Formal Security Proofs via Doeblin Coefficients

Optimal Side-channel Factorization from Noisy Leakage to Random Probing

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CRYPTO 2024, Santa Barbara, CA, USA

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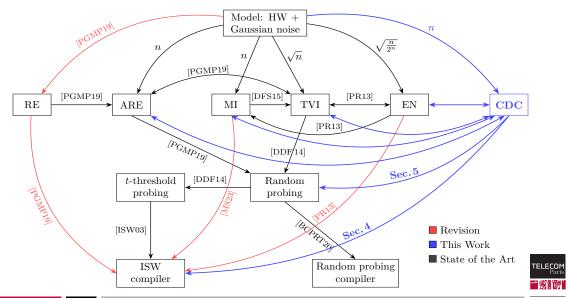
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- Our work : Complementary Doeblin Coefficient : optimal reduction from noisy leakage to random probing model; direct proof (PR'13) and indirect proof (DDF'14) + points several flaws in previous derivations from PR'13, DDF'14, DFS'15, PGMP'19 and MS'23.



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Adversary's Model

Let *K* be the secret. Adversary obtains side information (Y_1, \ldots, Y_l) about sensitive values (X_1, \ldots, X_l) through $\varphi_i = (X_i \to Y_i)$, $i = 1, \ldots, l$. $\varphi = (\varphi_1, \ldots, \varphi_l)$ is restricted to limit the adversary's abilities :

- t-threshold probing : t identity channels and opaque channels otherwise;
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- δ -noisy : δ -noisy channels with respect to \mathcal{D} i.e. $\mathcal{D}(X; Y) \leq \delta$ where X is uniformly distributed and Y is the output of the side-channel $X \rightarrow Y$;
- (σ, f) -additive : channels $X \to Y \triangleq f(X) + \sigma N$.



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- t-threshold probing : t identity channels and opaque channels otherwise;
- *E*-random probing : *&*-erasure channels;
- δ -noisy : δ -noisy channels with respect to \mathcal{D} i.e. $\mathcal{D}(X; Y) \leq \delta$ where X is uniformly distributed and Y is the output of the side-channel $X \rightarrow Y$;
- (σ, f) -additive : channels $X \to Y \triangleq f(X) + \sigma N$.

Let rank(K|Y) be the rank of the correct key in the ranking produced by the adversary upon observation Y. The performance of the attack is usually assessed using :

- 1. Success rate of order o, (SR_o) : $\mathbb{P}_{s,o}(K|Y) \triangleq \mathbb{P}(\operatorname{rank}(K|Y) \leq o)$
- 2. Guessing entropy (GE) : $G(K|Y) \triangleq \mathbb{E}\{\operatorname{rank}(K|Y)\}$



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Multiple Leakage Measures

- Mutual Information : $I(X;Y) = D_{KL}(p_{XY}||p_Xp_Y) = \oint p_{XY}(x,y) \log \frac{p_{X,Y}(x,y)}{p_X(x)p_Y(y)}$.
- **Total Variation Information** : $\Delta(X; Y) = D_{TV}(p_{XY} || p_X p_Y) = \frac{1}{2} || p_{XY} p_X p_Y ||_1$.
- Maximal Leakage : $\mathcal{L}(X \to Y) = \log \oint_{Y} \sup_{x} p_{Y|X}(y|x)$.
- Euclidean Norm bias : $\beta(X; Y) = \mathbb{E}_{Y} \| p_{X|Y}(\cdot|Y) p_{X} \|_{2}$.
- Relative Error : $RE(X; Y) = \sup_{x,y} \left| \frac{p_{X|Y}(x|y)}{p_X(x)} 1 \right|$.
- Average Relative Error : $ARE(X; Y) = \mathbb{E}_{Y} \left[\sup_{X \mid Y \in Y} \left| \sup_{X \mid Y \in Y} 1 \right| \right]$.
- Complementary Doeblin Coefficient :

$$\overline{\varepsilon}(X \to Y) = 1 - \oint_{Y} \inf_{x} p_{Y|X}(y|x) = \mathbb{E}_{Y} \left[\sup_{x} \left(1 - \frac{p_{X|Y}(x|Y)}{p_{X}(x)} \right) \right]$$





Wolfgang Doeblin (Vincent Döblin)



