

# HELIOPOLIS: Verifiable Computation over Homomorphically Encrypted Data from Interactive Oracle Proofs is Practical

Diego F. Aranha, Anamaria Costache, **Antonio Guimarães**, Eduardo Soria-Vazquez



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institute  
\*\*\*\* **imdea**  
software

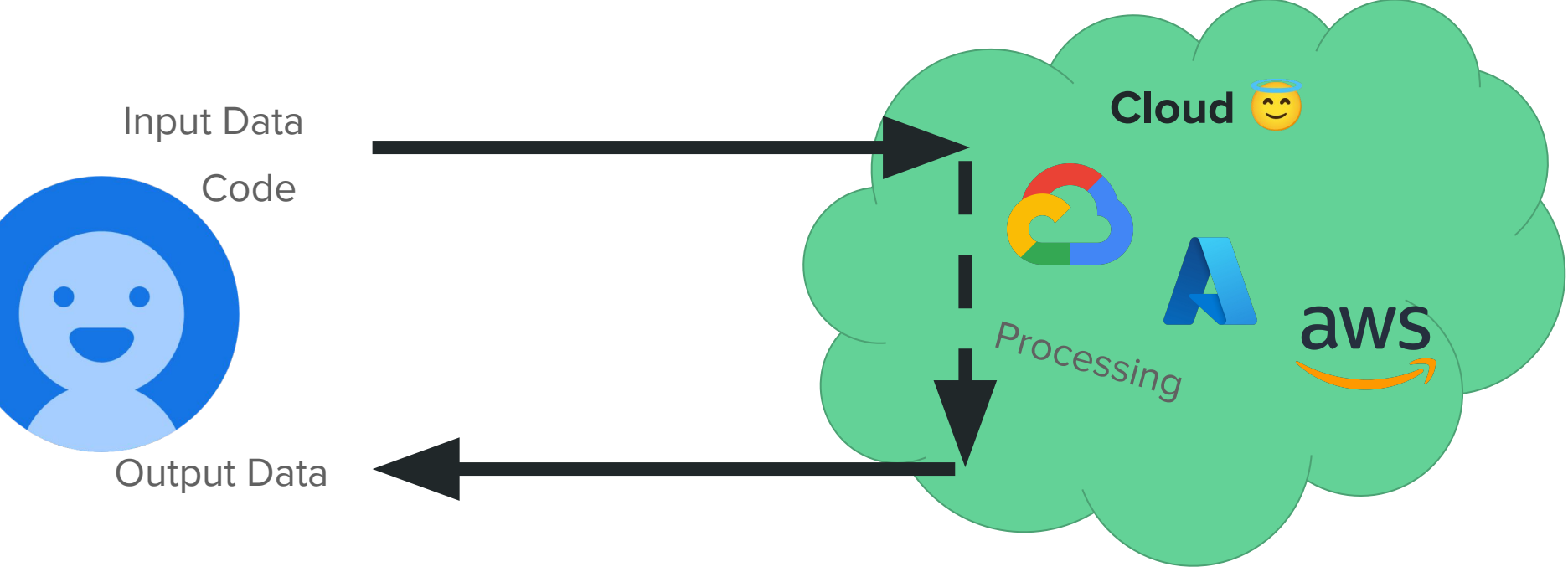


Technology  
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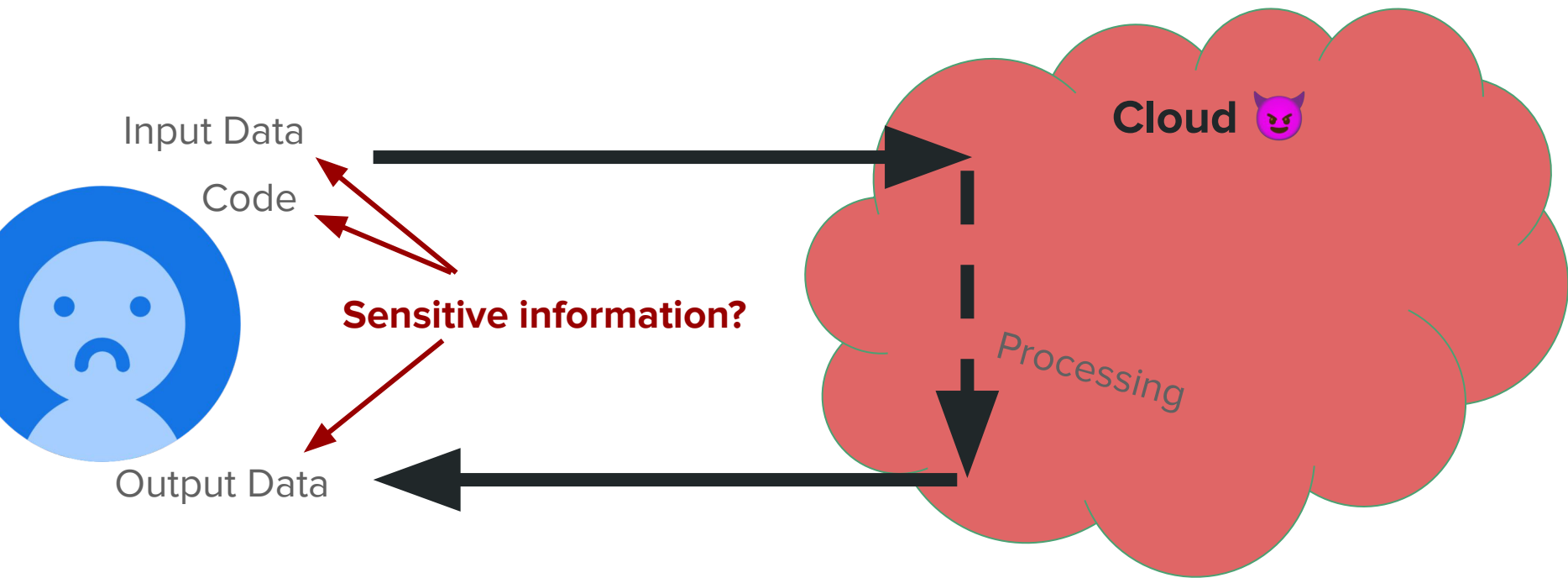
# Context

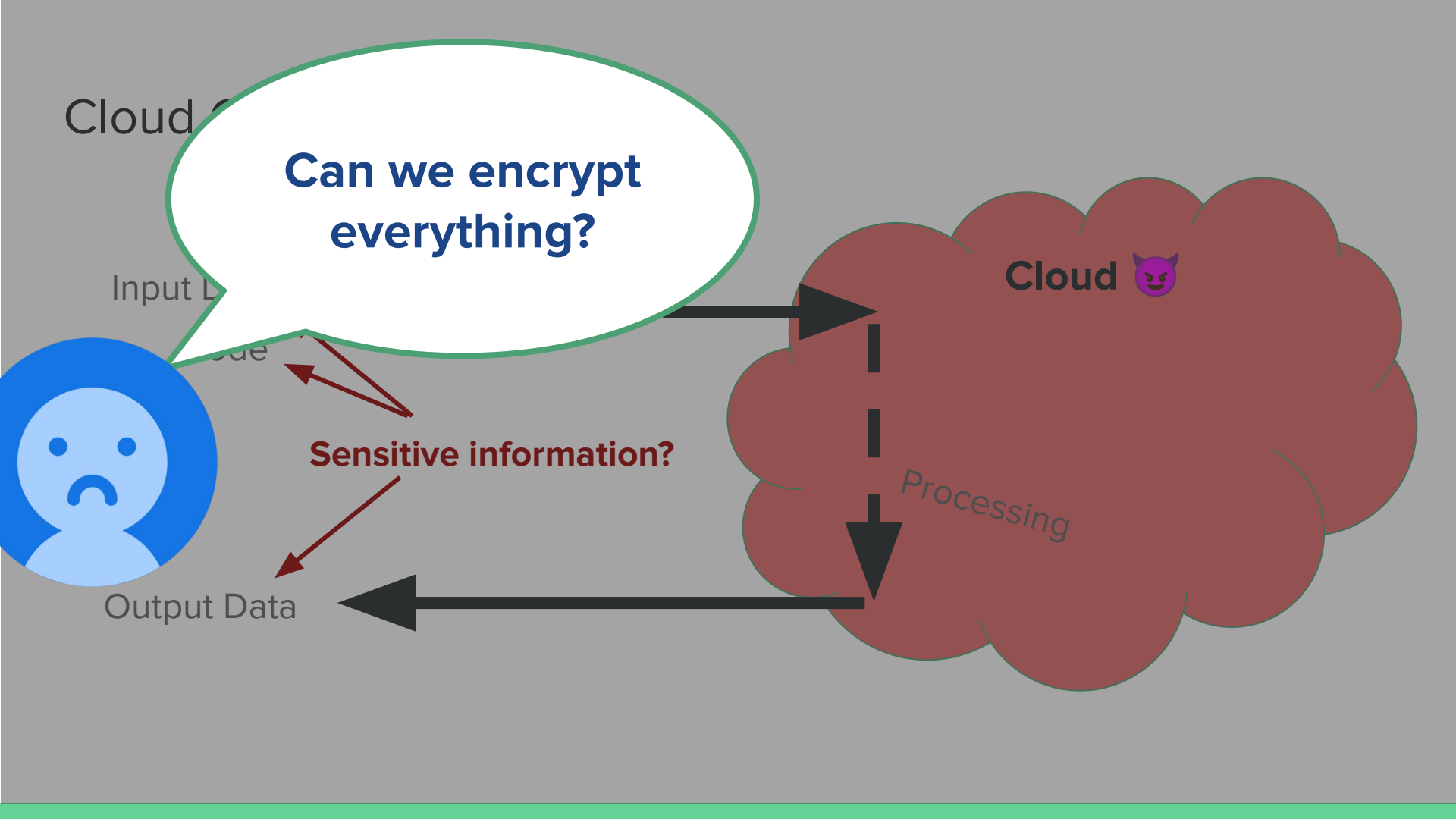
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# Cloud Computing

