

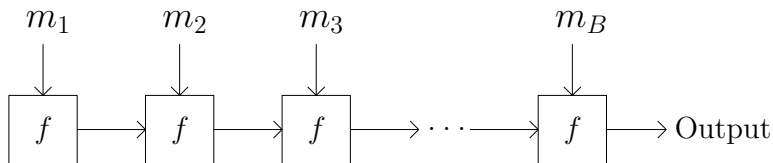
# Time-Space Tradeoff for Collision Finding in Sponge Functions

**Xiaoqi Duan**, Akshima, Siyao Guo, Qipeng Liu

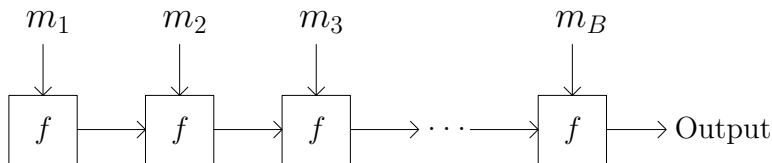
ETH Zürich; NYU Shanghai; UC San Diego

November 30, 2023

# Domain Extension Hash Functions



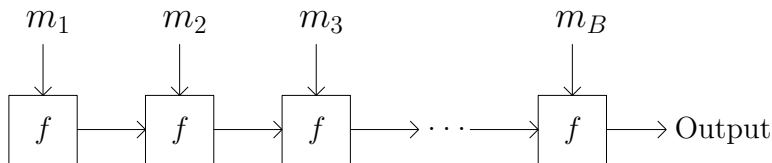
# Domain Extension Hash Functions



## SHA-2: Merkle-Damgård Hash Functions

$f : [N] \times [M] \rightarrow [N]$  is a random function

# Domain Extension Hash Functions



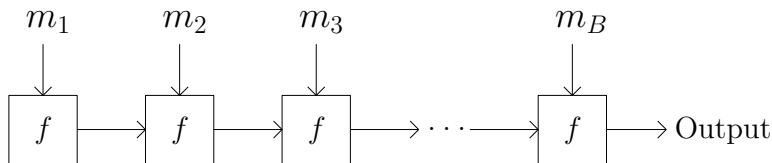
SHA-2: Merkle-Damgård Hash Functions

$f : [N] \times [M] \rightarrow [N]$  is a random function

SHA-3: Sponge Hash Functions

$f : [C] \times [R] \rightarrow [C] \times [R]$  is a random permutation

# Domain Extension Hash Functions



SHA-2: Merkle-Damgård Hash Functions

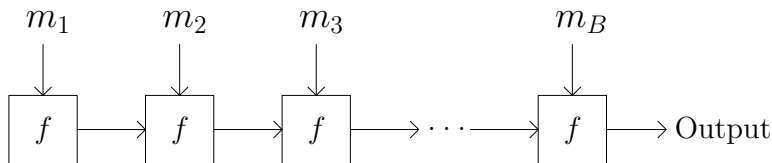
$f : [N] \times [M] \rightarrow [N]$  is a random function

