

Code-Based Zero-Knowledge from VOLE-in-the-Head and Their Applications: Simpler, Faster, and Smaller

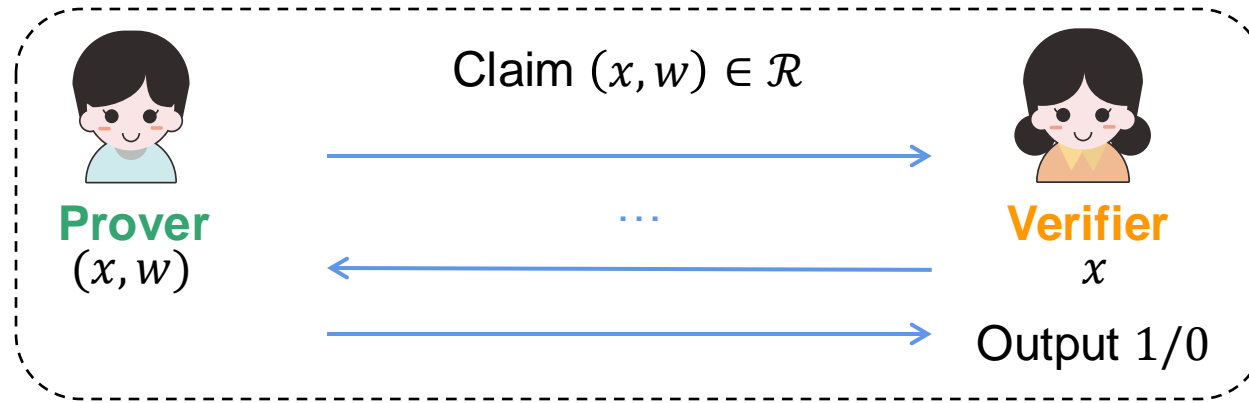
Ying Ouyang Deng Tang Yanhong Xu

ASIACRYPT 2024

Background



Zero Knowledge Proofs



- **Completeness:** Verifier always accepts a valid proof.
- **Knowledge Soundness:** If Verifier accepts a proof, then Prover must know a valid witness w .
- **Zero-Knowledge:** Verifier learns nothing about w except $(x, w) \in \mathcal{R}$.

Applications of zero-knowledge proofs

- Privacy-preserving systems such as:
 - Ring signatures (RS)
 - Group signatures (GS)
 - Attribute-based signatures (ABS), ...
- Standard signatures

Code-Based Zero Knowledge Protocols

Stern's ZK [Stern96]

- $He = y$
- e has some specific structure

- ✓ Standard Sig.
 - ✓ Privacy-preserving Sig.
- [NTW+19, NNS+21, BGK+23, LNP+24, WCD+24, ...]



Large soundness error (2/3)
128-bit security: 219 times
256-bit security: 438 times

MPCitH [IKOS09]

- $C(w) = 1$
- Need a method to share w

- ✓ Standard Sig. [FJR22, CCJ23, MGH+23, MHJ+23, FR23, BCC+24, ARV23, BFG+24, CLY+24, ...]



Privacy-preserving Sig.

VOLEitH [BBG+23]

- $C(w) = 1$ or
- $\begin{cases} f_1(w) = 0 \\ \dots \\ f_t(w) = 0 \end{cases}$

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Can we build code-based privacy-preserving systems from VOLEitH?

Difficulties in Designing Code-Based Privacy-Preserving Systems

- Nguyen et al. [NTW+19AC] built a Merkle-tree accumulator, which employs the following regular encoding.
- Toy Example, it maps c bits to 2^c bits.
 - (00) is encoded to (1000).
 - (01) is encoded to (0100).
 - (10) is encoded to (0010).
 - (11) is encoded to (0001).
- Nguyen et al. designed a dedicated Stern-type ZK for proving the correct regular encoding process.

Unit Vectors

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Unit Vectors

We aim to prove the correct regular encoding process within VOLEitH framework.

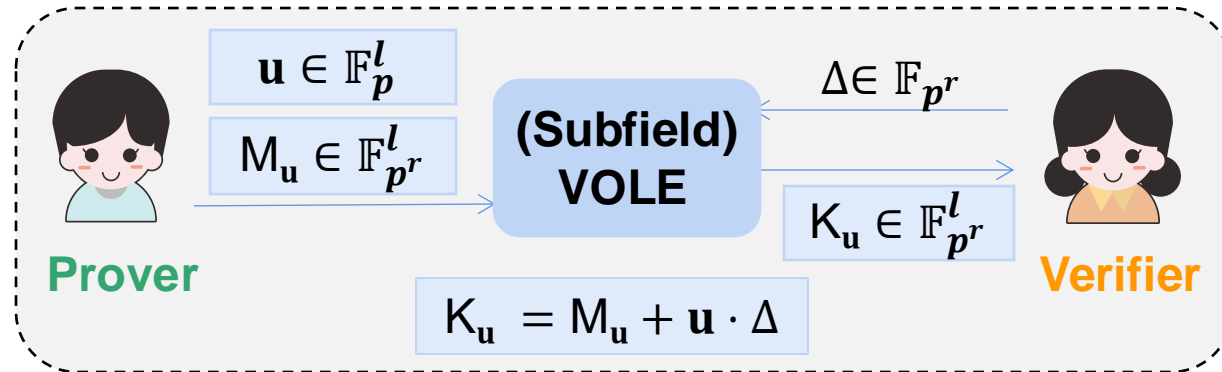
Recap:

VOLEith Proof System



VOLE-based Zero Knowledge Proof

Linearly homomorphic commitment from VOLE:



We define this VOLE correlation by $[u]$.

