

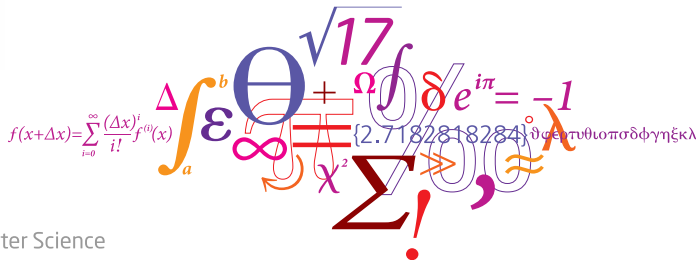
Provable security against decryption failure attacks from LWE

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Funded by
the European Union



Why are we interested in this topic?

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- KEMs have received a lot of attentions due to the NIST standardization process.
- Most of the proposals submitted make use of the Fujisaki-Okamoto (FO) transformation to upgrade their security.
- Most of the proposals are not perfectly correct. Thus, we need to handle with decryption failures.

A possible solution

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- Introduce a security notion that handles with decryption failures in a more natural way.
- Test this notion to each PQ-secure PKE that is not perfectly correct.

Our contribution

Security reduction from Decision LWE to FFP-NG

Assuming LWE is hard, no adversary can use a given public key to find a message/randomness pair that triggers a decryption failure more likely with respect to the given key than with respect to an independently generated one.

Outline

- Find Failing Plaintext - Non Generic (FFP-NG)
 - The Find Failing Plaintext (FFP) family
 - Basic Properties of FFP-NG
- Discrete Gaussians
 - The smoothing parameter
 - GMPW framework
- Learning With Error
 - Improved version of Regev scheme
- Security reduction from LWE to FFP-NG
 - Main result
 - Before proving the reduction...
 - The LWE adversary
 - Further steps

Find Failing Plaintext - Non Generic (FFP-NG) FFP games

In a recent work, Hövelmanns, Hülsing and Majenz introduced a family of security games called Find Failing Plaintext. We are interested in the following member of this family

FFP-Non Generic	
$(sk_0, pk_0) \leftarrow \text{KeyGen}$	$\mathbf{FCO}_b(v; \mathbf{d}) : \quad \# \text{ One query}$
$(sk_1, pk_1) \leftarrow \text{KeyGen}$	$c := \text{Enc}(pk_b, v; \mathbf{d})$
$b \leftarrow \{0, 1\}$	$v' := \text{Dec}(sk_b, c)$
$b' \leftarrow \mathcal{A}^{\text{FCO}_b}(pk_0)$	return $\llbracket v \neq v' \rrbracket$
return $\llbracket b = b' \rrbracket$	

Table: FFP-NG games against a PKE $\Pi = (\text{KeyGen}, \text{Enc}, \text{Dec})$.

Find Failing Plaintext - Non Generic (FFP-NG) FFP-NG



FFP-NG is trivially achievable

Any PKE that has perfect correctness is FFP-NG secure.

Find Failing Plaintext - Non Generic (FFP-NG)

FFP-NG



FFP-NG is trivially achievable

Any PKE that has perfect correctness is FFP-NG secure.

It is also important to observe that this notion is independent of CPA security.

Independence from standard security notions

- 1 There exists a PKE Π that is OW-CPA insecure but FFP-NG secure.
- 2 Assuming the existence of IND-CPA secure PKE Π , there exists a PKE Π' that is IND-CPA secure but FFP-NG insecure.