SHA-3: Sponge Hash Functions

$f : [C] \times [R] \rightarrow [C] \times [R]$  is a random permutation

Can access both  $f$  and  $f^{-1}$

# Domain Extension Hash Functions



SHA-2: Merkle-Damgård Hash Functions

$f : [N] \times [M] \rightarrow [N]$  is a random function

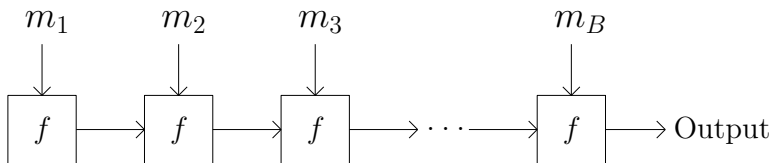
SHA-3: Sponge Hash Functions

$f : [C] \times [R] \rightarrow [C] \times [R]$  is a random permutation

Can access both  $f$  and  $f^{-1}$

Target: Hard to find collisions

# Domain Extension Hash Functions



SHA-2: Merkle-Damgård Hash Functions

$f : [N] \times [M] \rightarrow [N]$  is a random function

SHA-3: Sponge Hash Functions

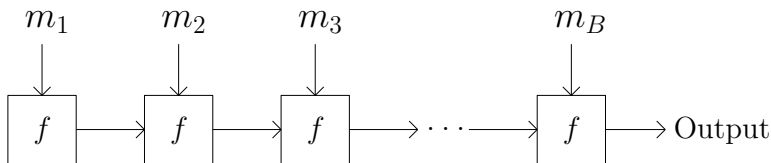
$f : [C] \times [R] \rightarrow [C] \times [R]$  is a random permutation

Can access both  $f$  and  $f^{-1}$

Target: Hard to find collisions

SHA-3 is less secure?

# Domain Extension Hash Functions



SHA-2: Merkle-Damgård Hash Functions

$f : [N] \times [M] \rightarrow [N]$  is a random function

SHA-3: Sponge Hash Functions

$f : [C] \times [R] \rightarrow [C] \times [R]$  is a random permutation

Can access both  $f$  and  $f^{-1}$

Target: Hard to find collisions

SHA-3 is less secure?



# Auxiliary-Input (AI) Model

Intuition: Extra knowledge about  $f$ , e.g. backdoors?

# Auxiliary-Input (AI) Model

Intuition: Extra knowledge about  $f$ , e.g. backdoors?

Setting:

- $S$ -bit information about  $f$
- $T$  queries to  $f$  (or  $f^{-1}$  for sponge)
- Output collision

# Auxiliary-Input (AI) Model

Intuition: Extra knowledge about  $f$ , e.g. backdoors?

Setting:

- $S$ -bit information about  $f$
- $T$  queries to  $f$  (or  $f^{-1}$  for sponge)
- Output collision

Trivial birthday attack advantage:  $T^2/N$  (MD) or  $T^2/R$  (Sponge)

# Auxiliary-Input (AI) Model

Intuition: Extra knowledge about  $f$ , e.g. backdoors?

Setting:

- $S$ -bit information about  $f$
- $T$  queries to  $f$  (or  $f^{-1}$  for sponge)
- Output collision

Trivial birthday attack advantage:  $T^2/N$  (MD) or  $T^2/R$  (Sponge)

Exist **non-trivial attacks!**

# Short Collision Finding in Merkle Damgård [CDGS18,ACDW20,GK22,AGL22]

Message Length	Best Known Attack
$B = 1$	$S/N + T^2/N$
$B = 2$	$ST/N + T^2/N$
$3 \leq B \leq T$	$STB/N + T^2/N$
$B > T$	$ST^2/N$

# Short Collision Finding in Sponge [FGK22]

Message Length	Known Attack (MD)	Known Attack (Sponge)
$B = 1$	$S/N + T^2/N$	$\min((ST/C)^2, (S^2T/C^2)^{\frac{2}{3}}) + S/C + T^2/R$
$B = 2$	$ST/N + T^2/N$	$ST/C + T^2/\min(C, R)$
$3 \leq B \leq T$	$STB/N + T^2/N$	$STB/C + T^2/\min(C, R)$
$B > T$	$ST^2/N$	$ST^2/C + T^2/R$

Better attacks than MD even when  $B = 1$

# Short Collision Finding in Sponge [FGK22]

Message Length	Known Attack (MD)	Known Attack (Sponge)
$B = 1$	$S/N + T^2/N$	$\min((ST/C)^2, (S^2T/C^2)^{\frac{2}{3}}) + S/C + T^2/R$
$B = 2$	$ST/N + T^2/N$	$ST/C + T^2/\min(C, R)$
$3 \leq B \leq T$	$STB/N + T^2/N$	$STB/C + T^2/\min(C, R)$
$B > T$	$ST^2/N$	$ST^2/C + T^2/R$

Better attacks than MD even when  $B = 1$   
Utilizes the inverse oracle

What about security upper bounds?



