

# Generic attack on Duplex-Based AEAD Modes using Random Function Statistics

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## A generic attack against duplex-based AEAD modes

- A **forgery** attack  
in most cases, the key is recovered as well
- Based on **random function statistics**  
**Previous works:** average behaviour (see for example [BGW18])  
**Our work:** average and **exceptional** behaviour

## Our contribution

- Improving knowledge of the **security of duplex-based modes**
- Breaking a security claim of **XOODYAK** [DHPVAVK20]  
(XOODYAK still meets the security requirement of NIST's LWC competition)

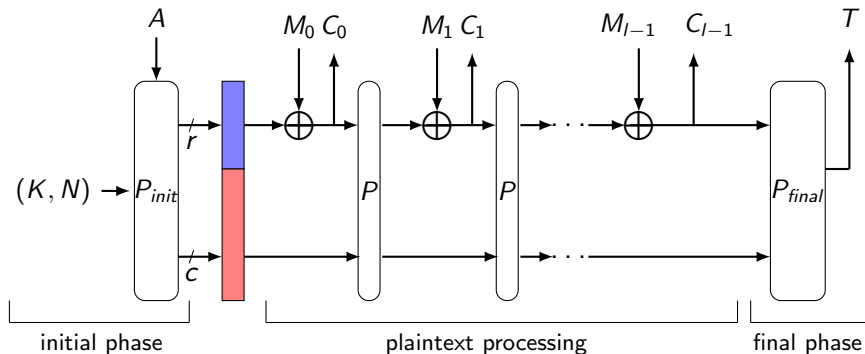
## Authenticated Encryption with Associated Data

- Either **block-cipher based**: (tweakable) block cipher + mode
- Or **permutation-based**: public permutation + keyed mode  
Ex: XOODYAK = XOODOO[12] + Cyclist [DHPVAVK20]

## Duplex-based modes of operation

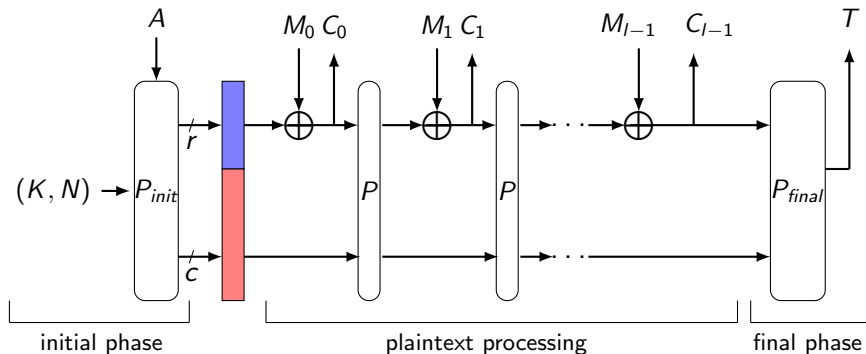
- Permutation-based modes introduced by Bertoni, Daemen, Peeters, Van Assche [BDPVA11]
- An adaptation to the AEAD context of the **Sponge construction** [BDPVA07]  
Ex: SPONGEWRAp [BDPVA11], MonkeyWrap (KETJE) [BDPVAVK14], etc.

# Duplex-based AEAD modes [BDPVA11]



- Permutation  $P$  operates on a state of length  $b = r + c$  bits, where  $r$  is the **rate** and  $c$  the **capacity**
- First  $r$  bits : the **outer state**
- Next  $c$  bits : the **inner state**

