# **On the Spinor Genus and the Distinguishing Lattice Isomorphism Problem**

Cong Ling & Jingbo Liu & Andrew Mendelsohn 13/12/2024

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Are there more relations? Yes!

In this talk: we discuss when a certain invariant (the 'spinor genus') is useful for solving LIP.

Why? vWD21 developed a KEM and signature scheme from LIP. ALW24 developed PKE. DPPvW22 developed an optimised signature scheme, HAWK, based on search LIP on rank-2 Hermitian module lattices. Submitted to NIST's PQC standardisation process.

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 $\mathsf{Lattice}$  isomorphism:  $\mathcal{L} \cong \mathcal{L}' \Leftrightarrow \mathsf{there}$  exists  $\mathsf{O} \in \mathsf{O}_{\mathsf{n}}(\mathbb{R}): \mathcal{L}' = \mathsf{O} \cdot \mathcal{L}.$ 



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**Search** LIP: find  $U \in GL_n(\mathbb{Z})$  and  $O \in O_n(\mathbb{R})$  with B' = OBU. Ask for U since  $OB = B' \Rightarrow O = B'B^{-1}$ .

**Decision** LIP: decide if a pair  $(U, O)$  exists for  $\mathcal{L}, \mathcal{L}'$ .

**Distinguish** LIP: Given  $\mathcal{L}_0$ ,  $\mathcal{L}_1$ , and  $\mathcal{L} \in [\mathcal{L}_b]$  for uniform  $b \in \{0, 1\}$ , find b.





#### **Lattice Isomorphism Problems**

**Pictorially** 



#### **Quadratic Forms**

Quadratic forms/ $\mathbb{Z}$ : f(x)  $= \sum_{\mathsf{i},\mathsf{j}}^\mathsf{n} \mathsf{f}_{\mathsf{ij}}$ x<sub>i</sub>x<sub>j</sub> with  $\mathsf{f}_{\mathsf{i}\mathsf{j}} \in \mathbb{Z}$ ,  $\mathsf{f}_{\mathsf{i}\mathsf{j}} = \mathsf{f}_{\mathsf{j}\mathsf{i}}$ . Then  $f(x) = f_{11}x_1^2 + f_{12}x_1x_2 + ... + f_{nn}x_n^2$ .

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Alternatively:  $f(x) = x^T Fx$ , with F a symmetric matrix of f.

 $\mathsf{f}$  and  $\mathsf{g}$  are equivalent over  $\mathbb Z$  if  $\exists\,\mathsf{U}\in\mathsf{GL}_{\mathsf{n}}(\mathbb Z)$  :  $\mathsf{F}=\mathsf{U}^{\mathsf{T}}\mathsf{GU}.$ Then  $f \sim_{\mathbb{Z}} g$  or  $f \in [g]$  or  $f \in \text{class } g$ .

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If  $B' = OBU: B'^{T}B' = (OBU)^{T}OBU = U^{T}B^{T}BU.$  $\mathsf{B}^\intercal\mathsf{B}$  is symmetric, integral, so corresponds to a quadratic form. If f a quad. form: f $(\mathrm{x}) = \mathrm{x}^\intercal$ Fx; Cholesky  $\Rightarrow$  factor F  $= \mathsf{B}^\intercal \mathsf{B}.$ 

So we can move between quadratic forms and lattices over the integers.

#### **Solving Lattice Isomorphism Problems**

Arithmetic Invariants

Decision/Distinguish LIP is easy **if** there are efficiently computable invariants of quadratic forms which differ for forms in distinct classes (i.e. non-isomorphic lattices).

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We care about the notions of equivalence.

#### **The Genus**

**Definition**: let  $\mathbb{Z}_{(p)} = \{\frac{a}{b} | a \in \mathbb{Z}, b \neq 0, \gcd(b, p) = 1\}.$ 

 $\mathsf{f}\in\operatorname{gen}$  g iff  $\mathsf{F}=\mathsf{U_pGU}^{\mathsf{t}}_{{\mathsf{p}}}$  for  $\mathsf{U_p}\in\mathsf{Gl_n}(\mathbb{Z}_{({\mathsf{p}})})$  for all  ${\mathsf{p}}$  (and over  $\mathbb{R}).^{\mathsf{T}}$ 

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Each genus is a disjoint union of classes. So given f, we have

classf *⊂* gen f

So given f, g, test if  $gen f = gen g$ ; if not, then class  $f \neq class g$ .