What about security upper bounds?

Old Techniques [DGK17,CDGS18]: presampling, compression

What about security upper bounds?

Old Techniques [DGK17,CDGS18]: presampling, compression

Multi-Instance Games (MI): A recent technique for proving security bounds for preprocessing attacks [IK10,CGLQ20,ACDW20,AGL22,FGK22]

# Upper Bounds in Merkle Damgård [ACDW20,GK22,AGL22]

Message Length	Best Known Attack	Upper Bound Tight?
$B = 1$	$S/N + T^2/N$	✓
$B = 2$	$ST/N + T^2/N$	✓
$3 \leq B \leq T$	$STB/N + T^2/N$	×
$B > T$	$ST^2/N$	✓

MI works pretty well here

# Upper Bounds in Sponge [FGK22]

Message Length	Best Known Attack	Upper Bound Tight?
$B = 1$	$\min((ST/C)^2, (S^2T/C^2)^{\frac{2}{3}}) + S/C + T^2/R$	×
$B = 2$	$ST/C + T^2/\min(C, R)$	×
$3 \leq B \leq T$	$STB/C + T^2/\min(C, R)$	×
$B > T$	$ST^2/C + T^2/R$	✓

# Upper Bounds in Sponge [FGK22]

Message Length	Best Known Attack	Upper Bound Tight?
$B = 1$	$\min((ST/C)^2, (S^2T/C^2)^{\frac{2}{3}}) + S/C + T^2/R$	×
$B = 2$	$ST/C + T^2/\min(C, R)$	×
$3 \leq B \leq T$	$STB/C + T^2/\min(C, R)$	×
$B > T$	$ST^2/C + T^2/R$	✓

What happens at sponge?

# Upper Bound in Sponge [FGK22]

Message Length	Best Known Attack	Upper Bound
$B = 1$	$\min((ST/C)^2, (S^2T/C^2)^{\frac{2}{3}}) + S/C + T^2/R$	$ST/C + T^2/R$
$B = 2$	$ST/C + T^2/\min(C, R)$	$ST/C + S^2T^4/C^2 + T^2/\min(C, R)$
$3 \leq B \leq T$	$STB/C + T^2/\min(C, R)$	$ST^2/C + T^2/R$
$B > T$	$ST^2/C + T^2/R$	$ST^2/C + T^2/R$

What happens at sponge?

# Upper Bound in Sponge [FGK22]

Message Length	Best Known Attack	Upper Bound
$B = 1$	$\min((ST/C)^2, (S^2T/C^2)^{\frac{2}{3}}) + S/C + T^2/R$	$ST/C + T^2/R$
$B = 2$	$ST/C + T^2/\min(C, R)$	$ST/C + S^2T^4/C^2 + T^2/\min(C, R)$
$3 \leq B \leq T$	$STB/C + T^2/\min(C, R)$	$ST^2/C + T^2/R$
$B > T$	$ST^2/C + T^2/R$	$ST^2/C + T^2/R$

What happens at sponge?

Can we prove better bounds (via MI)?

# Our Results

Message Length	Best Known Attack	Upper Bound Tight?
$B = 1$	$\min((ST/C)^2, (S^2T/C^2)^{\frac{2}{3}}) + S/C + T^2/R$	Almost
$B = 2$	$ST/C + T^2/\min(C, R)$	×
$3 \leq B \leq T$	$STB/C + T^2/\min(C, R)$	×
$B > T$	$ST^2/C + T^2/R$	✓

Better bounds for  $B = 1$ , Simpler proofs for  $B = 2$



# Our Results

Message Length	Upper Bound Tight?	Better bounds for MI?
$B = 1$	Almost	×
$B = 2$	×	×
$3 \leq B \leq T$	×	×
$B > T$	✓	-

# Limit of MI games

## Advantages between MI and AI adversaries [AGL22]

We can reduce an AI adversary with success probability  $2\epsilon$  to an MI adversary with probability  $\tilde{O}(\epsilon^S)$ .