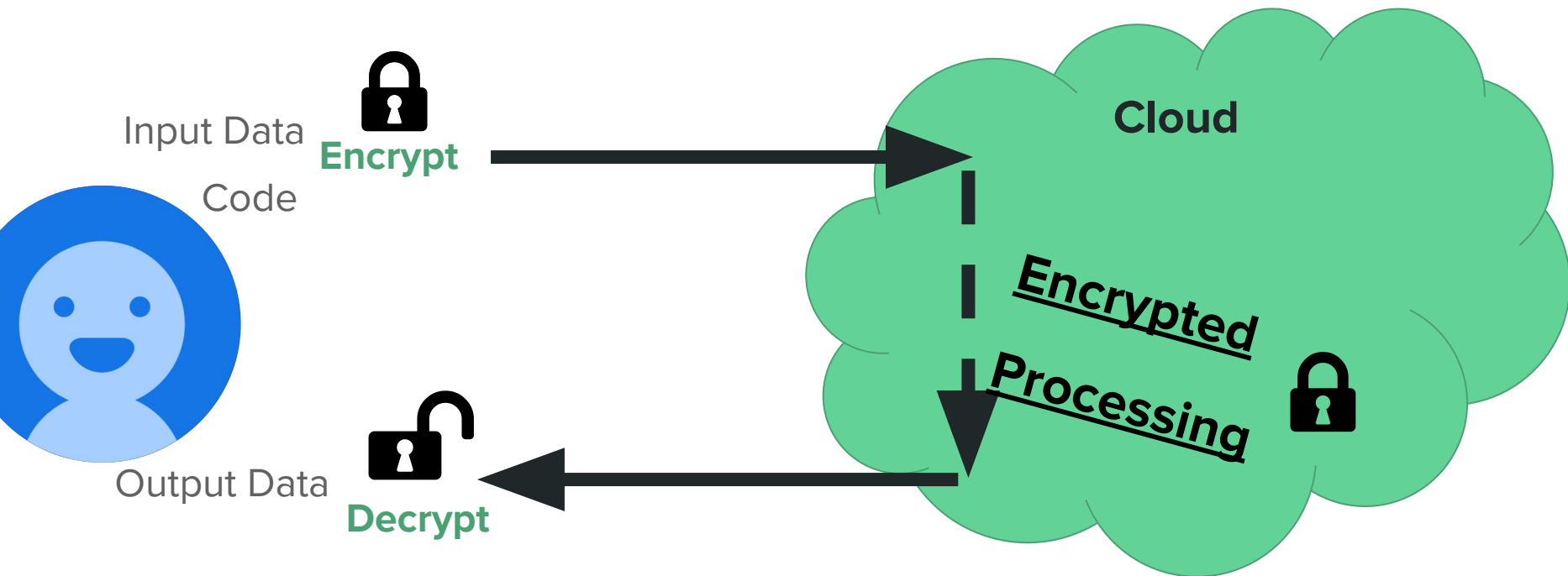


# Cloud Computing



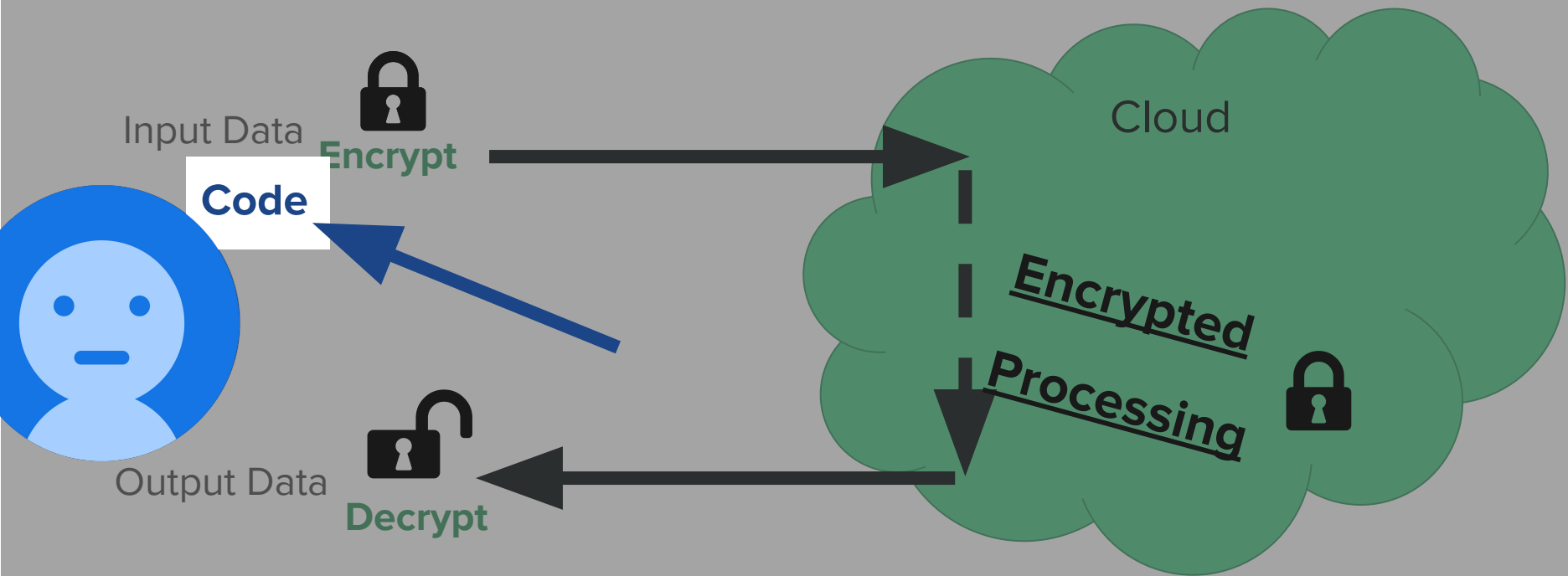


# Homomorphic Encryption (HE)



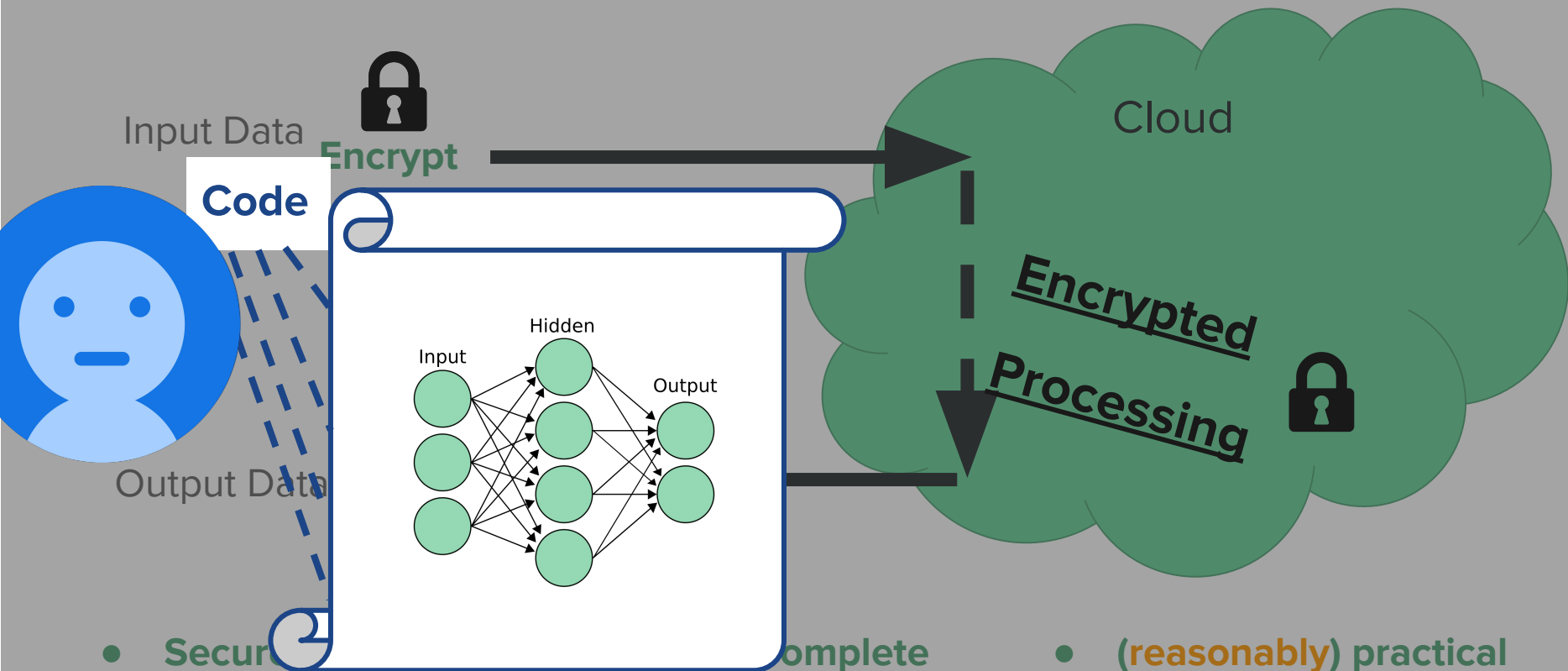
- Secure
- Functionally Complete
- (reasonably) practical