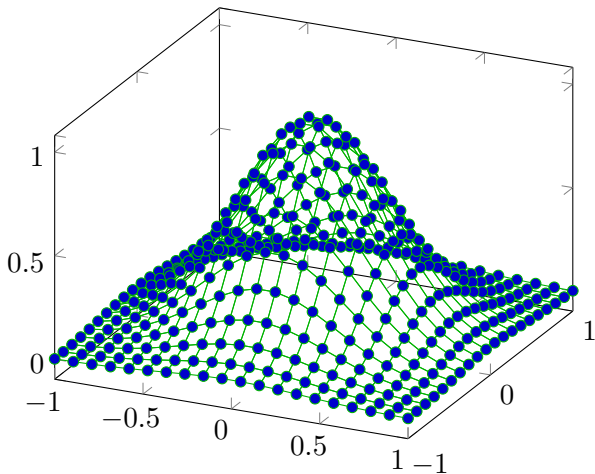
Discrete Gaussians

Definition of Discrete Gaussian

Given an n -dimensional lattice Λ and a covariance matrix Σ , we define the discrete gaussian as follows

$$\mathcal{D}_{\Lambda, \sqrt{\Sigma}} = \frac{\rho_{\sqrt{\Sigma}}(\mathbf{x})}{\rho_{\sqrt{\Sigma}}(\Lambda)},$$

where $\rho_{\sqrt{\Sigma}}(\mathbf{x})$ is the multivariate gaussian function with covariance matrix Σ .

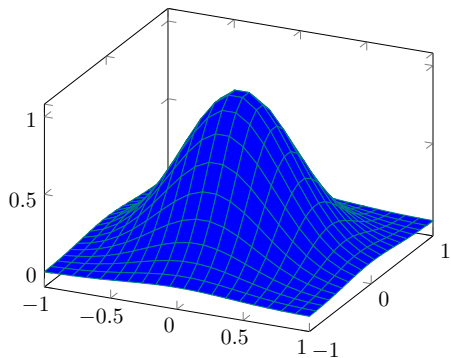


Discrete Gaussians

Smoothing parameter

Discrete Gaussians behave like standard Gaussians in so many ways, under some conditions on the so called **smoothing parameter**. Intuitively, beyond the smoothing parameter, the Gaussian measure no longer sees the discrete structure of Λ .

Beyond the smoothing parameter

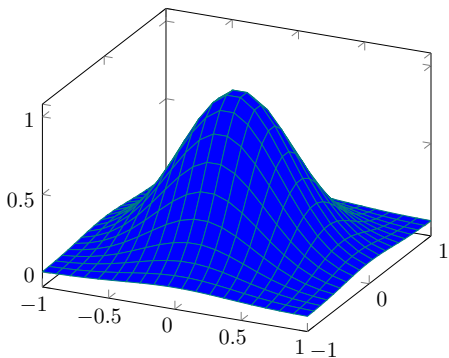


Discrete Gaussians

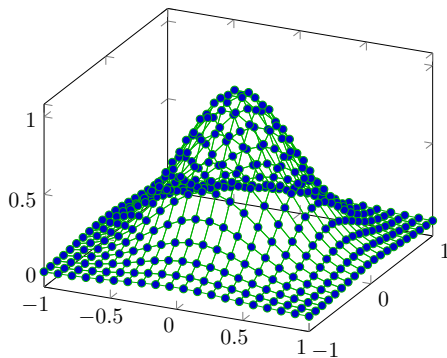
Smoothing parameter

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Beyond the smoothing parameter



Within the smoothing parameter



Discrete Gaussians

The GMPW framework

Genise, Micciancio, Peikert and Walter introduce a modular framework to describe several results about Discrete Gaussians. The two main features of this framework are

- ① it is based on simple linear algebra and linear transformation;
- ② it generalizes all previous frameworks.

Under some conditions on smoothing parameters of the lattices involved, we can show that

- ① convolution of discrete gaussians is still a discrete gaussian;
- ② linear combination of discrete gaussians is still a discrete gaussian.

N. Genise, D. Micciancio, C. Peikert and M. Walter, Improved Discrete Gaussian and Subgaussian Analysis for Lattice Cryptography

Learning With Error

 $PVW_{\mathcal{D}}$ PKE with Discrete Gaussian error distribution

Key Generation: choose $\mathbf{S} \in \mathbb{Z}_p^{n \times \ell}$ and $\mathbf{A} \in \mathbb{Z}_p^{n \times m}$ uniformly at random, and $\mathbf{E} \in \mathbb{Z}_p^{\ell \times m}$ by choosing each entry according to $\mathcal{D}_{\mathbb{Z}_p, \sigma}$. Define \mathbf{B} as follows

$$\boxed{\mathbf{B}} = \boxed{\mathbf{S}^T} \cdot \boxed{\mathbf{A}} + \boxed{\mathbf{E}}$$

The private key is \mathbf{S} . The public key is $(\mathbf{A}, \mathbf{B}) \in \mathbb{Z}_p^{n \times m} \times \mathbb{Z}_p^{\ell \times m}$.