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Ex: XOODYAK

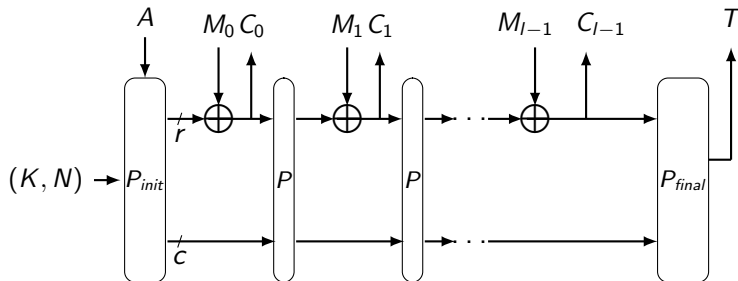
$r = 192$

$c = 192$

# Forgery attack on duplex-based modes

- **Privacy** and **integrity** are required in AEAD.
- It is assumed that: - the adversary is **nonce-respecting**  
- there is **no release of unverified plaintext**
- **Forgery attack:** find a decryption query  $(N, A, C, T)$  s.t. the tag verification succeeds (the decryption oracles returns the plaintext)

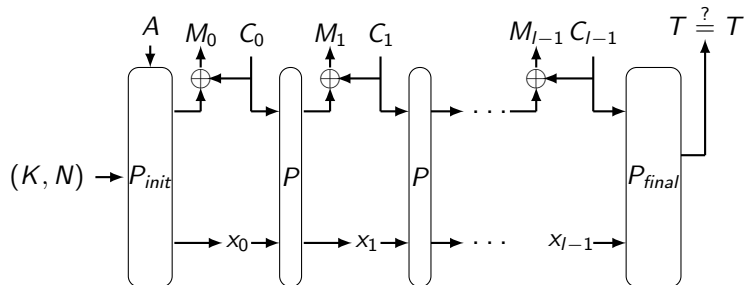
## Encryption



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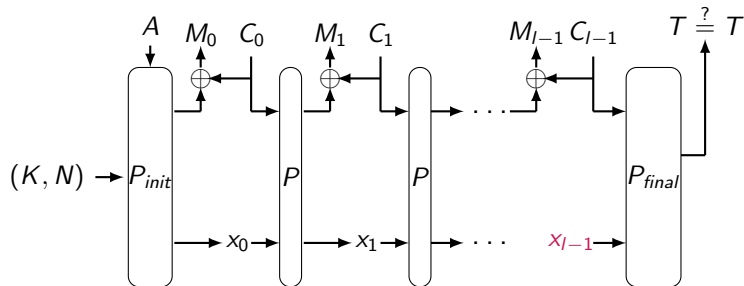
## Decryption/verification



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## Decryption/verification



**Guessing  $x_{l-1}$  allows to build a forgery!**



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## Total time complexity of an attack

$$\mathcal{T} = \sigma_e + \sigma_d + q_P + t_{\text{extra-op}}$$

where

$\sigma_e$  is the number of online calls to  $P$  caused by encryption queries

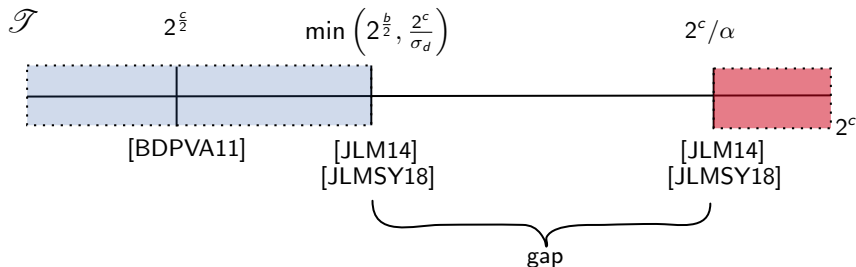
$\sigma_d$  is the number of online calls to  $P$  caused by forgery attempts


