## Fast Software Encryption (FSE) 2023

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Ascon rationale, its internal components and our attack setting

Cube attack, main problems, first part of the answer

Conditional cubes, second part of the answer

Overview of the internal-state recovery

## Authenticated encryption

$\rightarrow$ one of the winners of CAESAR (2014-2019).

## Lightweight

"meets the needs of most use cases where
lightweight cryptography is required" [NIST webpage]
$\rightarrow$ winner of NIST LWC standardization process (2018-2023).

Permutation-based
Duplex Sponge mode [BDPA11] instantiated with permutation $p: \mathbb{F}_{2}^{320} \rightarrow \mathbb{F}_{2}^{320}$.

## The permutation

## A confusion/diffusion structure...

The state


$$
p=p_{L} \circ p_{S} \circ p_{C}
$$

The constant addition $p_{C}$


The substitution layer $p_{S}$


The linear layer $p$


$$
\begin{aligned}
& y_{0}=x_{4} x_{1}+x_{3}+x_{2} x_{1}+x_{2}+x_{1} x_{0}+x_{1}+x_{0} \\
& y_{1}=x_{4}+x_{3} x_{2}+x_{3} x_{1}+x_{3}+x_{2} x_{1}+x_{2}+x_{1}+x_{0} \\
& y_{2}=x_{4} x_{3}+x_{4}+x_{2}+x_{1}+1 \\
& y_{3}=x_{4} x_{0}+x_{4}+x_{3} x_{0}+x_{3}+x_{2}+x_{1}+x_{0} \\
& y_{4}=x_{4} x_{1}+x_{4}+x_{3}+x_{1} x_{0}+x_{1}
\end{aligned}
$$

Algebraic Normal Form (ANF) of the
S-box

$$
\begin{aligned}
& x_{0}=x_{0} \oplus\left(x_{0} \gg 19\right) \oplus\left(x_{0} \ggg 28\right) \\
& x_{1}=x_{1} \oplus\left(x_{1} \gg 61\right) \oplus\left(x_{1} \ggg 39\right) \\
& x_{2}=x_{2} \oplus\left(x_{2} \gg 1\right) \oplus\left(x_{2} \gg 6\right) \\
& x_{3}=x_{3} \oplus\left(x_{3} \gg 10\right) \oplus\left(x_{3} \ggg 17\right) \\
& x_{4}=x_{4} \oplus\left(x_{4} \ggg 7\right) \oplus\left(x_{4} \ggg 41\right)
\end{aligned}
$$

$$
\text { ANF of the linear layer } p_{L}
$$

Simplified setting of Ascon -128


- Many reuse of the same $(k, N)$ pair.
- State recovery = compromised confidentiality without interaction.
- No trivial key-recovery nor forgery in that case.
- Different from the generic attack [VV18].


## Cube attack principle

$f_{j}: j$-th output coordinate, $f_{j} \in \mathbb{F}_{2}\left[a_{0}, \cdots, d_{63}\right]\left[v_{0}, \cdots, v_{63}\right]$.

$$
f_{j}=\sum_{\left(u_{0}, \cdots, u_{63}\right) \in \mathbb{E}_{2}^{\mathbb{R}_{2}^{4}}} \alpha_{u, j}\left(\prod_{i=0}^{63} v_{i}^{u_{i}}\right), \text { where } \alpha_{u, j} \in \mathbb{F}_{2}\left[\alpha_{0}, \cdots, \alpha_{63}\right] .
$$

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$$
\begin{gathered}
f_{j}=\sum_{\left(u_{0}, \cdots, u_{63}\right) \in \mathbb{F}_{2}^{4}} \alpha_{u, j}\left(\prod_{i=0}^{63} v_{i}^{u_{i}}\right), \text { where } \alpha_{u, j} \in \mathbb{F}_{2}\left[\alpha_{0}, \cdots, \alpha_{63}\right] . \\
\text { Polynomial expression of } \alpha_{u, j}+\text { value of } \alpha_{u, j} \\
= \\
\text { equation in unknown variables } \\
\simeq \\
\text { recovery of some information }
\end{gathered}
$$

- Offline recovery of the expression.
- Online recovery of the value: $\quad \alpha_{u, j}=\sum_{v \preccurlyeq u} f_{j}(v) \quad 2^{w(u)}$ chosen queries.