Linear homomorphism:

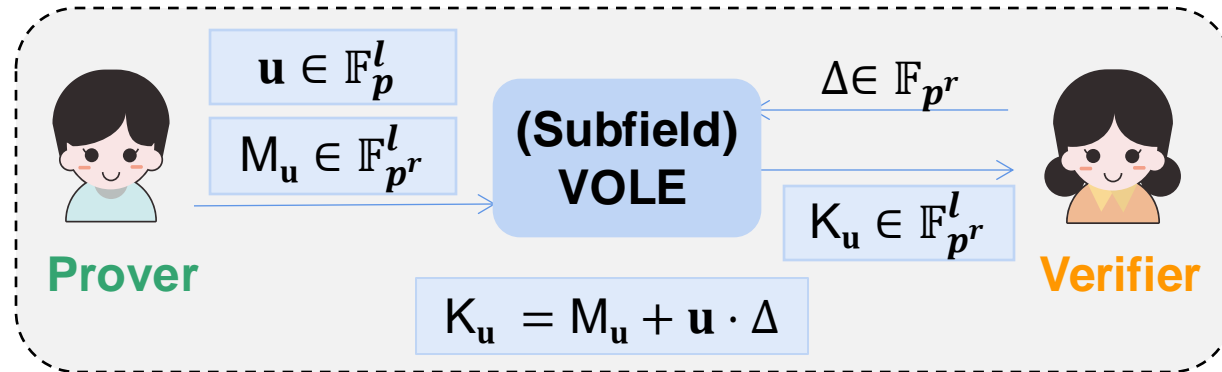
Given $[x]$, $[y]$, then $[z] = [ax + y]$

is obtained by

$$\underbrace{(aK_x + K_y)}_{K_z} = \underbrace{(aM_x + M_y)}_{M_z} + \underbrace{(ax + y)}_z \cdot \Delta$$

VOLE-based Zero Knowledge Proof

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Commit to w :

$d = w - u$

locally compute $[w]$

Open:

w, M_w

verify that $K_w = M_w + w \cdot \Delta$

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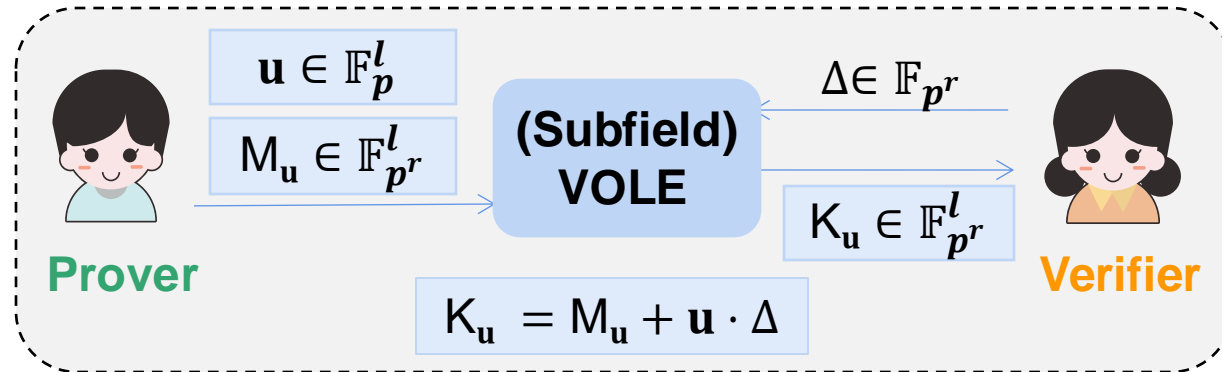
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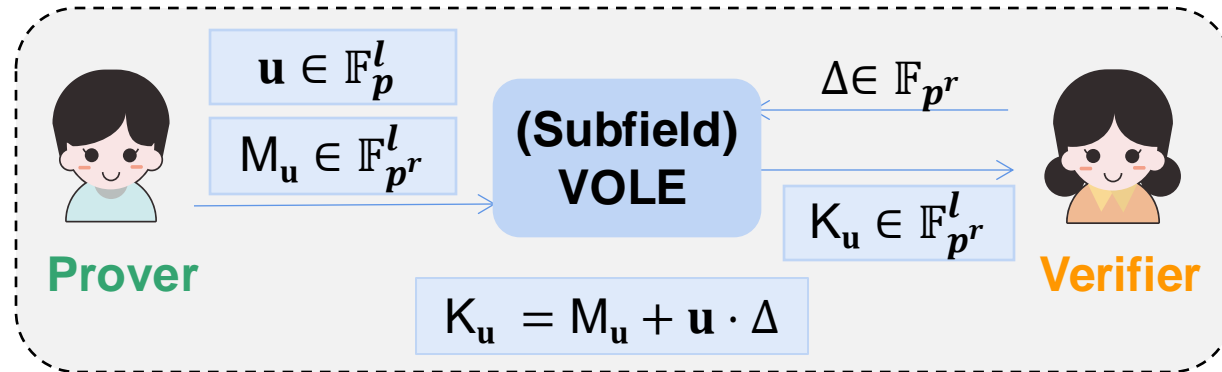
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Proving degree-2 polynomial constraints: $w_1 \cdot w_2 = w_3$

