

# An Incremental PoSW for General Weight Distributions

**Hamza Abusalah** and Valerio Cini



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Der Wissenschaftsfonds.

# Outline

Proofs of Sequential Work, Standalone (PoSW) and  
Incremental (iPoSW)

The Skiplist PoSW

Make it Incremental (iPoSW)

Generalize it to *General Weight Distributions*  
(Motivated by Blockchain Applications)

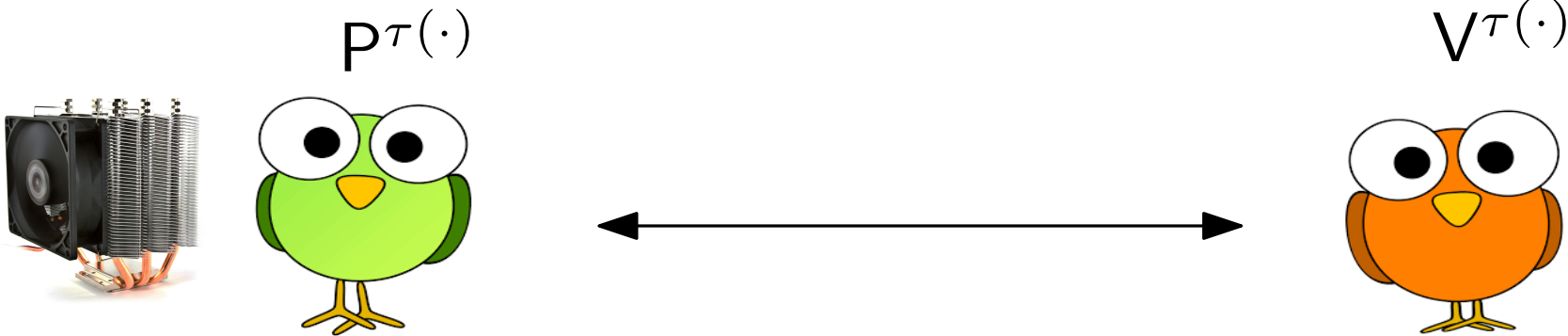
All Constructions Are in the ROM

(We don't cover (continuous) verifiable delay functions)

# PoSW

[Mahmoody-Moran-Vadhan'13]

Parameter:  $n$



**Completeness:** Honest  $P^{\tau(\cdot)}$  making  $n$  **sequential**  $\tau(\cdot)$  queries makes  $V$  accept w.p. 1

# PoSW

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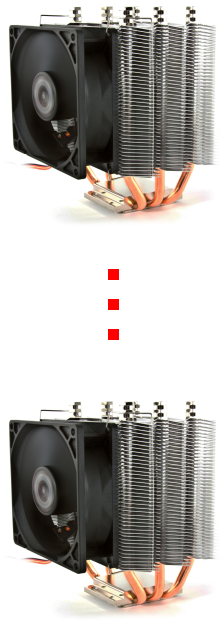
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**Succinctness:** For every honest proof  $\pi$  :

$|\pi| \leq \text{poly}(\lambda, \log n)$ ,  $\text{Time}(V) \leq \text{poly}(\lambda, \log n)$ , and  $\text{Time}(P) \leq \text{poly}(\lambda, n)$

# PoSW

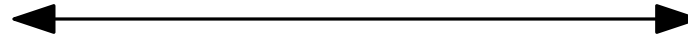
[Mahmoody-Moran-Vadhan'13]



$\tilde{\mathcal{P}}^\tau(\cdot)$



Parameter:  $n$



$V^\tau(\cdot)$



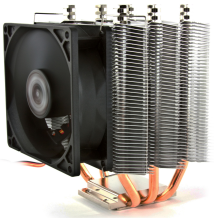
$\text{poly}(\lambda, \log n)$  time

$(\alpha, \epsilon)$ -**Soundness**: A parallel  $\tilde{\mathcal{P}}^\tau(\cdot)$  making  $\leq \alpha \cdot n$  **sequential** queries to  $\tau(\cdot)$  makes  $V$  accept with prob.  $\leq \epsilon(\lambda)$

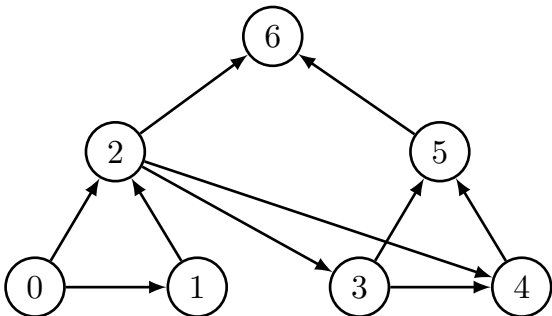
# All PoSW Constructions Look Like

Parameters:  $G_n, t, \dots$

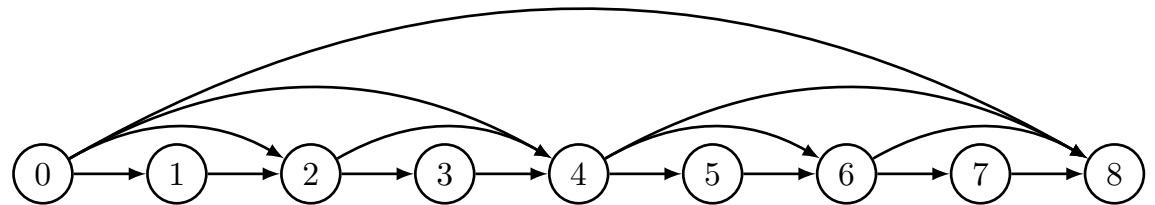
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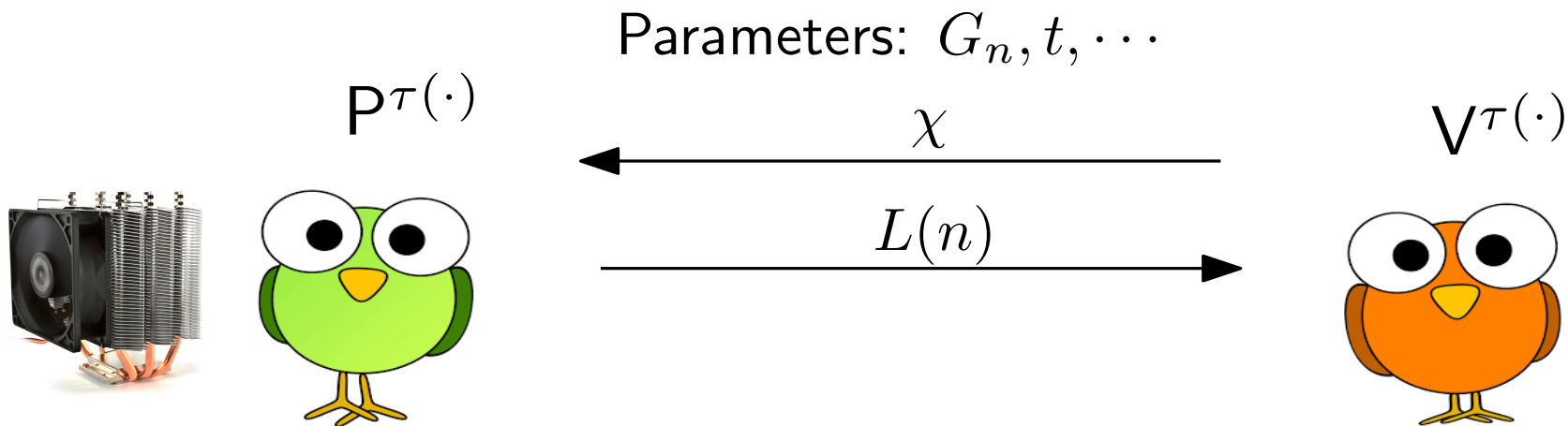


[Cohen-Pietrzak'18]



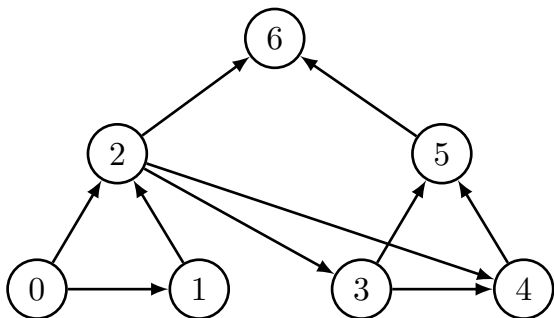
[Abusalah-Kamath-Klein-Walter-Pietrzak'19][Abusalah-Fuchsbauer-Gaži-Klein'22]

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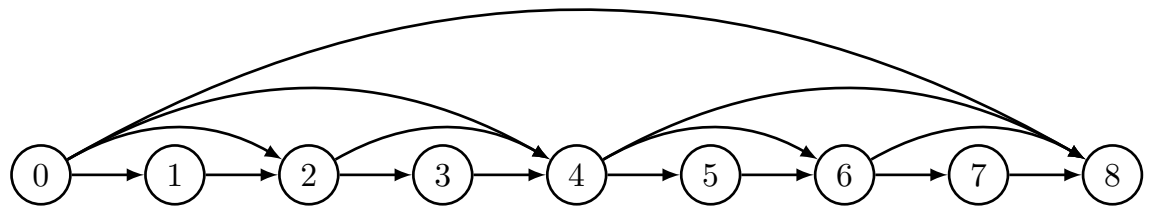


Random oracle  $\tau : \{0, 1\}^* \rightarrow \{0, 1\}^\lambda$  with  $\tau := \tau(\chi, \cdot)$

$$L(i) := \begin{cases} \tau(i) & \text{if } \text{parents}(i) = \emptyset, \\ \tau(i, L(\text{parents}(i))) & \text{otherwise.} \end{cases}$$

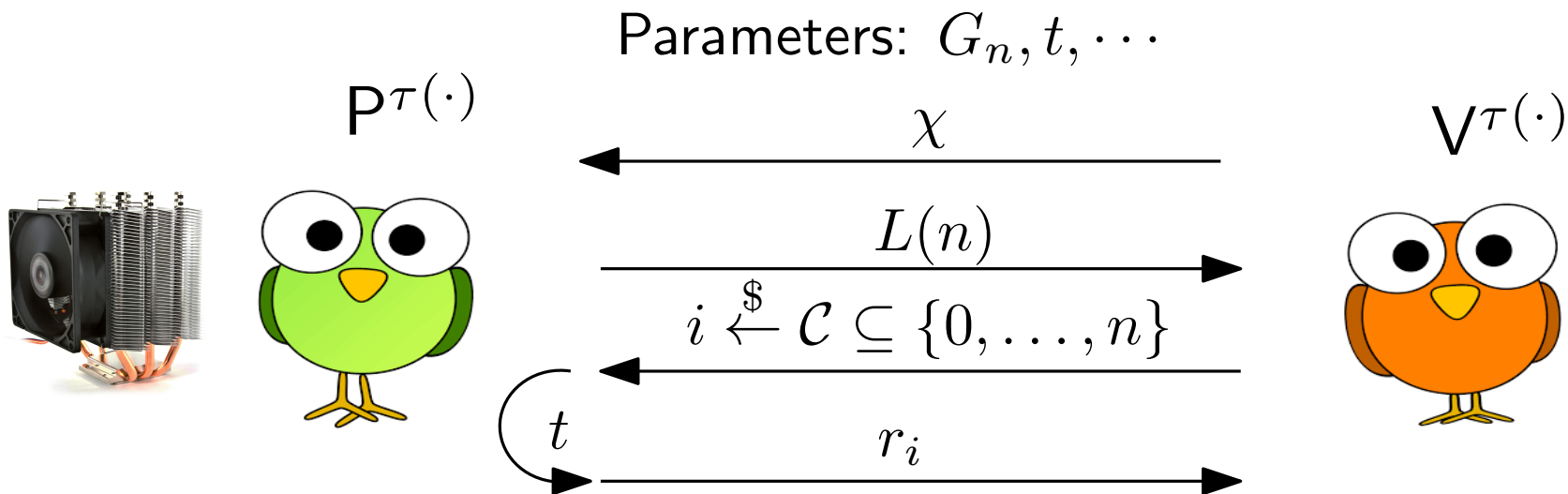


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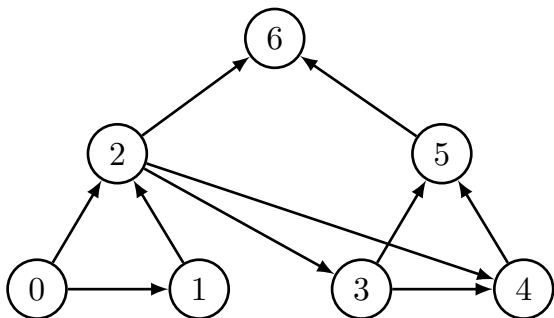
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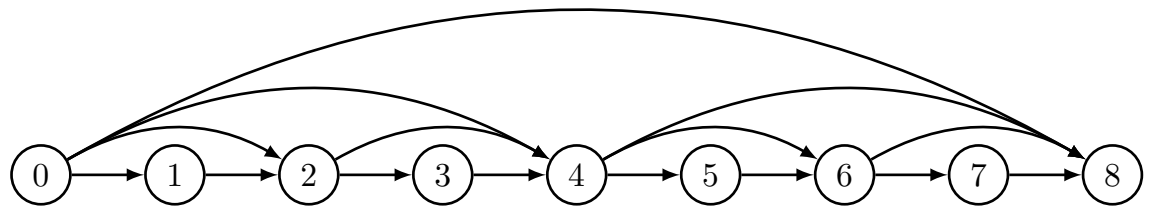


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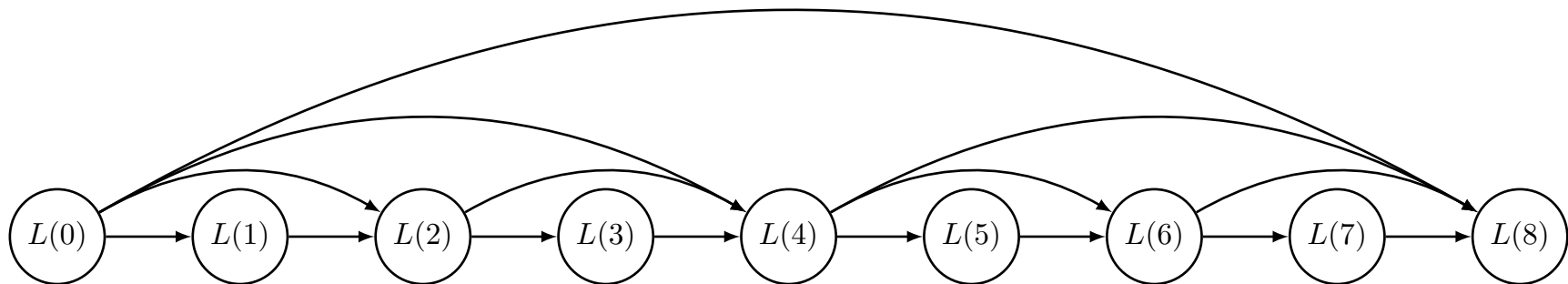
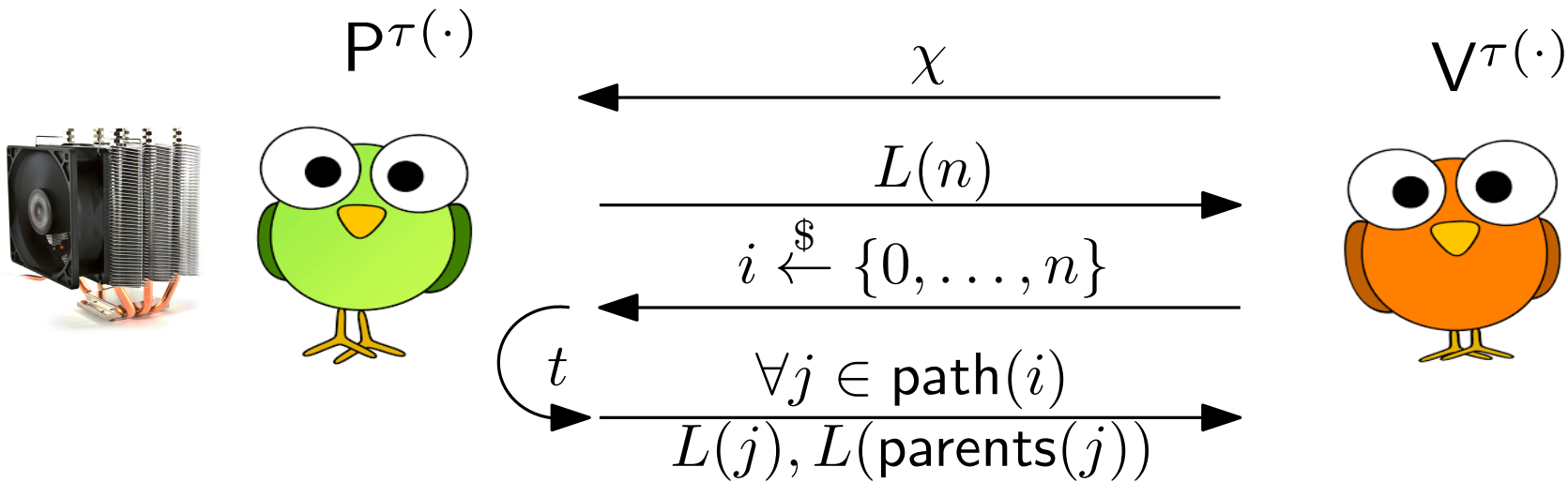