O. Regev, On lattices, learning with errors, random linear codes, and cryptography,
 C. Peikert, V. Vaikuntanathan, B. Waters, A Framework for Efficient and Composable Oblivious Transfer

Learning With Error

 PVW_D PKE with Discrete Gaussian error distribution

Encryption: given an element of the message space $\mathbf{v} \in \mathbb{Z}_t^\ell$ and a public key (\mathbf{A}, \mathbf{B}) , choose a vector $\mathbf{d} \in \{-r, \dots, r\}^m$ uniformly at random. Compute

$$\begin{array}{|c|} \hline \mathbf{u} \\ \hline \end{array} = \begin{array}{|c|c|} \hline & \mathbf{A} \\ \hline \end{array} \cdot \begin{array}{|c|} \hline \mathbf{d} \\ \hline \end{array} \quad \text{and} \quad \begin{array}{|c|} \hline \mathbf{c} \\ \hline \end{array} = \begin{array}{|c|c|} \hline & \mathbf{B} \\ \hline \end{array} \cdot \begin{array}{|c|} \hline \mathbf{d} \\ \hline \end{array} + \begin{array}{|c|} \hline \bar{\mathbf{v}} \\ \hline \end{array}$$

where $\bar{\mathbf{v}} = \text{Encode}(\mathbf{v}) \in \mathbb{Z}_p^\ell$. The ciphertext is $(\mathbf{u}, \mathbf{c}) \in \mathbb{Z}_p^n \times \mathbb{Z}_p^\ell$.

Decryption: given a ciphertext $(\mathbf{u}, \mathbf{c}) \in \mathbb{Z}_p^n \times \mathbb{Z}_p^\ell$ and a private key $\mathbf{S} \in \mathbb{Z}_p^{n \times \ell}$, compute $\text{Decode}(\mathbf{c} - \mathbf{S}^T \mathbf{u})$.

Security reduction from LWE to FFP-NG

Main result

From LWE to FFP-NG

Let \mathcal{A} be an FFP-NG adversary against the $PVW_{\mathcal{D}}$ encryption scheme, with error distribution $\mathcal{D}_{\mathbb{Z}_p, \sigma}$, denoted by Π . If the FFP-NG advantage $\text{Adv}_{\Pi}^{\text{FFP-NG}}(\mathcal{A}) \geq \delta$ is non-negligible in n , there exists an adversary \mathcal{B} that solves the Decision LWE problem with error distribution $\mathcal{D}_{\mathbb{Z}_p, \hat{\sigma}}$, for $\hat{\sigma} = \sigma/\varphi$ such that

$$\text{Adv}_{\Pi}^{\text{FFP-NG}}(\mathcal{A}) \leq \text{Adv}_{\Pi}^{\text{LWE}}(\mathcal{B}) + \bar{\Gamma}(n, \varphi),$$

where $\bar{\Gamma}(n, \varphi) \in \text{negl}(n)$.

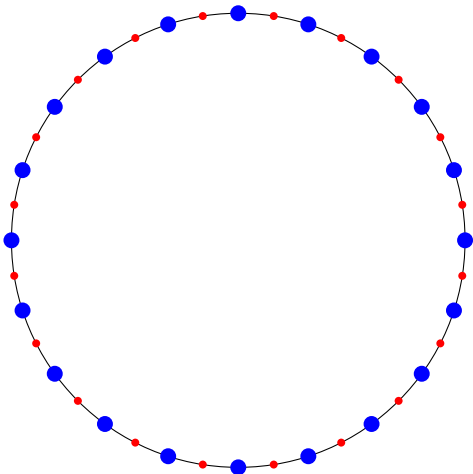
Security reduction from LWE to FFP-NG

Structure of the proof

- 1 Describe a decryption failure condition.
- 2 Get rid of the reduction modulo p .
- 3 Narrow down the possible strategies of the FFP-NG adversary.
- 4 Define an LWE adversary that exploits the FFP-NG adversary.
- 5 Analyze the winning probability of the adversary:

Security reduction from LWE to FFP-NG

1. Decryption failure condition



Blue dots are elements of \mathbb{Z}_p .