1. The prover commits to w_1, w_2, w_3 ;
2. How to prove it?

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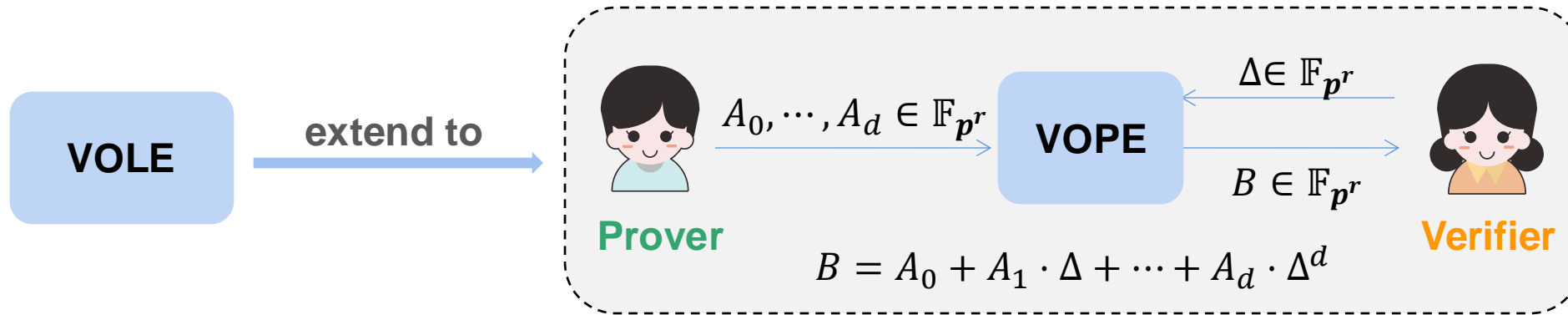
Proving degree-2 polynomial constraints: $w_1 \cdot w_2 = w_3$

1. The prover commits to w_1, w_2, w_3 ;
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$$\begin{aligned} B &= \underbrace{K_1 \cdot K_2 - K_3 \cdot \Delta}_{\text{know to } \mathcal{V}} \\ &= \underbrace{M_1 \cdot M_2}_{\text{know to } \mathcal{P}} + \underbrace{(M_2 \cdot w_1 + M_1 \cdot w_2 - M_3)}_{\text{know to } \mathcal{P}} \cdot \Delta + \underbrace{(w_1 \cdot w_2 - w_3)}_{\text{0 if } \mathcal{P} \text{ is honest}} \cdot \Delta^2 \\ &= A_0 + A_1 \cdot \Delta \end{aligned}$$

VOLE-based Zero Knowledge Proof

Vector oblivious polynomial evaluation (VOPE):



Extension to prove degree-d polynomial constraints:

degree-separate form: $f(w_1, \dots, w_l) = \sum_{h \in [0, d]} g_h(w_1, \dots, w_l) = 0$

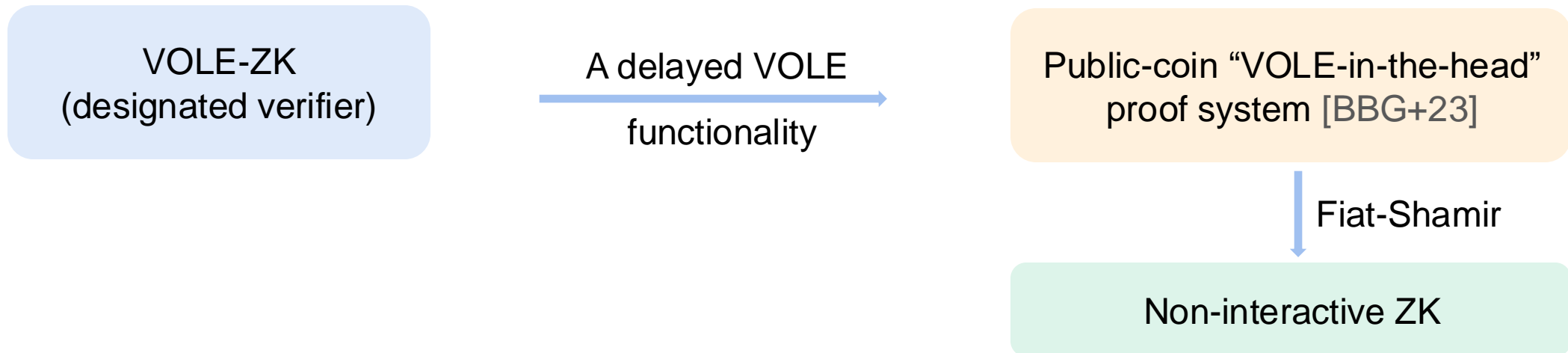
$$\begin{aligned}
 B &= \underbrace{\sum_{h=0}^d g_h(K_1, \dots, K_l) \cdot \Delta^{d-h}}_{\text{know to } \mathcal{V}} = \sum_{h=0}^d g_h(M_1 + w_1 \cdot \Delta, \dots, M_l + w_l \cdot \Delta) \cdot \Delta^{d-h} \\
 &= \underbrace{f(w_1, \dots, w_l) \cdot \Delta^d}_{0 \text{ if } \mathcal{P} \text{ is honest}} + \underbrace{A_0}_{\mathcal{P}} + \underbrace{A_1}_{\mathcal{P}} \cdot \Delta + \dots + \underbrace{A_{d-1}}_{\text{known to } \mathcal{P}} \cdot \Delta^{d-1}
 \end{aligned}$$