$q_P$  is the number of offline queries to  $P$  or  $P^{-1}$


# Our motivation

**Disclaimer**  
this is (extremely) simplified

Assuming a sufficiently large key/tag length:



 proven security

 known attacks

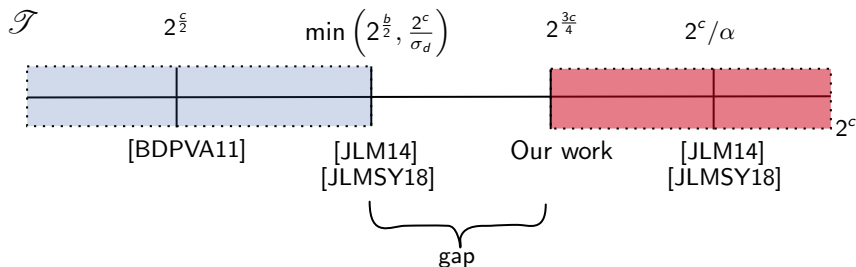
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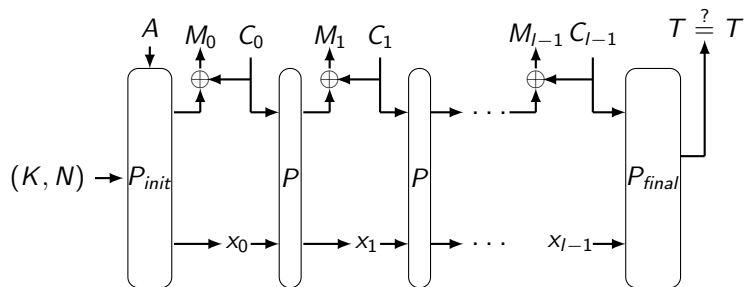
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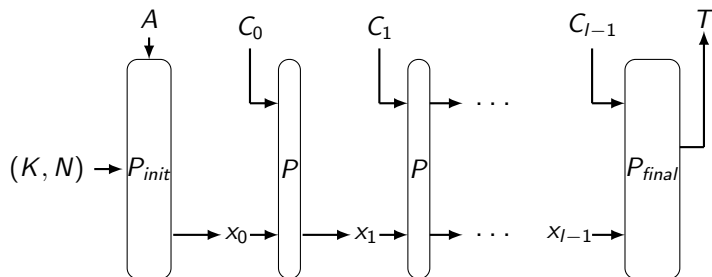
# Main observation

Decrypting the ciphertext/tag pair  $(C = C_0 \parallel \dots \parallel C_{l-1}; T)$



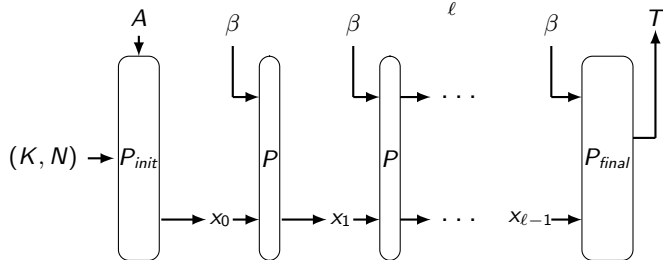
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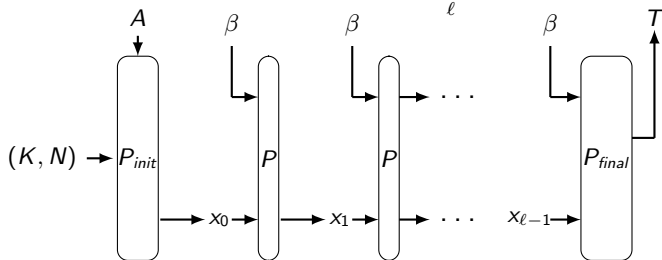
# Main observation

Decrypting the long ciphertext/tag pair  $(\beta_\ell = \beta \parallel \dots \parallel \beta; T)$

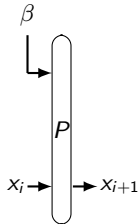


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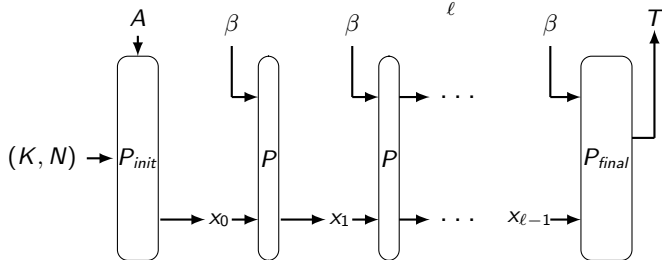