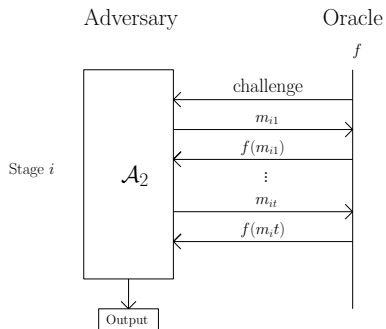
## Advantages between MI and AI adversaries [AGL22]

We can reduce an AI adversary with success probability  $2\epsilon$  to an MI adversary with probability  $\tilde{O}(\epsilon^S)$ .

**Proof Idea.** Guess the  $S$ -bit advice and run AI with that advice each round. The  $2^{-S}$  guessing probability will be amortized into  $\epsilon^S$ .

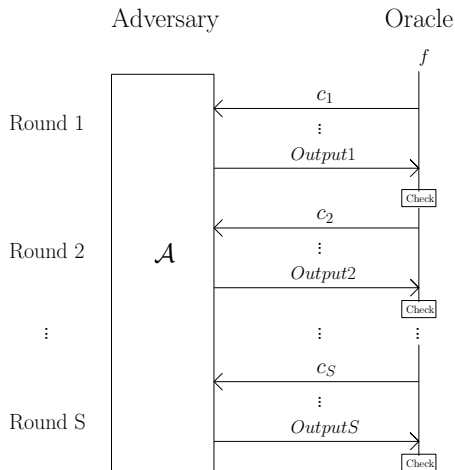
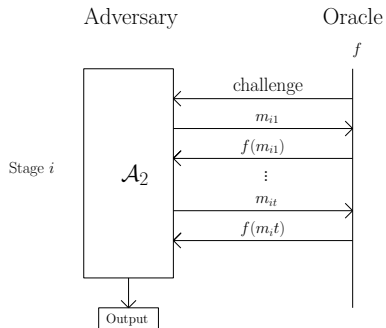
# Multi-Instance Games

Repeat  $S$  times:

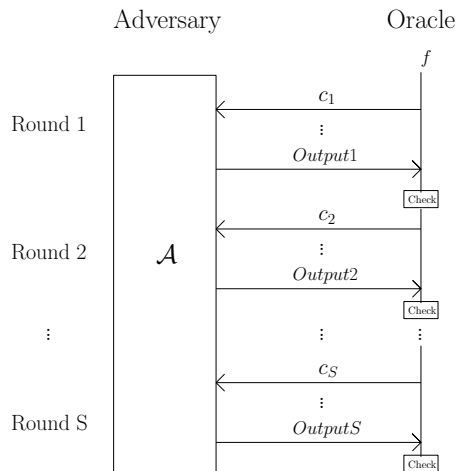


# Multi-Instance Games

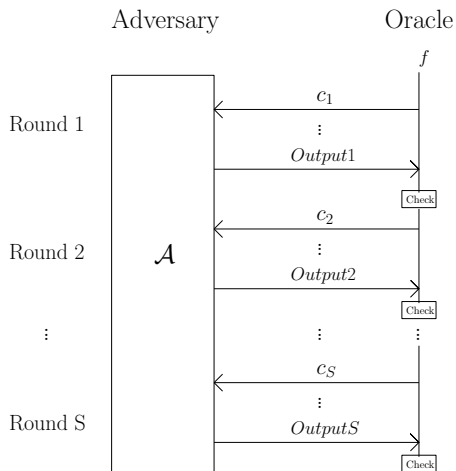
Repeat  $S$  times:



# Multi-Instance Games



# Multi-Instance Games



Something to mention:

- No advice string
- $f$  doesn't change within rounds
- Has "memory" of previous rounds
- Need to win all  $S$  rounds



# Multi-Instance Techniques

Main idea: By bounding the success probability of the MI game, we directly have upper bound for the original AI adversary.