VOLE-in-the-Head

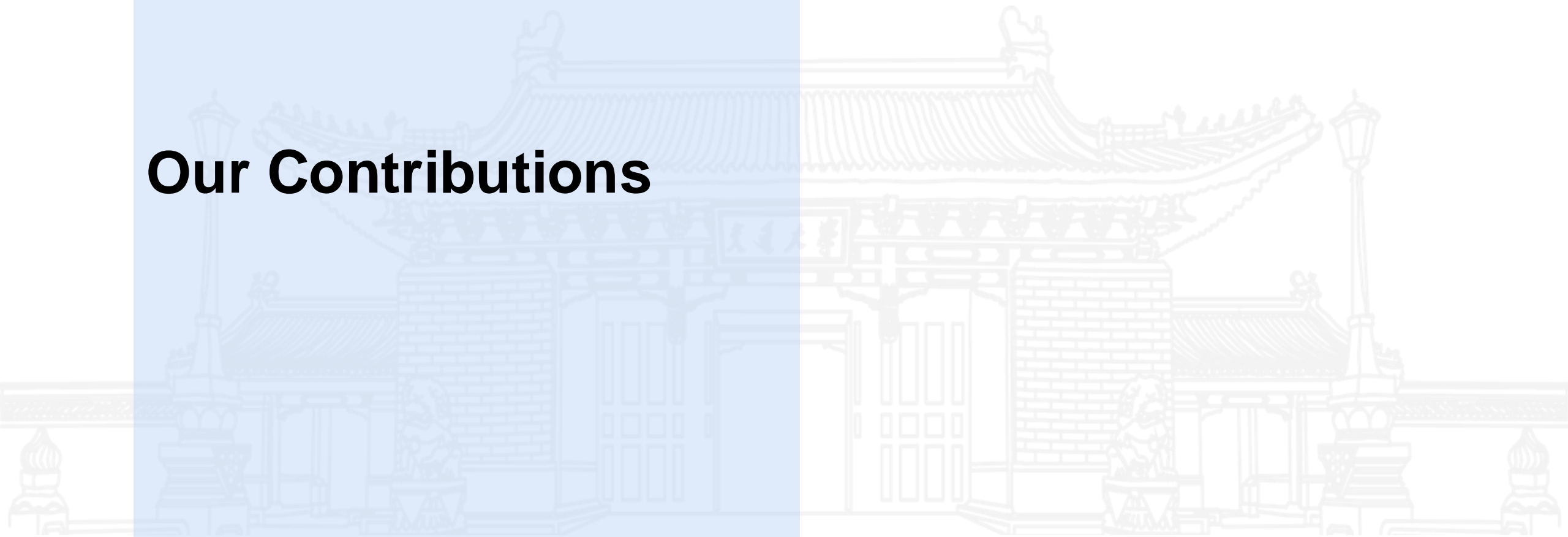
● VOLE-ZK

- **Pros:** Information-theoretic (after VOLE setup) Fast prover Small memory
- **Cons:** Linear proof size Designated verifier

● VOLE-in-the-Head: add public verifiability



Our Contributions



Summary of Our Contributions

- A novel ZK protocol for proving the correctness of a regular encoding process
- New ZK protocols for concrete code-based relations
 - ZK arguments of knowledge (ZKAoK) of a valid opening
 - ZKAoK of an accumulated value
 - ZKAoK of a plaintext
- Develop several code-based privacy-preserving primitives
 - Efficient RS, GS, and fully dynamic ABS (FDABS)
 - Achieve signature sizes **two to three orders of magnitude smaller** than Stern-type constructions
- New standard signature
 - Based on regular syndrome decoding problem
 - With “public key + signature size” 3.05 KB for 128-bit security

ZK for Proving the Correctness of a Regular Encoding Process

Regular Encoding Function

$$\text{RE: } \{0,1\}^c \rightarrow \{0,1\}^{2^c}$$

Input: c bit binary vector $\mathbf{x} = (x_1, x_2, \dots, x_c)$

Output: 2^c bit **unit vector** $\mathbf{y} = (y_1, y_2, \dots, y_{2^c}) = \text{RE}(\mathbf{x})$



Key
Observation

RE: $\{0,1\}^c \rightarrow \{0,1\}^{2^c}$ can be seen as 2^c c -variate Boolean functions.

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Can we express each y_j explicitly
using (x_1, x_2, \dots, x_c) ?



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YES

ZK for Proving the Correctness of a Regular Encoding Process

Toy Example: $c = 2$

Input		RE	Output			
x_1	x_2		y_1	y_2	y_3	y_4
0	0	→	1	0	0	0
0	1		0	1	0	0
1	0		0	0	1	0
1	1		0	0	0	1

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$$y_1 = f_{(0,0)}(x_1, x_2) = (1 + x_1) \cdot (1 + x_2)$$

$$y_2 = f_{(0,1)}(x_1, x_2) = (1 + x_1) \cdot x_2$$

$$y_3 = f_{(1,0)}(x_1, x_2) = x_1 \cdot (1 + x_2)$$

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$$y_j \triangleq f_{(j_1, \dots, j_c)}(x_1, \dots, x_c) = \prod_{i=1}^c (1 + j_i + x_i), \text{ where } (j_1, \dots, j_c) = \text{bin}(j - 1)$$



We have transformed the regular encoding process into 2^c degree- c c -variate polynomial relations.

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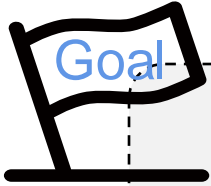
$$y_j \triangleq f_{(j_1, \dots, j_c)}(x_1, \dots, x_c) = \prod_{i=1}^c (x_i^{j_i} + 1)$$

Thus, can be proven efficiently using VOLEitH proof system.



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ZK Arguments of Knowledge of a Valid Opening



Consider the commitment scheme by Nguyen et al. [NTWZ19AC].