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$v_{0} v_{1}=v_{0} \times v_{1}=\left(v_{0} v_{1}\right) \times 1=\left(v_{0} v_{1}\right) \times v_{0}=\left(v_{0} v_{1}\right) \times v_{1}=\left(v_{0} v_{1}\right) \times\left(v_{0} v_{1}\right)$

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Problem 2: finding $\alpha_{u, j}$ with simple enough expressions.

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Problem 2: finding $\alpha_{u, j}$ with simple enough expressions.

- Highest-degree terms (degree $2^{t-1}$ at round $t$ ) are easier to study!

Strong constraint: products of two highest-degree terms one round before.
$v_{0} v_{1}=v_{0} \times v_{1}=\left(v_{0} k\right) \times T=\left(v_{0} k\right) \times v_{0}=\left(v_{0} k\right) \times v_{1}=\left(v_{0} v_{1}\right) \times\left(v_{0} v_{1}\right)$

## Strong constraint: products of two former highest-degree terms.

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For $r=6$, still too many trails and $\alpha_{u}$ usually looks horrible!

- Cheaper / easier recovery: conditional cubes [HWX+ 17, LDW17, CHK22]


## Conditional cube

- We look for $\alpha_{u}$ with a simple divisor: $\beta_{0}$.
- $\alpha_{u}$ mostly unknown, but we still get: $\alpha_{u}=1 \Longrightarrow \beta_{0}=1$.
- If $\beta_{0}$ is linear, we get a linear system.


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Trail $t_{0}$
Trail $\dagger_{1}$

$\begin{array}{lll}R_{1} & R_{2} & R_{3}\end{array}$
$R_{4}$
$R_{3}$
$R_{2} \quad R_{1}$

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Trail $t_{0}$
Trail $t_{1}$


## Choosing conditional cubes by forcing linear divisors

$7^{\text {st }}$ round

$$
\left.\begin{array}{|c}
\frac{v_{0}}{a_{0}} \\
b_{0} \\
c_{0} \\
d_{0}
\end{array}\right) \xrightarrow[s_{1}]{\frac{\left(a_{0}+1\right) v_{0}+\cdots}{\frac{v_{0}+\cdots}{\frac{\left(c_{0}+d_{0}+1\right) v_{0}+\cdots}{a_{0} v_{0}+\cdots}} \longleftarrow \beta_{0}:=a_{0}+1} \longleftarrow \gamma_{0}:=c_{0}+d_{0}+1}
$$

$7^{\text {st }}$ round

$$
\left.\begin{array}{|}
\frac{v_{0}}{a_{0}} \\
b_{0} \\
c_{0} \\
c_{0}
\end{array}\right) \stackrel{\left(a_{0}+1\right) V_{0}+\cdots}{\frac{\frac{v_{0}+\cdots}{\left(c_{0}+\alpha_{0}+1\right) V_{0}+\cdots}}{\alpha_{0} V_{0}+\cdots}} \leftarrow \beta_{0}:=a_{0}+1
$$

$2^{\text {nd }}$ round

- For any $v_{0} v_{i}, i \neq 0: \beta_{0} P+1 Q+\gamma_{0} R+\left(\beta_{0}+1\right) S$.
- But for some $i: \beta_{0} P$ or $\gamma_{0} R$.