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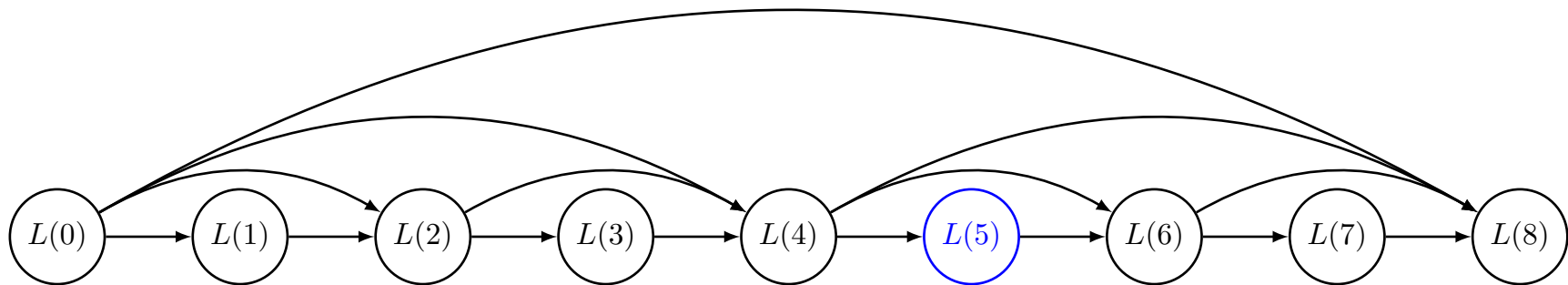
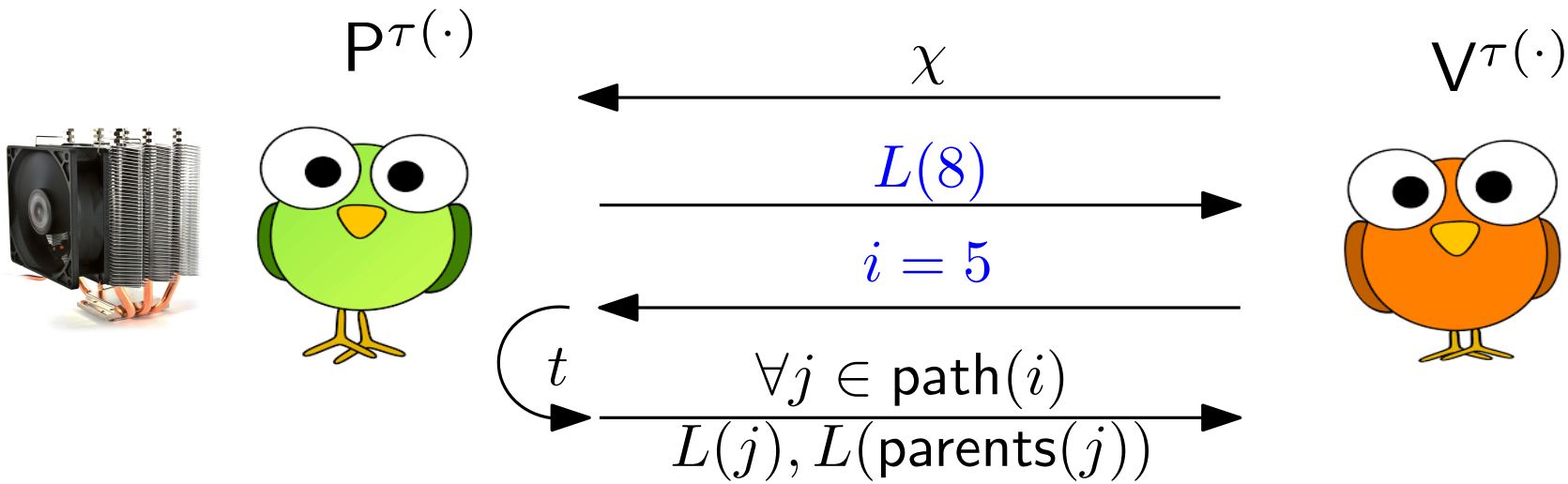
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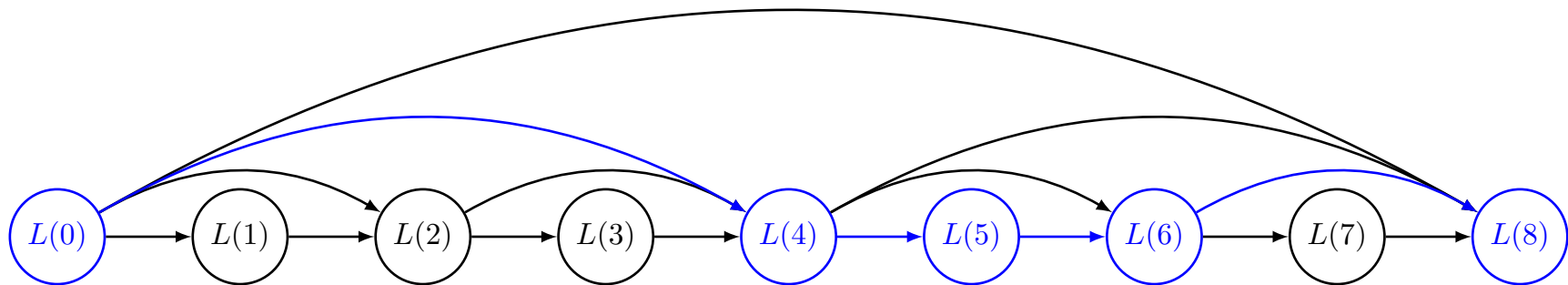
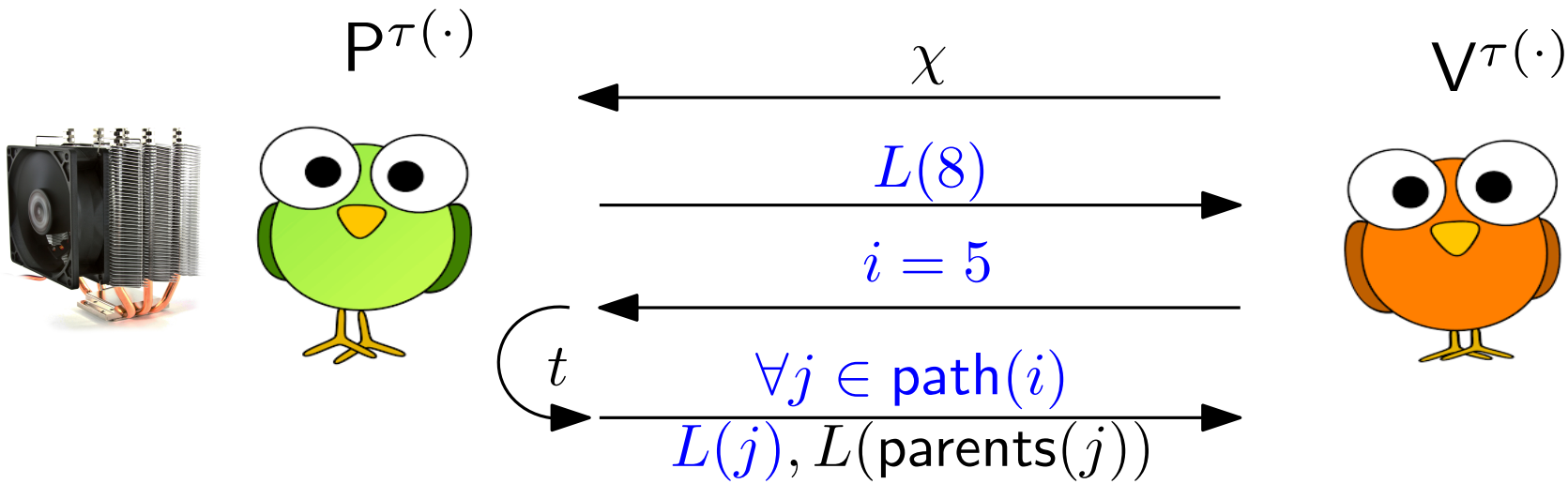
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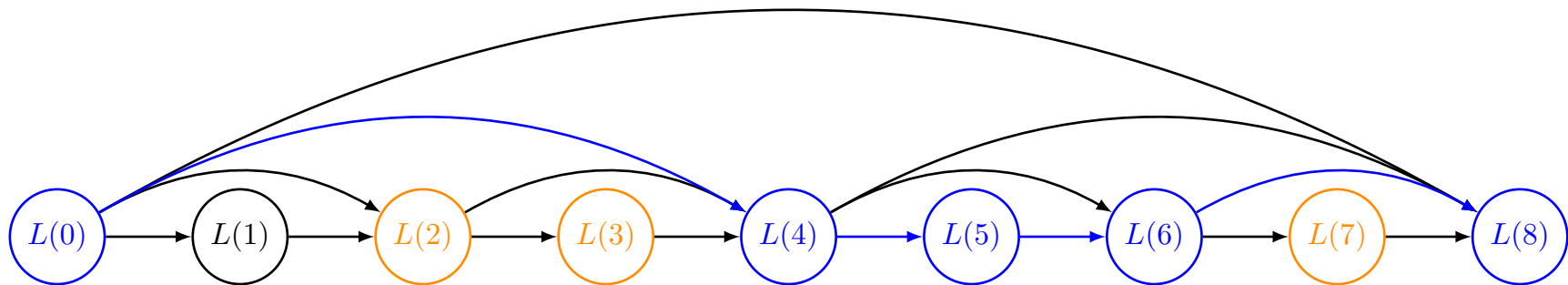
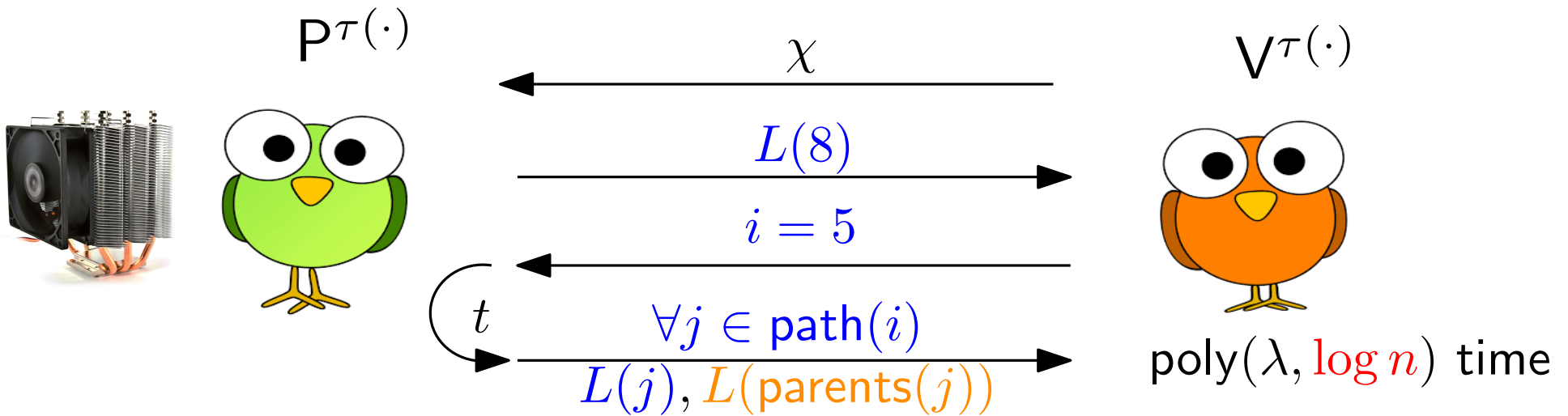
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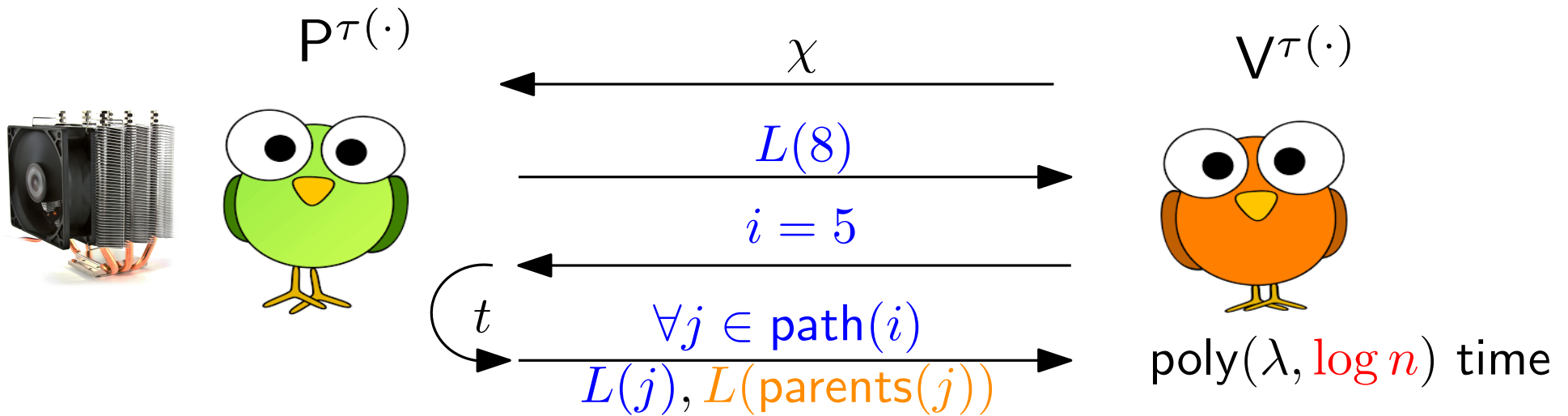
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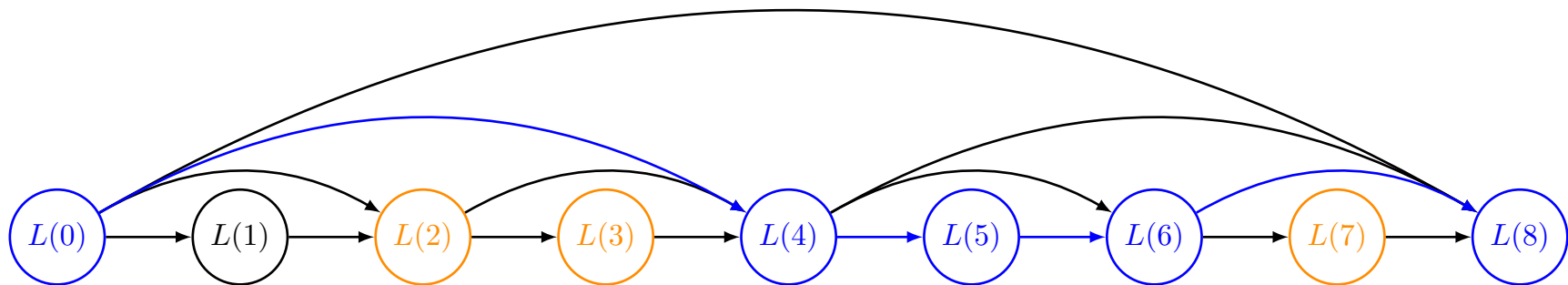
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**Thm:** If 1.  $\tilde{P}_1$  made  $\leq \alpha \cdot n$  sequential queries to  $\tau(\cdot)$  before sending  $L(n)$   
 2.  $\tilde{P} := (\tilde{P}_1, \tilde{P}_2)$  made a total of  $\leq q$  queries to  $\tau(\cdot)$

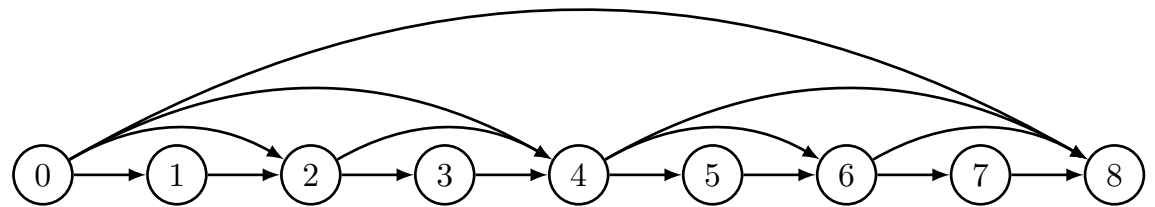
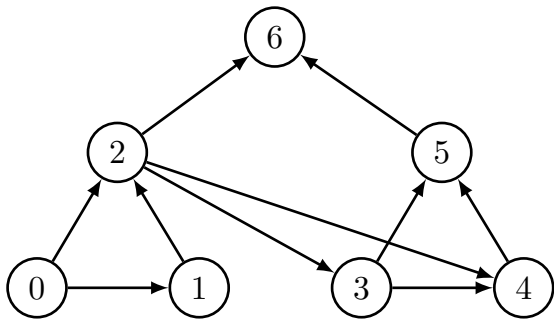
Then  $\tilde{P}$  makes  $V$  accept w.p.  $\leq \alpha^t + 3 \cdot q^2 / 2^\lambda$