\mathcal{P} is to prove the knowledge of $\mathbf{x} \in \mathbb{F}_2^L$ and $\mathbf{r} \in \mathbb{F}_2^k$ such that

$$\mathbf{c} = \mathbf{C}_0 \cdot \text{RE}(\mathbf{x}) \oplus \mathbf{C}_1 \cdot \text{RE}(\mathbf{r}) \quad (1)$$

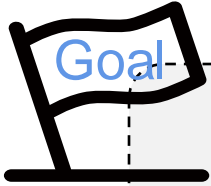
\mathbf{x} : message

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$\mathbf{C}_0, \mathbf{C}_1$: public matrices param.

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RE functions are **degree- c polynomial** relations

Matrix multiplication is a **linear operation**



Equation (1) is equivalent to some degree- c polynomial constraints

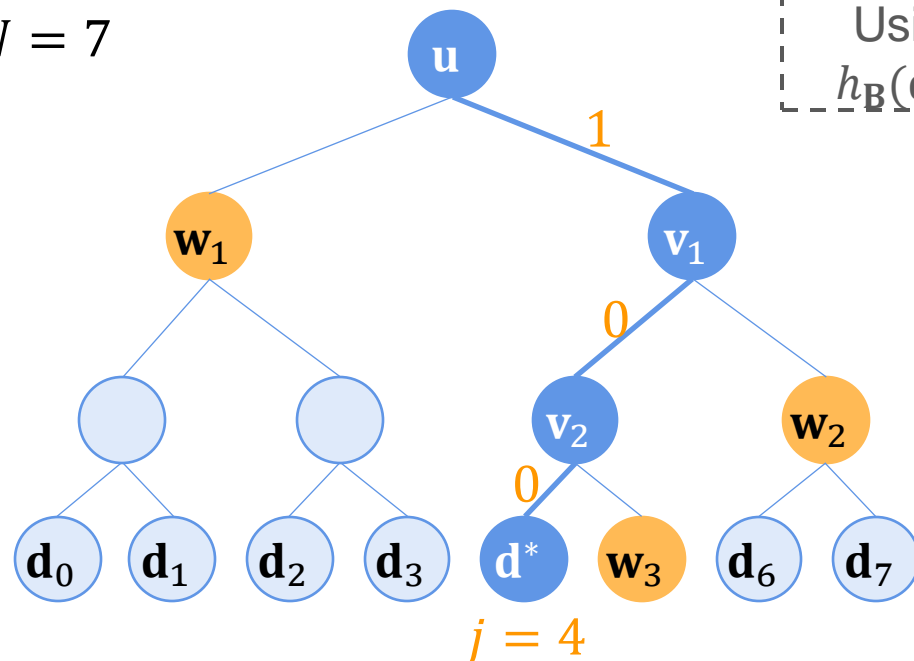
ZK Arguments of Knowledge of an Accumulated Value



Consider the accumulator by Nguyen et al. [NTWZ19AC].

\mathcal{P} is to prove the knowledge of \mathbf{d}^* which was accumulated in a value \mathbf{u} .

Toy Example: $l = 3, N = 7$

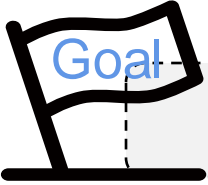


Using the modified AFS hash function:
 $h_{\mathbf{B}}(\mathbf{d}_0, \mathbf{d}_1) = \mathbf{B}_0 \cdot \text{RE}(\mathbf{d}_0) \oplus \mathbf{B}_1 \cdot \text{RE}(\mathbf{d}_1)$

$$\text{bin}(j) = (j_1, j_2, j_3) = (100)$$

Code-base Merkle-tree Accumulator

ZK Arguments of Knowledge of an Accumulated Value



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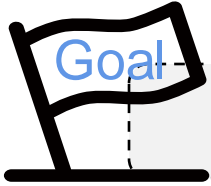
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\mathcal{P} wants to prove knowledge of $j_1, \dots, j_\ell, \mathbf{v}_1, \dots, \mathbf{v}_\ell = \mathbf{d}^*, \mathbf{w}_1, \dots, \mathbf{w}_\ell$ such that

$$\begin{aligned} \bar{j}_1 \cdot (\mathbf{B}_0 \cdot \text{RE}(\mathbf{v}_1) \oplus \mathbf{B}_1 \cdot \text{RE}(\mathbf{w}_1)) + j_1 \cdot (\mathbf{B}_0 \cdot \text{RE}(\mathbf{w}_1) \oplus \mathbf{B}_1 \cdot \text{RE}(\mathbf{v}_1)) &= \mathbf{u}, \\ \bar{j}_2 \cdot (\mathbf{B}_0 \cdot \text{RE}(\mathbf{v}_2) \oplus \mathbf{B}_1 \cdot \text{RE}(\mathbf{w}_2)) + j_2 \cdot (\mathbf{B}_0 \cdot \text{RE}(\mathbf{w}_2) \oplus \mathbf{B}_1 \cdot \text{RE}(\mathbf{v}_2)) &= \mathbf{v}_1, \\ &\dots \\ \bar{j}_\ell \cdot (\mathbf{B}_0 \cdot \text{RE}(\mathbf{v}_\ell) \oplus \mathbf{B}_1 \cdot \text{RE}(\mathbf{w}_\ell)) + j_\ell \cdot (\mathbf{B}_0 \cdot \text{RE}(\mathbf{w}_\ell) \oplus \mathbf{B}_1 \cdot \text{RE}(\mathbf{v}_\ell)) &= \mathbf{v}_{\ell-1}. \end{aligned} \quad (2)$$

