# On the Spinor Genus and the Distinguishing Lattice Isomorphism Problem

Cong Ling & Jingbo Liu & Andrew Mendelsohn 13/12/2024

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Are there more relations? Yes!

In this talk: we discuss when a certain invariant (the 'spinor genus') is useful for solving LIP.

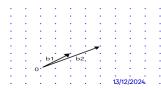
Why? vWD21 developed a KEM and signature scheme from LIP. ALW24 developed PKE. DPPvW22 developed an optimised signature scheme, HAWK, based on search LIP on rank-2 Hermitian module lattices. Submitted to NIST's PQC standardisation process.

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Lattice isomorphism:  $\mathcal{L} \cong \mathcal{L}' \Leftrightarrow$  there exists  $O \in O_n(\mathbb{R}) : \mathcal{L}' = O \cdot \mathcal{L}$ .

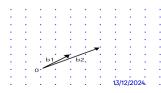


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$$\begin{split} & \text{Classes: } \mathcal{L} \sim \mathcal{L}' \Leftrightarrow \mathcal{L}' \cong \mathcal{L}. \\ & \text{Then } \{\text{Integer Lattices}\} / \sim \text{partitions the set into equivalence classes.} \\ & \text{Write } \mathcal{L} \in [\mathcal{L}']. \end{split}$$



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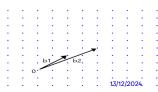
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 $\begin{array}{l} \mbox{Search LIP: find } U\in GL_n(\mathbb{Z}) \mbox{ and } O\in O_n(\mathbb{R}) \mbox{ with } B'=OBU. \\ \mbox{Ask for } U \mbox{ since } OB=B'\Rightarrow O=B'B^{-1}. \end{array}$ 

**Decision** LIP: decide if a pair (U, O) exists for  $\mathcal{L}, \mathcal{L}'$ .

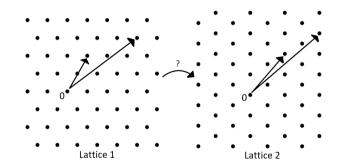
**Distinguish** LIP: Given  $\mathcal{L}_0, \mathcal{L}_1$ , and  $\mathcal{L} \in [\mathcal{L}_b]$  for uniform  $b \in \{0, 1\}$ , find b.





# Lattice Isomorphism Problems

**Pictorially** 



## **Quadratic Forms**

Quadratic forms/ $\mathbb{Z}$ :  $f(\mathbf{x}) = \sum_{i,j}^{n} f_{ij} x_i x_j$  with  $f_{ij} \in \mathbb{Z}$ ,  $f_{ij} = f_{ji}$ . Then  $f(\mathbf{x}) = f_{11} x_1^2 + f_{12} x_1 x_2 + \ldots + f_{nn} x_n^2$ .

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Alternatively:  $f(\mathbf{x}) = \mathbf{x}^\mathsf{T} \mathsf{F} \mathbf{x}$  , with F a symmetric matrix of f.

 $\label{eq:generalized_formula} \begin{array}{l} f \text{ and } g \text{ are equivalent over } \mathbb{Z} \text{ if } \exists \ U \in GL_n(\mathbb{Z}) : F = U^T G U. \\ \text{Then } f \sim_{\mathbb{Z}} g \text{ or } f \in [g] \text{ or } f \in \operatorname{class} g. \end{array}$ 

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If B' = OBU:  ${B'}^TB' = (OBU)^TOBU = U^TB^TBU$ . B<sup>T</sup>B is symmetric, integral, so corresponds to a quadratic form. If f a quad. form:  $f(x) = x^TFx$ ; Cholesky  $\Rightarrow$  factor  $F = B^TB$ .

So we can move between quadratic forms and lattices over the integers.

# **Solving Lattice Isomorphism Problems**

**Arithmetic Invariants** 

Decision/Distinguish LIP is easy **if** there are efficiently computable invariants of quadratic forms which differ for forms in distinct classes (i.e. non-isomorphic lattices).

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We care about the notions of equivalence.

## **The Genus**

**Definition**: let  $\mathbb{Z}_{(p)} = \{ \frac{a}{b} | a \in \mathbb{Z}, b \neq 0, \gcd(b, p) = 1 \}.$ 

 $f\in \operatorname{gen} g \text{ iff } F=U_pGU_p^t \text{ for } U_p\in GI_n(\mathbb{Z}_{(p)}) \text{ for all } p \text{ (and over } \mathbb{R}).^1$ 

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Each genus is a disjoint union of classes. So given f, we have

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So given f,g, test if  $\operatorname{gen} f=\operatorname{gen} g;$  if not, then  $\operatorname{class} f\neq\operatorname{class} g.$ 

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[BDG23] studies the genus in a cryptographic context.

Q: Are there more equivalence relations on the space of quadratic forms?

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The kernel is thus a proper normal subgroup of the group of rotations of V.