The tag verification iterates the function  $F_\beta : \mathbb{F}_2^c \rightarrow \mathbb{F}_2^c$

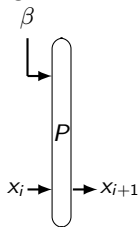


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- For a random  $\beta$ , we expect  $F_\beta$  to behave as a **random function** drawn in  $\mathfrak{F}_{2^c}$ .
- For each nonce, we expect  $x_0$  to behave as a **random point** drawn in the graph of  $F_\beta$ .

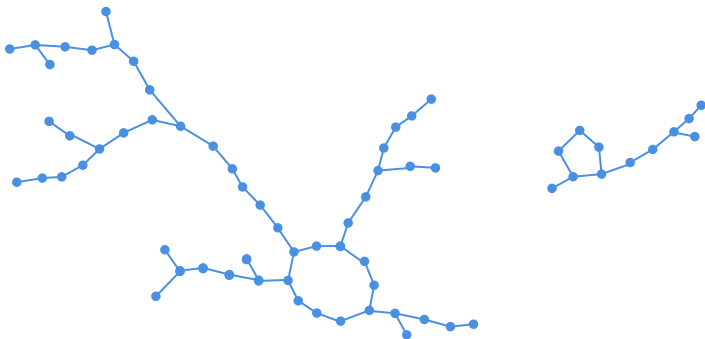


# Graph of a random function $F$ in $\mathfrak{F}_{2^c}$

**Def:** node  $i$  goes to node  $j$  iff  $F(i) = j$ .

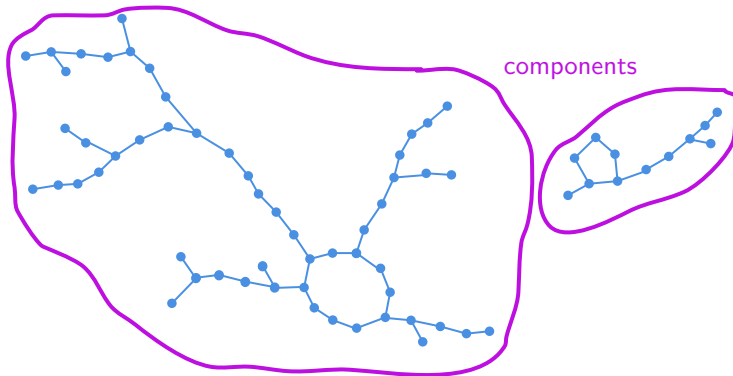
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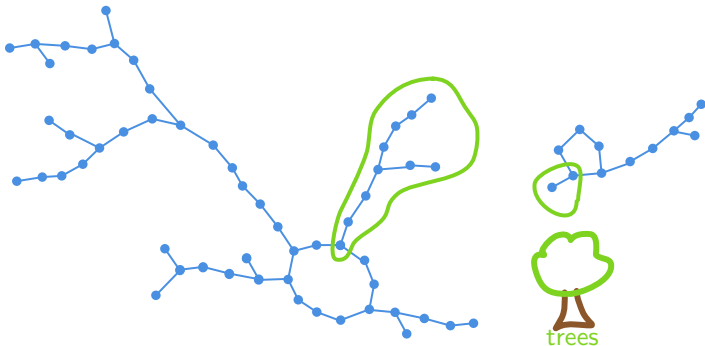
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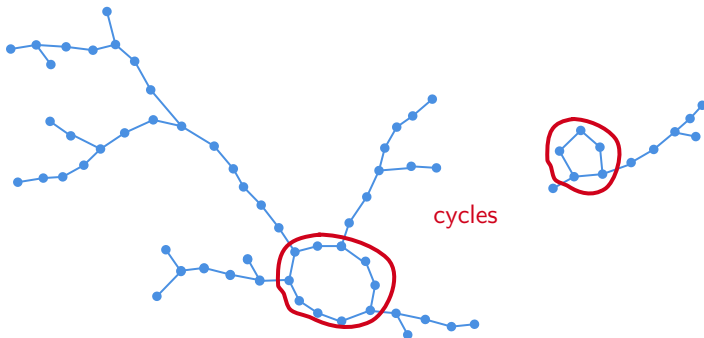
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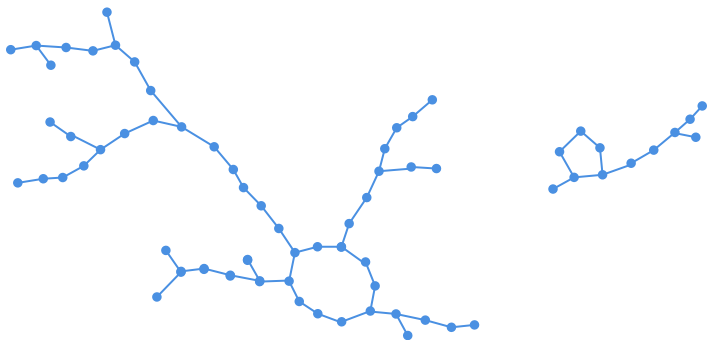