Here

died at the age of 25 on June 21, 1940 Vincent Döblin

mathematical genius

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The discontinuous case of probability chains (1937)



Wolfgang Döblin, ca. 1935



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Erasure Channel

Definition (Erasure Channel)

The channel

$$X \to \boxed{\mathrm{EC}^{\perp}_{\mathcal{E}}} \to Y$$
 (1)

is said to be an erasure channel with erasure probability $\& \in [0, 1]$ and special erasure symbol \bot if on input x, $EC_{\&}^{\bot}$ outputs x with probability

$$\overline{\varepsilon} = 1 - \varepsilon \tag{2}$$

and the special erasure symbol \perp otherwise (with probability \mathcal{E}). That is

$$\begin{cases} p_{Y|X}(\perp|x) = \mathcal{E} \\ p_{Y|X}(x|x) = \overline{\mathcal{E}} \end{cases} \qquad (\forall x \neq \perp). \tag{3}$$



Optimal Reduction from Noisy Leakage to Random Probing

Theorem (Optimal Reduction)

Any channel $X \to |P_{Y|X}| \to Y$ is a stochastically degraded erasure channel :

$$X \to \boxed{\mathrm{EC}_{\mathcal{E}}^{\perp}} \to X' \to \boxed{P_{Y|X'}} \to Y \tag{4}$$

with maximum erasure probability given by the Doeblin Coefficient

$$\mathscr{E}(X \to Y) = \oint_{Y} \inf_{x \in \mathcal{X}} p_{Y|X}(y|x).$$
(5)





Proof : Achievability

1. Consider a channel $X \to P_{Y|X} \to Y$ with a given Doeblin coeffcient

$$\mathcal{E} = \oint_{\mathbf{y}} \inf_{\mathbf{x} \in \mathcal{X}} p_{\mathbf{Y}|\mathbf{X}}(\mathbf{y}|\mathbf{x}).$$

$$\begin{cases} p_{Y|X'}(y|\perp) = \mathcal{E}^{-1} \inf_{x \in \mathcal{X}} p_{Y|X}(y|x) \\ p_{Y|X'}(y|x) = \overline{\mathcal{E}}^{-1} \Big(p_{Y|X}(y|x) - \inf_{x \in \mathcal{X}} p_{Y|X}(y|x) \Big) \end{cases}$$

is such that

$$(X \to \boxed{P_{Y|X}} \to Y) = (X \to \boxed{EC_{\&}} \to X' \to \boxed{P_{Y|X'}} \to Y)$$





Proof : Converse

1. Assume that there exists $\mathcal{E} \in [0, 1]$ such that

$$\left(X \to \boxed{P_{Y|X}} \to Y\right) = \left(X \to \boxed{\operatorname{EC}_{\&}} \to X' \to \boxed{P_{Y|X'}} \to Y\right).$$

2. Then for any pair *x*, *y* :

$$\rho_{Y|X}(y|x) = \overline{\varepsilon} \rho_{Y|X'}(y|x) + \varepsilon \rho_{Y|X'}(y|\bot) \geqslant \varepsilon \rho_{Y|X'}(y|\bot).$$

3. Since it is true for all x :

$$\inf_{x} p_{Y|X}(y|x) \geqslant \& P_{Y|X}(y|\bot).$$

4. Since $f_{y \in \mathcal{Y}} P_{Y|X}(y|\perp) = 1$:

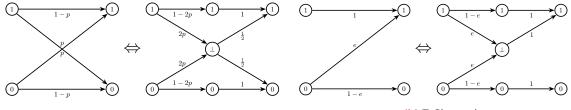
$$\oint_{y\in\mathcal{Y}}\inf_{x\in\mathcal{X}}p_{Y|X}(y|x)\geq \varepsilon.$$



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Example with BSC and Z-channel



(a) BSC

(b) Z-Channel

Figure – Illustration of the Theorem



Many Good Properties!