# Multi-Instance Techniques

Main idea: By bounding the success probability of the MI game, we directly have upper bound for the original AI adversary.

Advantages of MI game:

- No advice bits

# Multi-Instance Techniques

Main idea: By bounding the success probability of the MI game, we directly have upper bound for the original AI adversary.

Advantages of MI game:

- No advice bits
- Ability to use lazy sampling and other techniques

# Multi-Instance Techniques

Main idea: By bounding the success probability of the MI game, we directly have upper bound for the original AI adversary.

Advantages of MI game:

- No advice bits
- Ability to use lazy sampling and other techniques

Often easier to find upper bounds

# Our Results

	Upper Bound	Known Attack
$B = 1$	$S^2 T^2 / C^2 + T^2 / R$ $+ S / C + T / C$	$\min((ST/C)^2, (S^2 T / C^2)^{\frac{2}{3}})$ $+ S / C + T^2 / R$
$B = 2$	$ST / C + S^2 T^4 / C^2$ $+ T^2 / \min(C, R)$	$ST / C + T^2 / \min(C, R)$
$B \geq 3$	$ST^2 / C + T^2 / R$	$STB / C + T^2 / \min(C, R)$

Our proof uses Multi-Instance Games technique  
and highly non-trivial compression argument (please refer to original paper)

Showed limitations of MI Techniques:

	Upper Bound Given by MI	Best Attack in MI
$B = 1$	$(\tilde{O}(S^2 T^2 / C^2 + T^2 / R + S / C + T / C))^S$	$(\tilde{\Omega}(S^2 T^2 / C^2))^S$
$B = 2$	$(\tilde{O}(ST / C + S^2 T^4 / C^2 + T^2 / \min(C, R)))^S$	$(\tilde{\Omega}(S^2 T^4 / C^2))^S$
$B \geq 3$	$(\tilde{O}(ST^2 / C + T^2 / R))^S$	$(\tilde{\Omega}(ST^2 / C))^S$

# Our Results

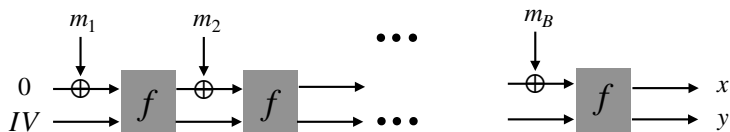
Showed limitations of MI Techniques:

	Upper Bound Given by MI	Best Attack in MI
$B = 1$	$(\tilde{O}(S^2 T^2 / C^2 + T^2 / R + S / C + T / C))^S$	$(\tilde{\Omega}(S^2 T^2 / C^2))^S$
$B = 2$	$(\tilde{O}(ST / C + S^2 T^4 / C^2 + T^2 / \min(C, R)))^S$	$(\tilde{\Omega}(S^2 T^4 / C^2))^S$
$B \geq 3$	$(\tilde{O}(ST^2 / C + T^2 / R))^S$	$(\tilde{\Omega}(ST^2 / C))^S$

It means we can't use MI to further bridge the gaps.

# Sponge Hash Functions

**Input**  $m = m_1 || \dots || m_B, m_i \in [R]$

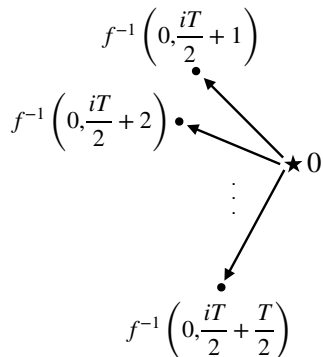


**Sponge** <sup>$f$</sup>  $(IV, m) := x$

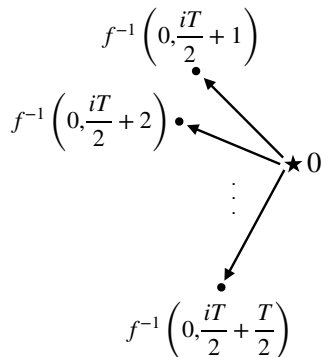
where  $f : [R] \times [C] \rightarrow [R] \times [C]$  is a permutation