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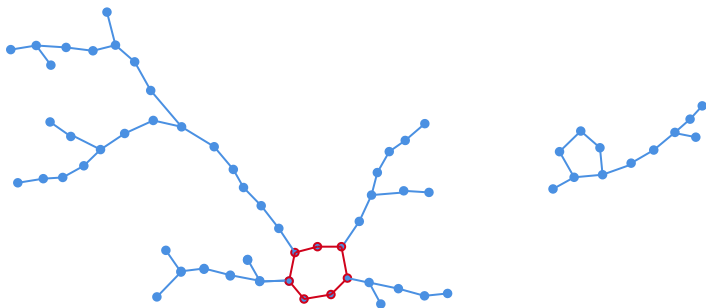


## Average...

- Size of the largest component:  $2^c \times 0.76$ .
- Cycle/tail length of a random point:  $2^{\frac{c}{2}} \sqrt{\pi/8}$

[FO89]

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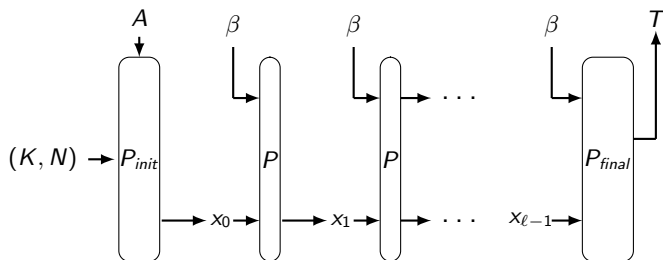
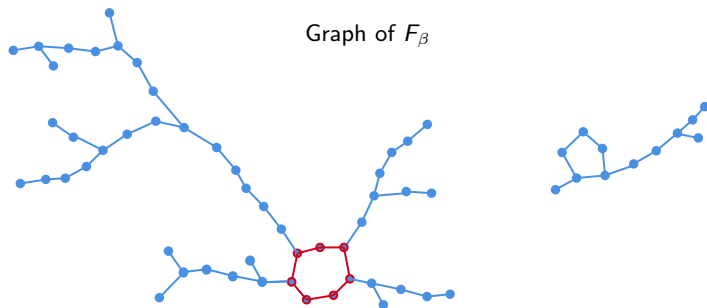
The probability that a random function has a component

- of cycle length at most  $\leq 2^{\frac{c}{2}-\nu} \rightarrow$  its cycle is **exceptionally small**;
- of size at least  $\geq 2^c \times s \rightarrow$  this component is **reasonably large**;

$$p_{s,\nu} \approx \sqrt{\frac{2(1-s)}{\pi s}} 2^{c-\nu} \quad [\text{DeLaurentis87}]$$