# Homomorphic Encryption (HE)



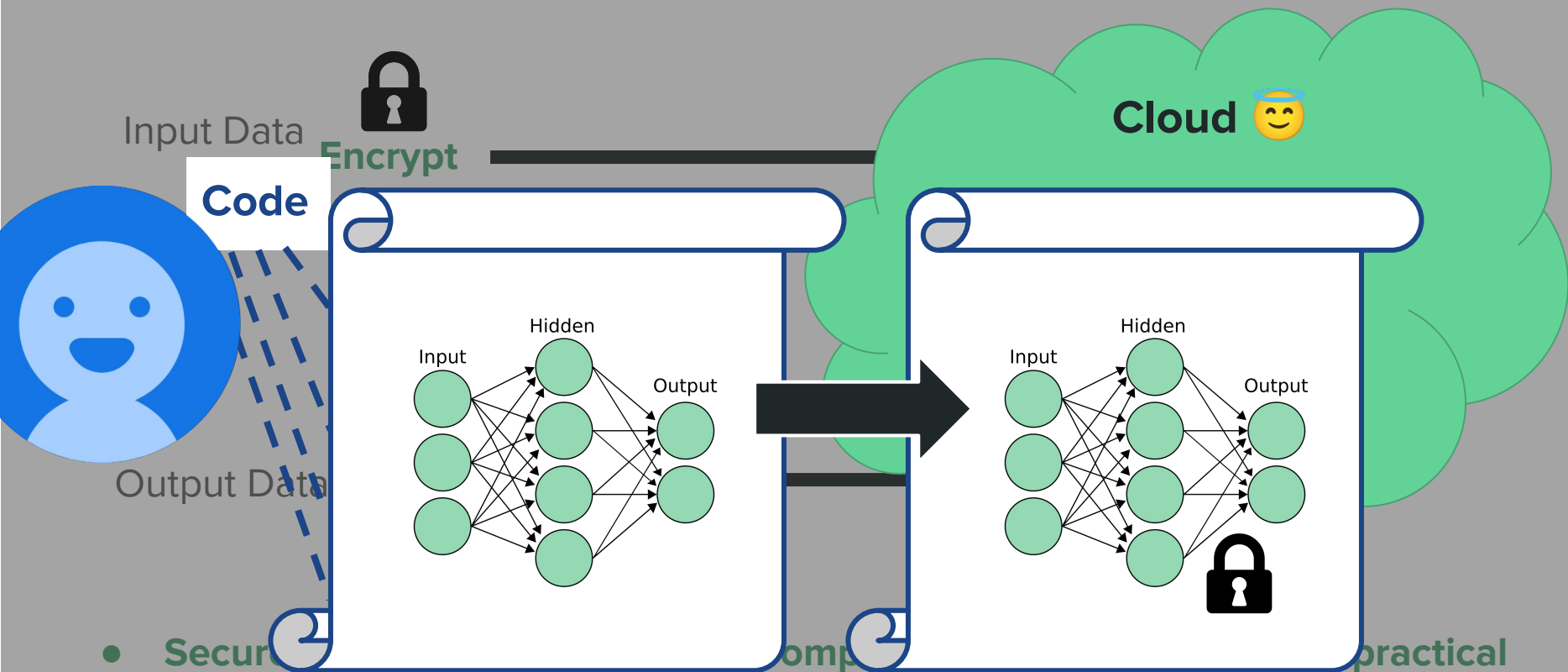
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# Homomorphic Encryption (HE)

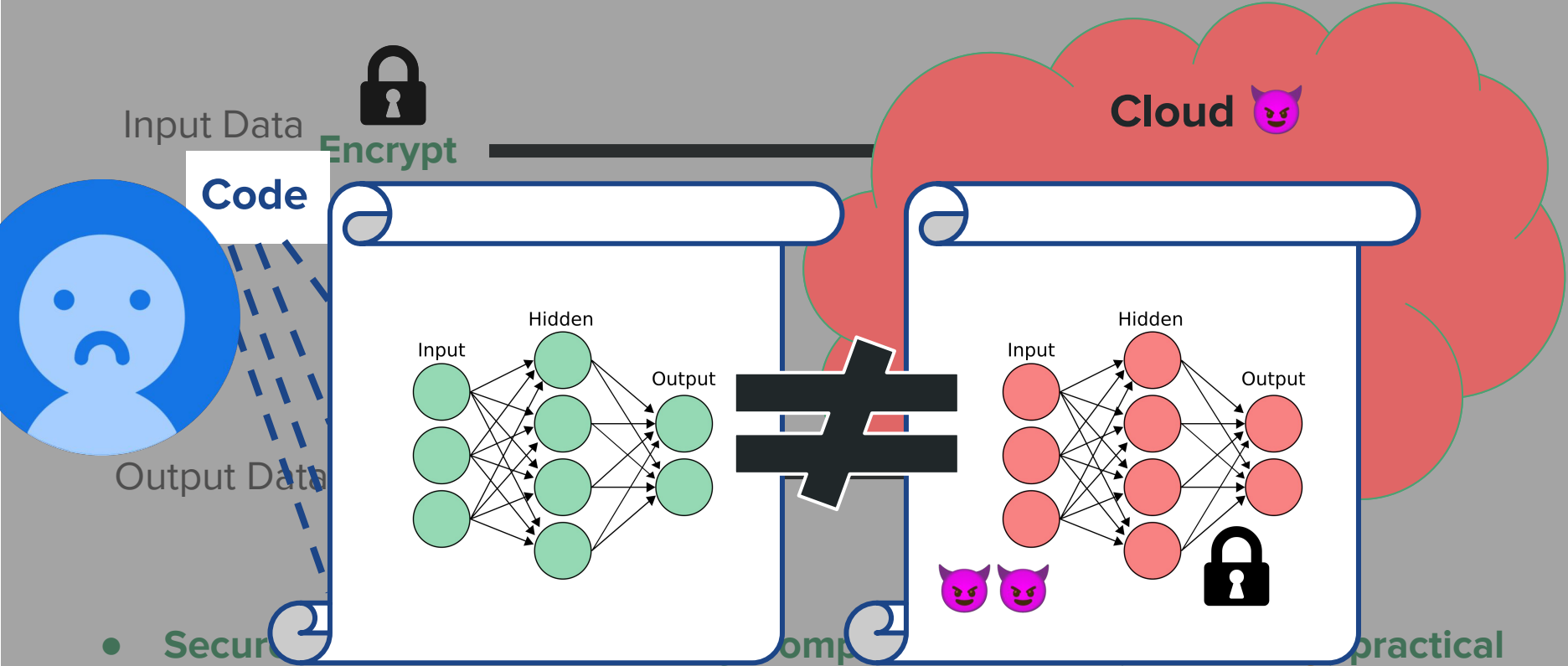




# Homomorphic Encryption (HE)



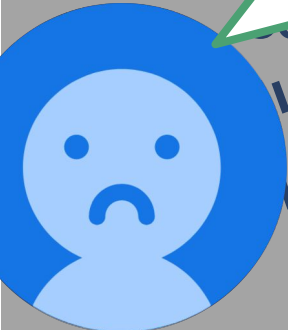
# Homomorphic Encryption (HE)



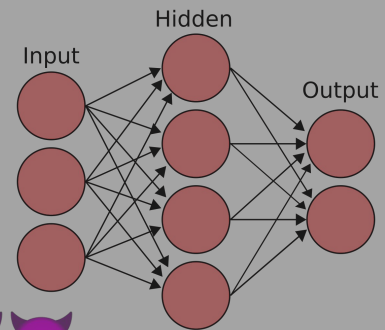
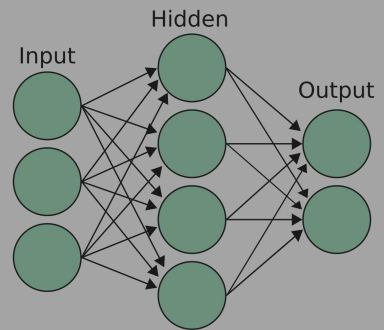
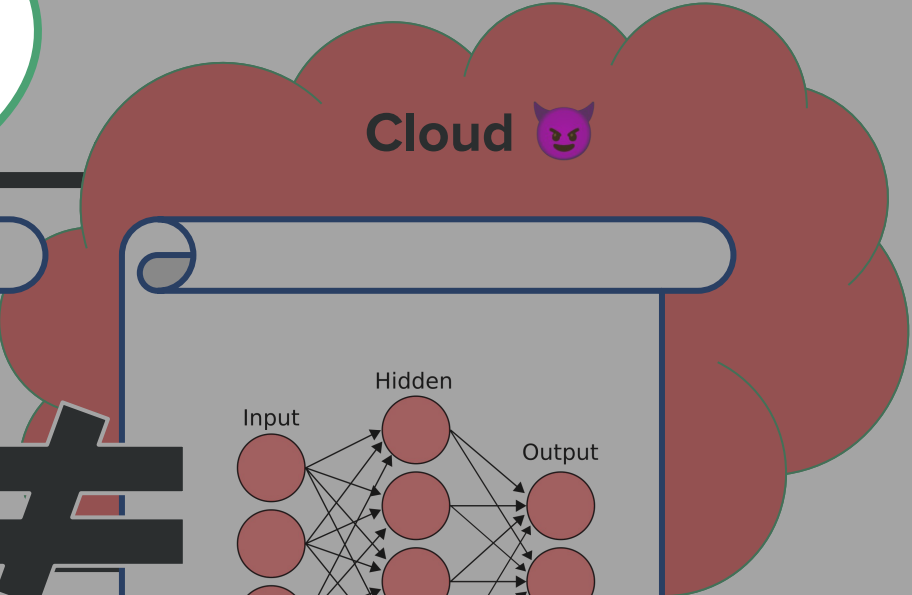
Homom

Input D

Can we verify  
the  
computation?