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[BDG23] studies the genus in a cryptographic context.

Q: Are there more equivalence relations on the space of quadratic forms?

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Informal description: let V/K be a vector space over a field. Then there is a homomorphism

Group of Rotations of V  $\rightarrow$  K $^{\times}/$ (K $^{\times})^2$ 

The kernel is thus a proper normal subgroup of the group of rotations of V.

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This relation gives a partition finer than the genus but coarser than the class.









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Let K be a number field. Let V/K be a vector space. Lattices live on V, i.e. *L ⊂* V.

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 $\phi$  is regular if  $\det \phi \neq 0$ .

 $\phi$  is anisotropic if there is no  $\mathbf{x} \in \mathbf{V} \setminus \mathbf{0}$  such that  $\phi(\mathbf{x}) = 0$ .

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 ${\cal L}$  and  ${\cal L}'$  lie in the same class iff there exists some  $\beta\in$  O(V) such that  ${\cal L}'=\beta{\cal L}.$ 

 ${\cal L}$  and  ${\cal L}'$  lie in the same genus iff there exist  $\beta_{\sf p}\in\mathsf{O}(\mathsf{V}_{\sf p})$  such that  ${\cal L}'=\beta_{\sf p}{\cal L}$  for all primes p.

The Spinor Norm

Set  $b(x, y) = \frac{1}{2} (\phi(x + y) - \phi(x) - \phi(y)).$ 

Reflections: an involution *τ* is a reflection if for all x *∈* V there is an anisotropic vector y *∈* V such that

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\tau(\mathsf{x}) = \tau_{\mathsf{y}}(\mathsf{x}) := \mathsf{x} - \frac{\mathsf{b}(\mathsf{x}, \mathsf{y})}{\phi(\mathsf{y})} \mathsf{y}
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#### Theorem (Cartan-Dieudonné)

If V,  $\phi$  is an n-d regular quadratic space, every element of  $O(V)$  is a product of at most n reflections.

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\theta:O^+(V)\to K^\times/(K^\times)^2,\ \sigma\mapsto \prod_i\phi(y_i)
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Equivalence using  $\ker \theta = \Theta(V_p) \trianglelefteq O^+(V_p)$ 

**Definition**: *L* and *L'* satisfy S(*L*, *L'*) if there exist  $\gamma \in O^+(V)$  and  $\delta_p \in \Theta(V_p)$ :  $\mathcal{L}' = \gamma \delta_p \mathcal{L} \ \forall p$ .

This relation is an equivalence relation intermediate to the class and genus.

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**Transitivity**: suppose  $\cal L,L',L''$  satisfy S $(L,L')$  and S $(L',L'')$ . Then there are  $\gamma_1,\gamma_2\in$   $\mathsf{O}^+(\mathsf{V})$  and  $\beta_{1{\bf p}},\beta_{2{\bf p}}\in\Theta({\sf V}_{\bf p})$  such that  $\mathcal L=\gamma_1\beta_{1{\bf p}}\mathcal L'$  and  $\mathcal L'=\gamma_2\beta_{2{\bf p}}\mathcal L''$  for each prime p. Combining these,

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\mathcal{L} = \gamma_1 \beta_{1\mathsf{p}} \gamma_2 \beta_{2\mathsf{p}} \mathcal{L}'' = (\gamma_1 \gamma_2)(\gamma_2^{-1} \beta_{1\mathsf{p}} \gamma_2 \beta_{2\mathsf{p}}) \mathcal{L}''
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 $f$  for each prime p. Since  $\gamma_1\gamma_2\in$  O $^+(V)$  and  $\gamma_2^{-1}\beta_{1p}\gamma_2\beta_{2p}\in\Theta(V_p)$ , S $(\mathcal{L,L''})$  holds.