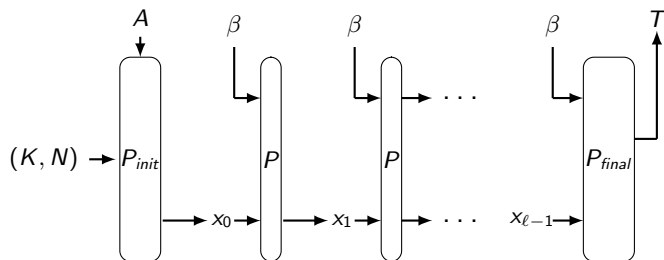
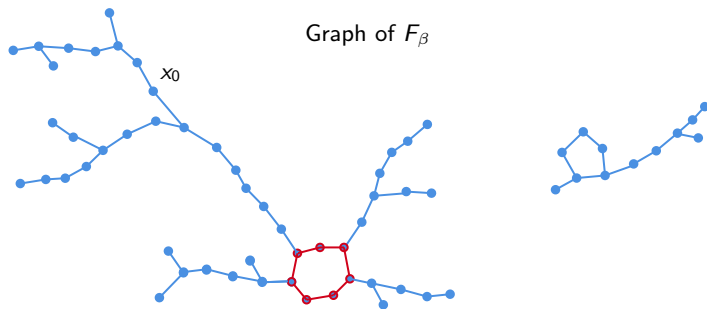
Ex: proba for  $s = 65\%$  and  $\nu = \frac{c}{4}$  (cycle of length  $\leq 2^{\frac{c}{4}}$ ):  $0.6 \times 2^{-\frac{c}{4}}$

# Core idea of our forgery attack

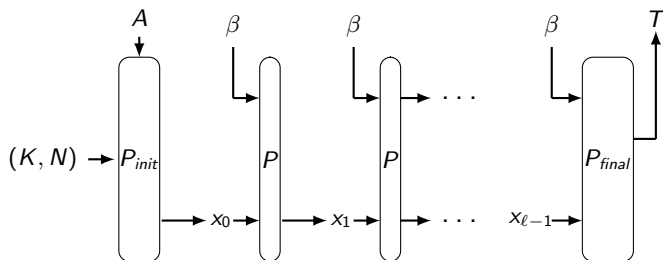
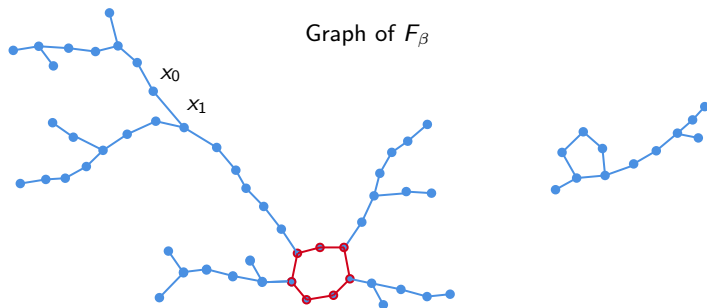