Output Data

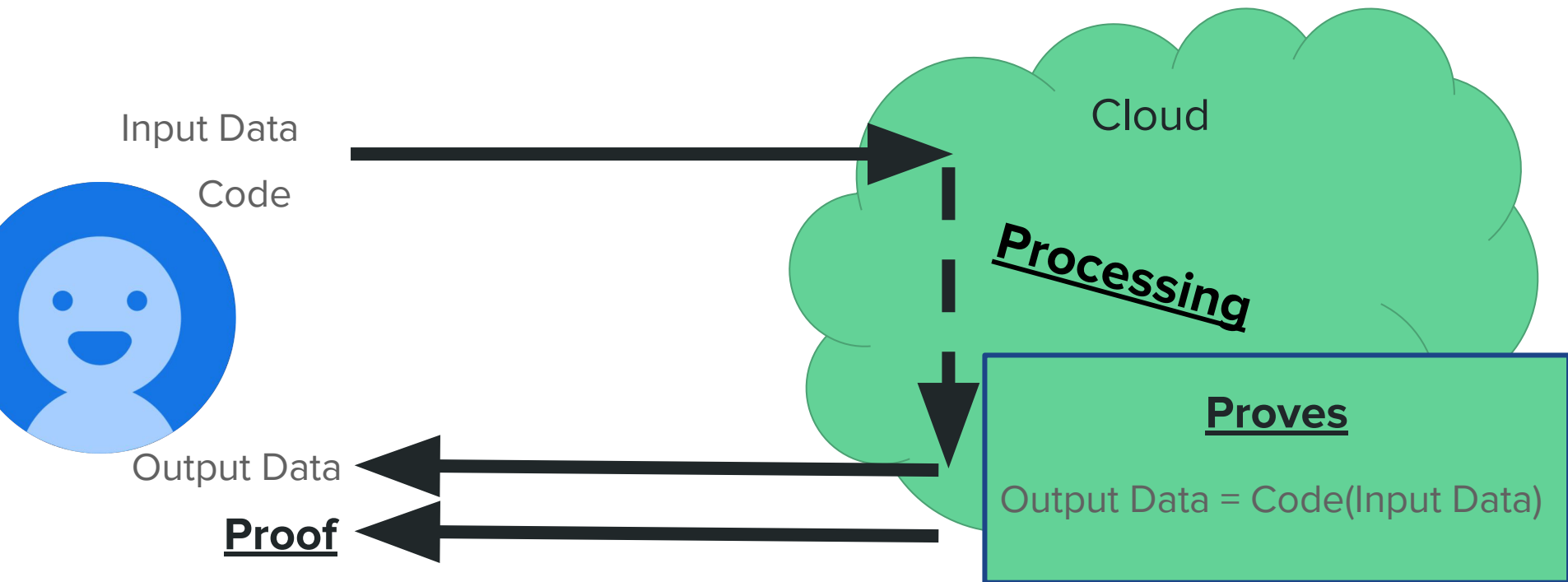


• Secur

comp

practical

# Verifiable computation (VC)



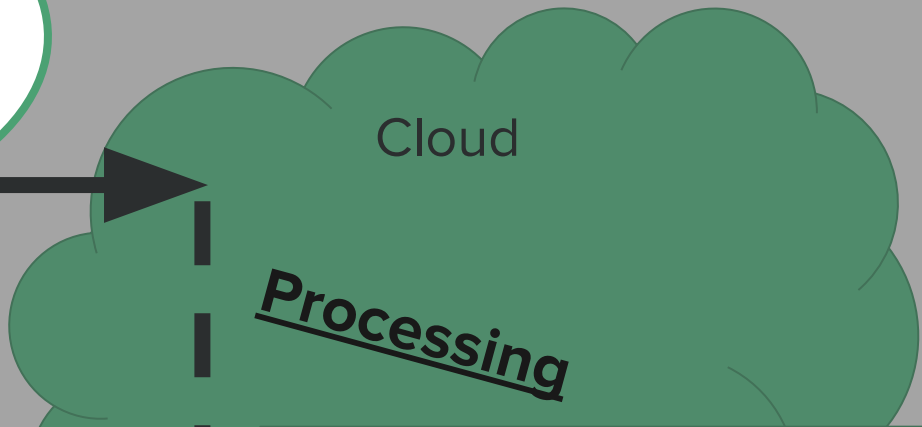
- Sound
- Functionally Complete
- (reasonably) practical

Verifiable

Input Data

Code

Can we have  
both  
HE and VC?



Cloud

Processing

Proves

Output Data = Code(Input Data)

Output Data

Proof

- Sound
- Functionally Complete
- (reasonably) practical

Verifiable

Input Data

Can we have  
both  
HE and VC,  
efficiently? How?



Output Data

Proof

Cloud

Processing

Proves

Output Data = Code(Input Data)

• Sound

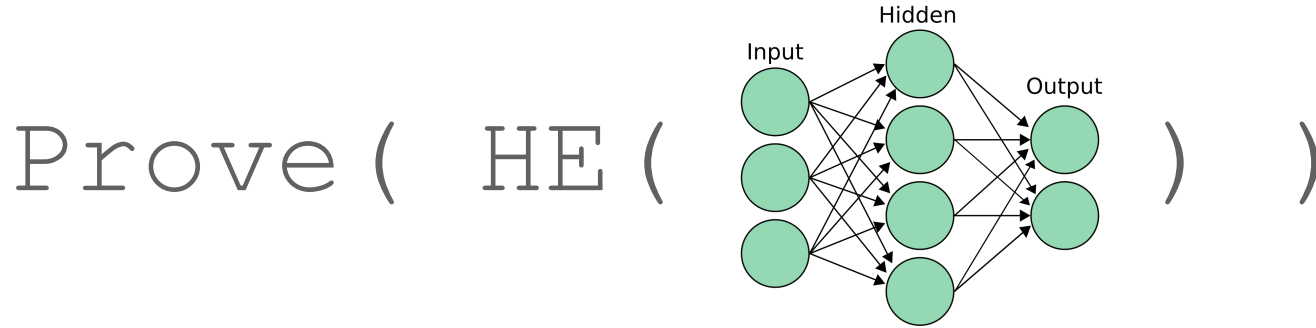
• Functionally Complete

• (reasonably) practical

Main VC-HE approach so far

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Main VC-HE approach so far



**! Problem: VC and HE are not friendly**



# Verifiable Computation

Efficient if working with:

- Fields
- Algebraic operations

# Homomorphic Encryption

Efficient if working with\*:

- Huge rings with composite moduli
- Rounding and modular reductions

\* considering ciphertext operations

## Cleartext operation

$$A = 35$$

$$B = 62$$

$$A*B = 2170$$

1. Linear, algebraic operation
2. Easy to embed in a Field
3. Takes 2 bytes of memory
4. Takes picoseconds

## Homomorphic Operation

$$A = \text{Encrypt}(35) \quad B = \text{Encrypt}(62)$$

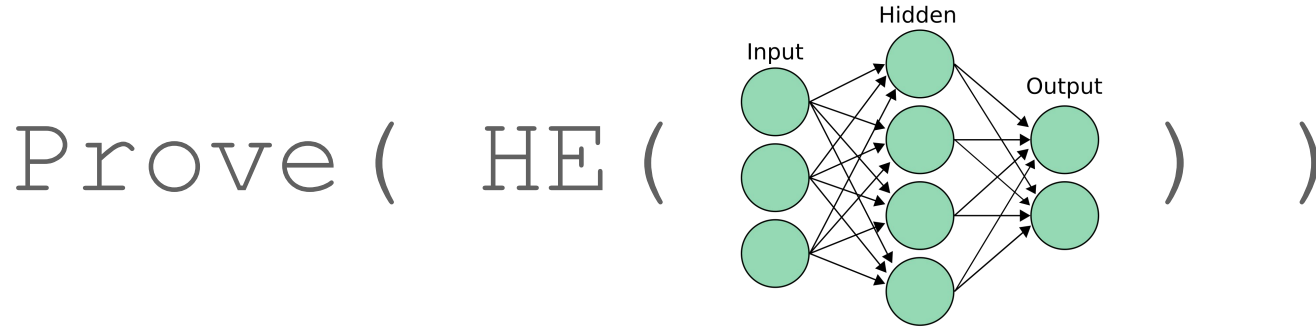
$$A*B = \text{Mod-Switching}(\text{$$

$$\text{Key-Switching}(\text{$$

$$\text{Tensor\_Multiplication}(A,B) ) )$$

1. Not algebraic
2. Efficiency requires amortization
3. Takes kilobytes of memory
4. Takes microseconds

VC-HE so far



**! Problem: VC and HE are not friendly**

VC-HE so far

Prove (

**The first intuition:  
Instead of proving HE,  
can we HE the proof?**

 **Problem: VC and HE are not friendly**

# Verifiable Computation

Proof systems typically require:

- Hash functions
- Large fields

# Homomorphic Encryption

Most efficient if working with:

- Rings or small fields
- Algebraic operations

\* considering plaintext operations

# Our approach (HE-IOPs)

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**The first intuition:  
Instead of proving HE,  
can we HE the proof?**

Output



**! Problem: VC and HE are not friendly**

**The first intuition:  
Instead of proving HE,  
can we HE the proof?**

Output

**Our method: HE the information theoretic component of the proof system**

**! Problem: VC and HE are**



# Interactive Oracle Proof (IOP)

$$Y = f(X)$$

**Prover**

**Verifier**

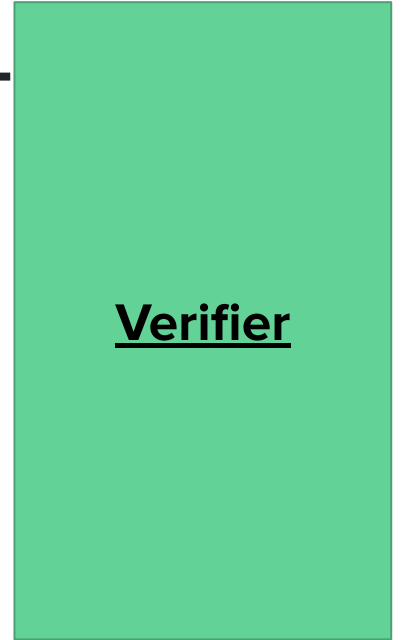
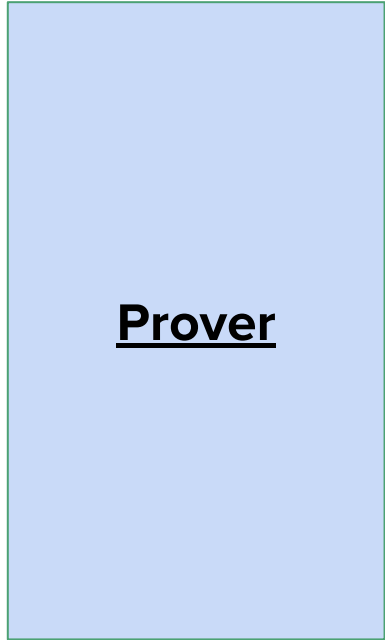
# Interactive Oracle Proof (IOP)