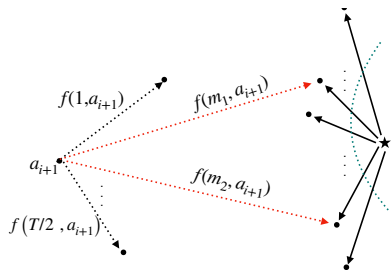
# MI Attack, $B=2$



(1) Query  $f^{-1}(0, i)$  for different  $i$

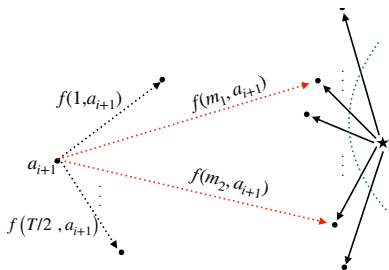


# MI Attack, $B=2$



- (1) Query  $f^{-1}(0, i)$  for different  $i$
- (2) For challenge salt  $a$ , query  $f(j, a)$  for different  $j$

# MI Attack, B=2



- (1) Query  $f^{-1}(0, i)$  for different  $i$
  - (2) For challenge salt  $a$ , query  $f(j, a)$  for different  $j$
- If two queries in (2) hits two salts visited in (1),

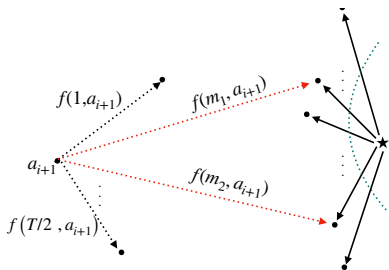
$$f^{-1}(0, i_1) = (m_1, a_1)$$

$$f(m_3, a) = (m_5, a_1)$$

$$f^{-1}(0, i_2) = (m_2, a_2)$$

$$f(m_4, a) = (m_6, a_2)$$

# MI Attack, B=2



- (1) Query  $f^{-1}(0, i)$  for different  $i$
  - (2) For challenge salt  $a$ , query  $f(j, a)$  for different  $j$
- If two queries in (2) hits two salts visited in (1),

$$f^{-1}(0, i_1) = (m_1, a_1)$$

$$f(m_3, a) = (m_5, a_1)$$

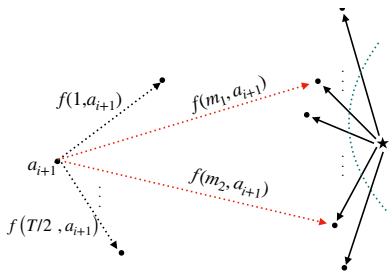
$$f^{-1}(0, i_2) = (m_2, a_2)$$

$$f(m_4, a) = (m_6, a_2)$$

then we have found valid collisions  
on challenge salt  $a$

$$(m_3 | m_5 \oplus m_1), (m_4 | m_6 \oplus m_2)$$

# MI Attack, $B=2$



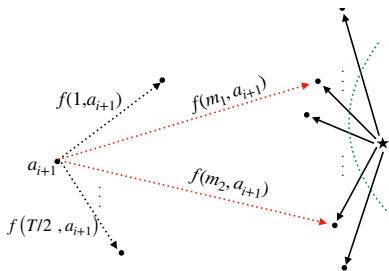
Each round: wins if we hit two old salts within  $T/2$  queries  
# of different salts in (1):

$$\tilde{\Omega}(iT)$$

Winning Probability this round:

