

Quantum Lattice Enumeration in Limited Depth

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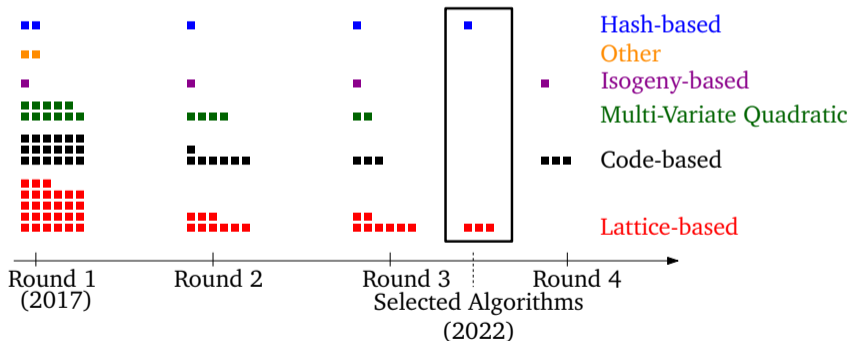
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³ KASTEL, Karlsruhe Institute of Technology, Karlsruhe, Germany

⁴ Univerisdade NOVA de Lisboa, NOVA LINCS, Lisbon, Portugal

Why Lattice Enumeration?

- ▶ Lattice-based constructions popular
- ▶ 3 out of 4 NIST *post-quantum standards* are based on lattice assumptions



Why Lattice Enumeration as SVP Solver?

- ▶ Leading cost of state-of-the-art attacks is cost of SVP solver
- ▶ Lattice sieving analyzed in quantum setting¹
- ▶ Quantum lattice enumeration analyzed in *asymptotic* setting² and unbounded quantum circuit model³

¹[1] Albrecht et al. “Estimating Quantum Speedups for Lattice Sieves”

[5] Chailloux et al. “Lattice Sieving via Quantum Random Walks”

²[3] Bai et al. “Concrete Analysis of Quantum Lattice Enumeration”

³[2] Aono et al. “Quantum Lattice Enumeration and Tweaking Discrete Pruning”

Why Lattice Enumeration as SVP Solver?

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Concrete speedup of quantum lattice enumeration for practical parameters remains unclear.

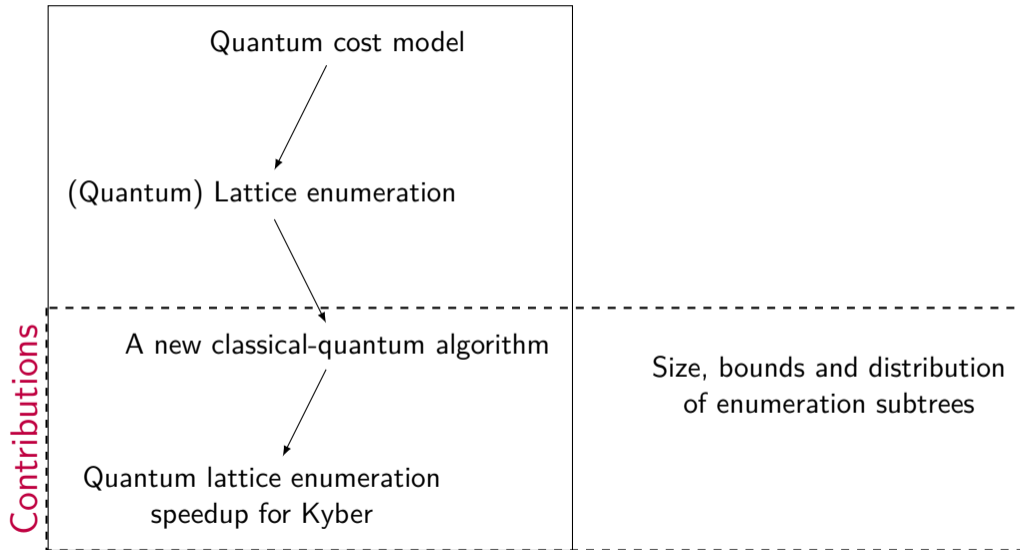
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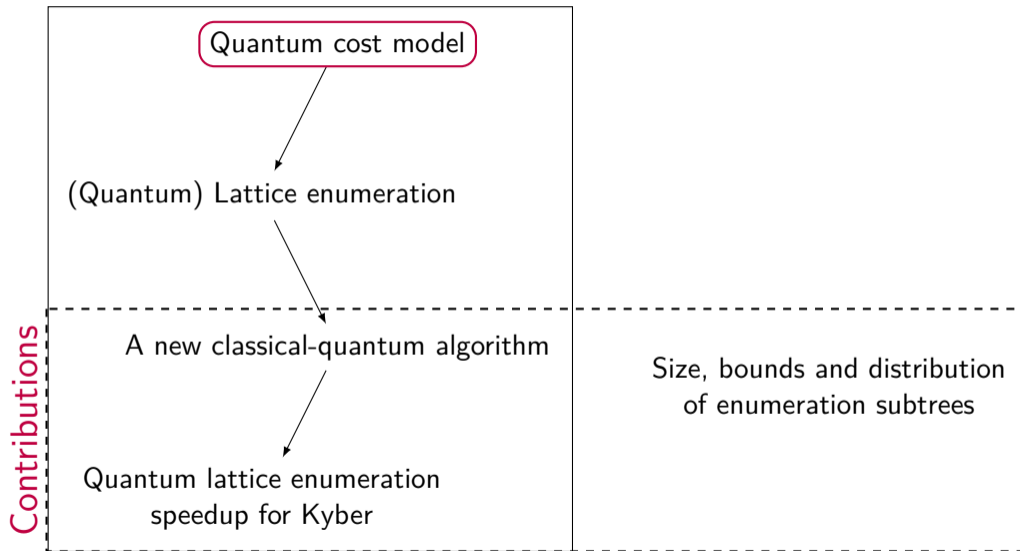
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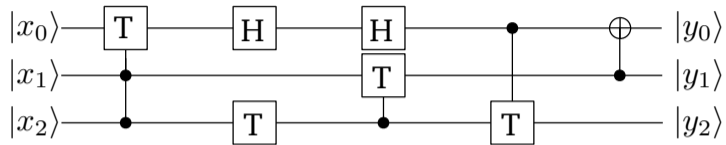
Today