$$Y = f(X)$$

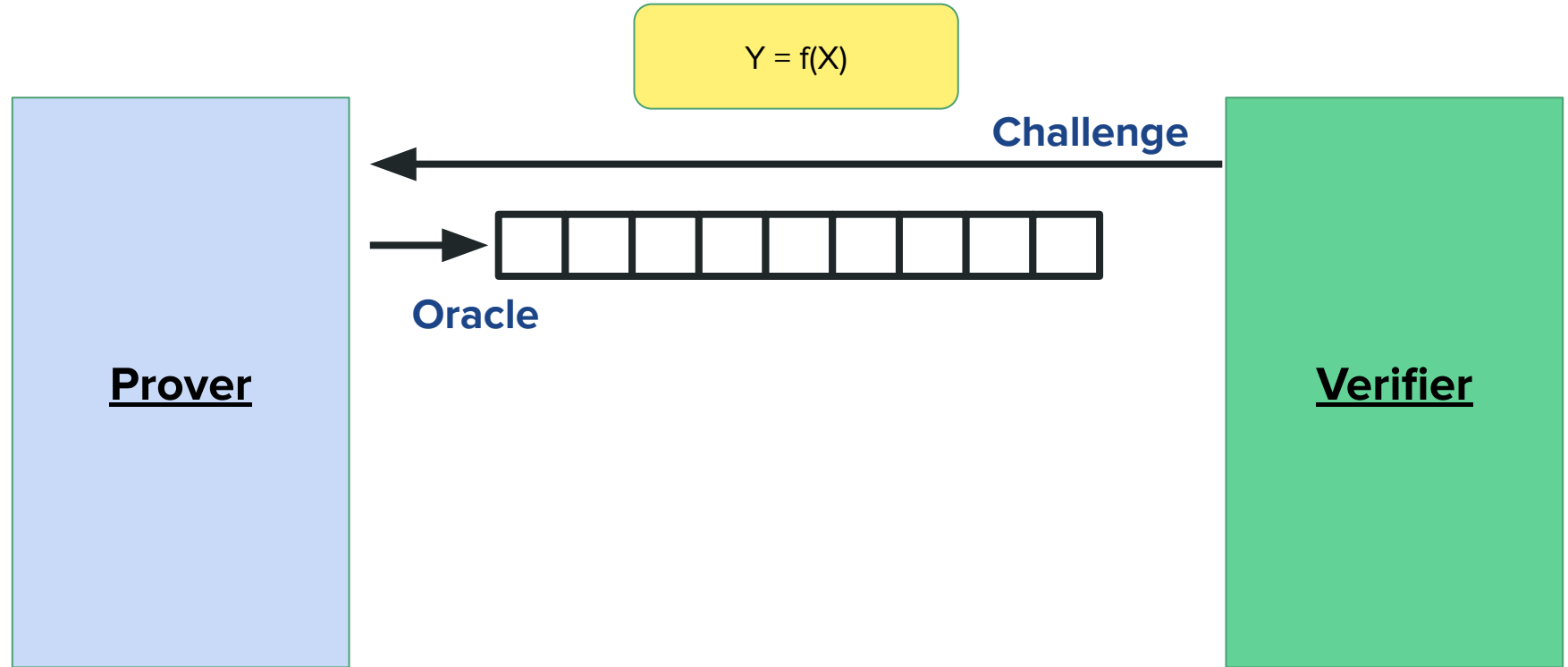
Challenge

Prover

Verifier

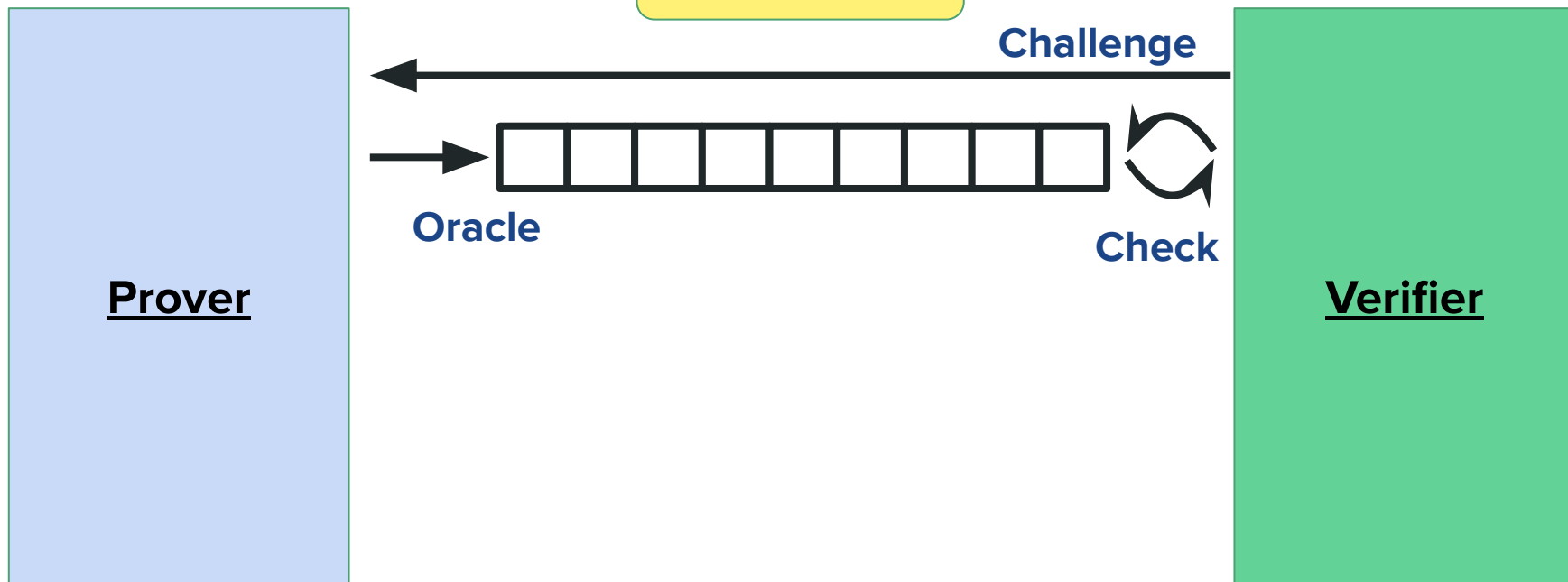


# Interactive Oracle Proof (IOP)

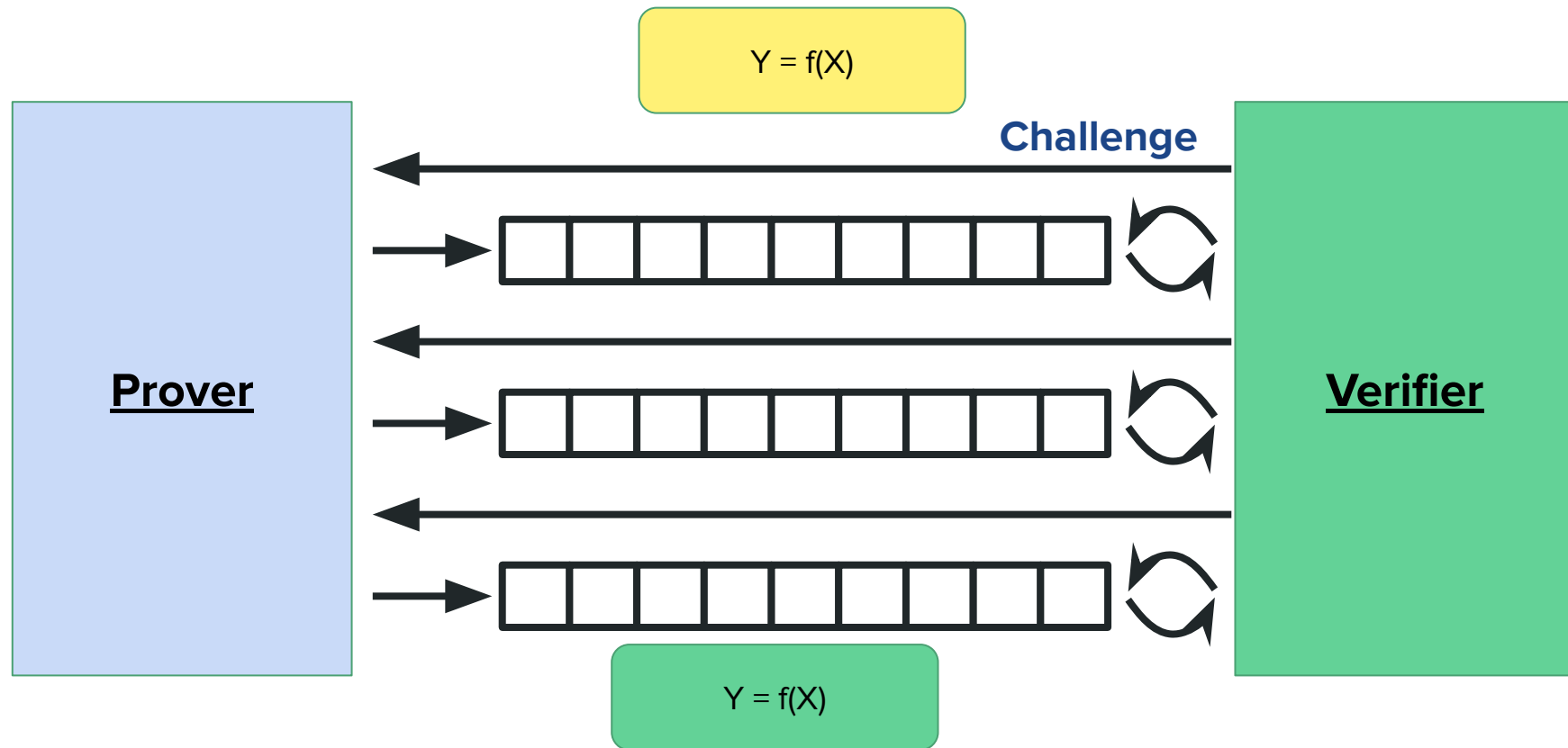


# Interactive Oracle Proof (IOP)

$$Y = f(X)$$



# Interactive Oracle Proof (IOP)



# HE Interactive Oracle Proof (HE-IOP)

The result of  $\text{HE.f}(\text{Encrypt}(X))$   
is some encryption of  $Y$

**Challenge**

**Prover**

**Verifier**



# HE Interactive Oracle Proof (HE-IOP)

The result of  $HE.f(\text{Encrypt}(X))$   