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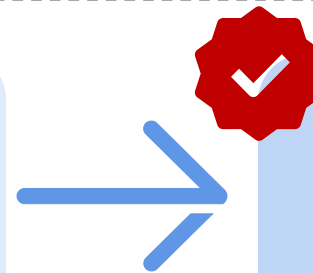
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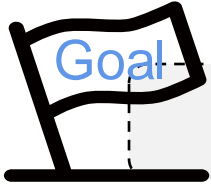
Matrix
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Equation (2)
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...

$$\bar{j}_\ell \cdot (\mathbf{B}_0 \cdot \text{RE}(\mathbf{v}_\ell) \oplus \mathbf{B}_1 \cdot \text{RE}(\mathbf{w}_\ell)) + j_\ell \cdot (\mathbf{B}_0 \cdot \text{RE}(\mathbf{w}_\ell) \oplus \mathbf{B}_1 \cdot \text{RE}(\mathbf{v}_\ell))$$

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RE functions are **degree- c polynomial** relations

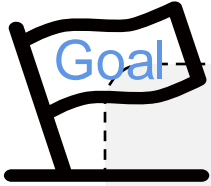
Matrix multiplication is a **linear operation**

j_1, \dots, j_ℓ are also **witnesses**



Equation (2) are equivalent to some degree- $(c + 1)$ polynomial constraints

ZK Arguments of Knowledge of a Plaintext



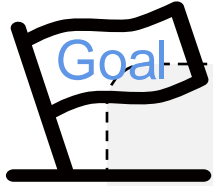
Consider a variant of McEliece encryption scheme.

\mathcal{P} wants to prove knowledge of \mathbf{u} , \mathbf{m} and \mathbf{e} such that

$$\mathbf{c} = \mathbf{G} \cdot \begin{pmatrix} \mathbf{u} \\ \mathbf{m} \end{pmatrix} \oplus \text{RE}(\mathbf{e}) \quad (3)$$

\mathbf{u} : randomness
 \mathbf{m} : message
 \mathbf{e} : noise
 \mathbf{c} : ciphertext
 \mathbf{G} : pk

ZK Arguments of Knowledge of a Plaintext



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RE can be transformed into degree- c polynomial relations

Matrix multiplication is a linear operation



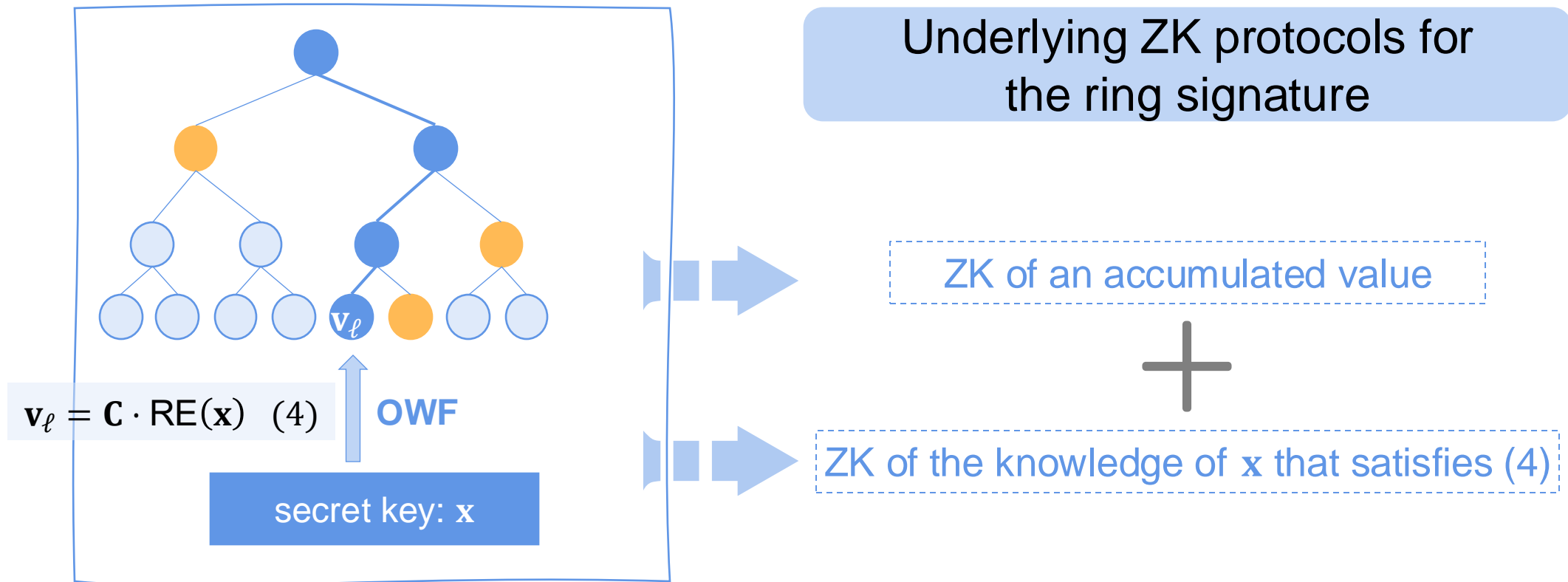
Equation (3) is equivalent to some degree- c polynomial constraints

Thus, can be proven efficiently using VOLEitH proof system.