Lines between two red dots represent the range of a correct decryption.

If the value

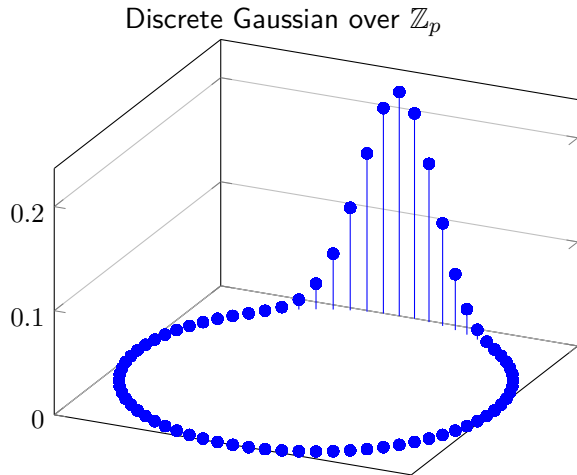
$$|\langle \mathbf{E}, \mathbf{d} \rangle|$$

is too large, we get a decryption failure.

The decryption failure condition is independent of the message.

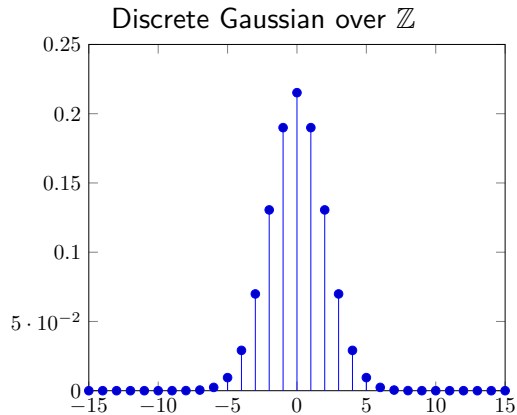
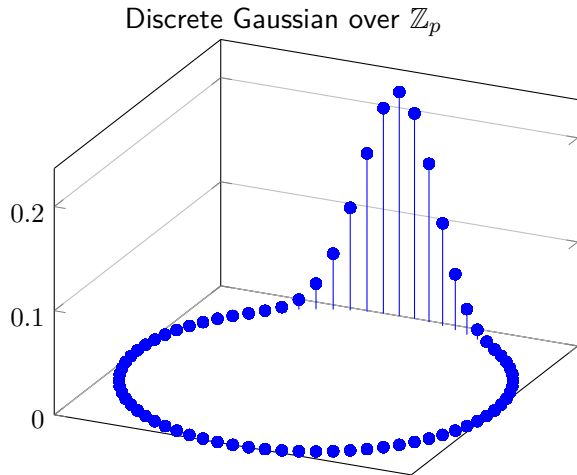
Security reduction from LWE to FFP-NG