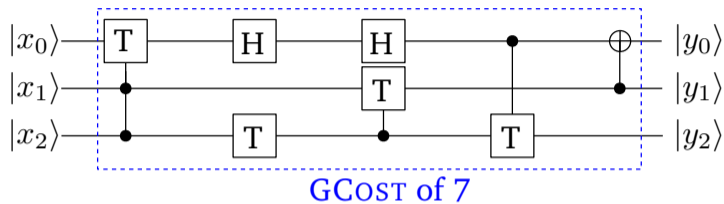
Today



Quantum Cost Model

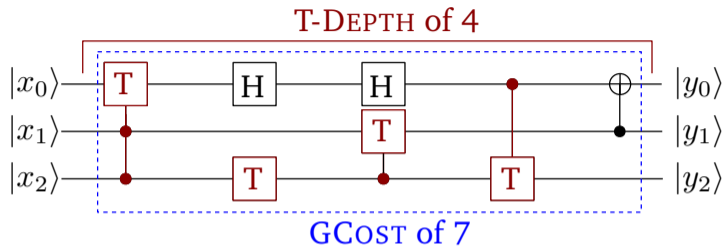


Quantum Cost Model



- GCOST: Number of quantum gates

Quantum Cost Model

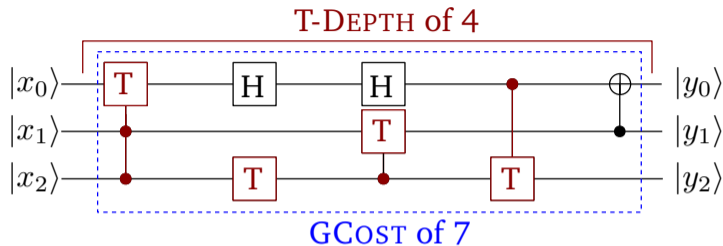


► **GCOST**: Number of quantum gates

► **T-DEPTH**: Consecutive gates

(appears to be a main hurdle)

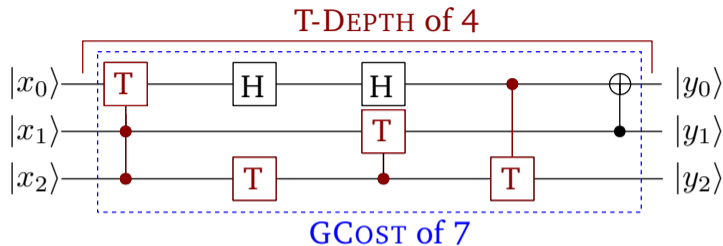
Quantum Cost Model



- ▶ **GCOST**: Number of quantum gates
- ▶ **T-DEPTH**: Consecutive gates *(appears to be a main hurdle)*
- ▶ Hypothetical $\text{MAXDEPTH} \in \{2^{40}, 2^{64}, 2^{96}\}$ by NIST⁴:

⁴[9] NIST *Submission Requirements and Evaluation Criteria for the Post-Quantum Cryptography Standardization Process*