1. **Strengthened-DPI** For any $X \rightarrow Y \rightarrow Z$, CDC satisfies the following strengthened-DPI

$$\overline{\varepsilon}(X \to Z) \leqslant \overline{\varepsilon}(X \to Y)\overline{\varepsilon}(Y \to Z)$$
(6)

2. Adaptive Single Letterization

$$\overline{\varepsilon}(K \to Y_1, \dots, Y_q) \leqslant 1 - (1 - \overline{\varepsilon})^q \leqslant q\overline{\varepsilon}$$
(7)

3. Fano's Inequality The adversary's advantage is bounded as follows :





Subsequence Decomposition

For typical block ciphers like the AES, featuring substitution boxes, Prouff and Rivain (EuroCrypt'13) decompose the computations in four different types of subsequences :

Type 1 $(z_i \leftarrow g(x_i))_i$ where g is a linear function (of the block cipher)

- **Type 2** $(x_i \leftarrow g(y_i))_i$ where g is an affine function (of Sbox evaluation)
- **Type 3** $(v_{i,j} \leftarrow a_i b_j)_{i,j}$ (First step of non-linear secure multiplication)

Type 4 $(t_{i,j} \leftarrow t_{i,j-1} + v_{i,j})_{i,j}$ (Last step of non-linear secure multiplication)



Explicit Algorithm in AES (from MS'24 article)

Algorithm 1 Linear gadget in Prouff & Rivain	's proof.
Require: A: $(d + 1)$ -sharing of A, C: elementary c	alculation linear with its input.
Ensure: $B : (d + 1)$ -sharing of $C(A)$.	
1: for $i = 0,, d$ do	
2: $B_i \leftarrow C(A_i)$	\triangleright Type 1 or 2
3: end for	
4: $\mathbf{B} \leftarrow Refresh(\mathbf{B})$	▷ Assumed to be leak-free
5: $\mathbf{A} \leftarrow Refresh(\mathbf{A})$	\triangleright Only if A used subsequently.

Algorithm 2 Multiplication gadget in Prouff & Rivain's proof.

Require: $A, B: (d + 1)$ -sharing of A, B.	
Ensure: C : $(d + 1)$ -sharing of A × B.	
1: for $i = 0,, d$ do	
2: for $j = 0,, d$ do	
3: $V_{i,j} \leftarrow A_i \times B_j$	▷ Cross products (type 3)
4: end for	
5: end for	
6: $\mathbf{V} \leftarrow Refresh(\mathbf{V})$	▷ Assumed to be leak-free
7: for $i = 0,, d$ do	
8: $C_i = 0$	
9: for $j = 0,, d$ do	
10: $C_i \leftarrow C_i \oplus V_{i,j}$	▷ Compression (type 4)
11: end for	
12: end for	
13: $C \leftarrow Refresh(C)$	▷ Assumed to be leak-free
$14: \ \mathbf{A}, \mathbf{B} \leftarrow Refresh(\mathbf{A}), Refresh(\mathbf{B})$	▷ Only if A, B used subsequently.



Mrs. Gerber's Lemma for CDC, Type 1 & 2 Subsequences

Let $\mathbf{G} = (G_i)_{i=0}^d$ be a *d*-th order encoding of G = g(X) where *g* is a given function. Each share leaks independently through the side-channels $(G_i \to Y_i)_{i=0}^d$.

$$\overline{\mathscr{E}}(X \to \mathbf{Y}) \leqslant \prod_i \overline{\mathscr{E}}(G_i \to Y_i).$$

Intuition : A shared sensitive value is probed if and only all of its shares are probed.



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Type 3 subsequences

Definition (Rook Domination Polynomial)

Let $(E_{i,j})_{0 \le i,j \le d}$ be a collection of independent events with respective probabilities $((\overline{\mathcal{E}}_{i,j})_{0 \le i,j \le d})$. Let

$$\Upsilon((\overline{\mathcal{E}}_{i,j})_{0\leqslant i,j\leqslant d}) \triangleq \mathbb{P}\left(\left(\cap_{i=0}^{d} \cup_{j=0}^{d} E_{i,j}\right) \cup \left(\cap_{j=0}^{d} \cup_{i=0}^{d} E_{i,j}\right)\right).$$
(9)

For short $\Upsilon_d(\overline{\varepsilon}) \triangleq \Upsilon((\overline{\varepsilon}_{i,j})_{0 \leq i,j \leq d})$ when for all i, j we have $\overline{\varepsilon}_{i,j} = \overline{\varepsilon}$.