We can use this normal subgroup applied to V<sub>p</sub> to define an equivalence relation on lattices in V.

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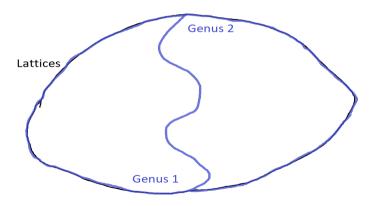
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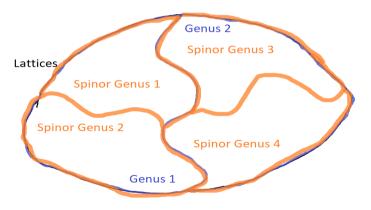
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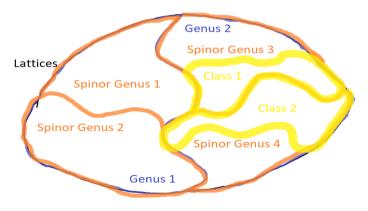
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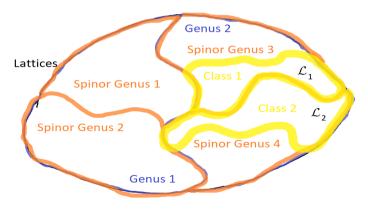
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This relation gives a partition finer than the genus but coarser than the class.









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 $\mathcal{L}$  and  $\mathcal{L}'$  lie in the same class iff there exists some  $\beta \in O(V)$  such that  $\mathcal{L}' = \beta \mathcal{L}$ .

 $\mathcal{L}$  and  $\mathcal{L}'$  lie in the same genus iff there exist  $\beta_p \in O(V_p)$  such that  $\mathcal{L}' = \beta_p \mathcal{L}$  for all primes p.

**The Spinor Norm** 

Set  $\mathbf{b}(\mathbf{x}, \mathbf{y}) = \frac{1}{2} \left( \phi(\mathbf{x} + \mathbf{y}) - \phi(\mathbf{x}) - \phi(\mathbf{y}) \right).$ 

Reflections: an involution  $\tau$  is a reflection if for all  $x \in V$  there is an anisotropic vector  $y \in V$  such that

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is a multiplicative homomorphism called the spinor norm. Let  $\Theta(V) := \ker \theta \trianglelefteq O^+(V)$ .

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Equivalence using  $\ker \theta = \Theta(V_p) \trianglelefteq O^+(V_p)$ 

**Definition**:  $\mathcal{L}$  and  $\mathcal{L}'$  satisfy  $S(\mathcal{L}, \mathcal{L}')$  if there exist  $\gamma \in O^+(V)$  and  $\delta_p \in \Theta(V_p)$ :  $\mathcal{L}' = \gamma \delta_p \mathcal{L} \forall p$ .

This relation is an equivalence relation intermediate to the class and genus.

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**Transitivity**: suppose  $\mathcal{L}, \mathcal{L}', \mathcal{L}''$  satisfy  $S(\mathcal{L}, \mathcal{L}')$  and  $S(\mathcal{L}', \mathcal{L}'')$ . Then there are  $\gamma_1, \gamma_2 \in O^+(V)$  and  $\beta_{1p}, \beta_{2p} \in \Theta(V_p)$  such that  $\mathcal{L} = \gamma_1 \beta_{1p} \mathcal{L}'$  and  $\mathcal{L}' = \gamma_2 \beta_{2p} \mathcal{L}''$  for each prime p. Combining these,

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**Spinor Genera**: the equivalence classes {Lattices on V}/S. If  $S(\mathcal{L}, \mathcal{L}')$  holds, write  $\mathcal{L} \in \operatorname{spn}(\mathcal{L}')$ .

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**Spinor Genera**: the equivalence classes {Lattices on V}/S. If  $S(\mathcal{L}, \mathcal{L}')$  holds, write  $\mathcal{L} \in \operatorname{spn}(\mathcal{L}')$ . Lemma (Cassels)

- 1. The number of spinor genera in any genus is finite and a power of 2.
- 2. For all  $n \ge 3$  there exist lattices whose genus contains multiple spinor genera.
- 3. If  $(V, \phi)$  has dimension  $n \ge 3$ ,  $\mathcal{L} \subset V$ ,  $\phi$  takes integral values on  $\mathcal{L}$ , and  $\operatorname{gen}(\mathcal{L})$  contains multiple spinor genera, then either there exists p > 2:  $p^{\frac{n(n-1)}{2}} |\det(\mathcal{L})$ , or  $2^{n(n-3)/2 + \lfloor (n+1)/2 \rfloor} |\det(\mathcal{L})$ .

#### The Spinor Genus: Binary Case over the Integers

Consider primitive integral binary quadratic forms f, g over  $\mathbb{Z}$ .

EstesPall73, Theorem: If f and g are in the same genus, f and g are in the same spinor genus if and only if  $f = gk^4$  for some k, under Gauss composition.