Quantum Cost Model

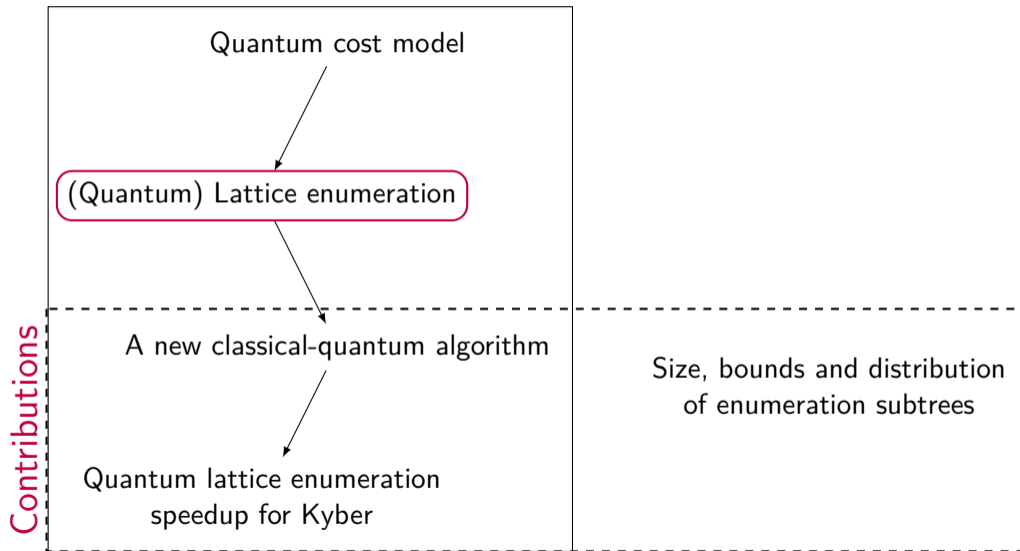


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- ▶ Hypothetical $\text{MAXDEPTH} \in \{2^{40}, 2^{64}, 2^{96}\}$ by NIST⁴:

One needs: $\text{T-DEPTH}(\text{QENUM}) \leq \text{MAXDEPTH}$

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Today



Lattice Enumeration

Setup

- ▶ Lattice $\mathcal{L}(B)$, dimension n
- ▶ Enumeration: Given B , bound R , finds \vec{v} s.t. $0 < \|\vec{v}\| \leq R$

Lattice Enumeration

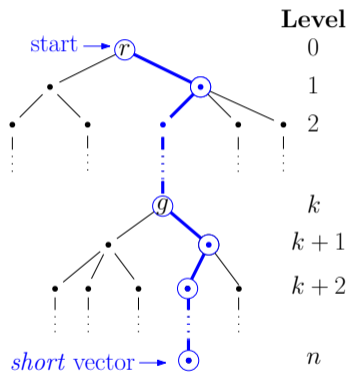
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Enumeration with extreme pruning⁵

- ▶ DFS defines enumeration tree(s)

One Tree \mathcal{T}



³[6] Gama et al. "Lattice Enumeration Using Extreme Pruning"

Lattice Enumeration

Setup

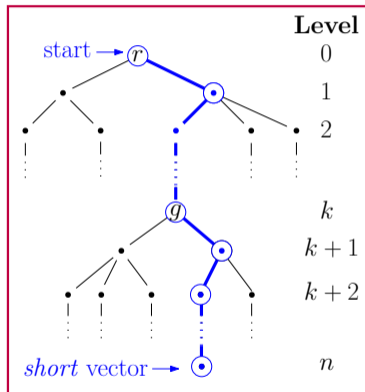
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Enumeration with extreme pruning⁵

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Gaussian heuristic
+GSA gives us $\mathbb{E} [\#\mathcal{T}(r)]$
random tree \mathcal{T}