is some encryption of  $Y$

Challenge

Prover

**The proof is about the  
underlying plaintext!!**

Verifier

# HE Interactive Oracle Proof (HE-IOP)

The result of  $HE.f(\text{Encrypt}(X))$  is some encryption of  $Y$

**Challenge**

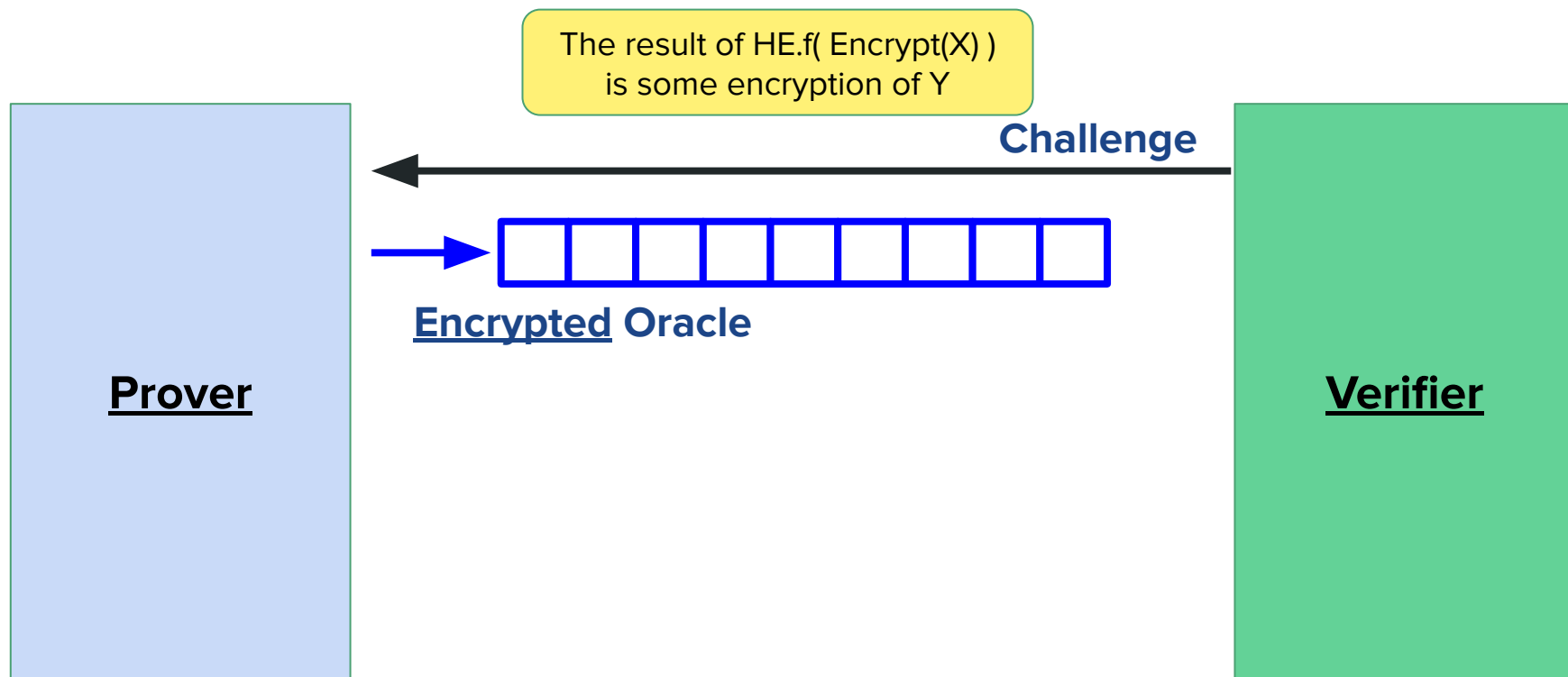
**Prover**

**Verifier**

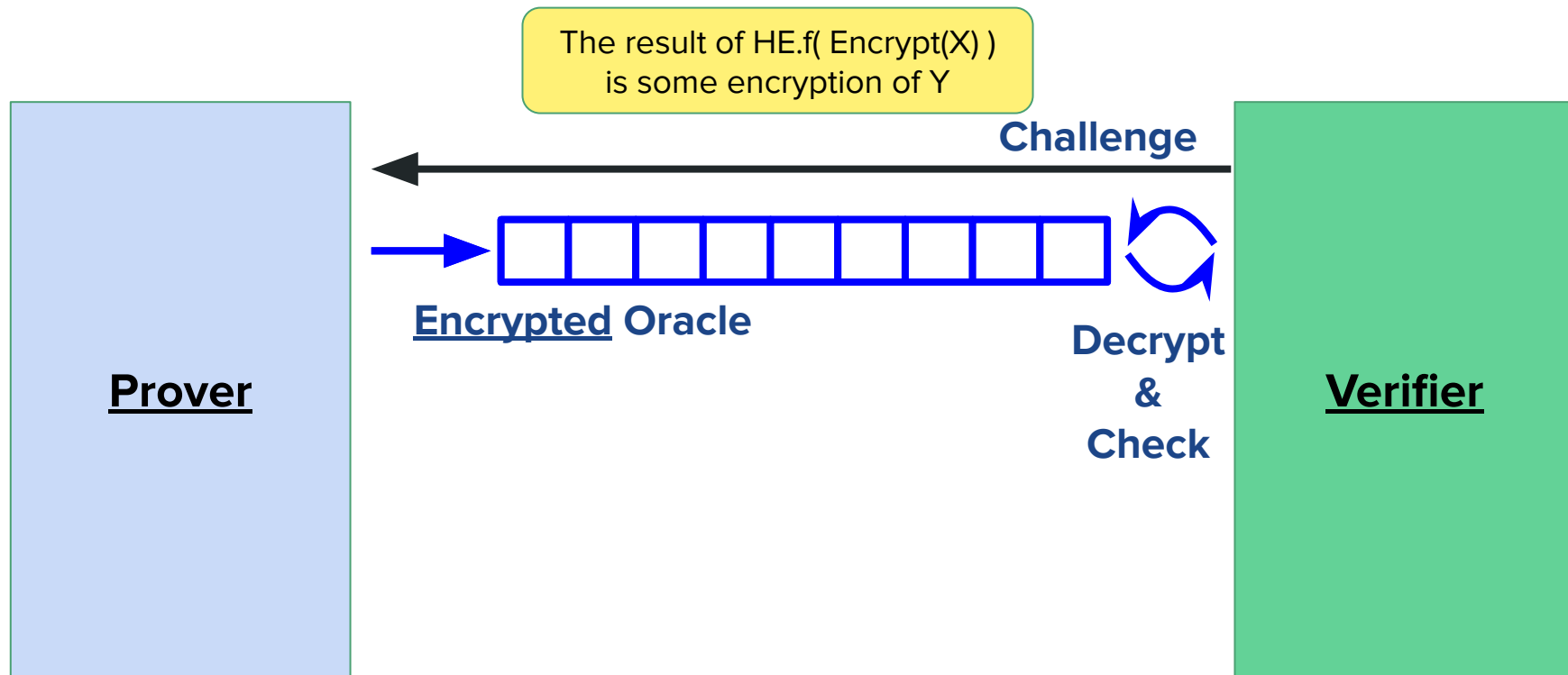




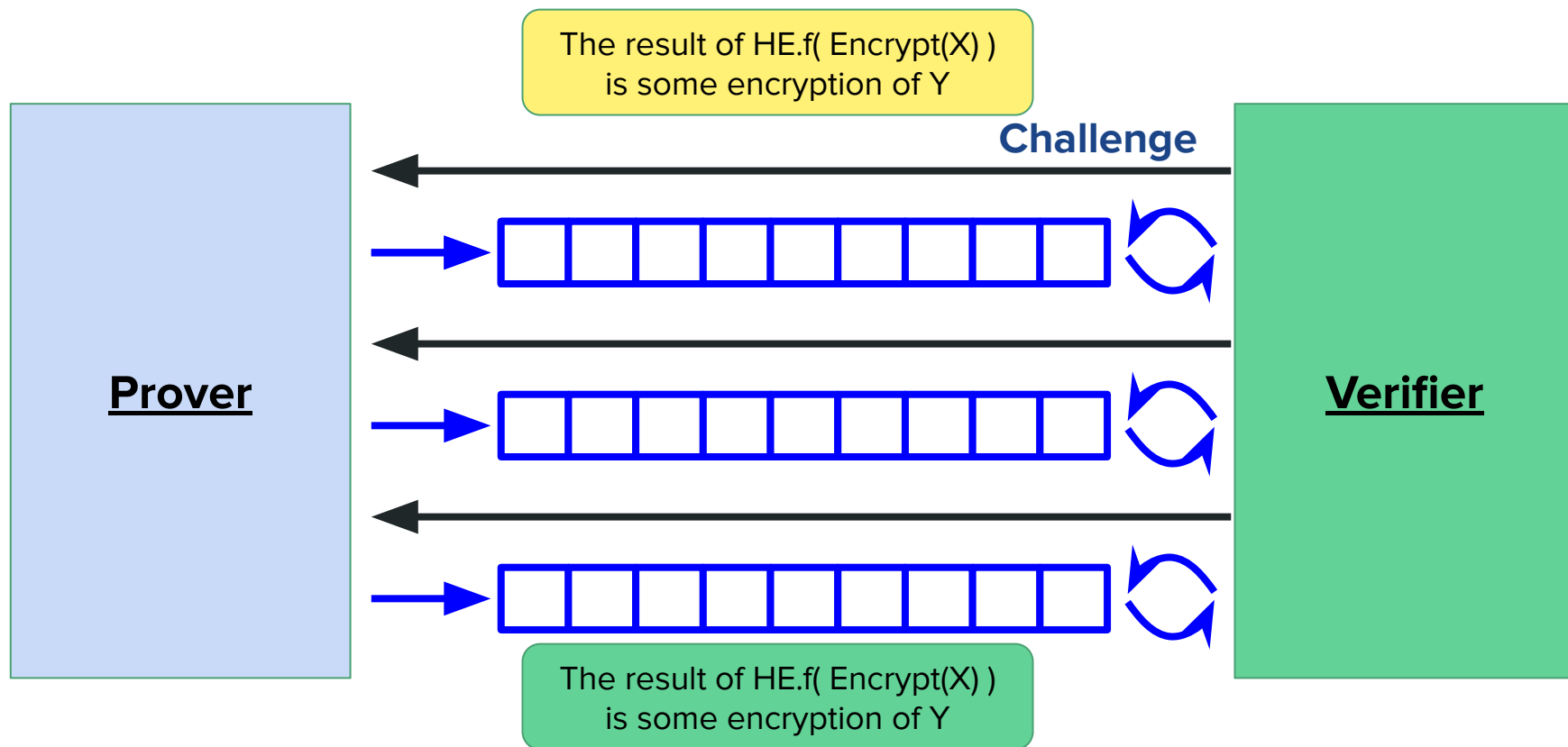
# HE Interactive Oracle Proof (HE-IOP)