# On Our Way to iPoSW

To answer challenges, P has two extremes

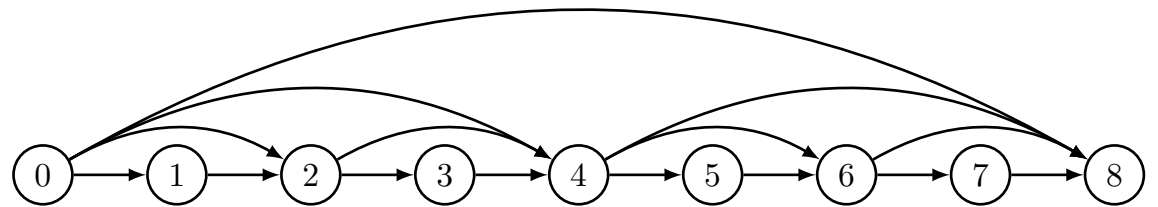
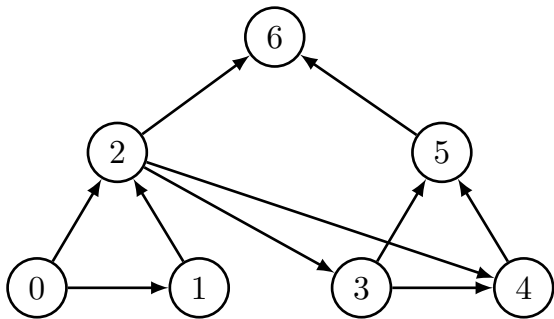
- **store all labels**  $L(0), \dots, L(n)$ : answering a challenge is just a look-up
- store nothing and spend an extra  $n$  **sequential steps** to relabel and answer



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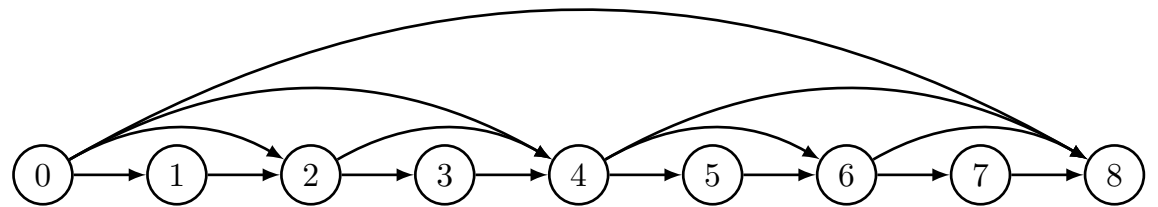
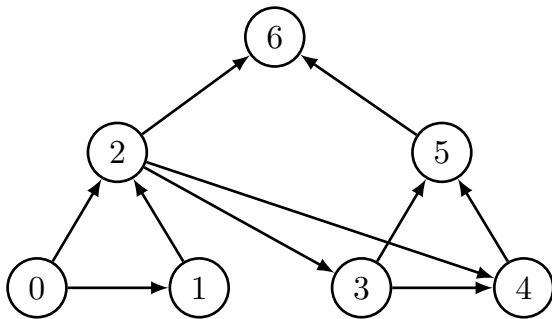
**Space-time tradeoffs:**

store  $\sqrt{n}$  labels and spend an extra  $\sqrt{n}$  sequential steps

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## Space-time tradeoffs:

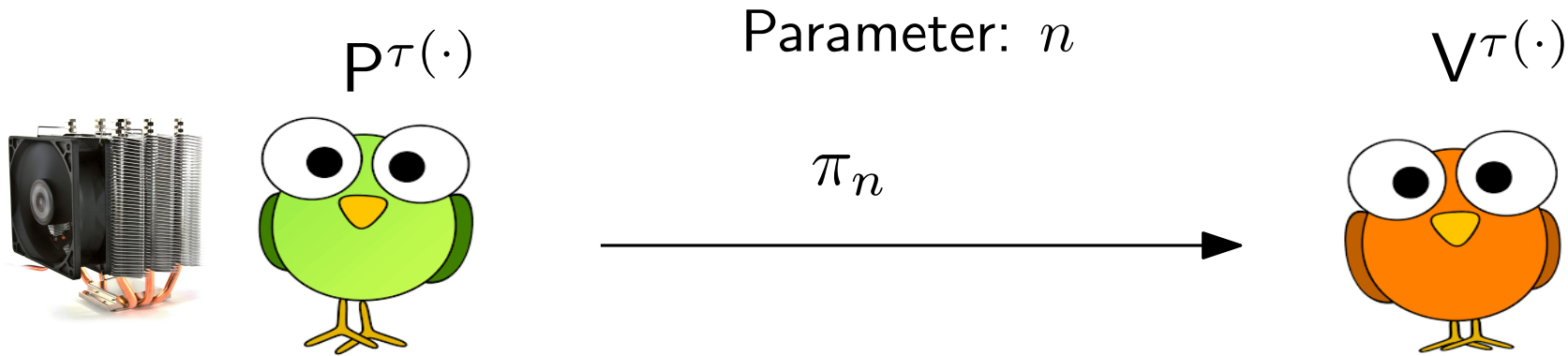
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**Question:** Best of both worlds: can we store a succinct state and spend no extra time?



# iPoSW

[Döttling-Lai-Malavolta'19]

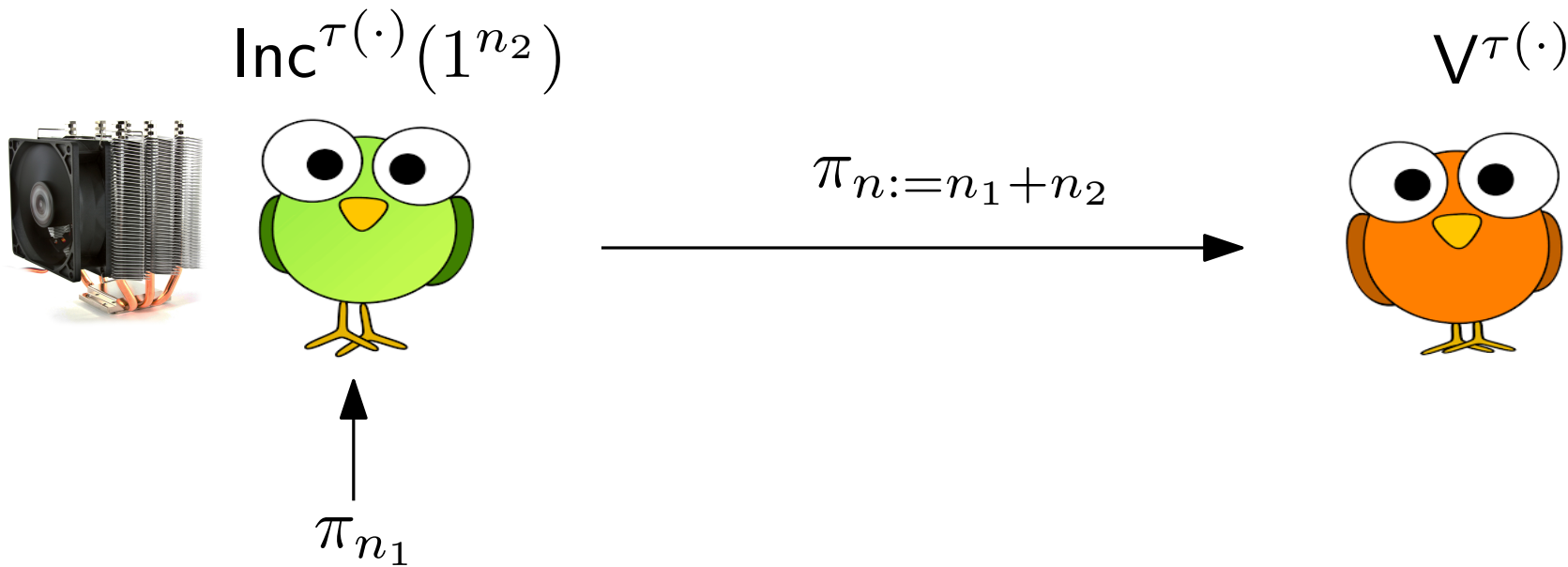


An iPoSW is a *non-interactive* proof system  $(P, V, \text{Inc})$  where

- $(P, V)$  is a PoSW: complete, sound, and succinct

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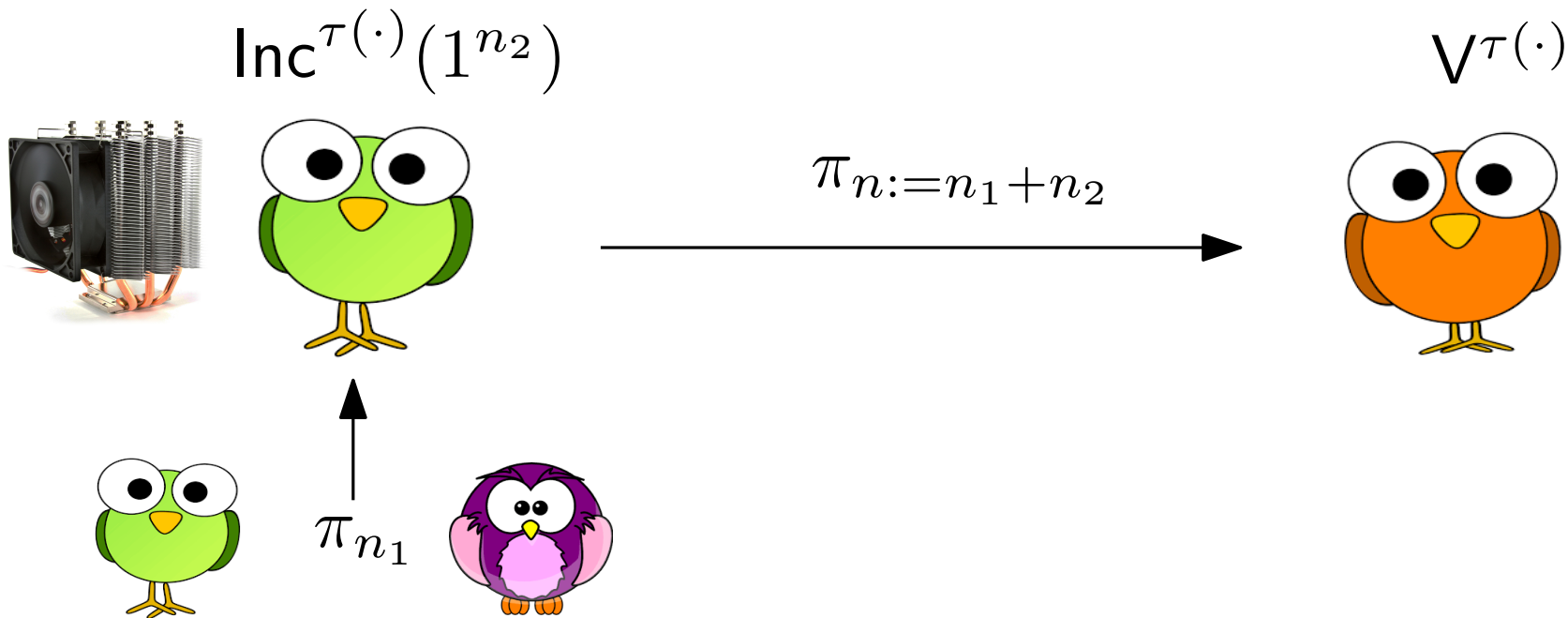


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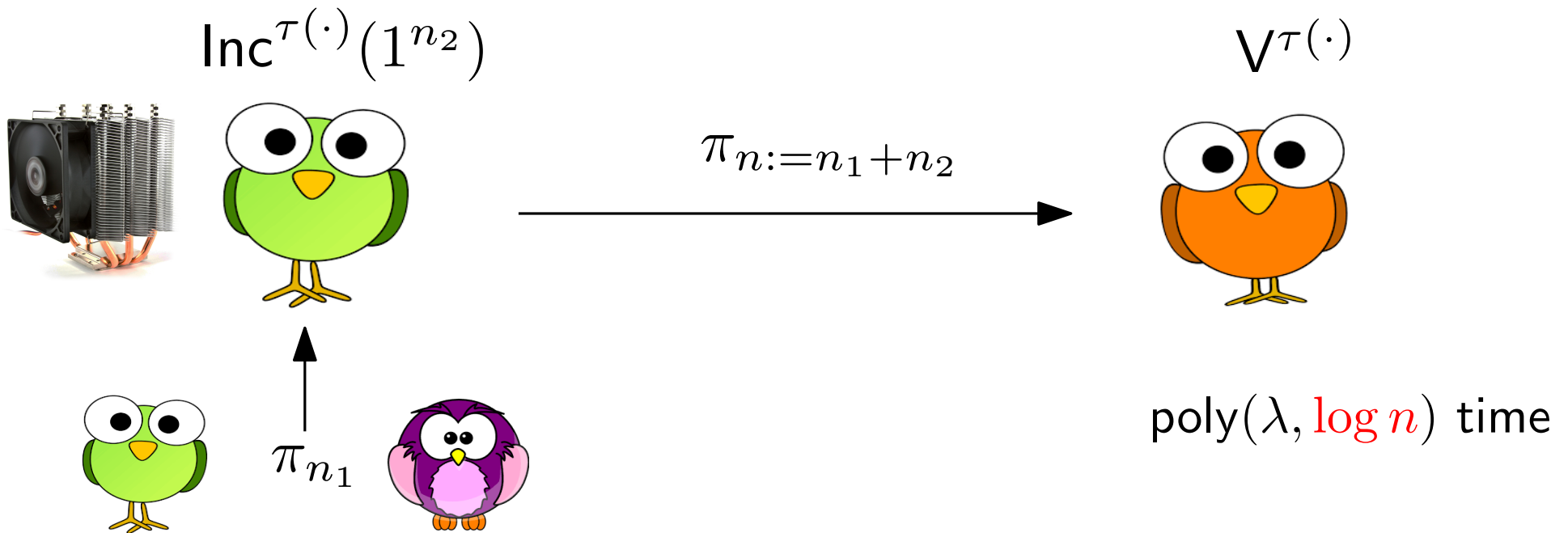


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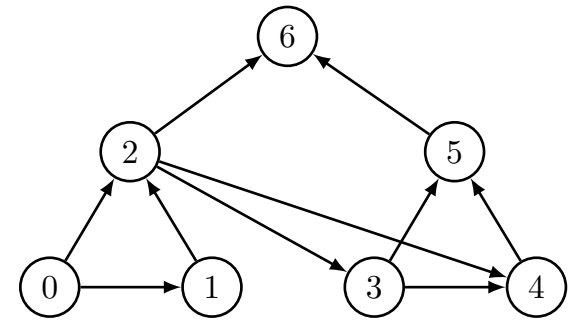
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$$\pi_{n_1} \rightarrow \text{Inc}(n_2) \rightarrow \pi_{n_1+n_2} \rightarrow \text{Inc}(n_3) \rightarrow \pi_{n_1+n_2+n_3} \rightarrow \cdots \rightarrow \pi_{n=n_1+\cdots+n_k}$$

# An iPoSW Construction

[Döttling-Lai-Malavolta'19]

- Döttling-Lai-Malavolta made Cohen-Pietrzak'18 incremental by *sampling challenges on the fly*
- Efficient, yet incurs an extra small security loss

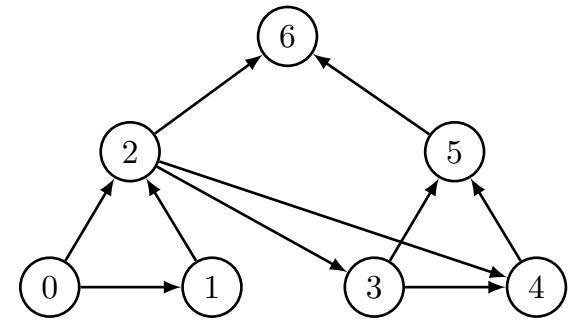


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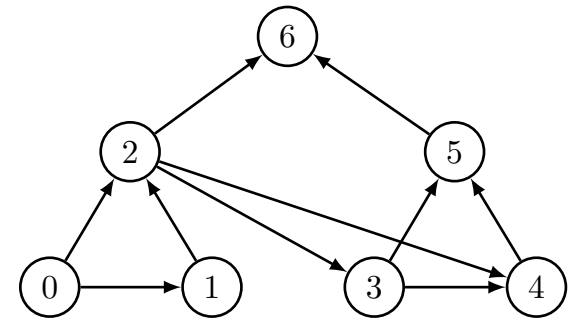
In this work

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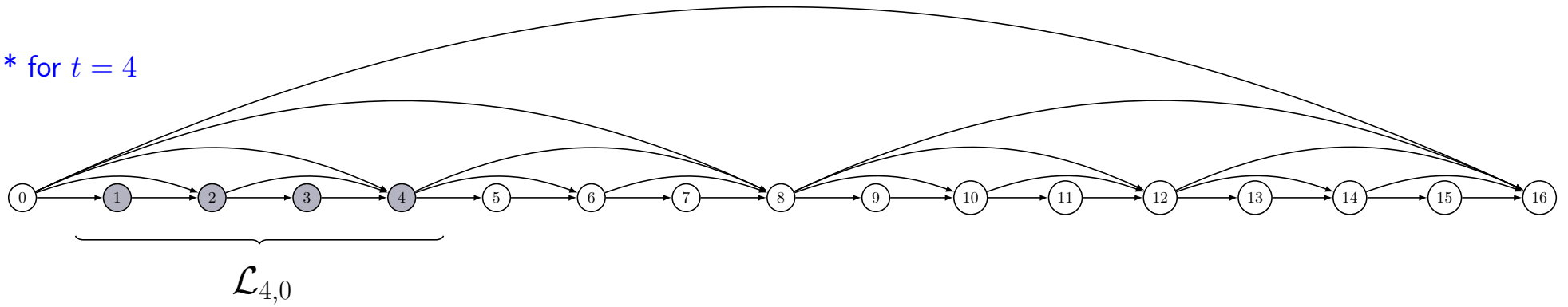
We apply the same on-the-fly sampling