Applications



Ring Signatures [NTWZ19AC]



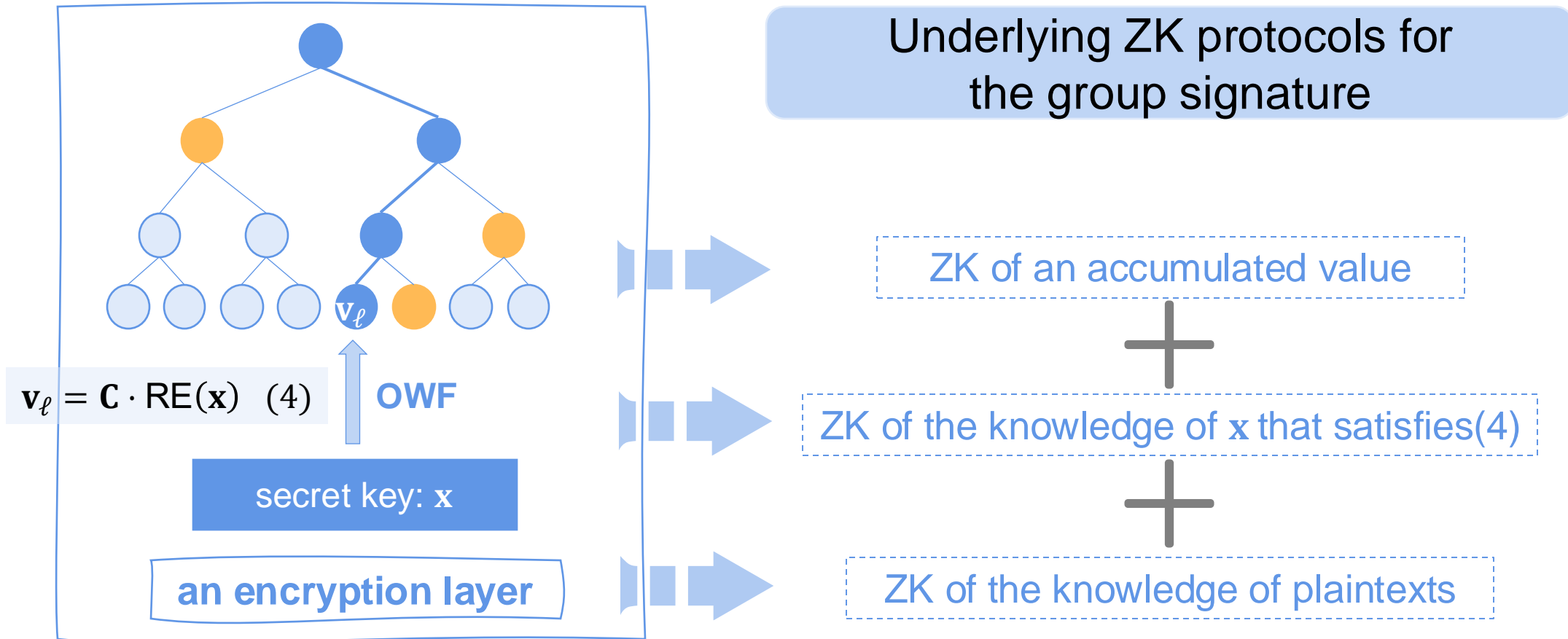
Our Ring Signatures

We replace the stern-like ZK for the ring signature [NTWZ19AC] with our ZK, obtaining a new RS with **much smaller signature sizes**.

Ring size	128-bit security		256-bit security	
	This paper (KB)	Stern-type (MB)	This paper (KB)	Stern-type (MB)
2^5	35.12	32.26	140.24	129.04
2^7	45.12	43.93	180.25	175.74
2^{10}	60.13	61.44	240.26	245.78
2^{15}	85.14	90.63	340.28	362.51
2^{20}	110.15	119.81	440.30	479.25
2^{30}	160.17	178.18	640.34	712.72

934 × ~1140 ×

Group Signatures [NTWZ19AC]