2. Ignoring the reduction modulo p



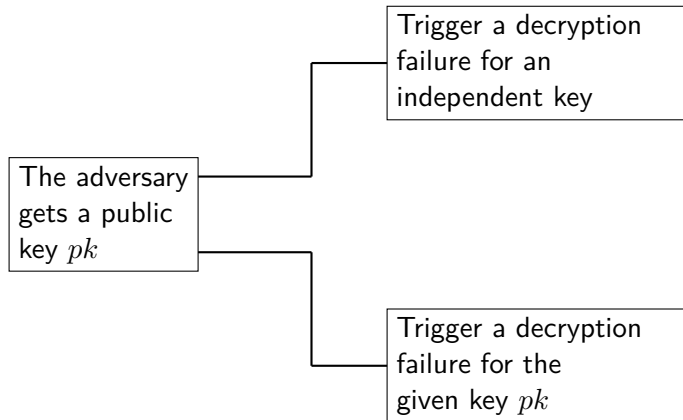
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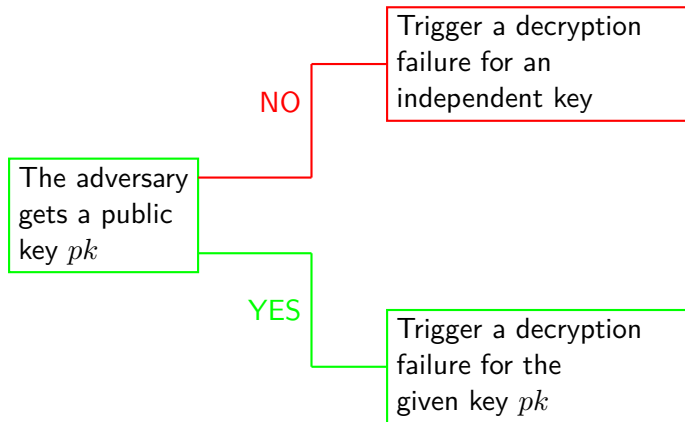
Security reduction from LWE to FFP-NG

3. Possible strategies of the FFP-NG adversary



Security reduction from LWE to FFP-NG

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Security reduction from LWE to FFP-NG

4. The LWE adversary

Given an FFP-NG adversary \mathcal{A} with non negligible winning probability, we build the following LWE adversary \mathcal{B} . It takes as input a public key $pk = (\mathbf{A}, \mathbf{B})$ and does the following

- ① Sets a value $\varphi > 1$
- ② Samples an extra error $\mathbf{E}' \leftarrow \mathcal{D}_{\mathbb{Z}_p^m, \sigma'}$, where $\sigma' = \sigma'(\sigma, \varphi)$
- ③ Modifies the public $pk' \leftarrow (\mathbf{A}, \mathbf{B} + \mathbf{E}')$
- ④ $(v, \mathbf{d}) \leftarrow \mathcal{A}(pk')$
- ⑤ Sets the value $k = k(\mathbf{d})$
- ⑥ Defines the inner product threshold $= \frac{p}{2t} \left(1 + \frac{1}{2^w}\right) - k$
- ⑦ If $|\langle \mathbf{E}', \mathbf{d} \rangle| \geq$ the inner product threshold output LWE distribution
- ⑧ If $|\langle \mathbf{E}', \mathbf{d} \rangle| <$ the inner product threshold output Uniform distribution

Security reduction from LWE to FFP-NG

5. Analyzing the winning probability of \mathcal{B} **Uniform distribution**

Given a pair error/randomness $(\mathbf{E}', \mathbf{d})$. If (\mathbf{A}, \mathbf{B}) is sampled uniformly at random, then

$$\Pr_{(\mathbf{A}, \mathbf{B}) \leftarrow U} [|\langle \mathbf{E}', \mathbf{d} \rangle| \geq \text{inner product threshold}]$$

is negligible in n .

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LWE distribution

Given a pair error/randomness $(\mathbf{E}', \mathbf{d})$. If (\mathbf{A}, \mathbf{B}) is sampled according to the LWE distribution, then

$$\Pr_{(\mathbf{A}, \mathbf{B}) \leftarrow LWE} [|\langle \mathbf{E}', \mathbf{d} \rangle| \geq \text{inner product threshold}]$$

is NOT negligible in n .

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Security reduction from LWE to FFP-NG

Further steps

We are now looking at the following questions:

- ① can we also prove the reduction by using as underlying scheme ones introduced by Lindner and Peikert that uses the LWE assumption for both key generation and encryption?
- ② can we prove a similar reduction from Ring-LWE?

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KYBER!!!

**THANK YOU FOR YOUR ATTENTION!
ANY QUESTIONS?**