2. We generalize the skiplist iPoSW to general weight distributions

We devise a new variant of the on-the-fly sampling technique

# Our Standalone iPoSW: The Prover P

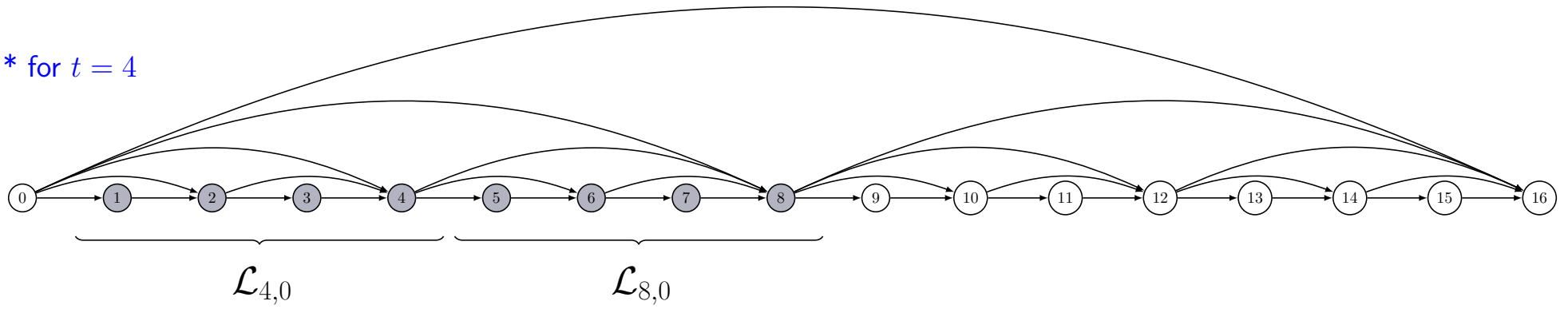
\* for  $t = 4$





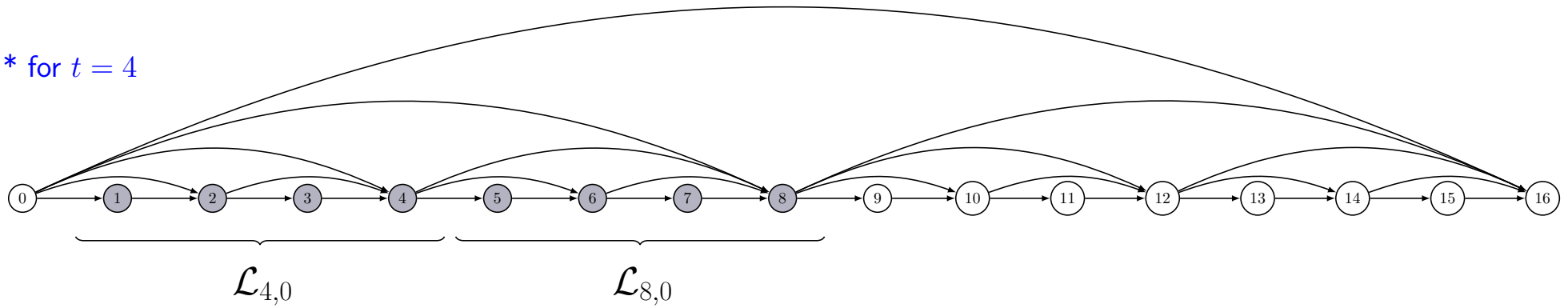
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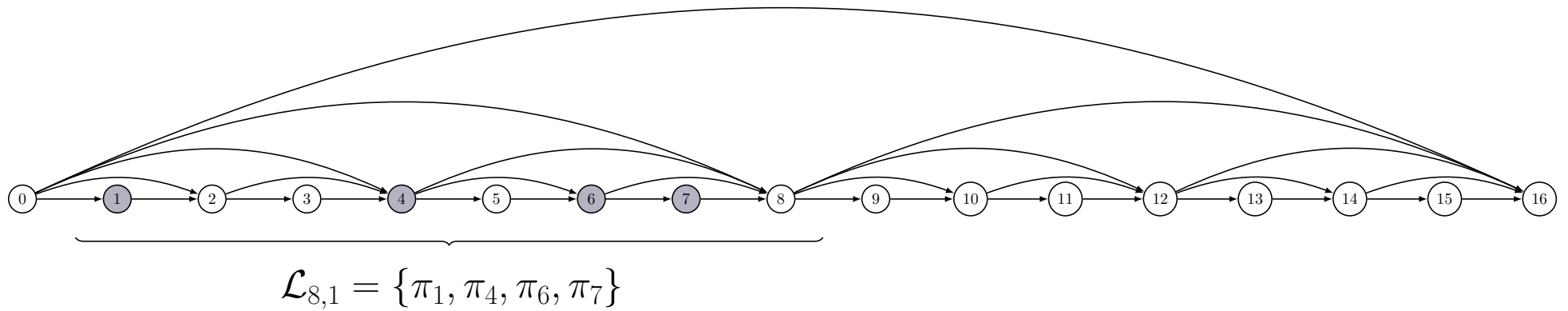
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Use randomness from  $L(8)$  to randomly sample a set of size 4 from  $\{1, \dots, 8\}$

# Our Standalone iPoSW: The Prover P

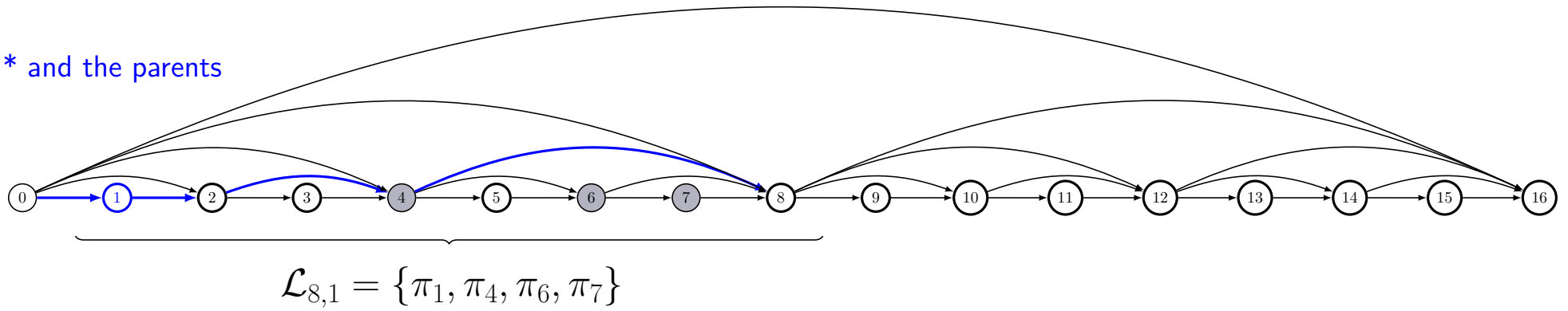


$$\pi_i := L(j), L(\text{parents}(j)) \quad \forall j \in \text{path}(i) \text{ in } G_{[0:8]}$$

We have all labels to compile  $\pi_i$

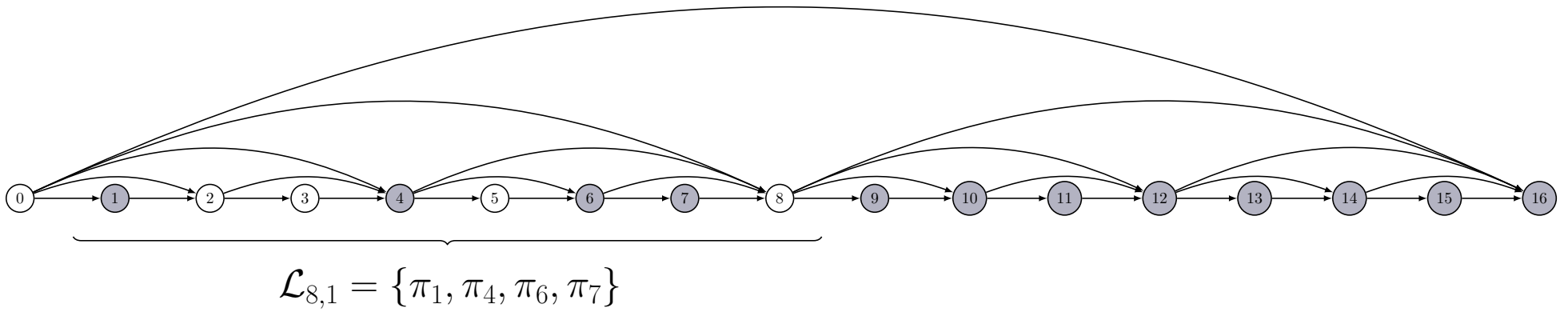
## Our Standalone iPoSW: The Prover P

\* and the parents

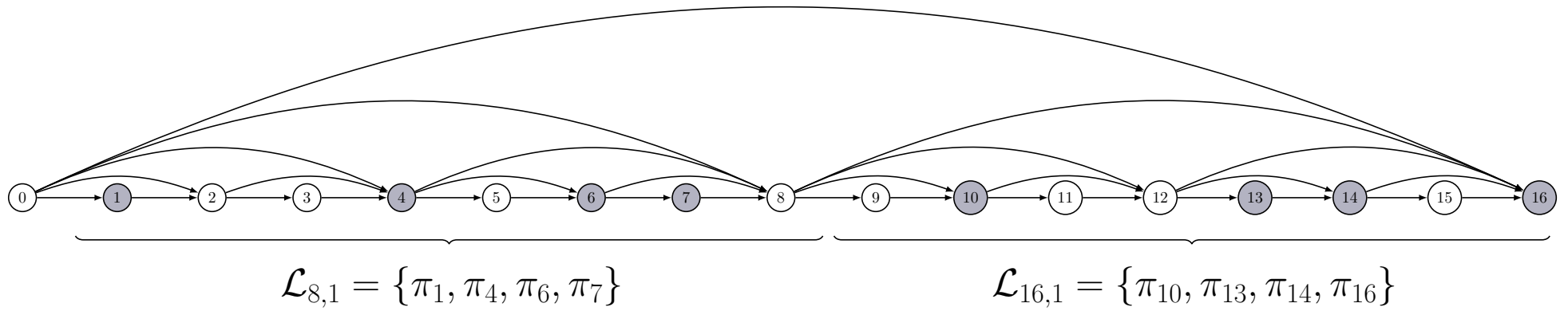


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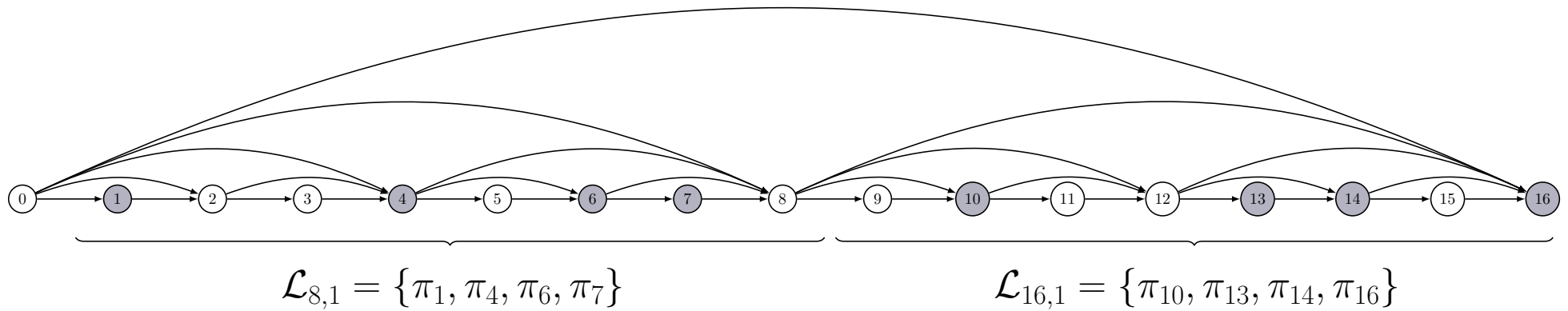


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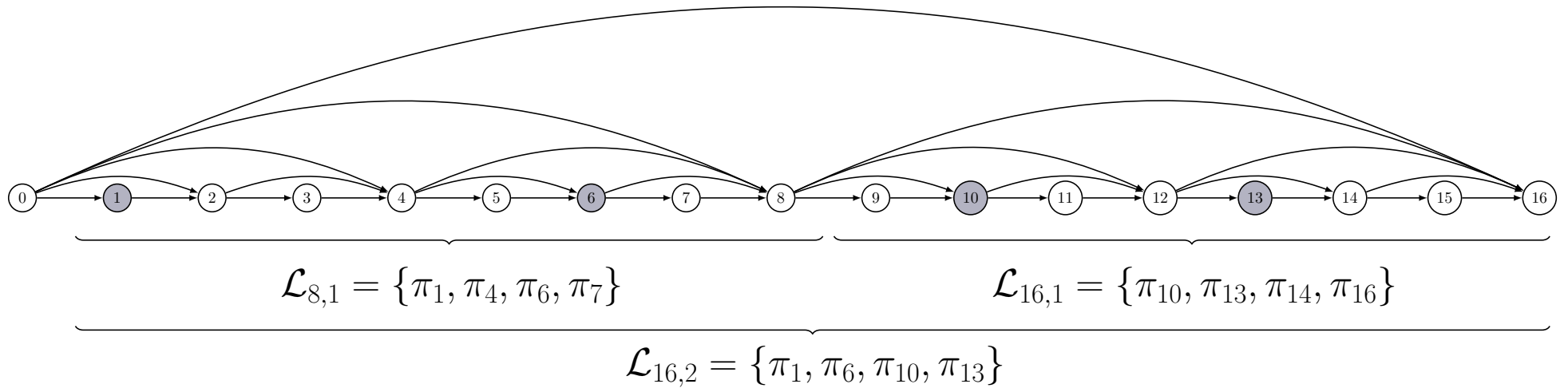
$$\pi_i := L(j), L(\text{parents}(j)) \quad \forall j \in \text{path}(i) \text{ in } G_{[8:16]}$$

# Our Standalone iPoSW: The Prover P



Use randomness from  $L(16)$  to randomly sample a set of size 4 from  $\{9, \dots, 16\}$

# Our Standalone iPoSW: The Prover P

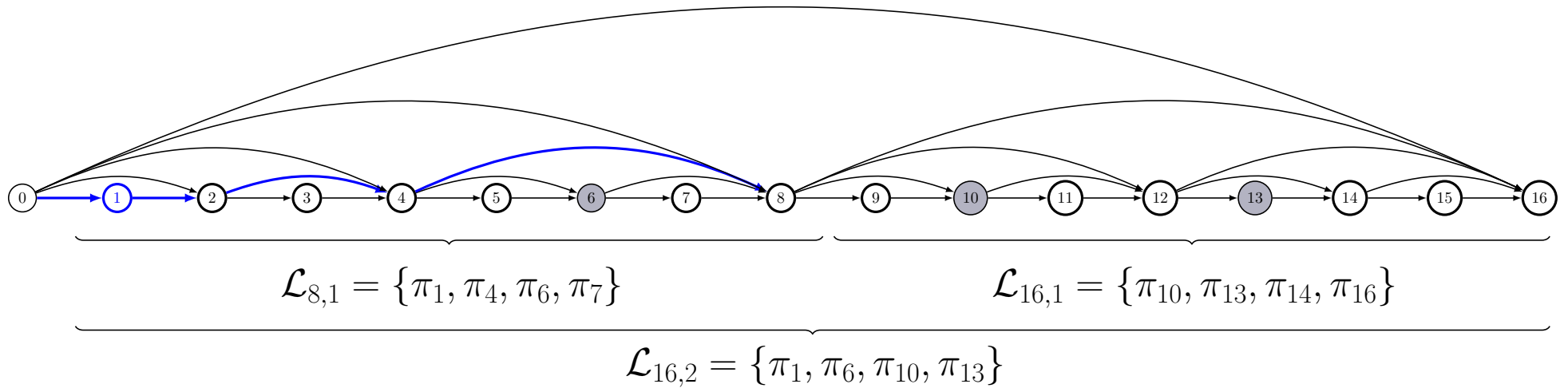


Computing  $\mathcal{L}_{16,2}$  from  $\mathcal{L}_{8,1}$  and  $\mathcal{L}_{16,1}$ :

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# Our Standalone iPoSW: The Prover P