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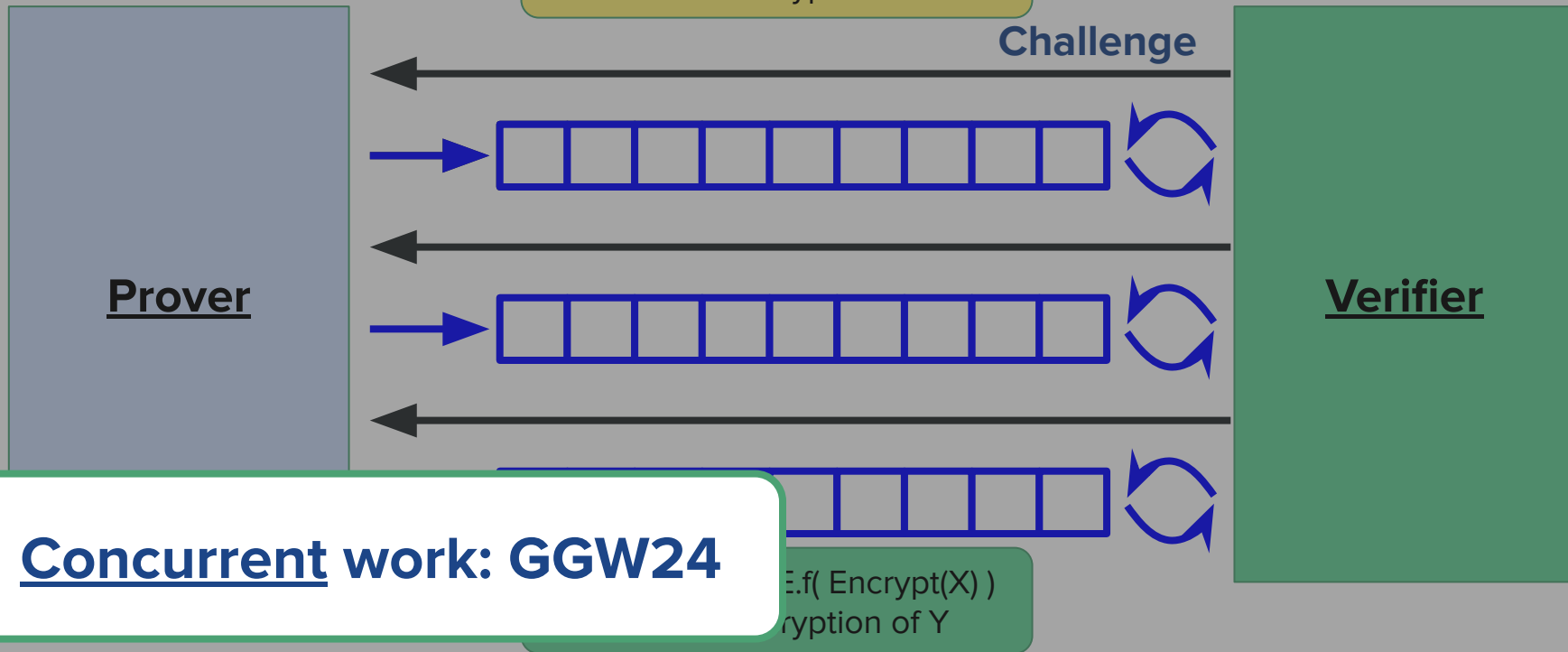


# HE Interactive Oracle Proof (HE-IOP)



# HE Interactive Oracle Proof (HE-IOP)

The result of  $\text{HE.f}(\text{Encrypt}(X))$   
is some encryption of  $Y$



# HE-IOPs

- We present a **generic reduction** from **HE-IOP** to the underlying **IOP**
- An **adversary** against the **HE-IOP** can be used against the underlying **IOP**
- Most parameters of the **IOP** are preserved
- We provide **zero-knowledge** (\*requires circuit privacy)

## HE-IOPs

- We present a
- An **adversary**
- Most paramet
- We provide ze

lying **IOP**

underlying **IOP**

**Why is this better than  
“HE the proof”?**

# Verifiable Computation

Proof systems typically require:

- Hash functions
- Large fields

# Homomorphic Encryption

Most efficient if working with:

- Rings or small fields
- Algebraic operations

\* considering plaintext operations

# Verifiable Computation

Proof systems typically require:

- ~~Hash functions~~ ✓
- Large fields ✗

# Homomorphic Encryption

Most efficient if working with:

- Rings or small fields ✗
- Algebraic operations ✓

\* considering plaintext operations



In practice

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We implement **HE-batched-FRI**: an **HE-IOP** version of  
(batched) **FRI** (**F**ast **R**eed-Solomon **I**OP of proximity)

We imple

**HE-FRI is not only an instance  
of an HE-IOP!**

rsion of

(batche

**FRI is often used to compile  
other IOPs!**

ximity)

# Practical challenge 1: The field

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# FRI

- Typically works with:

$$|\mathbb{F}_p| \approx 2^{256}$$

# HE-FRI

1. Extension field:

$$|\mathbb{F}_{p^d}| \approx 2^{256}$$

2. Efficiently implement it with a tower of extensions:

$$|\mathbb{F}_{p^{2^{2^2 \dots}}}| \approx 2^{256}$$

3. Tensoring:

- Each  $\mathbb{F}_{p^k}$  component in a different ciphertext

Table 3: Practical parameters for FRI based on the maximum size of the input polynomial  $d$ .

Maximum input size $\log_2(d)$	D	p	$\log_2(p)$	$\log_2( \mathbb{F}_{p^D} )$
15	16	65537	16.0	256.0
20	11	23068673	24.5	269.1
25	9	469762049	28.8	259.3
30	7	75161927681	36.1	252.9
35	7	206158430209	37.6	263.1
40	6	6597069766657	42.6	255.5
45	5	1337006139375617	50.2	251.2

HE schemes:

BGV/BFV ✓✓

FHEW/TFHE ✓ ⚠

CKKS ✗✗

# Verifiable Computation

Proof systems typically require:

- ~~Hash functions~~ ✓
- Large fields ✓

# Homomorphic Encryption

Most efficient if working with:

- Rings or small fields ✓
- Algebraic operations ✓

\* considering plaintext operations

# Verifiable Computation

Proof systems typically require:

- ~~Hash function~~
- Large fields

# Homomorphic Encryption

Most efficient if working with:

- all fields ✓
- operations ✓

plaintext operations

**All problems solved?**



# FRI

- ~~Hash functions~~ ✓
- Large fields ✓
- Deep
- Requirements for ZK

# Homomorphic Encryption

Most efficient if working with:

- Rings or small fields ✓
- Algebraic operations ✓
- Small depth
- Batched computation

\* considering plaintext operations

# Practical challenge 2: The depth

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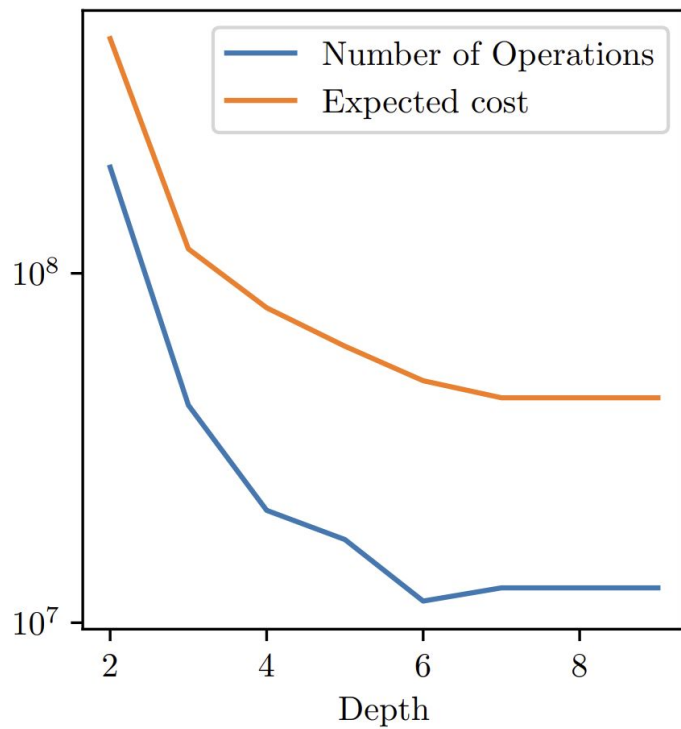
## Shallow RS Encoding

- Low-depths NTTs are broadly used in HE
- **Depth:** from  $O(\log(n))$  to **2**
- **Cost:** from  $O(n \log n)$  to  $O(n\sqrt{n})$

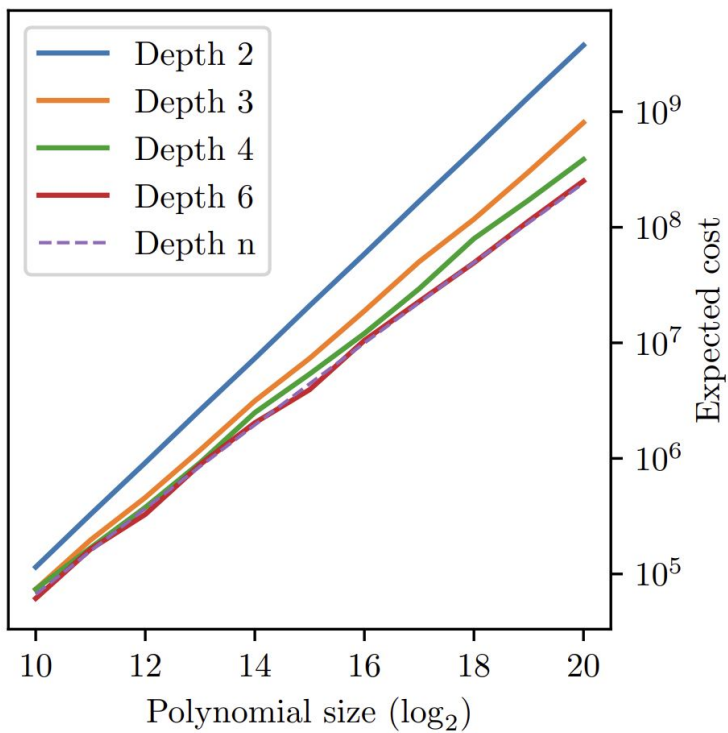
## Shallow Folding

- **Does not** change overall complexity!
- **Depth:** from  $O(\log(n))$  to **1**
- **Cost:** from  $O(n)$  to  $O(n \log n)$

Everything is **configurable!** Cost and depth are trade-offs.