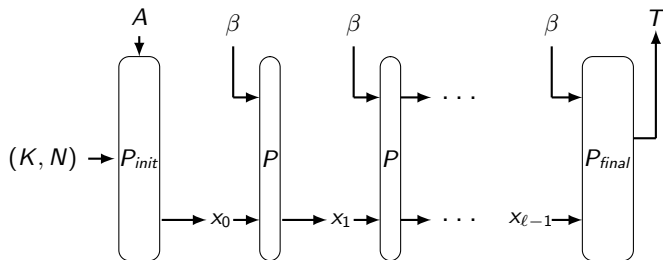
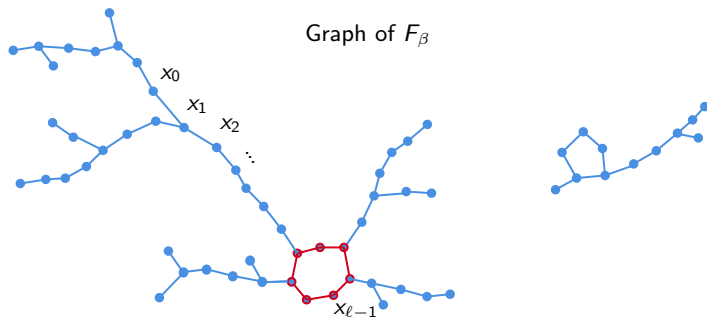
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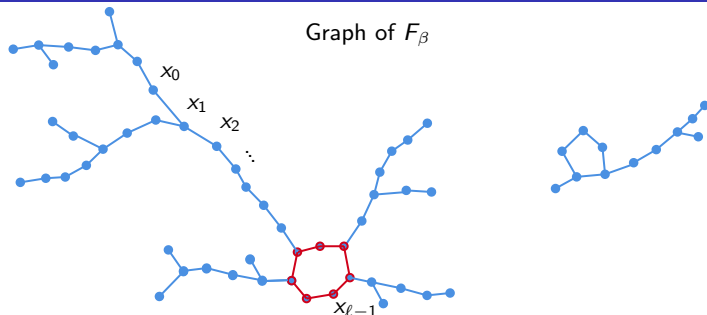
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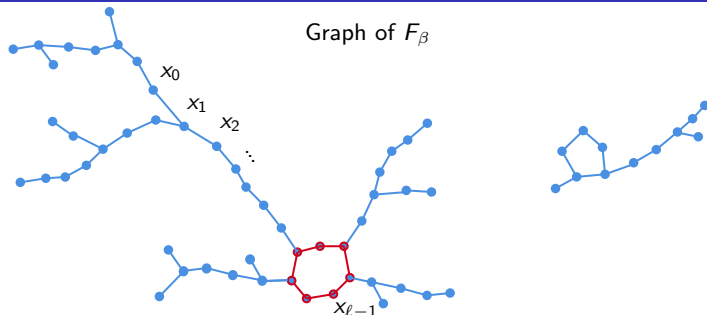


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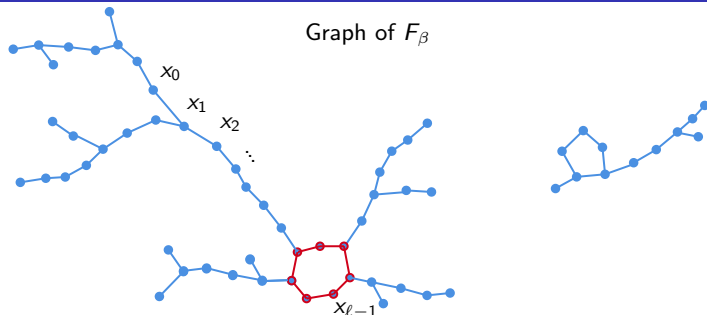
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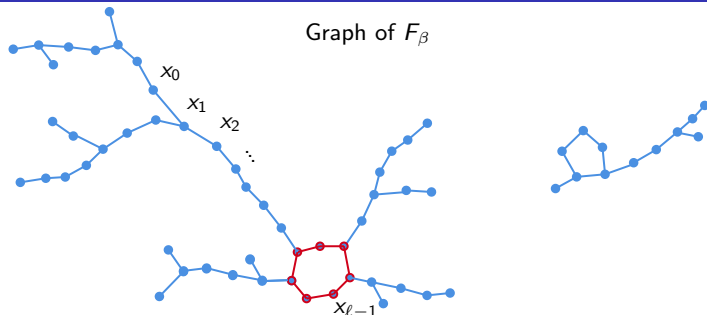