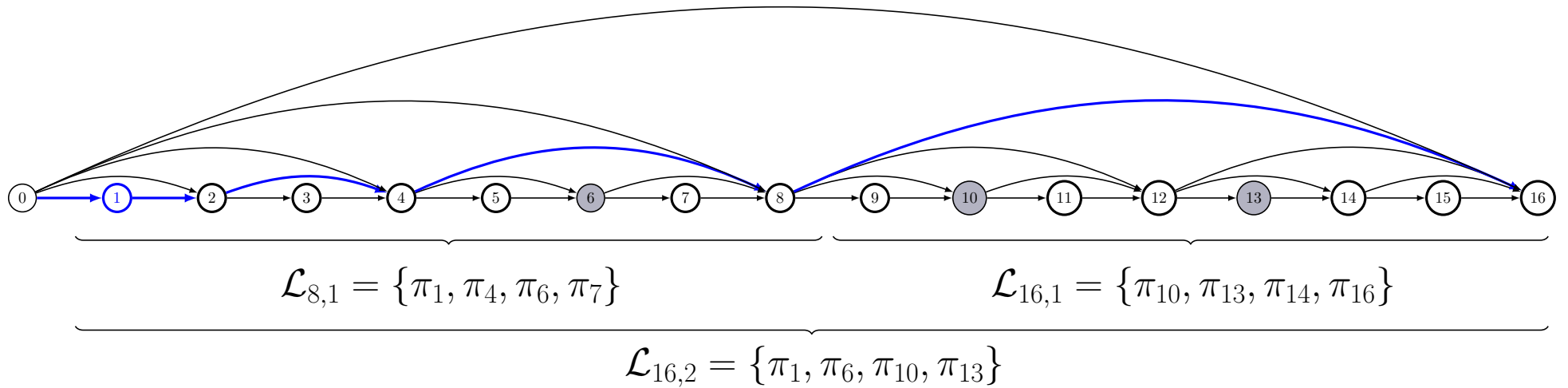


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$\underbrace{\pi_i \text{ in } G_{[0:8]}}_{\text{from } \mathcal{L}_{8,1}}$

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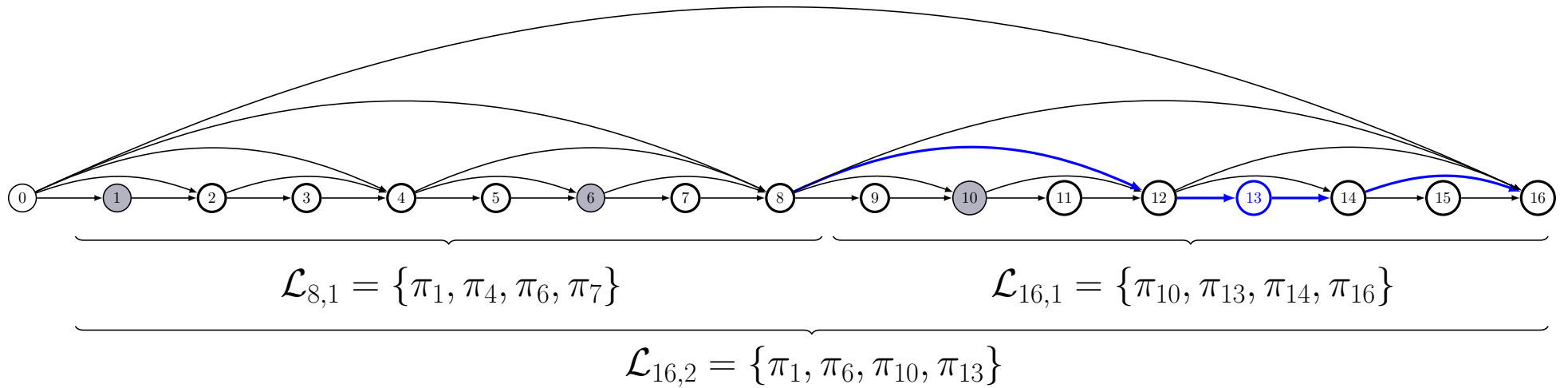


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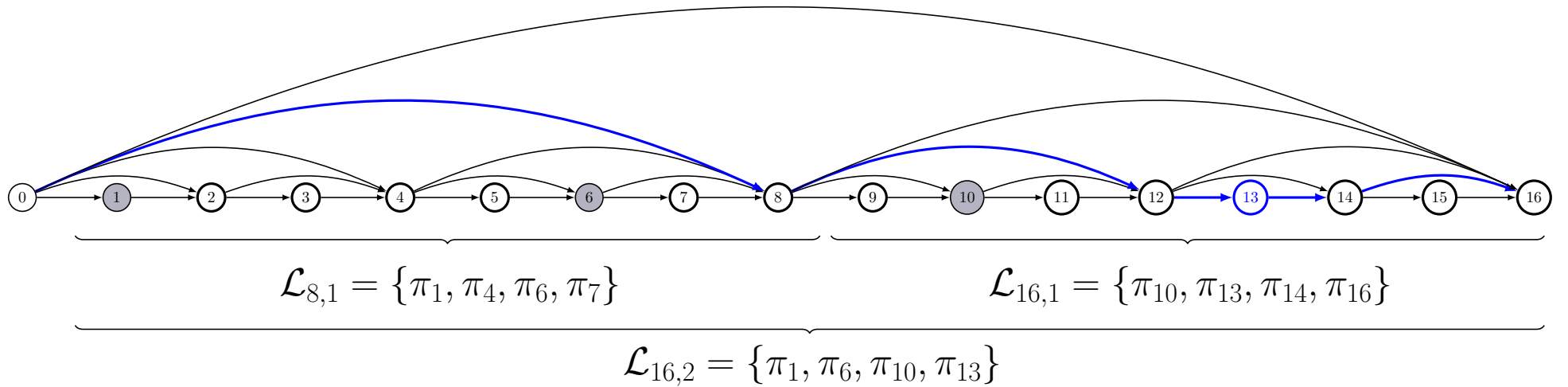
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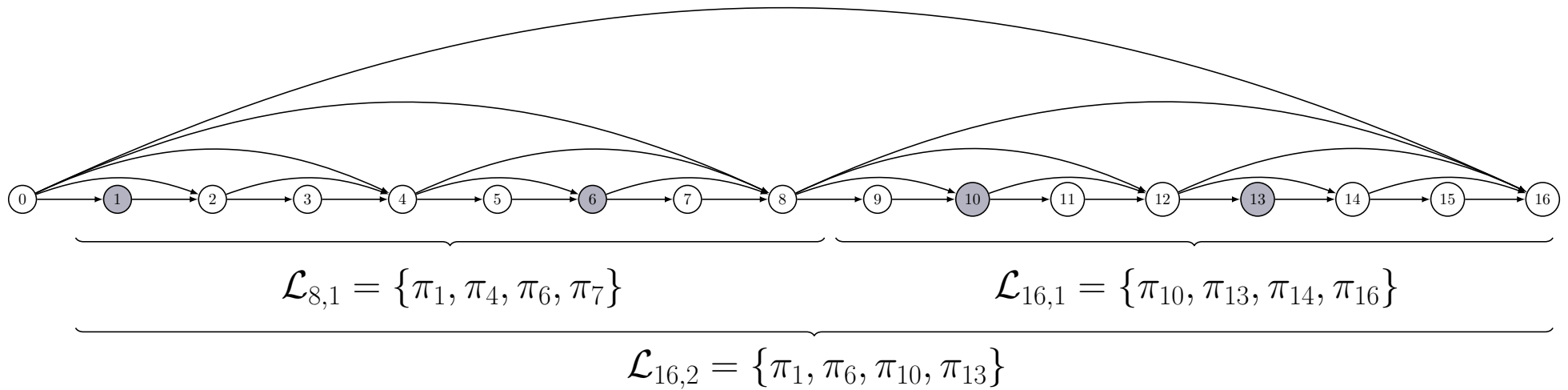
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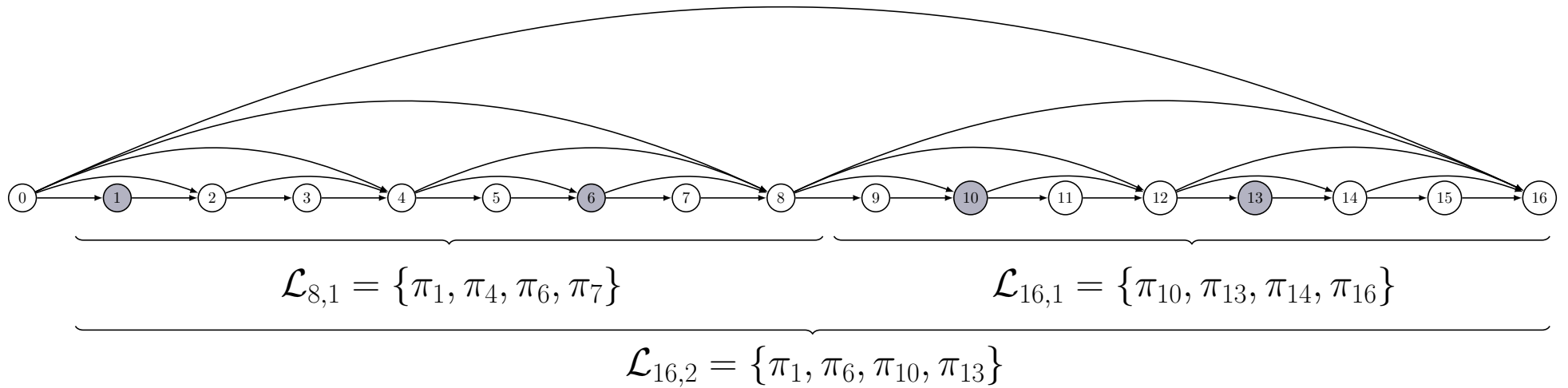
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# Our Standalone iPoSW: The Inc Algorithm



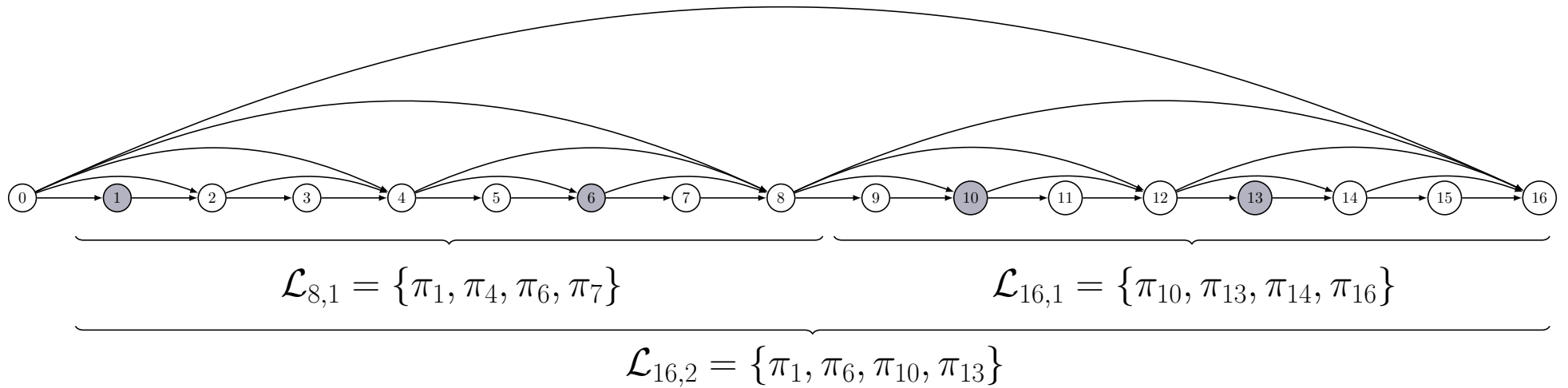
Inc **works exactly as** P: it picks up the computation where P leaves it and it continues exactly as P would have continued

# Standalone iPoSW Verifier V



V recursively checks that challenges in are consistent with the sampling

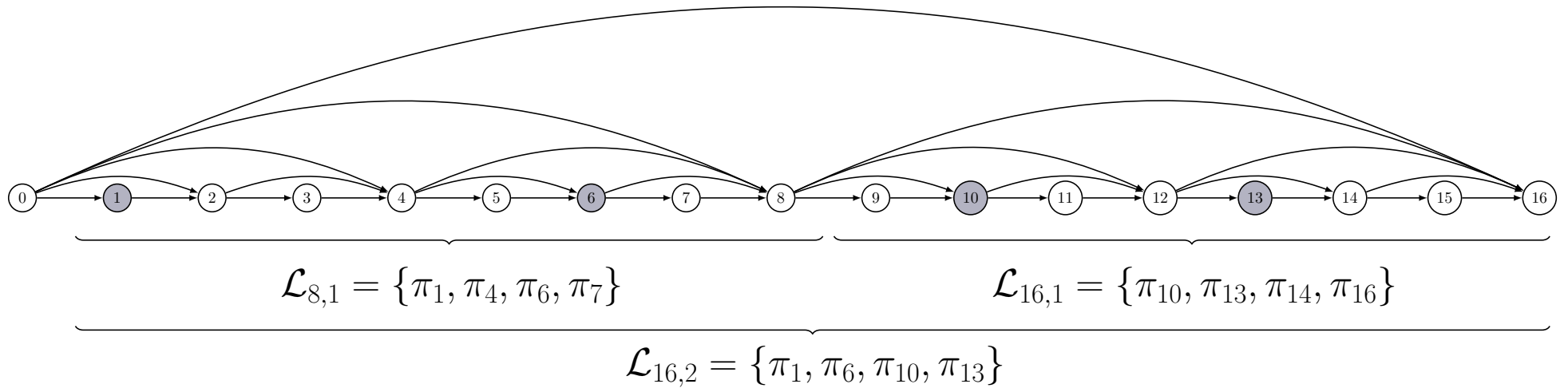
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- P provides V for every  $\pi_i$  with an index set  $\mathcal{I}_i$
- $\mathcal{I}_i = (i_1, \dots, i_\ell) \in [t]^\ell$  where  $\ell = \#$  of samplings in  $\pi_i$
- $i_j$  is associated with the  $j$ th sampling from sets  $S_{0,j}$  and  $S_{1,j}$
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# Standalone iPoSW Verifier V



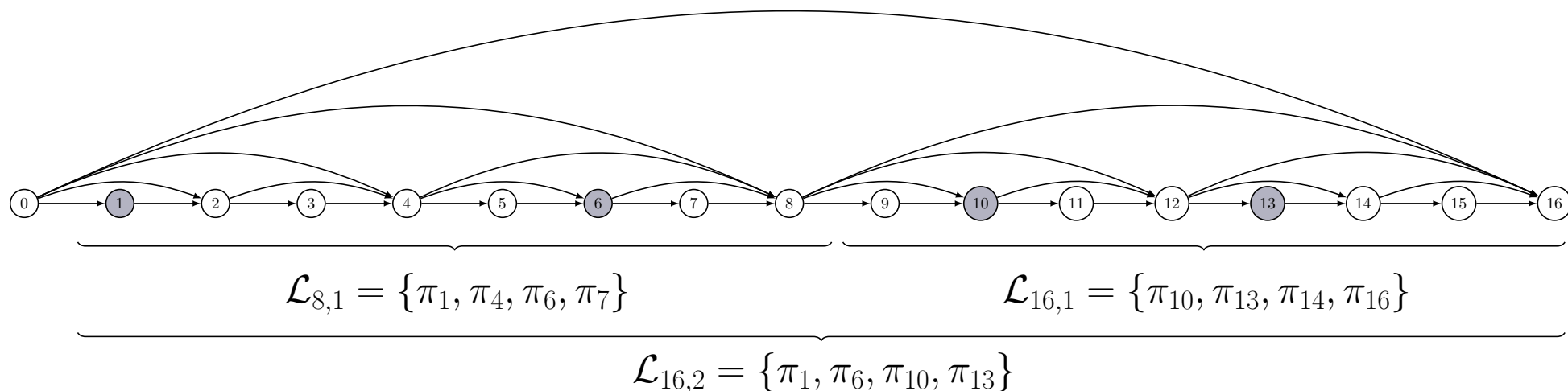
V recursively checks that challenges in are consistent with the sampling

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**An important observation:** the **sampling sets** are implicitly given to V and are of size  $t$



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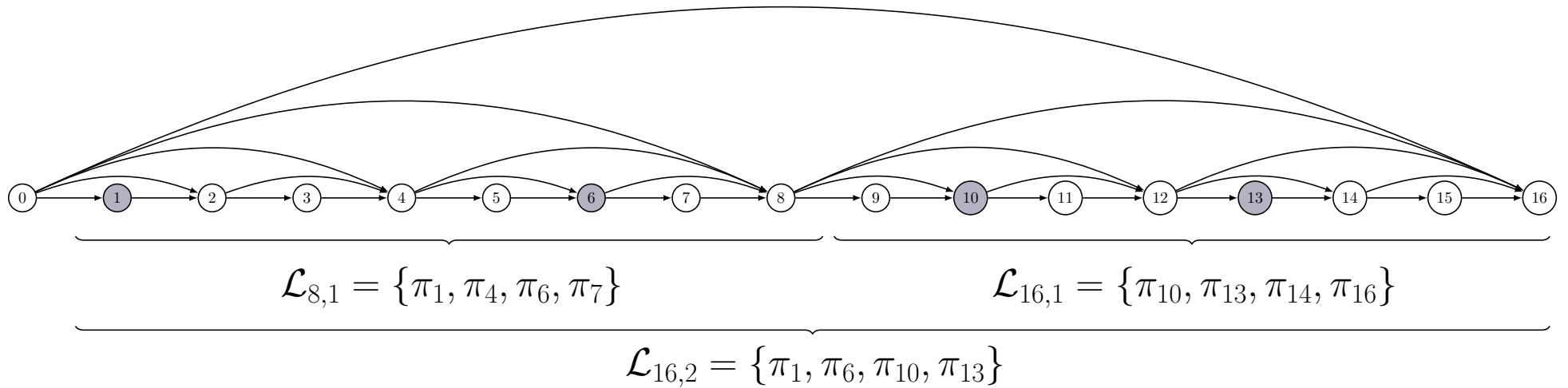
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**For general weight distributions:** the sampling sets are of size  $t$  **on expectation**