(a) NTT for an input of size  $2^{18}$



(b) Optimal expected cost

# FRI

- ~~Hash functions~~ ✓
- Large fields ✓
- Deep ✓
- Requirements for ZK

# Homomorphic Encryption

Most efficient if working with:

- Rings or small fields ✓
- Algebraic operations ✓
- Small depth ✓
- Batched computation

\* considering plaintext operations

# Practical challenge 3: ZK and HE overhead

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# HE Packing

Plaintext space:  $\mathcal{R}_p \mapsto \mathbb{F}_p \times \mathbb{F}_p \times \cdots \times \mathbb{F}_p$

**Problem** - On each check:

- The verifier **wants** to learn just **2 points** (performance)
- The prover **doesn't want** the verifier to learn more than **2 points** (ZK)
- HE packing provides at least  **$N = 2^{12}$  points**

## Repack and (optionally) decompose

Parameter Set	$k$	N	$\log_2(q)$	Size (bytes)	Decryption Cost
$\mathfrak{P}_0$	1	512	12	8192	5120
$\mathfrak{P}_1$	2	512	25	12288	5632
$\mathfrak{P}_2$	1	1024		16384	11264
$\mathfrak{P}_3$	4	512	52	20480	6656
$\mathfrak{P}_4$	2	1024		24576	12288
$\mathfrak{P}_5$	1	2048		32768	24576

Solves HE overhead: The verifier can have HE parameters independent of the circuit (in practice)



# HE Packing

Plaintext space:  $\mathcal{R}_p \mapsto \mathbb{F}_p \times \mathbb{F}_p \times \cdots \times \mathbb{F}_p$

Problem - On each check:

- The verifier **wants** to learn just **2 points** (performance)
- The prover **doesn't want** the verifier to learn more than **2 points** (ZK)
- ~~HE packing provides at least  **$N = 2^{12}$  points**~~
- (repacked) HE packing provides **2 points**

# FRI

- ~~Hash functions~~ ✓
- Large fields ✓
- Deep ✓
- Requirements for ZK ✓

# Homomorphic Encryption

Most efficient if working with:

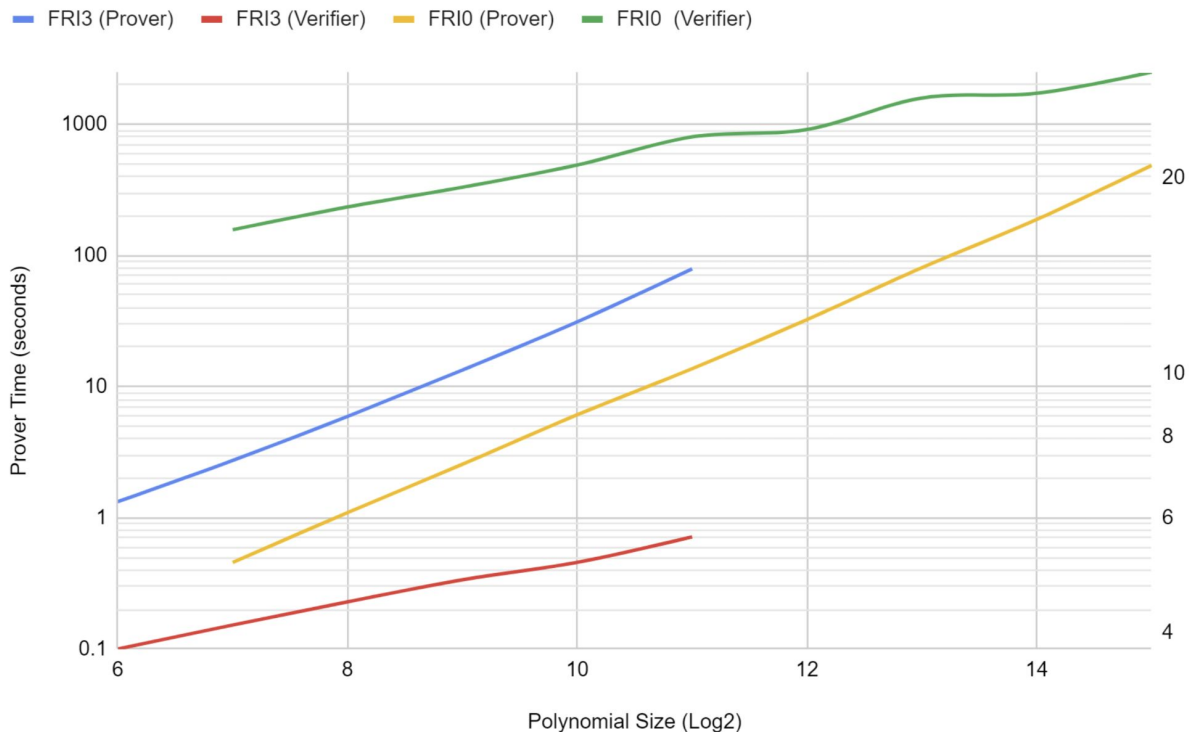
- Rings or small fields ✓
- Algebraic operations ✓
- Small depth ✓
- Batched computation ✓

\* considering plaintext operations

# Results

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# Results for 4096 batched polynomials



Prover: up to 32 threads - Verifier: single-threaded

For up to  $2^{11}$ :

FRI0 (optimized for prover):

- P time: 0.2 – 5.45s
- V time: 7.08 – 12.29 ms
- Memory: 0.5 – 3.7 GB

FRI3 (optimized for verifier):

- P time: 2.74 – 78.98 s
- V time: 4.10 – 5.61 ms
- Memory: 2.0 – 23.7 GB

# Implementation

- Batched for 4096 or 8192 polynomials
- **Non-interactive** (Fiat-Shamir using BLAKE3)
- **Python** with optimizations in **C/CPP**
- **Publicly available:** <https://github.com/antoniocgj/HELIOPOLIS>
- Artifact accepted: **IACR Results Reproduced**



<https://eprint.iacr.org/2023/1949>



<https://github.com/antoniocgj/HELIOPOLIS>

# Thank you!



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\*\*\*\*  
**imdea**  
software



Technology  
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We would like to thank Zvika Brakerski for comments about our repacking optimization for the HE-Batched-FRI protocol. We also want to thank Alexander R. Block, Albert Garreta, Jonathan Katz, Justin Thaler, Pratyush Ranjan Tiwari and Michał Zając for a useful conversation about their work [BGK+23] and confirming that their analysis does not require finite fields to be prime. This work was partly done while A. Guimarães was a Ph.D. student at University of Campinas, Brazil. He was supported by the São Paulo Research Foundation under grants 2013/082937, 2019/12783-6, and 2021/09849-5. This work is partially funded by the European Union (GA 101096435). Views and opinions expressed are however those of the author(s) only and do not necessarily reflect those of the European Union or the European Commission. Neither the European Union nor the European Commission can be held responsible for them.

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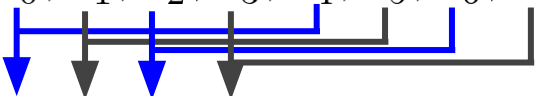
# FRI Folding

Depth

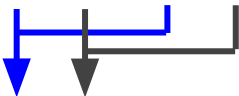
$$f^{(0)} = [v_0, v_1, v_2, v_3, v_4, v_5, v_6, v_7, v_8, v_9, v_{10}, v_{11}, v_{12}, v_{13}, v_{14}, v_{15}]$$



$$f^{(1)} = [v_0^1, v_1^1, v_2^1, v_3^1, v_4^1, v_5^1, v_6^1, v_7^1]$$



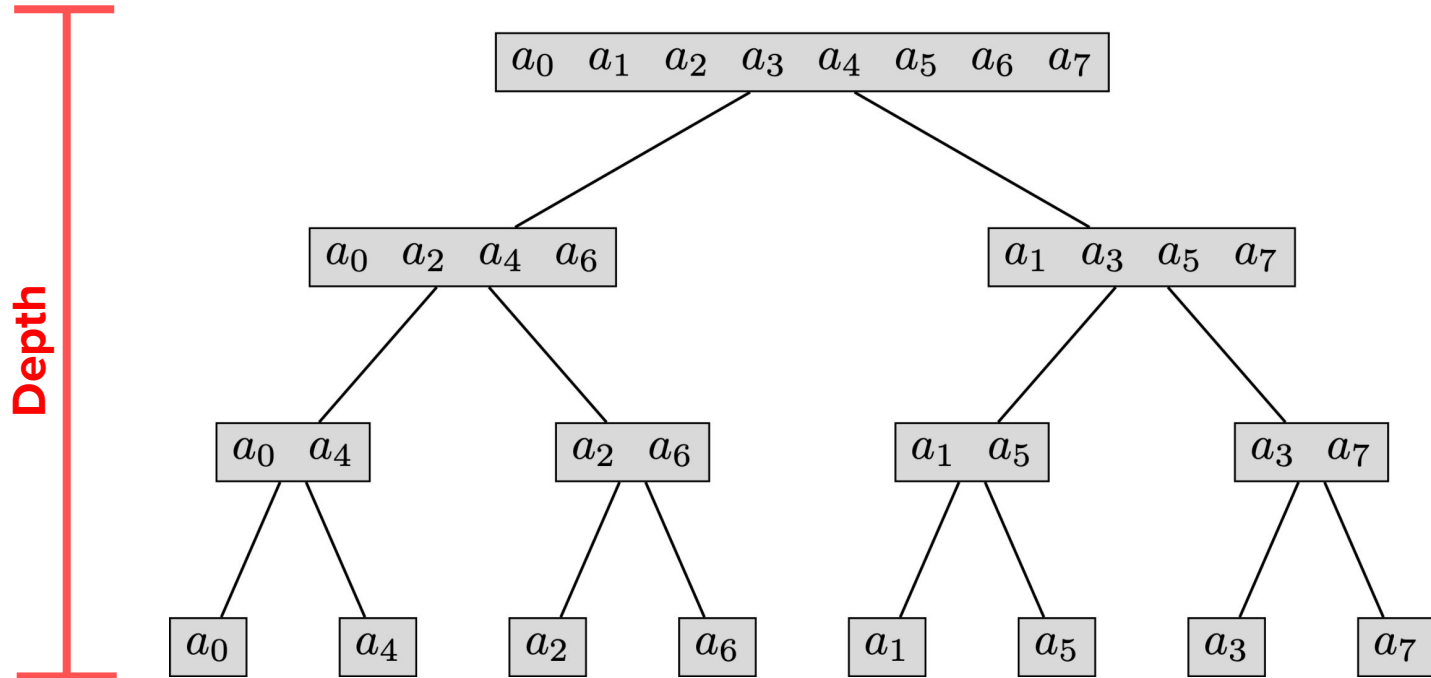
$$f^{(2)} = [v_0^2, v_1^2, v_2^2, v_3^2]$$



$$f^{(3)} = [v_0^3, v_1^3]$$



# Reed-Solomon encoding



# FRI