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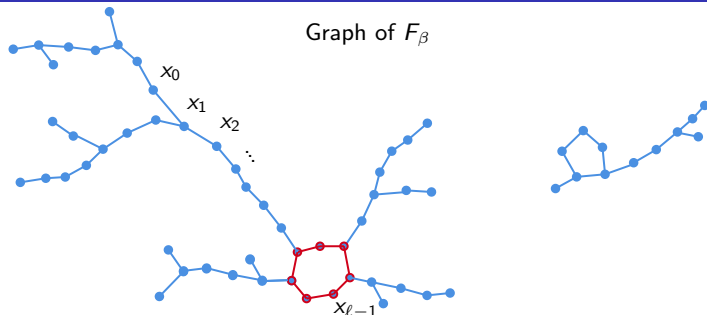
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**Resulting forgery attack:** try the  $\leq 2^{\frac{c}{4}}$  possible values for  $T$ .



# Core idea of our forgery attack

## Precomputation phase

Find  $\beta$  s.t.  $F_\beta$  has a **large component** ( $\geq 0.65 \times 2^c$ ) with an exceptionally **small cycle** ( $\leq 2^{\frac{c}{4}}$ ), recover this cycle

} **key independent**

## Online phase

Submit  $(N, A, C = \underbrace{\beta || \dots || \beta}_\ell, T)$  queries to the decryption oracle where:

- $N$  is randomly sampled
- $A$  is set to the empty string
- $\ell$  is 'big enough' ( $\approx 2^{\frac{c}{2}}$ )
- $T = P_{final}(\beta || x)$ , for  $x$  in the small cycle

# Simplified complexity analysis (precomputation phase)

**Precomputation phase:** Find  $\beta$  s.t.  $F_\beta$  has a **large component** ( $\geq 0.65 \times 2^c$ ) with an exceptionally **small cycle** ( $\leq 2^{\frac{c}{4}}$ ), recover this cycle

## Complexity analysis:

- Drawing about  $1/p_{s,\nu} \approx 2^{\frac{c}{4}}$  random  $\beta$ 's
- For each  $\beta$ , investigating  $F_\beta$  costs  $\approx 2^{\frac{c}{2}}$  per  $\beta$  thanks to Floyd's algorithm.

The total complexity is  $\approx 2^{\frac{3c}{4}}$  **applications of  $P$ .**

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**Note:** the algorithm includes a test that the component is likely to be large enough.

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- $x_0$  belongs to the desired component with probability  $s = 65\%$
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**Note:** At the cost of a more expensive prec. phase, the complexity of this step can be brought close(r) to  $2^{\frac{c}{2}}$ .

# Small scale experiments

- Our attack is somewhat heuristic based.

→ Ex: corroborate that the  $F_\beta$  behave as **random functions** in practice.

- We implemented experiments with XOODOO[12] as  $P$ .

- All our practical results match our heuristic-based results.

→ Ex: the average tail length for a random  $F_\beta$  matches the average tail length for a random permutation.

- We also implemented the **precomputation algorithm**.

→ We found some **valid  $\beta$  values** for  $c$  up to 40.

## Our attack

- has **total time complexity**  $\leq 21 \times 2^{\frac{3c}{4}}$ ;
- a **probability of success**  $\geq 95\%$ ;
- can be transformed into a **key recovery** at a negligible extra cost if  $P_{init}$  is reversible (**how**: using the plaintext);
- is applicable to the modes of NORX v2, KETJE, KNOT and KEYAK
- breaks the 184-bit security claim made by the designers of XOODYAK with an attack of complexity  $2^{148}$ .



## Two main features frustrate our cryptanalysis:

- **Key-dependent final phase.** (ASCON, NORX v3)

→ a correct guess on  $x_{\ell-1}$  cannot be transformed into a forgery

- **No outer state overwriting.** (Beetle, SPARKLE, Subterranean)

→ the decryption of  $\underbrace{\beta || \cdots || \beta}_{\ell}$  does not correspond to the iteration of a function

Thank you for your attention :)

Any questions?