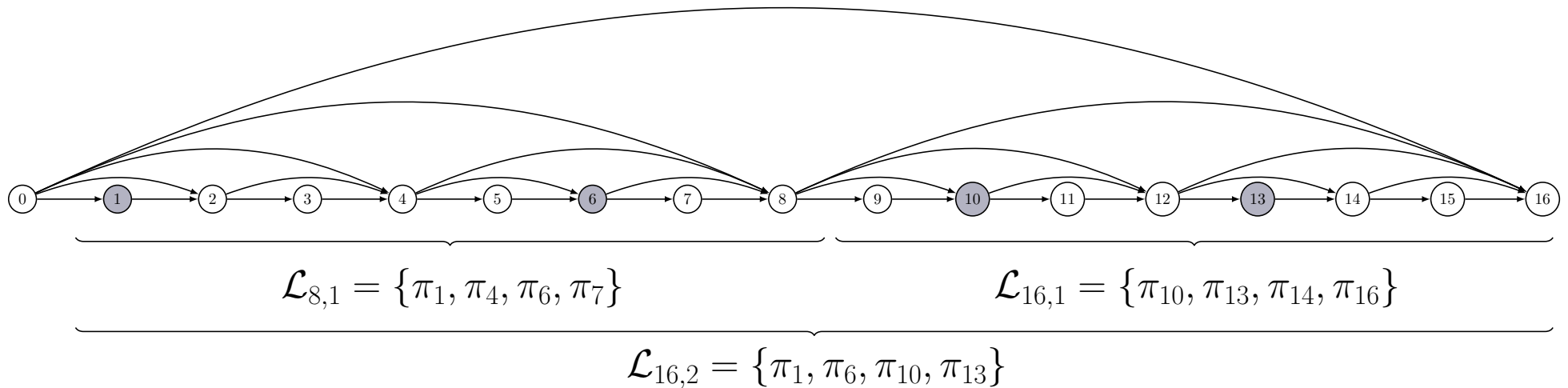
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Proof strategy:

1. Bound the advantage the on-the-fly sampling gives a malicious  $\tilde{P}$
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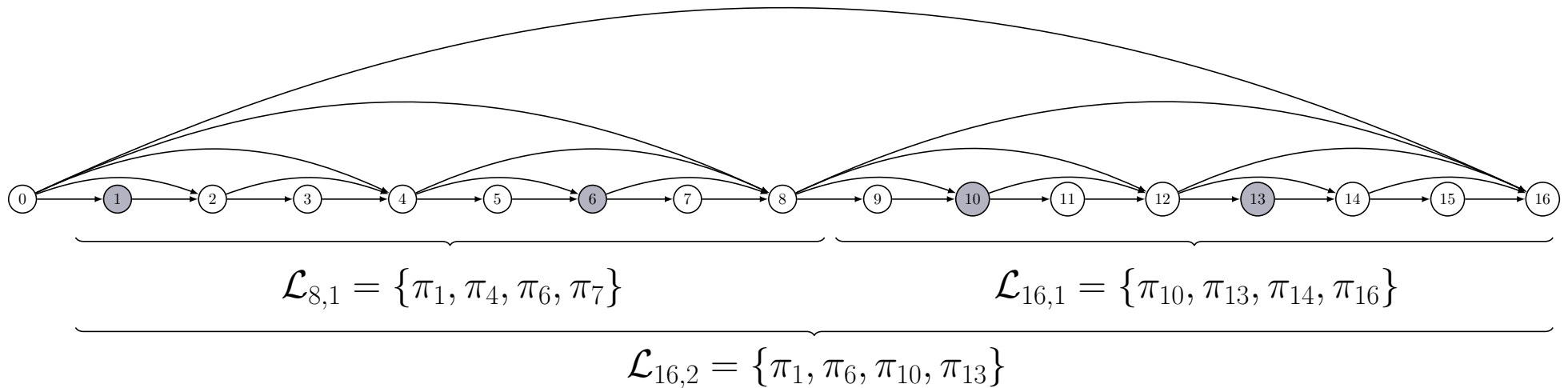
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**The On-The-Fly Sampling Lemma:**

1.  $S := \mathcal{L}_{16,2}$  sampled from  $S_0 := \mathcal{L}_{8,1} \cup S_1 := \mathcal{L}_{16,1}$  as above, or
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Show that the % of inconsistent nodes in  $S$  in 1. and 2. are close

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This follows easily from a Hoeffding bound

# Security Statement

**Thm:**  $(P, V, \text{Inc})$  an  $(\alpha, \epsilon)$ -sound **iPoSW** for  $\alpha \in (0, 1]$  and

$$\epsilon = \frac{1 + q^2}{2^\lambda} + \frac{q(q - 1)}{2^{\lambda+1}} + q \cdot e^{-2t \cdot \left(\frac{1-\alpha}{\log n}\right)^2} .$$

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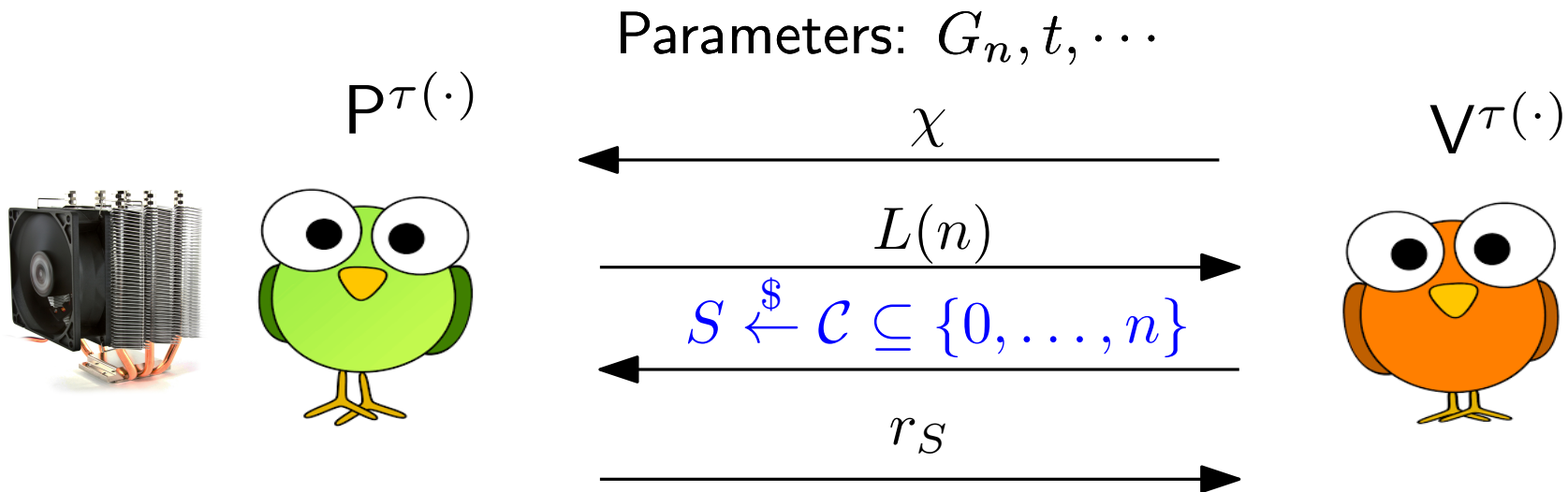
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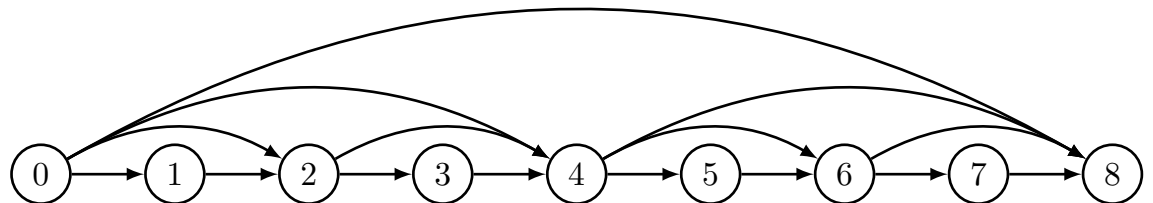
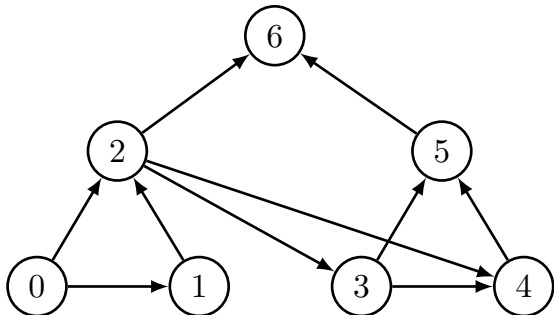
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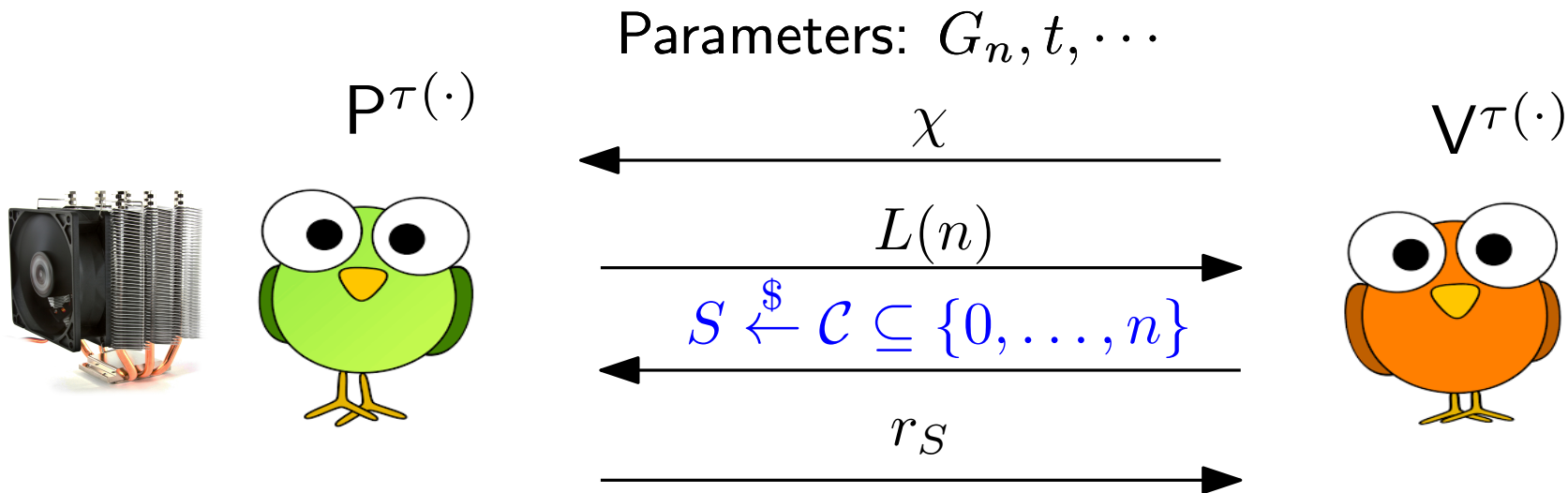
# General Weight/Challenge Distributions



Standalone (i)PoSW: Sample a random  $S$  of size  $t$  from  $\mathcal{C}$

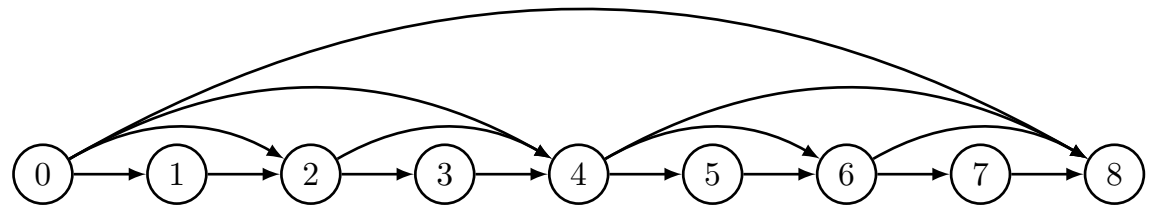
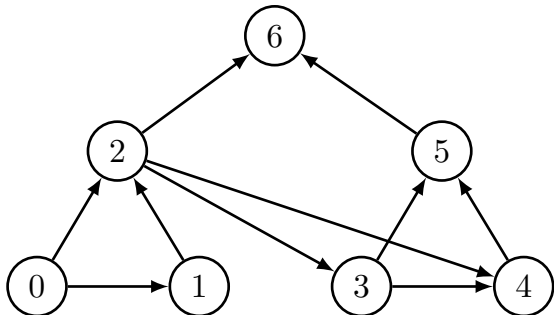


# General Weight/Challenge Distributions



Standalone (i)PoSW: Sample a random  $S$  of size  $t$  from  $\mathcal{C}$

For some applications, not all challenges in  $\mathcal{C}$  are treated equally



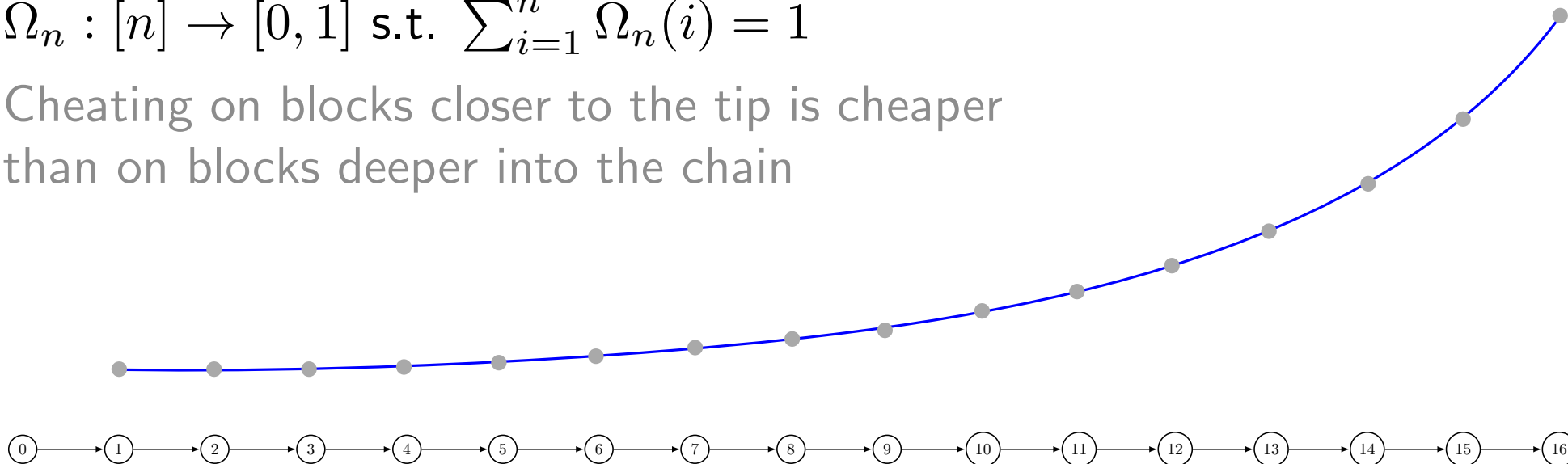


# The SNACK Weight Distribution

The SNACK weight distribution  $\Omega_n(i) \sim \frac{1}{n-i+c}$

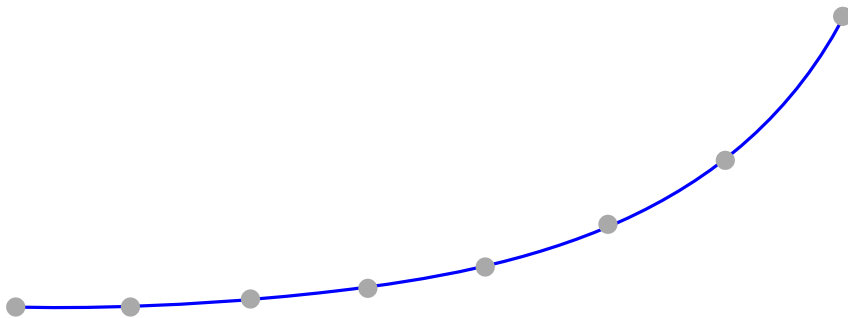
$\Omega_n : [n] \rightarrow [0, 1]$  s.t.  $\sum_{i=1}^n \Omega_n(i) = 1$

Cheating on blocks closer to the tip is cheaper than on blocks deeper into the chain



