

Cryptanalysis of Lattice-Based Sequentiality Assumptions and Proofs of Sequential Work

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Background

Proof of sequential work (PoSW):

- ▶ A basic *timed cryptography* primitive [RivestShamirWagner96].
- ▶ Prover runs an *inherently sequential* process of depth (parallel time) T .
- ▶ Prover convinces a weak verifier with *low running time*, e.g., $O(\log T)$.
- ▶ Convincing the verifier should require prover depth $\approx T$.
- ▶ Application: energy conservation in blockchains.

Post-quantum PoSW:

- ▶ Most prior constructions, from e.g. factoring, are broken by quantum computers.
- ▶ Lai and Malavolta (Crypto 2023) give a lattice-based PoSW candidate.

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Our Results

LM23 PoSW

Assuming *sequential SIS* with norm bound $\approx n^{2 \log T}$ requires depth $\approx T$ to solve, there exists a PoSW that requires prover depth $\approx T$.

Breaking the LM23 sequentiality assumption

Sequential SIS with norm bound $\approx n^{2 \log T}$ can be solved in depth $O(\log T)$.

Moreover, a depth-norm tradeoff breaks a wide range of parameters.

Breaking the LM23 PoSW*

The LM23 PoSW* can be broken in depth $O(\log^2 T)$.

*An essentially identical variant, differing from the original PoSW in only an arbitrary choice that is immaterial to the design and security proof.

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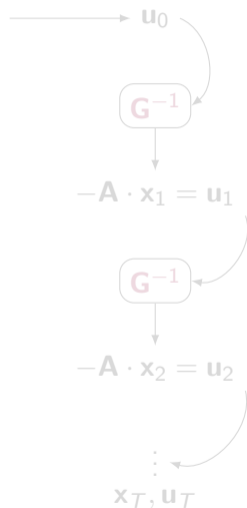
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The sequential work: SIS hash $f_{\mathbf{A}}(\mathbf{x}) = \mathbf{A} \cdot \mathbf{x}$ iterated T times.

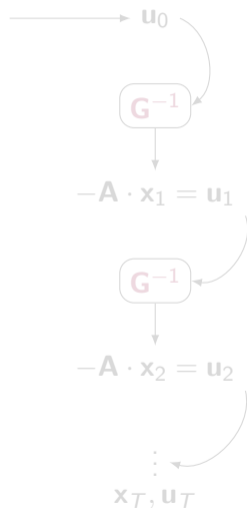
- ▶ $f_{\mathbf{A}}: \{0, 1\}^m \rightarrow \mathbb{Z}_q^n$.
- ▶ To iterate, need to map $\mathbb{Z}_q^n \rightarrow \{0, 1\}^m$.
- ▶ Bit expansion \mathbf{G}^{-1} : replace each \mathbb{Z}_q entry by $\lceil \log_2 q \rceil$ bits. (So set $m = n \cdot \lceil \log_2 q \rceil$.)
- ▶ “Gadget” matrix \mathbf{G} : satisfies $\mathbf{G} \cdot \mathbf{G}^{-1}(\mathbf{u}) = \mathbf{u}$ for any \mathbf{u} .
- ▶ Start with given \mathbf{A}, \mathbf{u}_0 and output \mathbf{u}_T .



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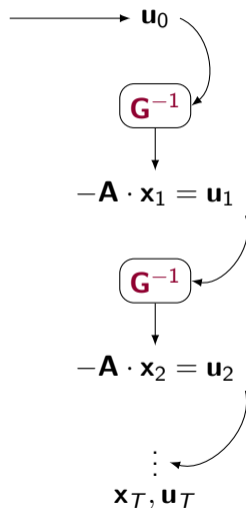
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Sequential SIS Problem

$$\mathbf{u}_0 \Rightarrow \dots \Rightarrow \mathbf{x}_i = \mathbf{G}^{-1}(\mathbf{u}_{i-1}), \mathbf{u}_i = -\mathbf{A} \cdot \mathbf{x}_i \Rightarrow \dots \Rightarrow \mathbf{x}_T, \mathbf{u}_T.$$

The sequential work can be expressed via a linear system:

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Sequential Short Integer Solution (SIS) Problem

Sequential SIS with norm bound B is the (average-case) problem where:

- ▶ an instance consists of $\mathbf{A} \leftarrow \mathbb{Z}_q^{n \times m}$ and $\mathbf{u}_0 \leftarrow \mathbb{Z}_q^n$, and
- ▶ the goal is to find $\mathbf{x} \in \mathbb{Z}^{Tm}$ with $\|\mathbf{x}\|_\infty \leq B$ such that $\mathbf{A}_T \cdot \mathbf{x} = \begin{pmatrix} \mathbf{u}_0 \\ \mathbf{0} \end{pmatrix}$.