Lemma (Type 3 Subsequences)

Consider the channels $((G_i, H_j) \to Y_{i,j})_{0 \leq i,j \leq d}$ and let $\mathbf{Y} \triangleq (Y_{i,j})_{0 \leq i,j \leq d}$. Then one has

$$\overline{\mathscr{E}}({\pmb{X}} o {\pmb{Y}}) \leqslant \Upsilon((\overline{\mathscr{E}}(({\pmb{G}}_i, {\pmb{H}}_j) o {\pmb{Y}}_{i,j}))_{0\leqslant i,j\leqslant d}).$$





Type 4 subsequences

Let $(V_{i,j})$ be an encoding in $(d + 1)^2$ shares of f(X) where f is a given function. Let $\begin{cases}
T_{i,0} = V_{i,0} \\
T_{i,j} = T_{i,j-1} \oplus V_{i,j}.
\end{cases}$ In particular $(T_{i,d})_{i=0}^d$ is a d-th order encoding of f(X).

Lemma (Type 4 Subsequences)

 $(V_{i,0}, \ldots, V_{i,d})$ is a d-th order sharing of $T_{i,d}$. Consider $((T_{i,j-1}, V_{i,j}) \rightarrow Y_{i,j})_{0 \leqslant i,j \leqslant d}$ and let $\mathbf{Y} = (Y_{i,j})_{0 \leqslant i,j \leqslant d}$ then,

$$\overline{\varepsilon}(X \to \mathbf{Y}) \leqslant \prod_{i=0}^{d} \overline{\varepsilon}((T_{i,d-1}, V_{i,d}) \to Y_{i,d}).$$
(11)





Theorem : Direct Security Proof

Consider an implementation with n_i subsequences of type *i* and a $\overline{\mathcal{E}}$ -noisy with respect to CDC adversary with *q* queries.

$$0 \leqslant \overline{\varepsilon}(\mathcal{K} \to \mathbf{Y}) \leqslant 1 - \left(\left(1 - \overline{\varepsilon}^{d+1}\right)^{n_1 + n_2 + n_4} \left(1 - \Upsilon_d(\overline{\varepsilon})\right)^{n_3} \right)^q \leqslant 1.$$
(12)

(12) is asymptotically equivalent to

$$\overline{\varepsilon}(K \to \mathbf{Y}) \leqslant q \left(n_1 + n_2 + \left(2(d+1)^{d+1} - (d+1)! \right) n_3 + n_4 \right) \overline{\varepsilon}^{d+1}.$$
(13)

(12) can be weakened to

$$\overline{\varepsilon}(K \to \mathbf{Y}) \leqslant q\left((n_1 + n_2 + n_4) + 2n_3(d+1)^{d+1}\right)\overline{\varepsilon}^{d+1}.$$
(14)





Theorem : Lower Bound on the Number of Queries

Let

$$\lambda(\overline{\varepsilon},d) = \left(\ln\left(\left(1 - \overline{\varepsilon}^{d+1}\right)^{n_1 + n_2 + n_4} \left(1 - \Upsilon_d(\overline{\varepsilon})\right)^{n_3} \right) \right)^{-1}$$
(15)

$$= \left(\left(n_1 + n_2 + n_4 \right) \log \left(1 - \overline{\varepsilon}^{d+1} \right) + n_3 \log \left(1 - \Upsilon_d(\overline{\varepsilon}) \right) \right)^{-1}$$
(16)

$$\approx \left(\left(n_1 + n_2 + n_4 + n_3 (2(d+1)^{d+1} - (d+1)!) \right) \overline{\varepsilon}^{d+1} \right)^{-1}. \tag{17}$$

Number of queries to achieve $\mathbb{P}_{s,o}(K|\mathbf{Y}) = \mathbb{P}_{s,o}$, $G(K|\mathbf{Y}) = G$ or $\Delta(K;Y) = \Delta$ is at least :

$$\begin{array}{ll} q_{\rm sr} & \geqslant \lambda(\overline{\mathcal{E}}, d) \ln \left((1 - \mathbb{P}_{s,o})^{-1} \lambda_{SR_o} \right), \\ q_{\rm ge} & \geqslant \lambda(\overline{\mathcal{E}}, d) \ln \left((G - 1)^{-1} \lambda_{GE} \right), \\ q_{\rm tvi} & \geqslant \lambda(\overline{\mathcal{E}}, d) \ln \left(\Delta^{-1} \lambda_{TVI} \right). \end{array}$$

$$(18)$$





Theorem : Indirect Security Proof

Circuit Γ decomposed into $|\Gamma|$ regions with (I_i) wires. Any set of at most t (probed) wires in each region of the circuit is independent with the secret key. Let \mathcal{A} be a $\overline{\mathcal{E}}$ -noisy adversary with respect to CDC with q queries.