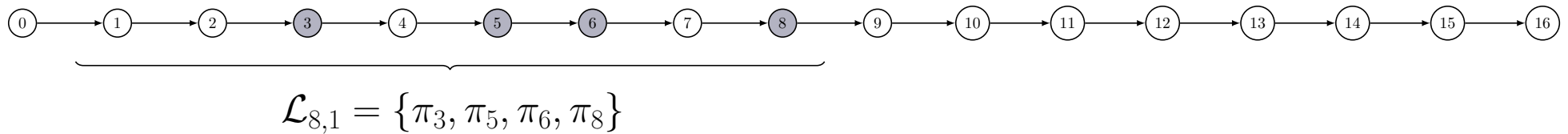
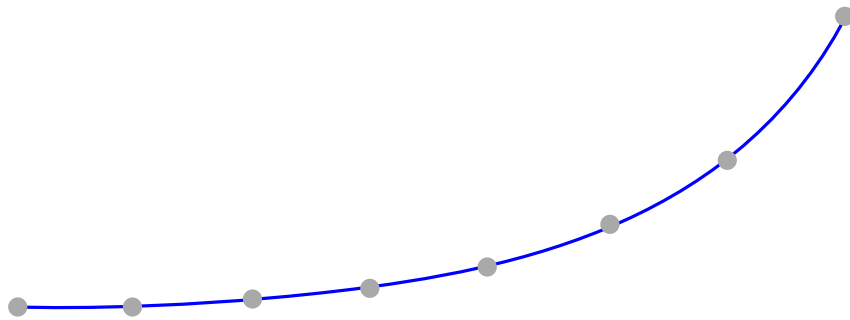
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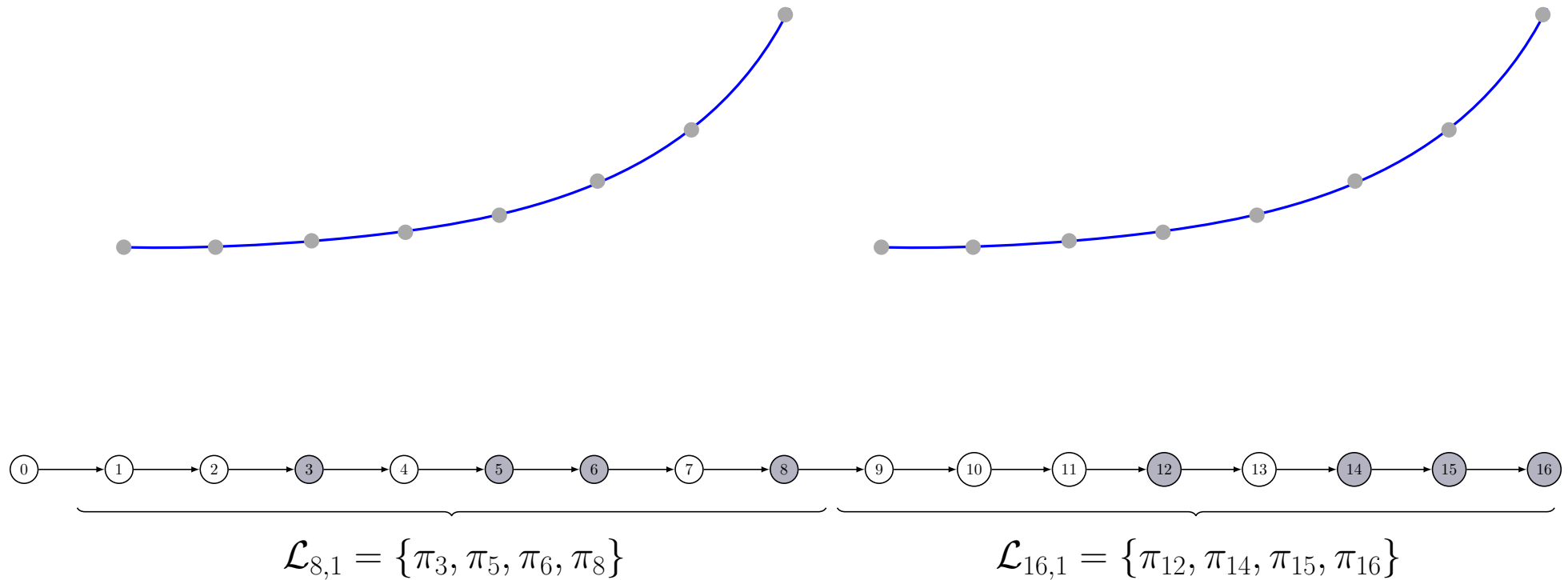
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Add  $\pi_i$  to  $\mathcal{L}_{8,1}$  w.p.  $t \cdot \Omega_n(i) \quad \Rightarrow \quad |\mathcal{L}_{8,1}| = t$  on expectation

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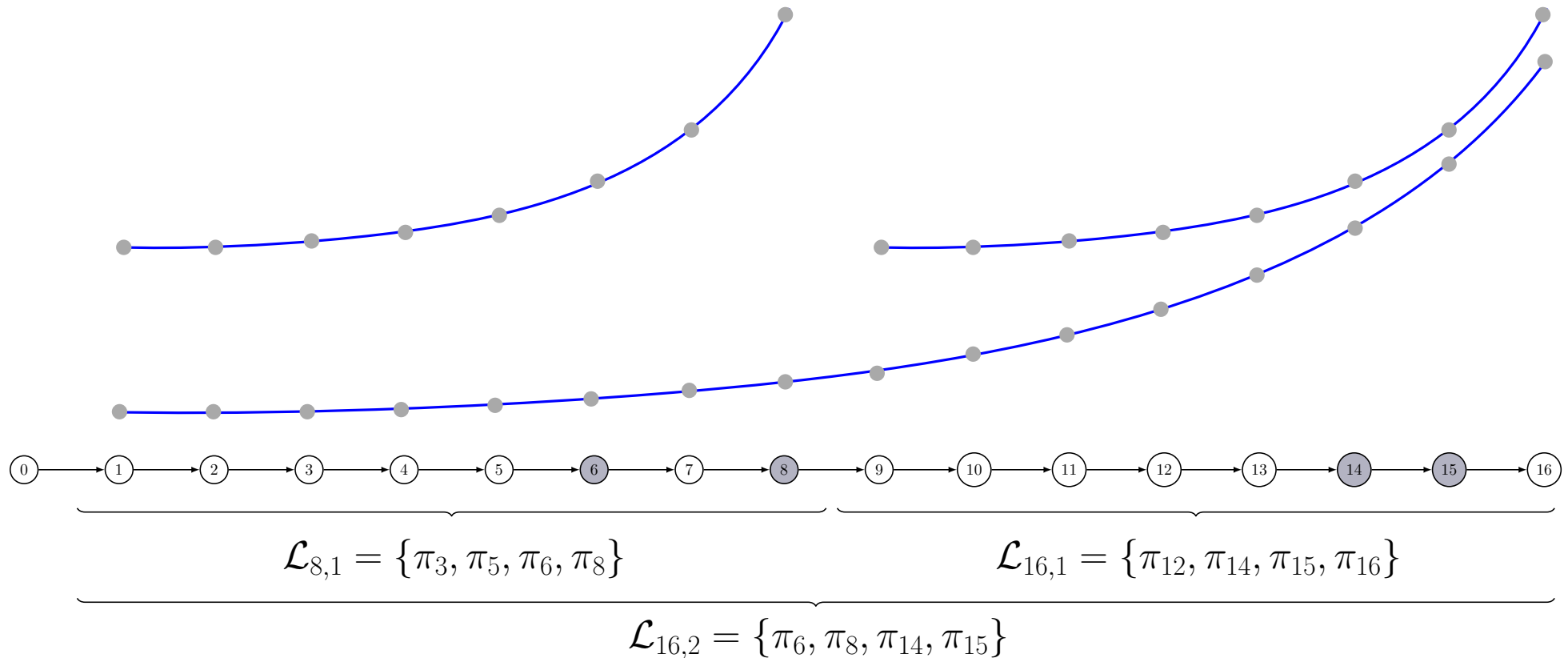
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We characterize distributions that can be sampled incrementally:

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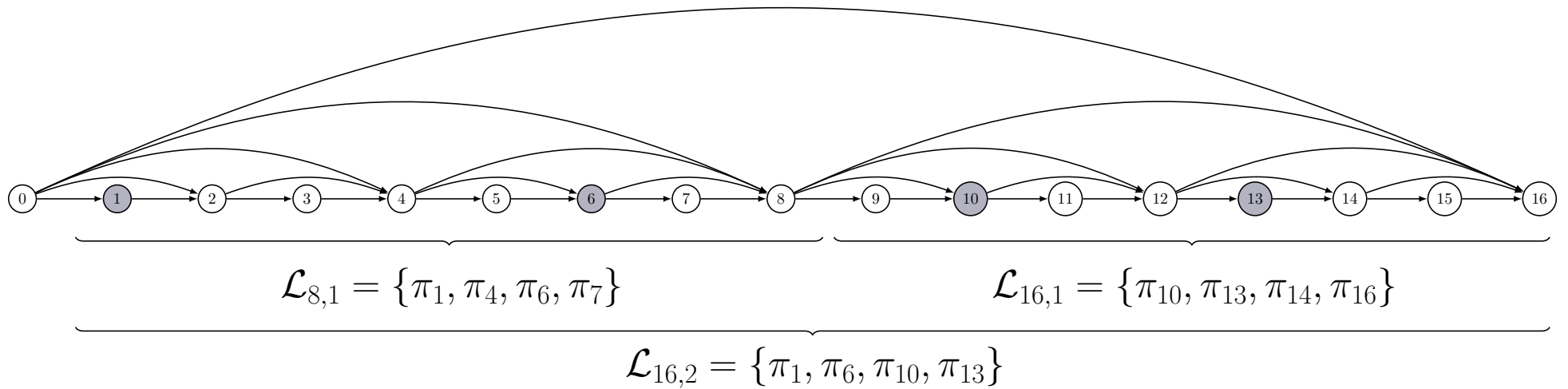
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- $V$  recursively checks the consistency of the samplings as before and that these sets are within their expected size

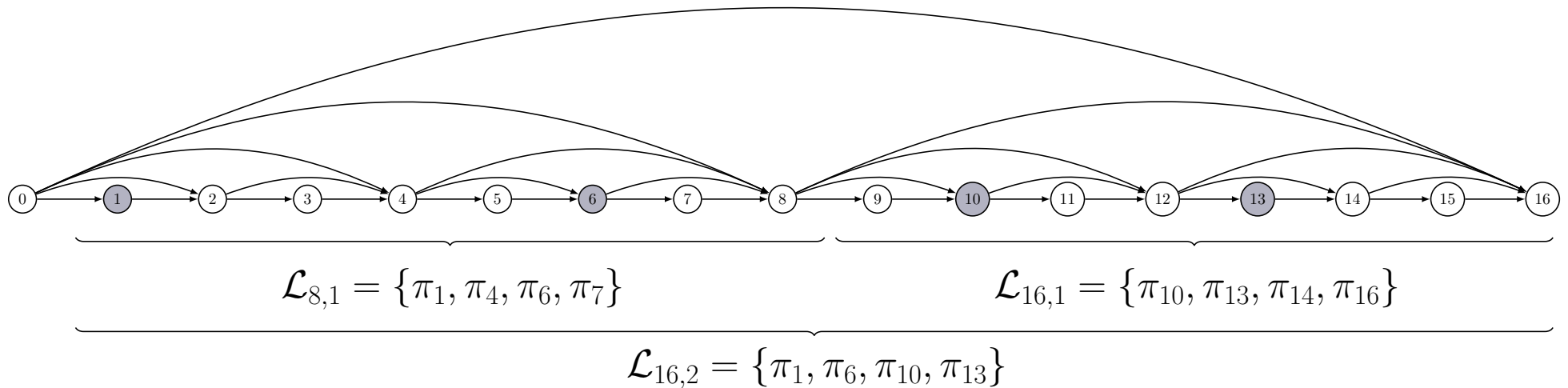
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We give bounds for any  $t$ -incrementally sampleable weight distributions

We give concrete bounds for the uniform and SNACK distributions

Thank you



# Additional Material

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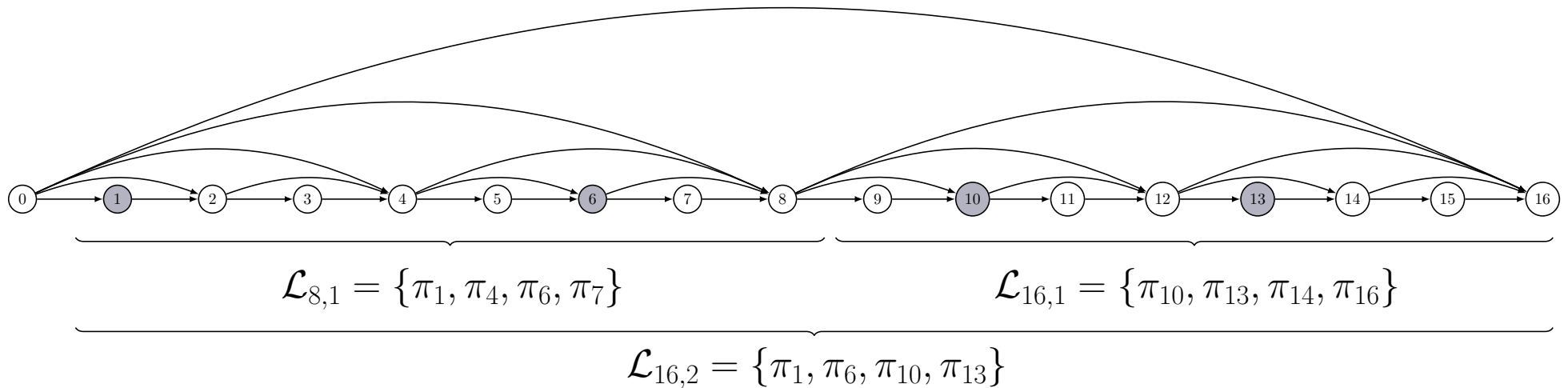
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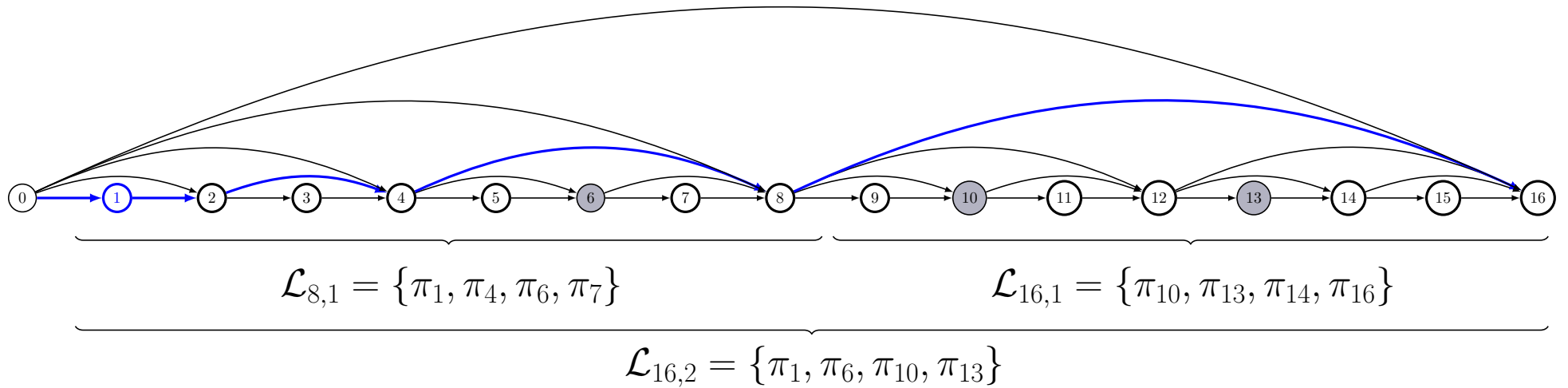
# Efficiency Measures



Prover space complexity:

1. The skiplist  $G_n$  can be topologically labeled with space  $(\log n + 1)\lambda$  bits
  2. At no time P or Inc keeps more than  $\log n + 1$  lists  $\mathcal{L}_{v,i}$ , each of succinct size
- $\Rightarrow$  proof size:  $O(\lambda \cdot t \cdot \log^3 n)$

