are minimally overlapping.



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Lemma: Random batch queries satisfy this with high probability.

# **Lower Bound Proof Techniques**

- 1. Relationship between Queried and Probed Entries
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- 3. Impossible Encoding of Database



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