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**Spinor Genera**: the equivalence classes  $\{$ Lattices on V $\}/$ S. If S $(\mathcal{L}, \mathcal{L}')$  holds, write  $\mathcal{L} \in \mathrm{spn}(\mathcal{L}')$ . Lemma (Cassels)

- 1. The number of spinor genera in any genus is finite and a power of 2.
- 2. For all n *≥* 3 there exist lattices whose genus contains multiple spinor genera.
- 3. If (V*, ϕ*) has dimension n *≥* 3, *L ⊂* V, *ϕ* takes integral values on *L*, and gen(*L*) contains multiple  $\sup_{n \geq 0} \log \frac{n(n-1)}{2} \log \frac{n(n-1)}{2} + \frac{1}{2} \log (L)$  , or  $2^{n(n-3)/2 + \lfloor (n+1)/2 \rfloor} + \frac{1}{2} \log (L)$ .

#### **The Spinor Genus: Binary Case over the Integers**

Consider primitive integral binary quadratic forms f*,* g over Z.

EstesPall73, Theorem: If f and g are in the same genus, f and g are in the same spinor genus if and only if  $\mathsf{f}=\mathsf{g}\mathsf{k}^4$  for some k, under Gauss composition.

Equivalently for lattices,  ${\cal L}$  and  ${\cal L}'$  are in the same spinor genus iff  ${\cal L}'^{-1} {\cal L} \in {\cal C}({\cal O}_l({\cal L}'))^4.$ 

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#### Theorem (BiasseSong16, Class Group Quantum Computation)

Under GRH there is a quantum algorithm for computing the class group of an order *O* in a number field K which runs in polynomial time in  $n = \deg(K)$  and  $\log(|\Delta|)$ , where  $\Delta$  is the discriminant of  $\mathcal{O}$ .

Ingredients for a Quantum Algorithm

f, g anisotropic binary quadratic forms over  $\mathcal{O}_F \Rightarrow f$ , g correspond to lattices of rank 2 over  $\mathcal{O}_F$  in V. V is a regular binary quadratic space over a number field F.

Fix a basis such that V *∼*= F( *√ −*d) for some d. Write *O*<sup>V</sup> for the ring of integers of F( *√ −*d).

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#### Lemma (EarnestEstes80)

A necessary and sufficient condition that  $\mathcal{L}_1$  be in  $\text{spn}(\mathcal{L}_2)$  is that  $\mathcal{L}_1\mathcal{L}_2^{-1}$  be in  $\text{spn}\,(\mathcal{O}_\text{l}(\mathcal{L}_2)).$ 

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f, g anisotropic binary quadratic forms over  $\mathcal{O}_F \Rightarrow f$ , g correspond to lattices of rank 2 over  $\mathcal{O}_F$  in V. V is a regular binary quadratic space over a number field F. *√* Fix a basis such that V *∼*= F( *−*d) for some d. Write *O*<sup>V</sup> for the ring of integers of F( *√ −*d).

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 $\texttt{So}\ {\rm spn}(\mathcal{O})/\operatorname{cls}^+(\mathcal{O})\cong \mathcal{C}(\mathcal{O})^4,$  and lattices  $\mathcal{L}_1,\mathcal{L}_2\subset V$  in the same genus are in the same proper spinor genus iff  $\mathcal{L}_1\mathcal{L}_2^{-1}$  is a quartic residue in the class group of the left order of  $\mathcal{L}_2$  in V.

#### Theorem

Let  $\mathcal{O}_F$  be a PID. Let f, g be anisotropic integral binary quadratic forms over  $\mathcal{O}_F$  in the same genus. Let V be the quadratic space containing  $\mathcal{L}_{\mathsf{f}},\mathcal{L}_{\mathsf{g}}.$  Then  $\mathcal{L}_{\mathsf{f}}\cdot\mathcal{L}_{\mathsf{g}}^{-1}$  generates an ideal coprime to the conductor of  $\mathcal{O}_1(\mathcal{L}_0)$  in  $\mathcal{O}_V \Rightarrow$  a quantum poly. time algorithm to decide if  $f \in \text{spn}(g)$ .

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**Consequences** 

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# **IMPERIAL**

# **Thank you. Questions?**

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