## Choosing conditional cubes by forcing linear divisors

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\begin{array}{|}
\frac{v_{0}}{a_{0}} \\
b_{0} \\
c_{0} \\
a_{0}
\end{array} \underset{s_{1}}{\frac{\left(a_{0}+1\right) v_{0}+\cdots}{\frac{v_{0}+\cdots}{\left(c_{0}+\alpha_{0}+\cdots\right) V_{0}+\cdots}} \alpha_{0} v_{0}+\cdots} \longleftarrow \beta_{0}:=a_{0}+1
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$6^{\text {th }}$ round
- With chosen $u, \alpha_{u, j}=\beta_{0}(\ldots)+\gamma_{0}(\ldots)$, for all output coordinates.
$1{ }^{\text {st }}$ round
$2^{\text {nd }}$ round
- For any $v_{0} v_{i}, i \neq 0: \beta_{0} P+1 Q+\gamma_{0} R+\left(\beta_{0}+1\right) S$.
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$6^{\text {th }}$ round
- With chosen $u, \alpha_{u}, j=\beta_{0}(\ldots)+\gamma_{0}(\ldots)$, for all output coordinates.
- $\left(\alpha_{u, 0}, \cdots, \alpha_{u, 63}\right) \neq(0, \cdots, 0) \Longrightarrow \beta_{0}=1$ or $\gamma_{0}=1$


## Choosing conditional cubes by forcing linear divisors

${ }^{\text {st }}$ round

$$
\begin{array}{|}
\frac{v_{0}}{a_{0}} \\
b_{0} \\
c_{0} \\
a_{0}
\end{array} \underset{s_{1}}{\frac{\left(a_{0}+1\right) v_{0}+\cdots}{\frac{v_{0}+\cdots}{\left(c_{0}+\alpha_{0}+\cdots\right) v_{0}+\cdots}} \alpha_{0} v_{0}+\cdots} \longleftarrow \beta_{0}:=\alpha_{0}+1
$$

$2^{\text {nd }}$ round

- For any $v_{0} v_{i}, i \neq 0$ : $\beta_{0} P+1 Q+\gamma_{0} R+\left(\beta_{0}+1\right) S$.
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$6^{\text {th }}$ round
- With chosen $u, \alpha_{u, j}=\beta_{0}(\ldots)+\gamma_{0}(\ldots)$, for all output coordinates.
- $\left(\alpha_{u, 0}, \cdots, \alpha_{u, 63}\right) \neq(0, \cdots, 0) \Longrightarrow \beta_{0}=1$ or $\gamma_{0}=1$
- In practice, reciprocal also true! $\left[\alpha_{u}, j=0, \forall j\right] \Longrightarrow \beta_{0}=0$ and $\gamma_{0}=0$





Step 1, non-adaptative: 32-degree conditional cubes
Recovery of all $\gamma_{i}=c_{i}+d_{i}+1$, and half of the $\beta_{i}=a_{i}+1$.

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Step 2, adaptative: 32-degree cubes

- The coefficients depend only on $\gamma_{i}$ and $\beta_{i}$.
- Thanks to Step 1, the coefficients drastically simplifies.
- Simple-enough to be effectively-solved (Cryptominisat, [SNC09]).
- Recovery of the remaining $\beta_{1}$.

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Step 3, adaptative: 31-degree cubes

- Other cubes needed to recover $b_{i}$ and $c_{l}$.
- Same principle as Step 2.
- Recovery of all $b_{i}$ and $c_{i}$.


## Conclusion

- Full-state recovery on the full 6-round encryption.
- About $2^{40}$ online time and data, but nonce-misuse.
- Hard to study the complexity of the solving of equations. However effective.
- Does not threaten Ascon directly ... if used properly!


## Main questions/openings

- Be careful with implementation : nonce $\neq$ constant!
- Can it lead to key-recovery or forgery attacks?
- Free counter-measure : changing the external state row.


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- Can it lead to key-recovery or forgery attacks?
- Free counter-measure : changing the external state row.