One Tree \mathcal{T}

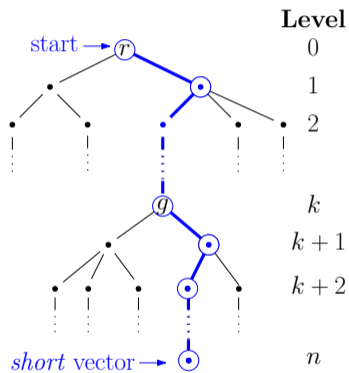


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Lattice Enumeration

Time complexity

- ▶ Classical: $\mathcal{O}(\#\mathcal{T}(r))$

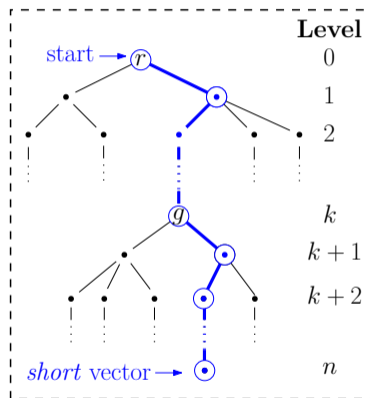


Quantum Lattice Enumeration

Time complexity

- ▶ Classical: $\mathcal{O}(\#\mathcal{T}(r))$
- ▶ Quantum⁶:
 - ▶ QPE: $\mathcal{O}(\sqrt{\#\mathcal{T}(r)} \cdot n)$ calls to \mathcal{W}
 - ▶ $\text{poly}(n)$ classical repetitions of $\text{QPE}(\mathcal{W})$

$\text{QPE}(\mathcal{W}) \equiv \text{Quantum Walk}$



⁶[8] Montanaro's "Quantum-Walk Speedup of Backtracking Algorithms"

Quantum Lattice Enumeration

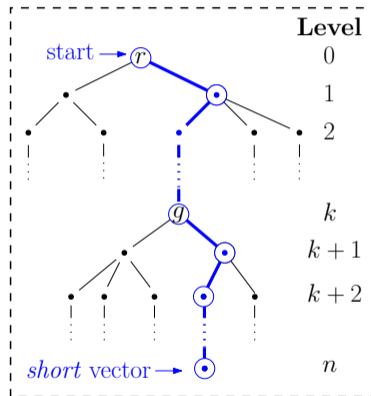
Time complexity

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 - ▶ QPE: $\mathcal{O}(\sqrt{\#\mathcal{T}(r) \cdot n})$ calls to \mathcal{W}
 - ▶ $\text{poly}(n)$ classical repetitions of $\text{QPE}(\mathcal{W})$

Only $\text{QPE}(\mathcal{W})$ is a quantum circuit:

$$\text{T-DEPTH}(\text{QENUM}(\mathcal{T}(r))) = \text{T-DEPTH}(\text{QPE}(\mathcal{W}))$$

$\text{QPE}(\mathcal{W}) \equiv \text{Quantum Walk}$



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Depth of *Full* Quantum Enumeration

! Disclaimer: Very loosely estimated numbers. !

(don't quote us on **these**)

- ▶ QPE(\mathcal{W}) applied to full enumeration tree of depth β
- ▶ Ignoring Jensen's Gap $\mathbb{E}[\sqrt{\#\mathcal{T}(r) \cdot h}]$ (we will come back to this later)
- ▶ Limitation: $\log_2(\text{MAXDEPTH}) \in \{40, 64, 96\}$

Depth of *Full* Quantum Enumeration

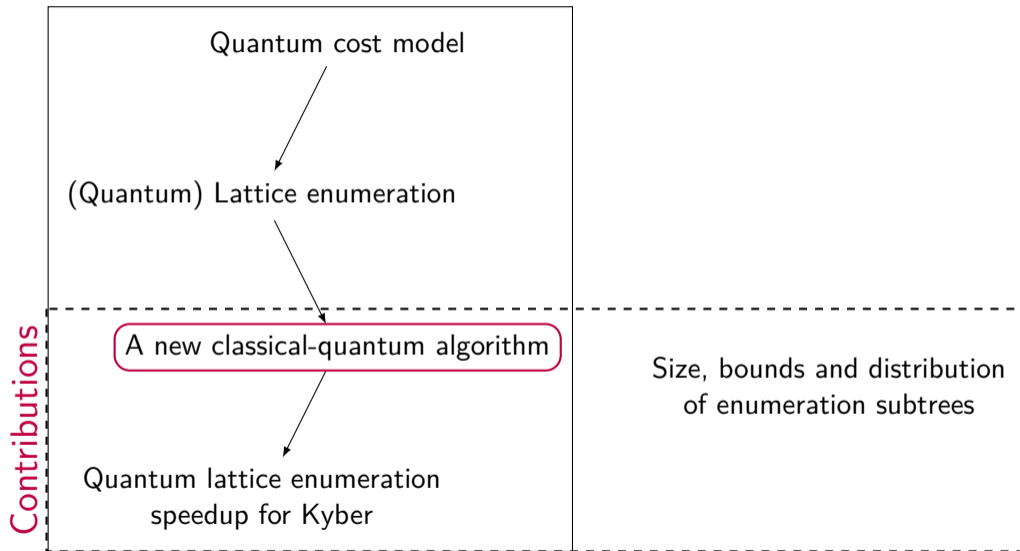
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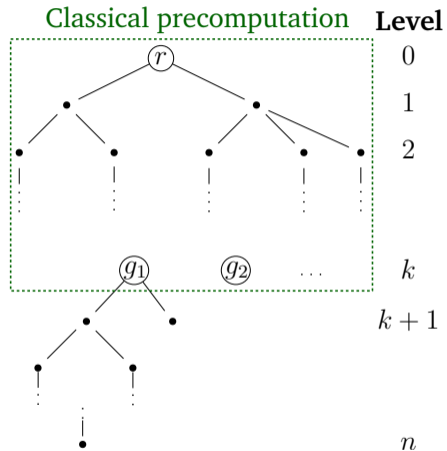
$$\log_2 \mathbb{E}[\text{T-DEPTH}(\text{QPE}(\mathcal{W}))] \approx \begin{cases} 90 & \text{for Kyber-512} & \leq \log(\text{MAXDEPTH}) \\ 166 & \text{for Kyber-768} & \gg \log(\text{MAXDEPTH}) \\ 263 & \text{for Kyber-1024} & \gg \log(\text{MAXDEPTH}) \end{cases}$$