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Goal: prove knowledge of a *short* solution to $\mathbf{A}_T \cdot \mathbf{x} = \begin{pmatrix} \mathbf{u}_0 \\ \mathbf{0} \\ -\mathbf{u}_T \end{pmatrix}$ to a *weak* verifier.

The LM23 PoSW takes a standard “divide and fold” approach.

- ▶ Assume for simplicity that $T = 2T' + 1$ is odd.
- ▶ \mathbf{x} splits into $\mathbf{x}^t = (\mathbf{x}_1; \dots; \mathbf{x}_{T'})$, $\mathbf{x}_{T'+1}$, $\mathbf{x}^b = (\mathbf{x}_{T'+2}; \dots; \mathbf{x}_T)$, and correspondingly:

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* The original LM23 PoSW differs *only* by multiplying \mathbf{c} to the second/bottom half.

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We construct a “somewhat short” [MP12]-style *trapdoor* \mathbf{R} for \mathbf{A}_T such that

$$\mathbf{A}_T \cdot \mathbf{R} = \begin{pmatrix} \mathbf{G} \\ \mathbf{0} \end{pmatrix} .$$

We construct \mathbf{R} in a recursive “divide and conquer” manner so that it takes low depth!

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Low-depth Recursive Construction of Trapdoors

Suppose we have a block lower-triangular matrix \mathbf{L} (e.g., $\mathbf{L} = \mathbf{A}_T$), and by recursion *in parallel* have sub-trapdoors $\mathbf{R}_0, \mathbf{R}_1$, as follows:

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Solving Sequential SIS in Low Depth

Recall: Breaking the LM23 Sequentiality Assumption

Sequential SIS with norm bound $\approx n^{2 \log T}$ can be solved in **depth $O(\log T)$** .

By our recursive construction $\mathbf{R} = \begin{pmatrix} \mathbf{R}_0 \\ \mathbf{R}_1 \cdot \mathbf{G}^{-1}(\star) \end{pmatrix}$, at each level of the recursion, $\|\mathbf{R}\|$ grows by a factor of $\|\mathbf{G}^{-1}(\star)\| \leq O(m)$, and the depth is $O(1)$.

So our attack finds a solution:

- ▶ with norm $O(m)^{\log T} = o(n)^{2 \log T}$ (for $m = o(n^2)$, a common setting),
- ▶ in depth $O(1) \cdot \log T = O(\log T)$.

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Solving Sequential SIS in Low Depth

Recall: Breaking the LM23 Sequentiality Assumption

Sequential SIS with norm bound $\approx n^{2 \log T}$ can be solved in **depth $O(\log T)$** .

By our recursive construction $\mathbf{R} = \begin{pmatrix} \mathbf{R}_0 \\ \mathbf{R}_1 \cdot \mathbf{G}^{-1}(\star) \end{pmatrix}$, at each level of the recursion, $\|\mathbf{R}\|$ grows by a factor of $\|\mathbf{G}^{-1}(\star)\| \leq O(m)$, and the depth is $O(1)$.

So our attack finds a solution:

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Breaking the LM23 PoSW*

Recall: in the LM23 PoSW, the first check is $\|\mathbf{x}_{T/2}\| \leq 1$, for the middle point;
the second check is $\|c \cdot \mathbf{x}_{T/4} + \mathbf{x}_{3T/4}\| \lesssim n$, for the folding of the quarter points; etc.

Issue: our recursive construction $\mathbf{R} = \begin{pmatrix} \mathbf{R}_0 \\ \mathbf{R}_1 \cdot \mathbf{G}^{-1}(\star) \end{pmatrix}$ does not have a norm “profile” that works for the folding.

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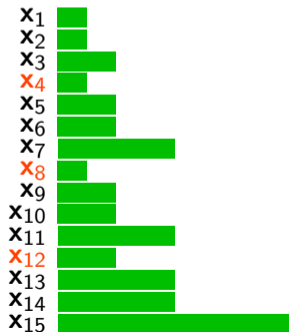
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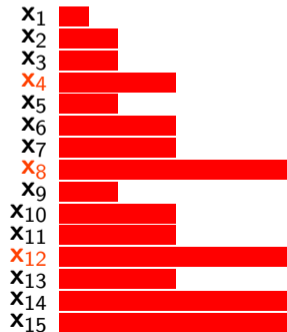
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Profile needed in folding:



Profile from our recursion:



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Summary of our solution:

- ▶ We carefully divide \mathbf{L} *unevenly* into $\mathbf{L}_0, \mathbf{L}_1, \dots, \mathbf{L}_{k-1}$, so that the norm profile of \mathbf{x} matches what is needed in the folding.
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Open Questions

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(I.e., challenge c on second half.)

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