$$\overline{\varepsilon}(K \to \mathbf{Y}) \leqslant \operatorname{fail}(t, (I_i), \overline{\varepsilon}, q) \triangleq 1 - \prod_{i=1}^{|\Gamma|} \left(1 - Q_B(t, I_i, \overline{\varepsilon})\right)^q \leqslant q \sum_{i=1}^{|\Gamma|} Q_B(t, I_i, \overline{\varepsilon}).$$
(19)





Thank you! Questions?

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Optimal Masking Order?

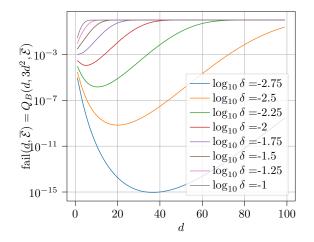


Figure – Bound for a Quadratic Gadget



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Lemma : Practical Evaluation

Y = f(X) + Z where Z is a radially symmetric decreasing with survival function S. Then

$$\mathscr{E}(X \to Y) = 2S\left(\frac{\sup_{x \in \mathcal{X}} f(x) - \inf_{x \in \mathcal{X}} f(x)}{2}\right).$$
(20)

If
$$f(X) = \sum_{i=1}^{n} a_i X_i$$
,
 $\mathscr{E}(X \to Y) = 2S\left(\frac{\|\mathbf{a}\|_1}{2}\right).$
(21)

If $Z \sim \sigma \mathcal{N}(0, 1)$,

$$\overline{\varepsilon}(X \to Y) = 1 - 2Q\left(\frac{\|\mathbf{a}\|_1}{2\sigma}\right) \stackrel{\sigma \to \infty}{=} \frac{\|\mathbf{a}\|_1}{\sqrt{2\pi}} \frac{1}{\sigma} + O\left(\sigma^{-3}\right).$$
(22)



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Lemma : Comparison with Other Leakage Measures

$$\frac{\frac{I(X;Y)}{\log |\mathcal{X}|} \leqslant \frac{I(X;Y)}{H(X)}}{\frac{ARE(X;Y)}{2\lambda_{TVI}}} \Biggr\} \leqslant \frac{\Delta(X;Y)}{\lambda_{TVI}} \Biggr\} \leqslant \overline{\mathcal{E}}(X \to Y) \leqslant \begin{cases} ARE(X;Y) \leqslant RE(X;Y) \\ \gamma_X \beta(X;Y) \\ \gamma_X \beta(X;Y) \\ \gamma_X \Delta(X;Y) \leqslant \gamma_X \left(\frac{I(X;Y)}{2\log e}\right)^{\frac{1}{2}} \\ (|\mathcal{X}| - 1)(\exp(\mathcal{L}(X \to Y)) - 1) \end{cases}$$
(23)

where *H* is Shannon entropy, *H*₂ is the collision entropy, $\lambda_{TVI} = 1 - \exp(-H_2(X))$ and $\gamma_X \triangleq (\inf_{x \in \mathcal{X}} p_X(x))^{-1}$. If $X \sim \mathcal{U}(\mathcal{X})$ then $\gamma_X = |\mathcal{X}|$ and $\lambda_{TVI} = 1 - \frac{1}{|\mathcal{X}|}$.