$$\tilde{O}((iT^2/C)^2)$$

# MI Attack, B=2



Each round: wins if we hit two old salts within  $T/2$  queries  
# of different salts in (1):

$$\tilde{\Omega}(iT)$$

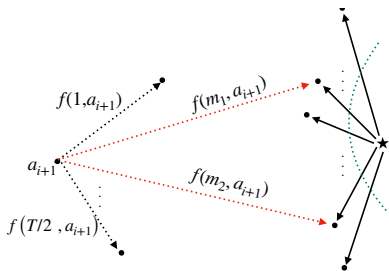
Winning Probability this round:

$$\tilde{O}((iT^2/C)^2)$$

Winning Probability for MI-game:

$$(\tilde{O}(S^2 T^4 / C^2))^S$$

# MI Attack, B=2



Each round: wins if we hit two old salts within  $T/2$  queries  
# of different salts in (1):

$$\tilde{\Omega}(iT)$$

Winning Probability this round:

$$\tilde{O}((iT^2/C)^2)$$

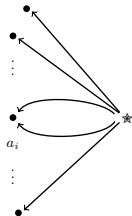
Winning Probability for MI-game:

$$(\tilde{O}(S^2 T^4 / C^2))^S$$

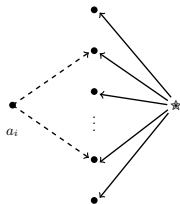
Matches current upper bound  
(proved by MI)



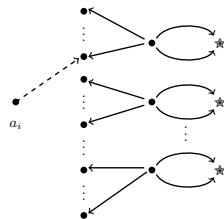
# MI Attacks



(a) 1-Block collision attack

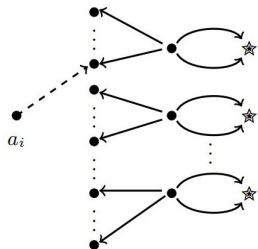


(b) 2-Block collision attack



(c) 3-Block collision attack

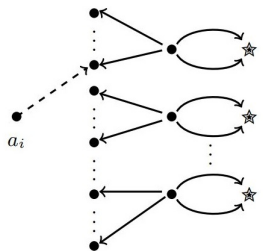
# MI Attack, $B=3$



(c) 3-Block collision attack

- (1) Query out collisions at some salts  $a$  (via birthday attack)
- (2) Query  $f^{-1}(*, a)$  on these salts
- (3) Query  $f(*, a_i)$  for challenge salt  $a_i$

# MI Attack, $B=3$



(c) 3-Block collision attack

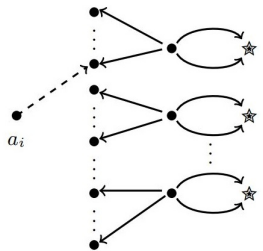
- (1) Query out collisions at some salts  $a$  (via birthday attack)
- (2) Query  $f^{-1}(*, a)$  on these salts
- (3) Query  $f(*, a_i)$  for challenge salt  $a_i$

Wins if one query in (3) hits one salt in step (2)

Winning Probability for MI-game:

$$(\tilde{O}(ST^2/C))^S$$

# MI Attack, $B=3$



(c) 3-Block collision attack

- (1) Query out collisions at some salts  $a$  (via birthday attack)
- (2) Query  $f^{-1}(*, a)$  on these salts
- (3) Query  $f(*, a_i)$  for challenge salt  $a_i$

Wins if one query in (3) hits one salt in step (2)

Winning Probability for MI-game:

$$(\tilde{O}(ST^2/C))^S$$

Matches current upper bound (proved by MI)

Better bounds for  $B = 1$ , Showed limitation of  $MI$

Message Length	Upper Bound Tight?	Better bounds for MI?
$B = 1$	Almost	×
$B = 2$	×	×
$3 \leq B \leq T$	×	×
$B > T$	✓	-

Open problems:

- Tight bounds (even for  $B = 2$ )?
- Better methods than MI?
- Better attacks?