Today



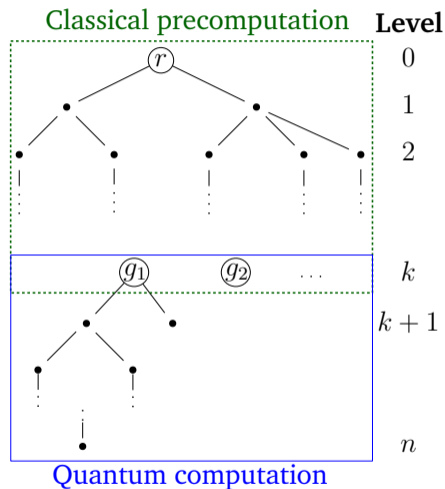
A Quantum-Classical Algorithm (simplified)

- ▶ Classical precomputation: up to level k



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- ▶ Classical precomputation: up to level k
- ▶ $\text{QENUM}(\mathcal{T}(g_i))$ for every node g_i on level k

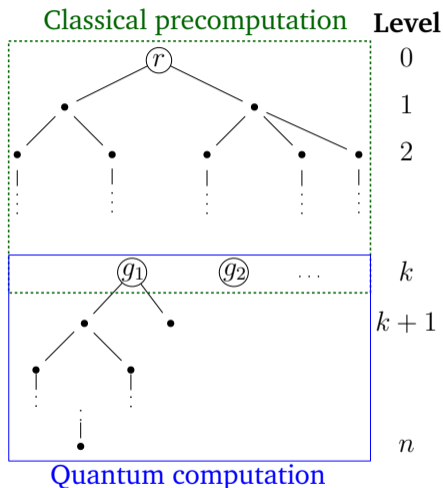


A Quantum-Classical Algorithm (simplified)

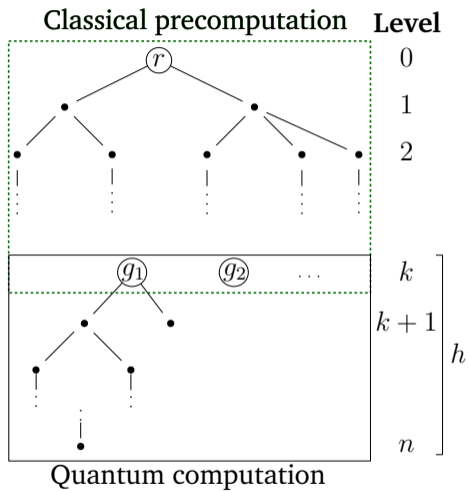
- ▶ Classical precomputation: up to level k
- ▶ $Q_{\text{ENUM}}(\mathcal{T}(g_i))$ for every node g_i on level k
- ▶ Choose level k such that

$$\text{T-DEPTH}(QPE(\mathcal{W})) \leq \text{MAXDEPTH}$$

... and also reducing overall cost.