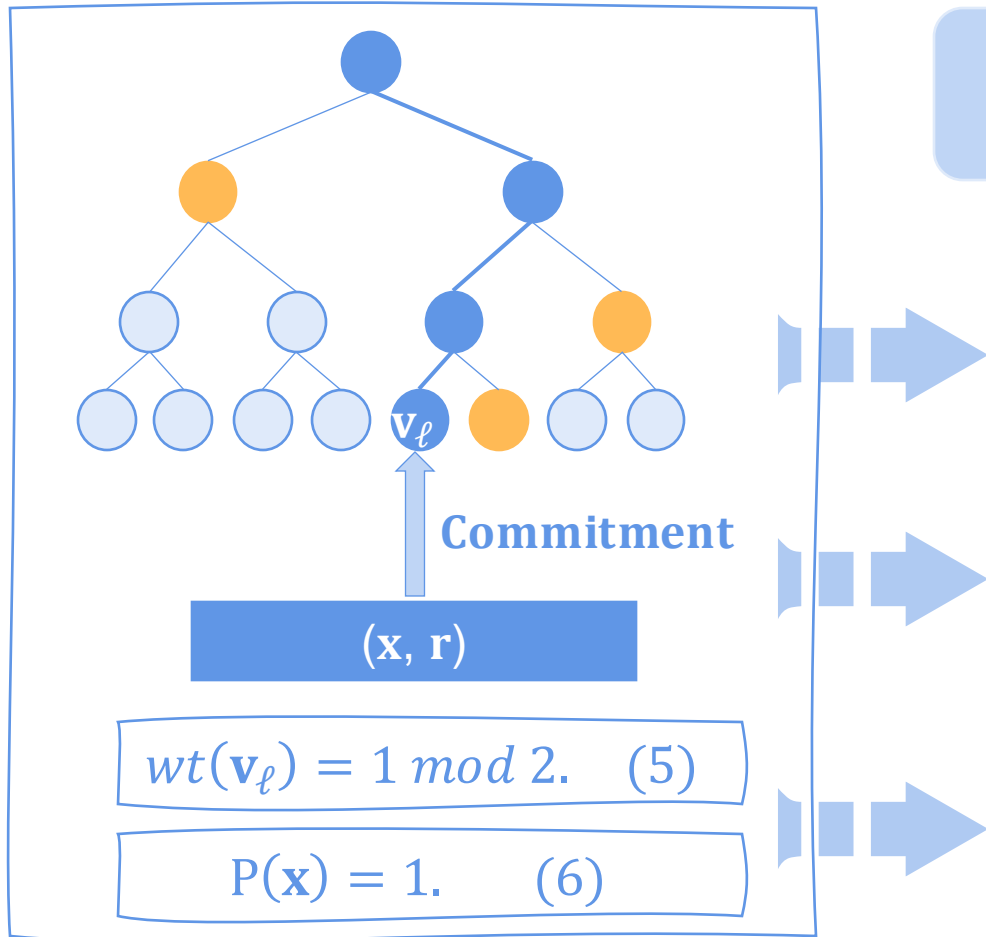
Our Group Signatures

We replace the stern-like ZK for the group signature scheme [NTWZ19AC] using our ZK, obtaining a new GS with **much smaller signature sizes**.

Group size	128-bit security		256-bit security	
	This paper (KB)	Stern-type (MB)	This paper (KB)	Stern-type (MB)
2^5	49.60	33.27	197.19	133.02
2^7	59.60	44.94	237.19	179.72
2^{10}	74.59	52.45	297.18	249.76
2^{15}	99.58	91.63	397.16	366.50
2^{20}	124.57	120.82	497.14	483.23
2^{30}	174.55	179.18	687.10	716.70

683 × ~1053 ×

Fully Dynamic Attribute-Based Signatures [LNP+24PKC]



Underlying ZK protocols for the FDABS scheme

ZK of an accumulated value

+

ZK of a valid opening

+

ZK protocols for (5)

ZK protocols for (6)

Our Fully Dynamic Attribute-Based Signatures

We replace the stern-like ZK for the FDABS scheme [LNP+24PKC] using our ZK, obtaining a new FDABS with **much smaller signature sizes**.

2^l denotes the maximum number of attributes; K denotes the size of the circuit P .

$(2^l, K)$	128-bit security		256-bit security	
	This paper (KB)	Stern-type (MB)	This paper (KB)	Stern-type (MB)
$(2^{10}, 2^9)$	59.38	45.41	234.76	181.29
$(2^{10}, 2^{16})$	186.38	52.20	488.76	194.87
$(2^{15}, 2^9)$	84.39	67.30	334.78	268.85
$(2^{15}, 2^{16})$	211.39	74.08	588.78	282.43
$(2^{20}, 2^9)$	109.40	89.18	434.80	356.40
$(2^{20}, 2^{16})$	236.40	95.97	688.80	369.98

783 × ~839 ×

Comparison with Other Post-Quantum Constructions

- Focus on 128-bit security and ring/group size 2^{10} .
- For FDABS, choose $2^l = 2^{10}$, $K = 29$.

Schemes	Code-based		Hash-based [KKW18]	Lattice-based [LN22]	
	This paper	Stern-type			
RS	60 KB	61 MB [NTW+19]	61 KB [LW24]	388 KB (240 KB)	13 KB
GS	75 KB	63 MB [NTW+19]	121 KB* [LW24]	418 KB** (297 KB)	18 KB*
FDABS	62 KB	46 MB [LNP+24]	-	-	-

* : They only achieve CPA-anonymity.

** : It only achieves selfless anonymity

A Standard Signature from VOLEith

A canonical paradigm in signatures

a public coin ZK proof
for one-way functions

Fiat-Shamir

signatures

- Choose regular syndrome decoding problem

Let $m = \frac{n}{c} \cdot 2^c$.

- Verification key: $\mathbf{B} \in \{0,1\}^{n \times m}$ and $\mathbf{y} \in \{0,1\}^n$.
- Secret Key: $\mathbf{x} \in \{0,1\}^m$ such that

$$\mathbf{B} \cdot \text{RE}(\mathbf{x}) = \mathbf{y}. \quad (4)$$

- To sign a message: the signer proves knowledge of \mathbf{x} that satisfies (4). This can be achieved using our ZK technique.

Comparison with the Scheme [CLY+24]

- [CLY+24] is also based on RSD problem.
- Different method to prove that a given vector is regular within VOLEitH framework.
- **Note: they do not involve proving the regular encoding process.**

Scheme parameters		Signature sizes in bytes			
		CLY+24	$c = 2$	$c = 3$	$c = 4$
$\tau = 14$	$T_{open} = -$	4082	4572(+12.0%)	4026(-1.4%)	4040(-1.0%)
	$T_{open} = 112$	3826	4316(+12.9%)	3770(-1.5%)	3784(-1.1%)
$\tau = 10$	$T_{open} = -$	3510	3860(+10.0%)	3470(-1.1%)	3480(-0.9%)
	$T_{open} = 102$	3094	3444(+11.3%)	3054(-1.3%)	3064(-1.0%)

- Adapt optimizations from Baum et al. [BBM+24]: set the same value of T_{open} .
- When $c = 3$, **slightly smaller** signature size.

Thank you

Q & A



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