## The whole Ascon AEAD mode



$$
\alpha_{u, j}=\left(\alpha_{0}+1\right) p_{j, 1}+\left(c_{0}+d_{0}+1\right) p_{j, 2} \forall j \in \llbracket 0, \cdots, 63 \rrbracket .
$$

When $\left(a_{0}+1, c_{0}+d_{0}+1\right) \neq(0,0), \alpha_{u}, j$ are not expected to be all canceled at the same time.

Whenever we observe that $\alpha_{u, j}=0 \forall j$, we guess that $\left(a_{0}, c_{0}+d_{0}\right)=(1,1)$.


Individual cancellations of each $\alpha_{u, j}$ (1000 random internal states)


Hamming weight of the cube-sum vectors (1000 random internal states)

## Counter-Measure: Changing the Input Row

| State after initialization | Linear terms after $S_{1}$ | Size of the sets | Analysis |
| :---: | :---: | :---: | :---: |
| $a_{0}$ | $\left(a_{0}+b_{0}+d_{0}+1\right) v_{0}$ | 5 | $5+3+5+12<31$ <br> No conditional cube as we describe. |
| $v_{0}$ | $\left(b_{0}+c_{0}+1\right) v_{0}$ | 3 |  |
| $b_{0}$ | $v_{0}$ |  |  |
| $c_{0}$ | $v_{0}$ |  |  |
| $\mathrm{d}_{0}$ | $\left(a_{0}+d_{0}+1\right) v_{0}$ | 5 |  |
| Nb of varia by | bles not multiplied $v_{0}$ after $S_{2}$ | 12 |  |
| $a_{0}$ | $\left(b_{0}+1\right) v_{0}$ | 4 | $4+6+23>31 .$ <br> Cubes can be built as described but less effective. <br> (32 of the 256-bit state in avg.) |
| $b_{0}$ | $\left(b_{0}+c_{0}+1\right) v_{0}$ | 6 |  |
| $v_{0}$ | $v_{0}$ |  |  |
| $c_{0}$ | $v_{0}$ |  |  |
| $\mathrm{d}_{0}$ | * |  |  |
| Nb of varia by | bles not multiplied $v_{0}$ after $S_{2}$ | 23 |  |

Table: Example : the first row states that, for 5 indices $i$, the coefficients of all $v_{0} v_{i}$ share $\left(a_{0}+b_{0}+d_{0}+1\right)$ as a factor.

## Counter-Measure: Changing the Input Row

| State after initialization | Linear terms after $S_{1}$ | Size of the sets | Analysis |
| :---: | :---: | :---: | :---: |
| $a_{0}$ | $v_{0}$ |  |  |
| $\mathrm{b}_{0}$ | $\left(b_{0}+c_{0}+1\right) v_{0}$ | 3 |  |
| $c_{0}$ | $\mathrm{d}_{0} \mathrm{v}_{0}$ | 4 | $3+4+5+12<31$ |
| $v_{0}$ | $\left(a_{0}+1\right) v_{0}$ | 5 | No conditional cube |
| $\mathrm{d}_{0}$ | Vo |  | as we describe. |
| Nb of variables not multiplied by $v_{0}$ after $S_{2}$ |  | 12 |  |
| $a_{0}$ | $\mathrm{b}_{0} \mathrm{~V}_{0}$ | 5 | $5+4+5+5+12=31$ <br> but $b_{0}$ and $b_{0}+1$ canno $\dagger$ be used at the same time. |
| $\mathrm{b}_{0}$ | $v_{0}$ |  |  |
| $c_{0}$ | $\left(d_{0}+1\right) v_{0}$ | 4 |  |
| $d_{0}$ | $\left(a_{0}+1\right) v_{0}$ | 5 |  |
| $v_{0}$ | $\left(b_{0}+7\right) v_{0}$ | 5 |  |
| Nb of variables not multiplied by $v_{0}$ after $S_{2}$ |  | 12 | No conditional cube as we describe. |

Table: Example : the second row states that, for 3 indices $i$, the coefficients of all $v_{0} v_{i}$ share $\left(b_{0}+c_{0}+1\right)$ as a factor.

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