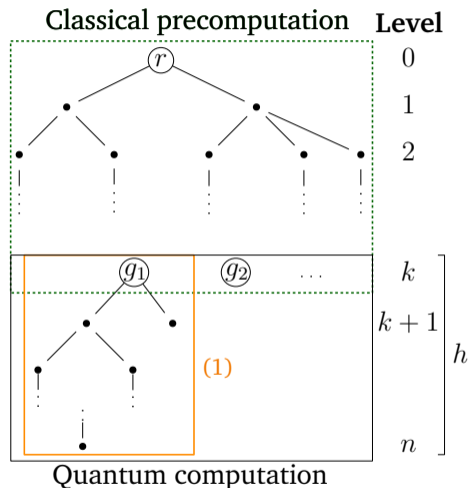


Quantum Cost Estimation



Quantum Cost Estimation

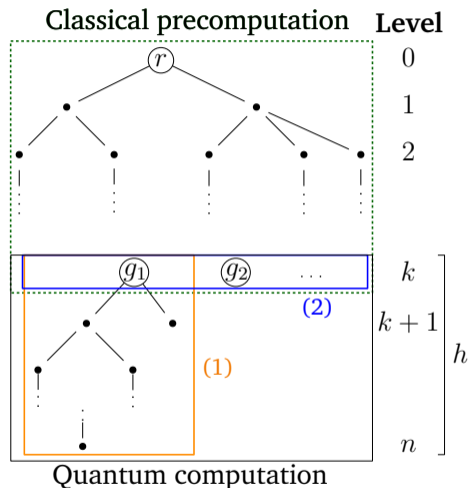
(1) Size $\#\mathcal{T}(g_i)$ of subtrees⁷



⁷[4, Conj. 1, 2, 3] This work. Bindel et al. "Quantum Lattice Enumeration in Limited Depth"

Quantum Cost Estimation

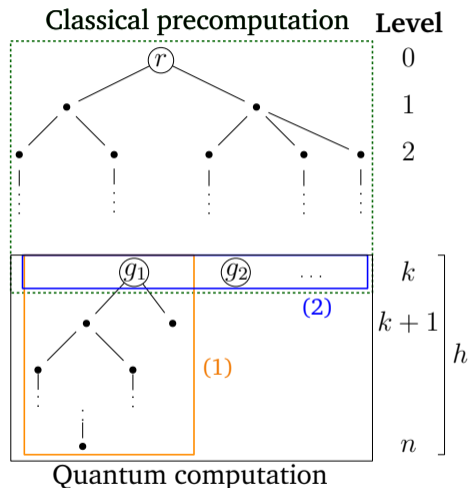
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- (2) Distribution of subtrees⁷



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Quantum Cost Estimation

- (1) Size $\#\mathcal{T}(g_i)$ of subtrees⁷
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- (3) #calls to \mathcal{W} ⁷: $\sqrt{\#\mathcal{T}(g_i) \cdot h}$

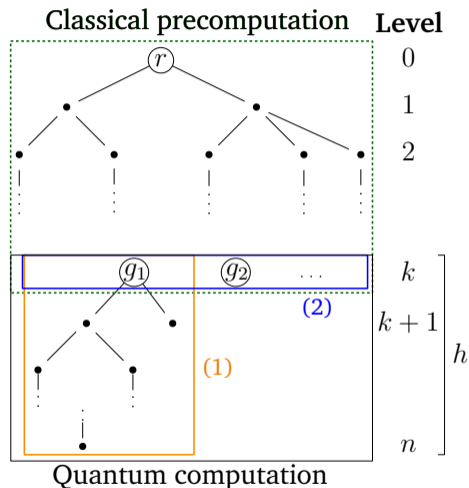


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- (4)

$$\underbrace{\mathbb{E}_{\text{random tree } \mathcal{T}} [\sqrt{\#\mathcal{T}(g_i) \cdot h}]}_{\text{what we need}}$$



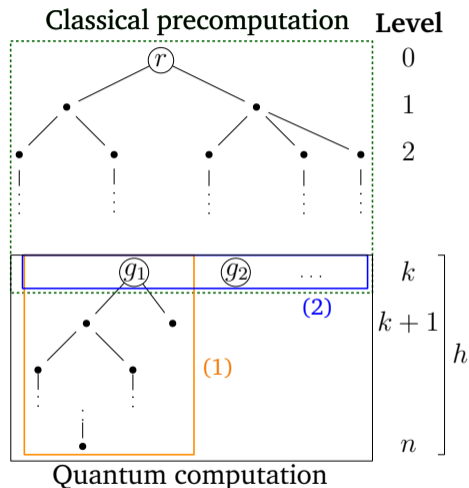
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$$\underbrace{\mathbb{E}_{\text{random tree } \mathcal{T}} [\#\mathcal{T}(g_i) \cdot h]}_{\text{what we know (Gaussian heuristic + GSA)}}$$



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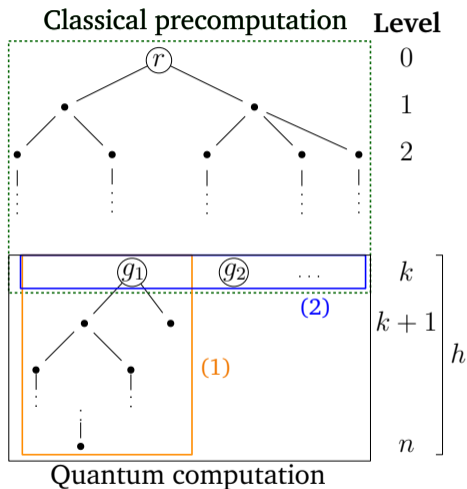
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Jensen's Inequality: $\mathbb{E}[\sqrt{X}] \leq \sqrt{\mathbb{E}[X]}$