# Our Standalone iPoSW: The Verifier V



$$\mathcal{I}_1 = \{1, 1\}$$

$$S_{0,1} = \{1, 2, 3, 4\} \cup S_{1,1} = \{5, 6, 7, 8\}$$

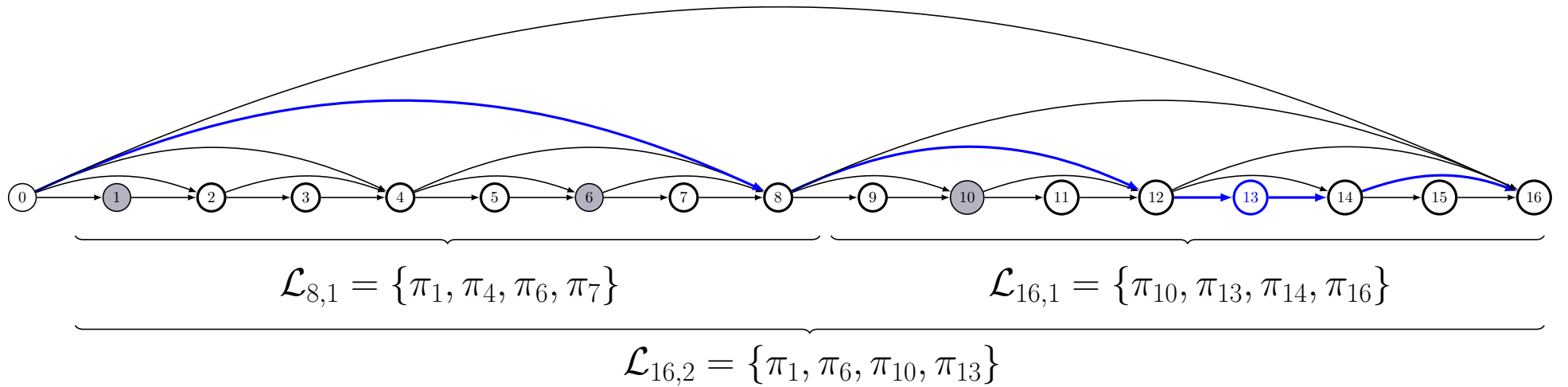
$$\rightarrow_{L(8)} S_1 = \{1, 4, 6, 7\}$$

$$S_{0,2} = \{1, 4, 6, 7\} \cup S_{1,2} = \{10, 13, 14, 16\}$$

$$\rightarrow_{L(16)} S_2 = \{1, 6, 10, 13\}$$

Note:  $L(8), L(16) \in \pi_1$

# Our Standalone iPoSW: The Verifier V



$$\mathcal{I}_{13} = \{1, 2\}$$

$$S_{0,1} = \{9, 10, 11, 12\} \cup S_{1,1} = \{13, 14, 15, 16\}$$

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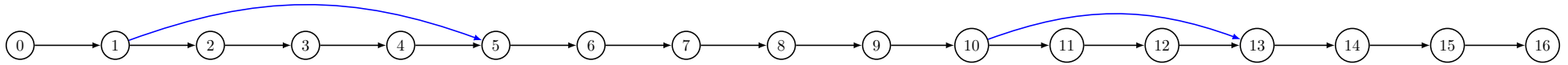
Note:  $L(16) \in \pi_{13}$

# Succinct Non-interactive Arguments of Chain Knowledge

[Abusalah-Fuchsbauer-Gaži-Klein'22]

**Weighted Blockchain:**  $\Gamma_n = (H_n, \Omega_n)$  with weight function

$$\Omega_n : [n] \rightarrow [0, 1] \text{ s.t. } \sum_{i=1}^n \Omega_n(i) = 1$$

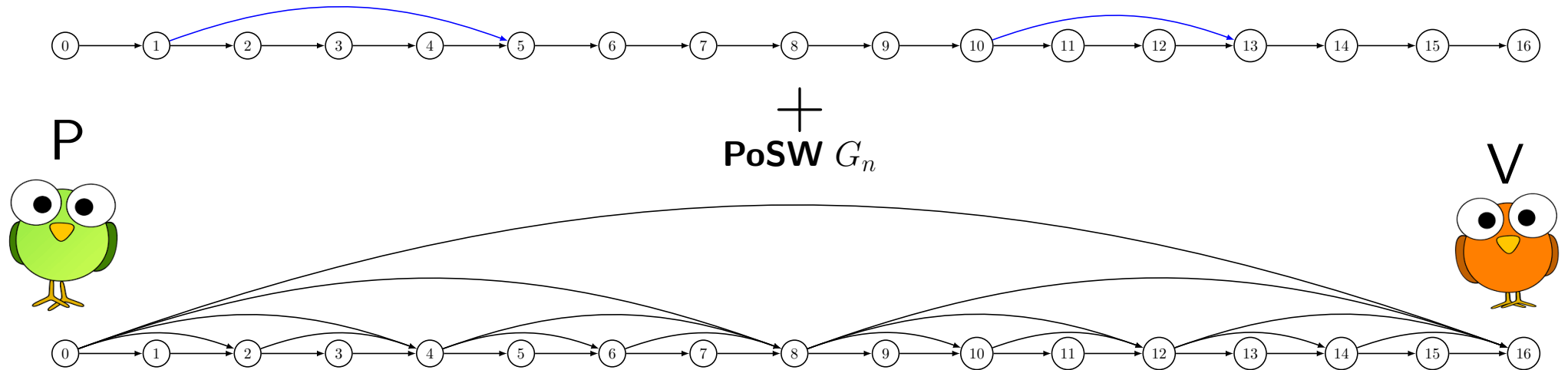


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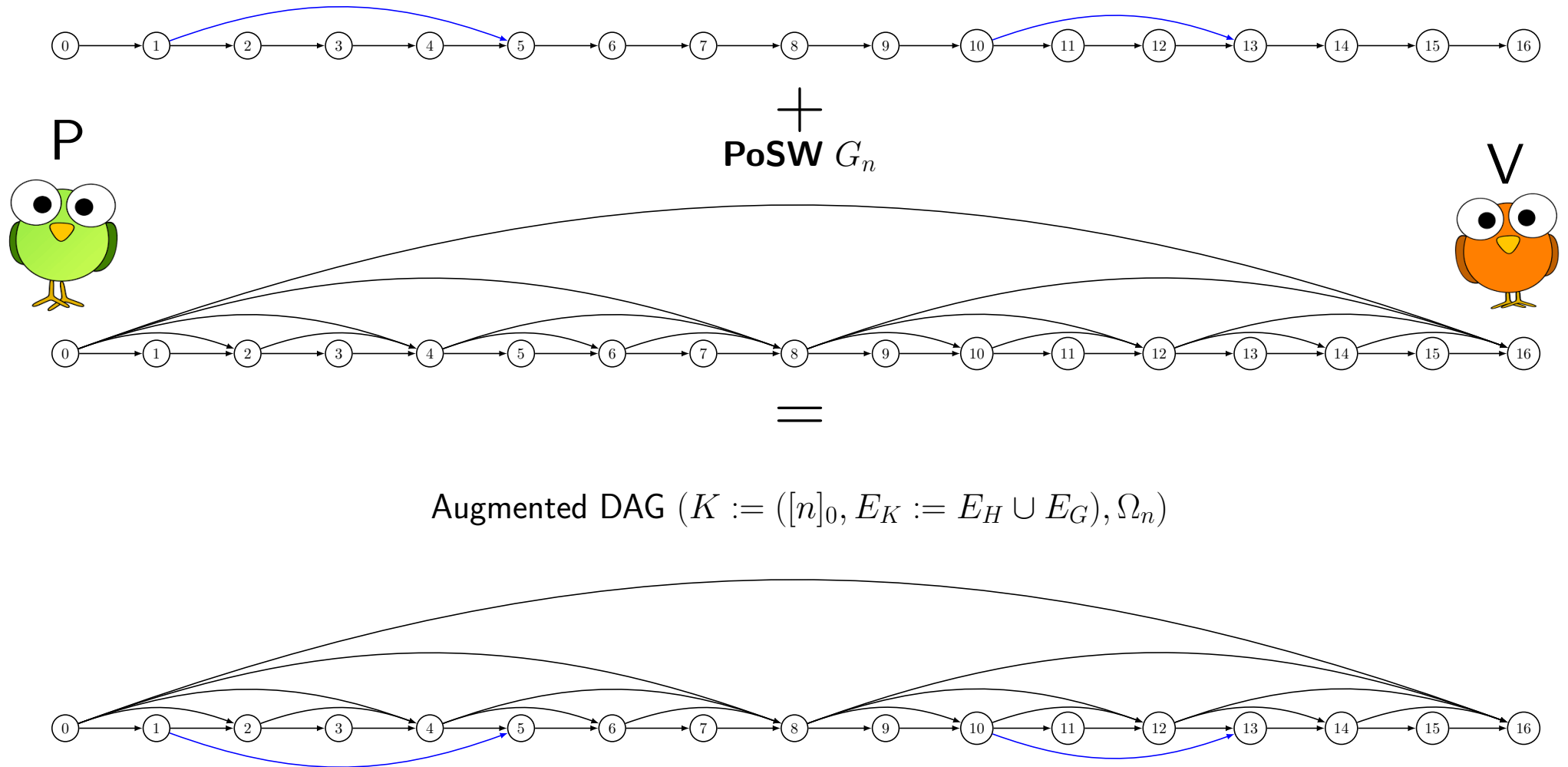


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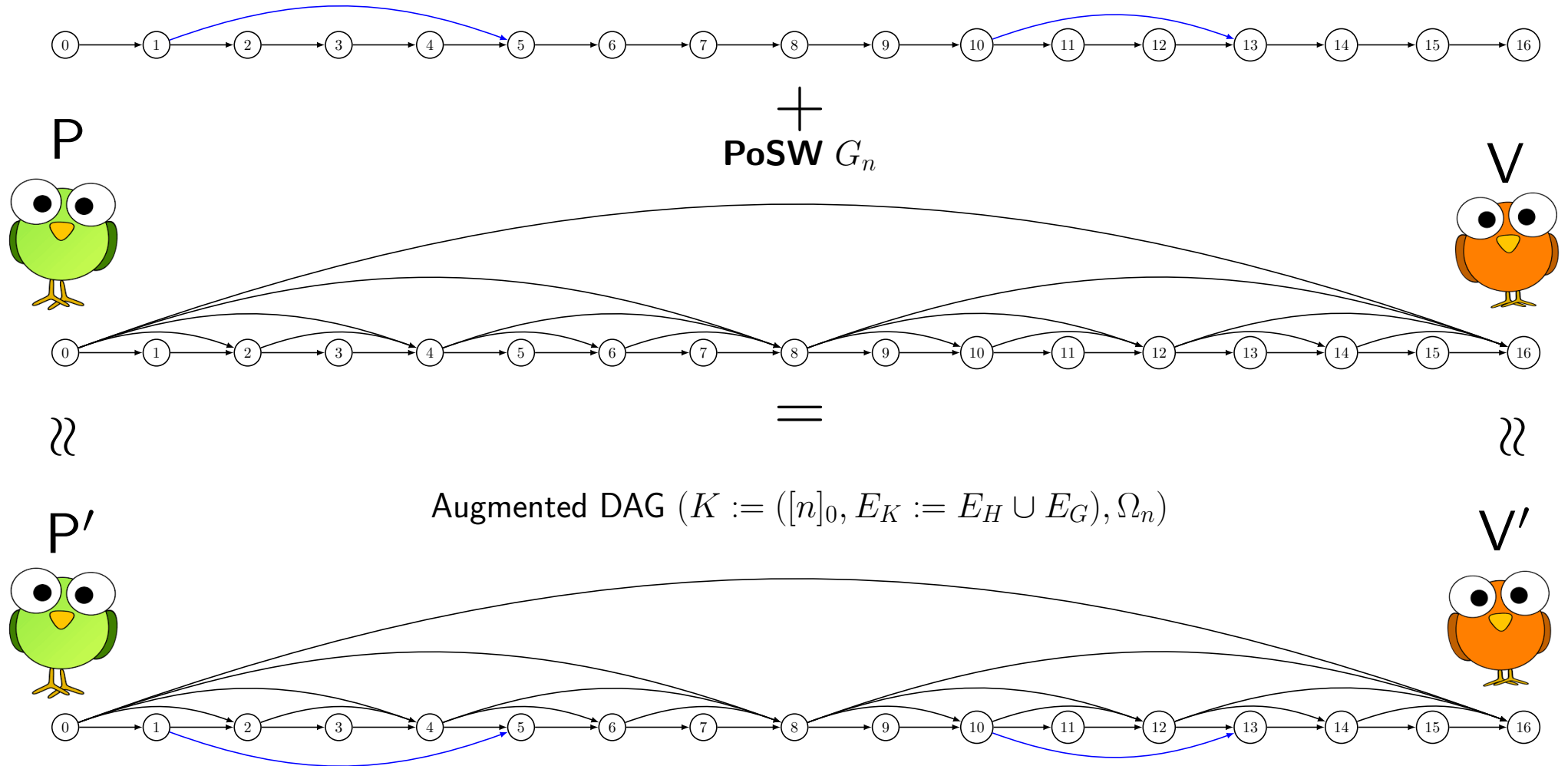


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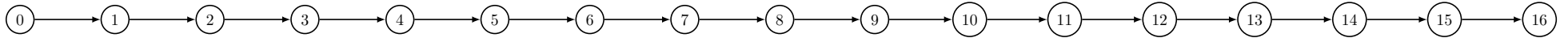
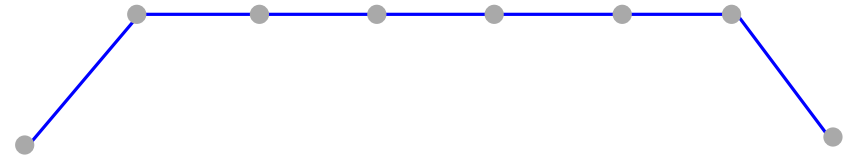
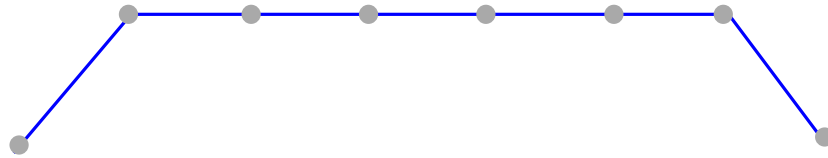
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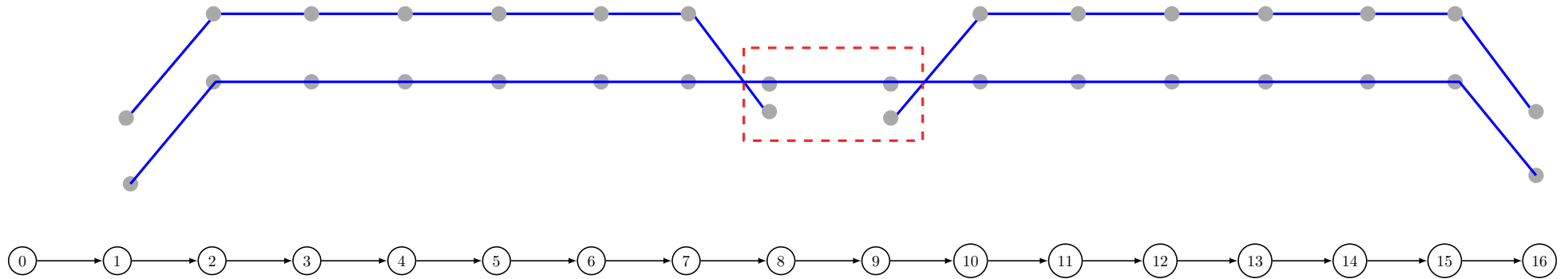


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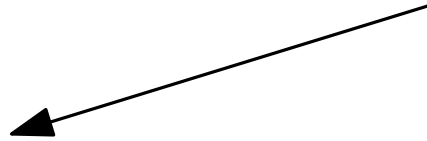
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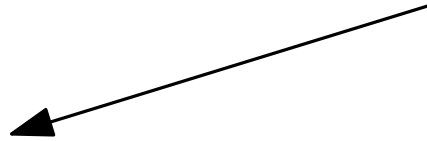
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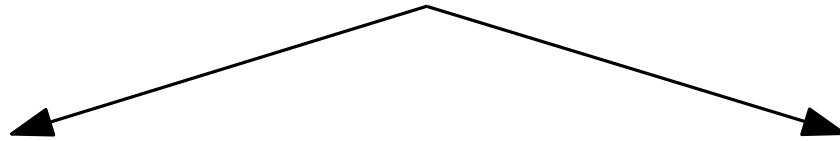
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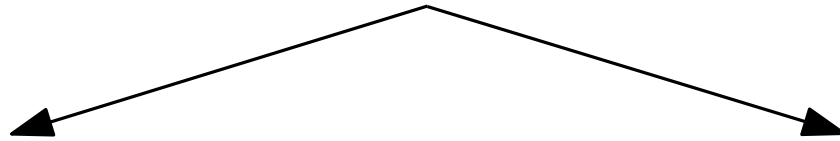
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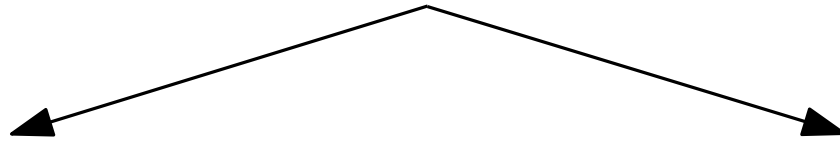
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$\tilde{P}$  gains advantage

We bound the advantage of  $\tilde{P}$  in these cases and give concrete bounds for the uniform and SNACK distributions

# Security Statement

**Thm (iPoSW for the uniform weight distribution):**

$(P, V, \text{Inc})$  an  $(\alpha, \epsilon)$ -sound **iPoSW** for  $\alpha \in (0, 1]$  and

$$\epsilon = \frac{1 + q^2}{2^\lambda} + \frac{q(q-1)}{2^{\lambda+1}} + q \cdot e^{-2t \cdot \left(\frac{1-\alpha}{\log n}\right)^2} + q \cdot 2^{-\zeta \cdot t} .$$

**Thm (Standalone iPoSW):**  $(P, V, \text{Inc})$  an  $(\alpha, \epsilon)$ -sound **iPoSW** for  $\alpha \in (0, 1]$  and

$$\epsilon = \frac{1 + q^2}{2^\lambda} + \frac{q(q-1)}{2^{\lambda+1}} + q \cdot e^{-2t \cdot \left(\frac{1-\alpha}{\log n}\right)^2} .$$

**Thm (Standalone PoSW):**  $(P, V)$  is an  $(\alpha, \epsilon)$ -sound **PoSW** for  $\alpha \in (0, 1]$  and

$$\epsilon = \frac{3 \cdot q^2}{2^\lambda} + \alpha^t .$$