Equivalently for lattices,  $\mathcal{L}$  and  $\mathcal{L}'$  are in the same spinor genus iff  $\mathcal{L}'^{-1}\mathcal{L} \in \mathcal{C}(\mathcal{O}_{l}(\mathcal{L}'))^{4}$ .

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Q: can we extend this to forms over number fields? And can it be computed efficiently?

#### The Spinor Genus: Binary Case over the Integers

Consider primitive integral binary quadratic forms f, g over  $\mathbb{Z}$ .

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#### Theorem (BiasseSong16, Class Group Quantum Computation)

Under GRH there is a quantum algorithm for computing the class group of an order  $\mathcal{O}$  in a number field K which runs in polynomial time in  $n = \deg(K)$  and  $\log(|\Delta|)$ , where  $\Delta$  is the discriminant of  $\mathcal{O}$ .

Ingredients for a Quantum Algorithm

f, g anisotropic binary quadratic forms over  $\mathcal{O}_F \Rightarrow f$ , g correspond to lattices of rank 2 over  $\mathcal{O}_F$  in V. V is a regular binary quadratic space over a number field F.

Fix a basis such that  $V \cong F(\sqrt{-d})$  for some d. Write  $\mathcal{O}_V$  for the ring of integers of  $F(\sqrt{-d})$ .

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# Lemma (EarnestEstes80)

A necessary and sufficient condition that  $\mathcal{L}_1$  be in  $\operatorname{spn}(\mathcal{L}_2)$  is that  $\mathcal{L}_1\mathcal{L}_2^{-1}$  be in  $\operatorname{spn}(\mathcal{O}_{\mathsf{I}}(\mathcal{L}_2))$ .

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# Lemma (EarnestEstes81)

Let F be a number field and  $\mathcal{O}_F$  a PID. Let  $\mathcal{O}$  be a degree 2 order over  $\mathcal{O}_F$ . Then  $\mathcal{H}(\mathcal{O}) \cong \mathcal{C}(\mathcal{O})^2 / \mathcal{C}(\mathcal{O})^4$ .

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So  $\operatorname{spn}(\mathcal{O})/\operatorname{cls}^+(\mathcal{O}) \cong \mathcal{C}(\mathcal{O})^4$ , and lattices  $\mathcal{L}_1, \mathcal{L}_2 \subset V$  in the same genus are in the same proper spinor genus iff  $\mathcal{L}_1 \mathcal{L}_2^{-1}$  is a quartic residue in the class group of the left order of  $\mathcal{L}_2$  in V.

#### Theorem

Let  $\mathcal{O}_F$  be a PID. Let f, g be anisotropic integral binary quadratic forms over  $\mathcal{O}_F$  in the same genus. Let V be the quadratic space containing  $\mathcal{L}_f$ ,  $\mathcal{L}_g$ . Then  $\mathcal{L}_f \cdot \mathcal{L}_g^{-1}$  generates an ideal coprime to the conductor of  $\mathcal{O}_I(\mathcal{L}_g)$  in  $\mathcal{O}_V \Rightarrow$  a quantum poly. time algorithm to decide if  $f \in \operatorname{spn}(g)$ .

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Consequences

Let f, g be anisotropic integral binary quadratic forms over  $\mathcal{O}_F$  in the same genus; V be the quadratic space containing  $\mathcal{L}_f$ ,  $\mathcal{L}_g$ ; and  $\mathcal{L}_f \cdot \mathcal{L}_g^{-1}$  generate an ideal coprime to the conductor of  $\mathcal{O}_I(\mathcal{L}_g)$  in  $\mathcal{O}_V$ .

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Suppose  $gcd(|\mathcal{C}(\mathcal{O}_{\mathsf{I}}(\mathcal{L}_{\mathsf{g}}))|, 2) = 1$ . Then  $\mathsf{f} \in spn(\mathsf{g})$ .

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# Corollary

Let F be the maximal totally real subfield of  $\mathbb{Q}(\zeta_n)$  and  $n \in S := \{4, 8, 16, 32, 64, 128, 256\}$  (and assuming GRH,  $n \in S \cup \{512\}$ ). Then there is a quantum poly. time algorithm to decide if  $f \in \operatorname{spn}(g)$ .

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#### Corollary

Let  $F=\mathbb{Q}(\zeta_n)$  be a cyclotomic field and

 $\mathsf{n} \in \{1, 3, 4, 5, 7, 8, 9, 11, 12, 13, 15, 16, 17, 19, 20, 21, 24, 25, 27, 28, 32, 33, 35, 36, 40, 44, 45, 48, 60, 84\}$ 

Then there is a quantum poly. time algorithm to decide if  $f\in {\rm spn}(g).$ 

# IMPERIAL

# Thank you. Questions?

On the Spinor Genus and the Distinguishing Lattice Isomorphism Problem 13/12/2024