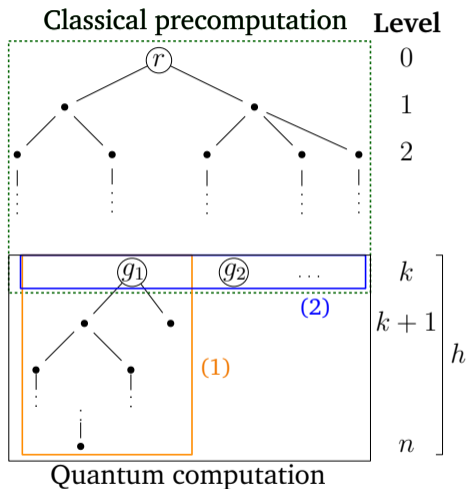
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Quantum Cost Estimation

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- (4) Multiplicative Jensen's Gap 2^z :
(property of the trees)

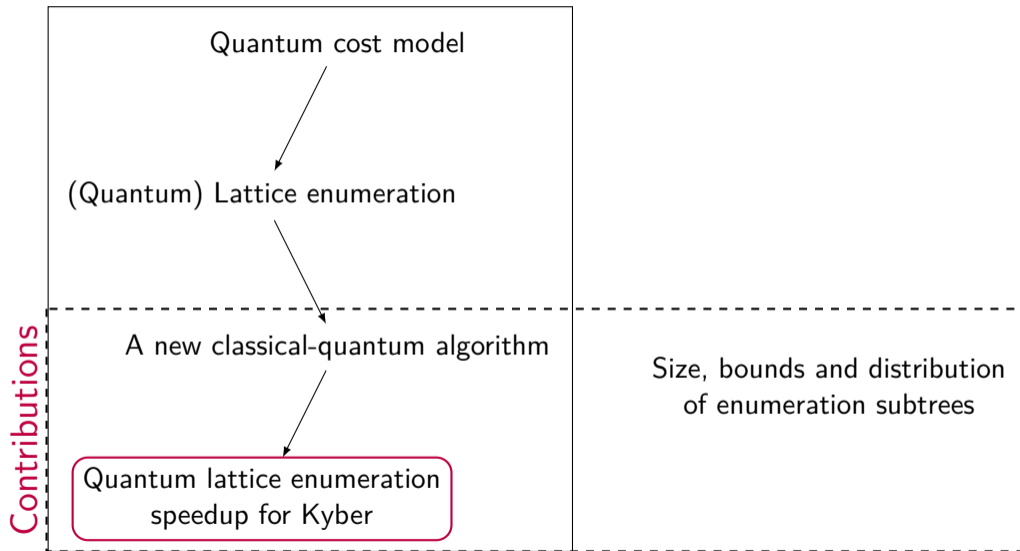
$$2^z \cdot \underbrace{\mathbb{E}_{\text{random tree } \mathcal{T}} [\sqrt{\#\mathcal{T}(g_i) \cdot h}]}_{\text{what we need}} = \underbrace{\sqrt{\mathbb{E}_{\text{random tree } \mathcal{T}} [\#\mathcal{T}(g_i) \cdot h]}}_{\text{what we know (Gaussian heuristic + GSA)}}$$

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Today



Computing Results: Quantum Cost Estimation

Compute

$$\mathbf{Total\ Cost} = \text{Classical Precomputation} + \mathbb{E}_{\substack{\text{random} \\ \text{tree } \mathcal{T}}} \left[\sum_{\substack{g_i \\ \text{on level } k}} \text{GCOST}(\text{QENUM}(\mathcal{T}(g_i))) \right]$$

Computing Results: Quantum Cost Estimation

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with **level k** such that

$$\text{T-DEPTH}(\text{QPE}(\mathcal{W})) \leq \text{MAXDEPTH},$$

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compare **Total Cost** to running Grover's algorithm on AES⁸.

⁸[7] Jaques et al. "Implementing Grover Oracles for Quantum Key Search on AES and LowMC"

Computing Results: Quantum Cost Estimation

Compute

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compare **Total Cost** to running Grover's algorithm on AES⁸.