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Definition (Kullback-Leibler Divergence and Total Variation Distance)

Let P, Q be two probability distributions with respective pdf or pmf p, q defined over \mathcal{X} . The Kullback–Leibler (KL) divergence between P and Q is

$$D_{\mathrm{KL}}(P||Q) \triangleq \oint_{\mathcal{X}} p \log \frac{p}{q}$$
 (24)

and the total variation distance (TV) between P and Q is

$$D_{\rm TV}(P||Q) = \frac{1}{2} \oint_{\mathcal{X}} |p - q| = \frac{1}{2} ||p - q||_1.$$
(25)



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Proof I

Let

$$X \to [f] \to G \to [Mask_d] \to \mathbf{G} \to \boxed{\prod_{i=0}^d \rho_{Y_i|G_i}} \to \mathbf{Y}.$$
 (26)

By optimal reduction theorem,

$$(G_{i} \to \boxed{P_{Y_{i}|G_{i}}} \to Y_{i}) = (G_{i} \to \boxed{\mathrm{EC}_{\mathcal{E}_{i}}^{\perp_{i}}} \to G_{i}' \to \boxed{P_{Y_{i}|G_{i}'}} \to Y_{i}) \quad \text{where} \quad \mathcal{E}_{i} = \mathcal{E}(G_{i} \to Y_{i}).$$

$$(27)$$

$$(27)$$

$$(28)$$

By DPI,

$$\overline{\varepsilon}(X \to \mathbf{Y}) \leqslant \overline{\varepsilon}(G \to \mathbf{Y}) \leqslant \overline{\varepsilon}(G \to \mathbf{Y}').$$



Thank you! Questions

Proof II

By definition,

$$\overline{\mathscr{E}}(G \to \mathbf{Y}') = \mathbb{E}_{Y'_0, \dots, Y'_d} \left[\sup_{g \in f(\mathcal{X})} \left(1 - \frac{p(g|Y'_0, \dots, Y'_d)}{p(g)} \right) \right].$$
(30)

If $\exists i \text{ s.t. } y'_i = \bot_i$ then $p(g|y'_0, \dots, y'_d) = p(g)$,

$$\sup_{g \in f(\mathcal{X})} \left(1 - \frac{p(g|y'_0, \dots, y'_d)}{p(g)} \right) = 0.$$
(31)

Otherwise,

$$\begin{cases} p(g|y'_0, \dots, y'_d) = 1 & \text{if } g = y'_0 + \dots + y'_d \\ p(g|y'_0, \dots, y'_d) = 0 & \text{if } g \neq y'_0 + \dots + y'_d \end{cases}$$
(32)



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Proof III

So that,

$$\sup_{g \in f(\mathcal{X})} \left(1 - \frac{p(g|y'_0, \dots, y'_d)}{p(g)} \right) = \sup \left(1, 1 - \frac{1}{p(y'_0 + \dots + y'_d)} \right) = 1.$$
(33)

As a consequence,

$$\overline{\varepsilon}(G \to \mathbf{Y}') = \mathbb{E}_{\mathbf{Y}'_0, \dots, \mathbf{Y}'_d} \left[\mathbb{1}_{\mathbf{Y}'_0 \neq \bot_0, \dots, \mathbf{Y}'_d \neq \bot_d} \right] = \mathbb{P}\left(\mathbf{Y}'_0 \neq \bot_0, \dots, \mathbf{Y}'_d \neq \bot_d\right) = \prod_{i=0}^d \overline{\varepsilon}_i.$$
(34)



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Comparison Leakage Metrics

	I(X;Y)	$\Delta(X;Y)$	$\mathcal{L}(X \to Y)$	$\beta(X;Y)$	RE(X; Y)	ARE(X; Y)	$\overline{\mathscr{E}}(X \to Y)$
т	$\frac{ \mathcal{X} \log \mathcal{X} }{\sqrt{2\log el(X;Y)}}$	$ \mathcal{X} - 1$	$(\mathcal{X} -1)^2$	$2(\mathcal{X} -1)$	$+\infty$	$2(\mathcal{X} -1)$	1
м	$\log \mathcal{X} $	$1 - rac{1}{ \mathcal{X} }$	$\log \mathcal{X} $	$\sqrt{1 - \frac{1}{ \mathcal{X} }}$	$ \mathcal{X} - 1$	$ \mathcal{X} -\mathtt{l}$	1
н	$\frac{n\log e}{8}\frac{1}{\sigma^2}$	$\frac{\sqrt{n}}{2\pi\sigma}$	$\frac{n\log e}{\sqrt{2\pi}\sigma}$	$\sqrt{\frac{n}{2\pi 2^n}}\frac{1}{\sigma}$	2 ⁿ – 1	$\frac{n}{\sqrt{2\pi\sigma}}$	$\frac{n}{\sqrt{2\pi\sigma}}$