Find Jensen's Gap 2^z such that

$$\mathbf{Total\ Cost} \leq \text{Cost of Grover on AES with } \text{T-DEPTH}(\text{QPE}(\mathcal{W})) \leq \text{MAXDEPTH}$$

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Simplified Results

Reminder: Multiplicative Jensen's Gap

$$2^z \cdot \mathbb{E}[\sqrt{X}] \leq \sqrt{\mathbb{E}[X]}$$

“hypothetical lower bounds” for $\mathbb{E}[\# \mathcal{T}(g_i)]$ (LB/UB in our paper)
random tree \mathcal{T}

	Kyber-512			Kyber-768			Kyber-1024	
	GCOST of quantum walk operator \mathcal{W}							
MAXDEPTH	1	<i>minimal</i>		1	<i>minimal</i>		1	<i>minimal</i>

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GCOST of quantum walk operator \mathcal{W}

more likely to be feasible  less likely to be feasible

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MAXDEPTH		1	<i>minimal</i>	1	<i>minimal</i>	1	<i>minimal</i>		
2^{40}		$z \geq 0$	$z \geq 0$	$z \geq 2$	$z \geq 17$	$z \geq 50$	$z > 64$		
2^{64}		$z \geq 0$	$z \geq 0$	$z \geq 1$	$z \geq 17$	$z \geq 49$	$z > 64$		
2^{96}		$z \geq 0$	$z \geq 0$	$z \geq 1$	$z \geq 19$	$z \geq 51$	$z > 64$		

Simplified Results

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“hypothetical lower bounds” for $\mathbb{E}[\#T(g_i)]$ (LB/UB in our paper)
random tree T

more likely to be feasible								less likely to be feasible	
		Kyber-512		Kyber-768		Kyber-1024			
		GCOST of quantum walk operator \mathcal{W}							
MAXDEPTH	1	<i>minimal</i>	1	<i>minimal</i>	1	<i>minimal</i>	1	<i>minimal</i>	
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quantum speedup...

...may be possible

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quantum speedup...

...may be possible

...may be possible for
“trivial”
quantum operator

Simplified Results

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quantum speedup... ...may be possible ...may be possible for “trivial” quantum operator ...probably no effect

Simplified Results

Reminder: Multiplicative Jensen's Gap

$$2^z \cdot \mathbb{E}[\sqrt{X}] \leq \sqrt{\mathbb{E}[X]}$$

“state-of-the-art” bounds for $\mathbb{E}[\# \mathcal{T}(g_i)]$ (UB/UB in our paper)
random tree \mathcal{T}


more likely to be feasible								less likely to be feasible	
		Kyber-512		Kyber-768		Kyber-1024			
		GCOST of quantum walk operator \mathcal{W}							
MAXDEPTH	1	<i>minimal</i>	1	<i>minimal</i>	1	<i>minimal</i>	1	<i>minimal</i>	
2^{40}	$z \geq 20$	$z \geq 36$	$z \geq 61$	$z > 64$	$z > 64$	$z > 64$	$z > 64$		
2^{64}	$z \geq 20$	$z \geq 36$	$z \geq 61$	$z > 64$	$z > 64$	$z > 64$	$z > 64$		
2^{96}	$z \geq 15$	$z \geq 40$	$z \geq 61$	$z > 64$	$z > 64$	$z > 64$	$z > 64$		

Simplified Results

Reminder: Multiplicative Jensen's Gap

$$2^z \cdot \mathbb{E}[\sqrt{X}] \leq \sqrt{\mathbb{E}[X]}$$

“state-of-the-art” bounds for $\mathbb{E}[\# \mathcal{T}(g_i)]$ (UB/UB in our paper)
random tree \mathcal{T}

more likely to be feasible  less likely to be feasible

	Kyber-512		Kyber-768		Kyber-1024	
	GCOST of quantum walk operator \mathcal{W}					
MAXDEPTH	1	<i>minimal</i>	1	<i>minimal</i>	1	<i>minimal</i>
2^{40}	$z \geq 20$	$z \geq 36$	$z \geq 61$	$z > 64$	$z > 64$	$z > 64$
2^{64}	$z \geq 20$	$z \geq 36$	$z \geq 61$	$z > 64$	$z > 64$	$z > 64$
2^{96}	$z \geq 15$	$z \geq 40$	$z \geq 61$	$z > 64$	$z > 64$	$z > 64$

quantum speedup...


...questionable even for “trivial” quantum operator

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Conclusion

There exists a gap between *generous* lower bounds, and actual expected cost.

2^z , \mathcal{W} , ... (more in our paper)

Better understanding of degree of uncertainty from properties of enumeration trees.

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(link to eprint)

Marcel Tiepelt, marcel.tiepelt@kit.edu

ePrint:

<https://eprint.iacr.org/2023/1423>

Code:

<https://github.com/mtiepelt/QuantumLatticeEnumeration>

Slides:

<https://mtiepelt.github.io/Pages/Publications>

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