

### ASYMPTOTICS AND IMPROVEMENTS OF SIEVING FOR CODES

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presenting: Simona Etinski (CWI) based on joint work with: Léo Ducas (CWI, LEI), Andre Esser (TII), and Elena Kirshanova (TII) **Motivation**: Sieving is a well-known and widely used technique for attacking decoding problems in **lattice-based** cryptography.

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**Goal**: Adapt sieving techniques to the **code-based setting** and make them competitive with state-of-the-art algorithms.

# SIEVING FOR CODES

### PROBLEM DEFINITION

### **Decoding problem, DP**(n, k, w)

Given an [n,k] binary linear code  ${\mathcal C}$  and a weight w, find a codeword of Hamming weight^1 w.

<sup>&</sup>lt;sup>1</sup>Hamming weight, | · |: The number of non-zero entries of a vector.

## INFORMATION SET DECODING (ISD)

Information set decoding algorithms are the best known generic<sup>2</sup> attacks for the decoding problem.

<sup>&</sup>lt;sup>2</sup>For certain parameter ranges, statistical decoding performs better. (Kevin Carrier et al. Statistical Decoding 2.0: Reducing Decoding to LPN. Cryptology ePrint Archive, Paper 2022/1000. 2022)

## INFORMATION SET DECODING (ISD)

Information set decoding algorithms are the best known generic attacks for the decoding problem.

Recently, a new ISD algorithm based using **sieving** as a **subroutine** was proposed in [GJN23]<sup>2</sup>.

<sup>&</sup>lt;sup>2</sup>Qian Guo, Thomas Johansson, and Vu Nguyen. A New Sieving-Style Information-Set Decoding Algorithm. 2023.

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## INSPIRATION PART I: [GJN23] APPROACH<sup>3</sup>



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## INSPIRATION PART I: [GJN23] APPROACH<sup>5</sup>

Provides slight improvements in asymptotic runtime over the baseline algorithm due to Prange<sup>4</sup>.

<sup>&</sup>lt;sup>4</sup>Eugene Prange. "The use of information sets in decoding cyclic codes". In: IRE Trans. Inf. Theory 8.5 (1962), pp. 5–9.

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## INSPIRATION PART I: [GJN23] APPROACH<sup>5</sup>

Provides slight improvements in asymptotic runtime over the baseline algorithm due to Prange<sup>4</sup>.

Gives very good time-memory trade-offs.

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## INSPIRATION PART II: NEAR-NEIGHBOR SEARCH

In the lattice-based setting, **sieving** was successfully combined with **near-neighbor search**<sup>678</sup>.

<sup>&</sup>lt;sup>6</sup>Thijs Laarhoven. Sieving for shortest vectors in lattices using angular locality-sensitive hashing. Cryptology ePrint Archive, Paper 2014/744. 2014.

<sup>&</sup>lt;sup>7</sup>Anja Becker, Nicolas Gama, and Antoine Joux. Speeding-up lattice sieving without increasing the memory, using sub-quadratic nearest neighbor search. Cryptology ePrint Archive, Paper 2015/522. 2015.

<sup>&</sup>lt;sup>8</sup>Anja Becker, Léo Ducas, et al. New directions in nearest neighbor searching with applications to lattice sieving. 2015.

## INSPIRATION PART II: NEAR-NEIGHBOR SEARCH

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[MO15]<sup>6</sup>, [BM18]<sup>7</sup>, etc. and Kévin Carrier's thesis<sup>8</sup> explored **near-neighbor search** in the coding setting.

<sup>6</sup>Alexander May and Ilya Ozerov. "On Computing Nearest Neighbors with Applications to Decoding of Binary Linear Codes". In: 2015.

<sup>7</sup>Leif Both and Alexander May. "Decoding Linear Codes with High Error Rate and Its Impact for LPN Security". In: ed. by Tanja Lange and Rainer Steinwandt. 2018. <sup>8</sup>Kévin Carrier. "Recherche de Presque-Collisions pour le Décodage et la Reconnaissance de Codes Correcteurs. (Near-collisions finding problem for decoding and recognition of error correcting codes)". PhD thesis. 2020. Sieving for codes

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## OUR GENERALIZATION



# **NEAR-NEIGHBOR SEARCH**

## DEFINITIONS AND NOTATION

$$\mathcal{S}_p^m := \{ \boldsymbol{x} \in \mathbb{F}_2^m: \ |\boldsymbol{x}| = p \}.$$

Near-Neighbor Search

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# $\label{eq:Nearneighbors:} \text{Near neighbors:} \quad \big\{(x,y)\in \mathcal{S}_p^m\times \mathcal{S}_p^m: \; |x+y|=p\big\},$

where + denotes bitwise XOR.

Near-Neighbor Search

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# $\label{eq:Nearneighbors:} \qquad \big\{ (\textbf{x},\textbf{y}) \in \mathcal{S}_p^m \times \mathcal{S}_p^m: \ |\textbf{x} \wedge \textbf{y}| = p/2 \big\},$

where  $\land$  denotes bitwise AND.

### NEAR-NEIGHBOR SEARCH PROBLEM

### Near-Neighbor Search (NNS), $NNS(\mathcal{L}, p)$

Given a target weight p and an input list  $\mathcal{L} \subseteq \mathcal{S}_p^m$ , find all pairs  $(\mathbf{x}, \mathbf{y}) \in \mathcal{L} \times \mathcal{L}$  satisfying  $|\mathbf{x} + \mathbf{y}| = p$ .

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 $\rightarrow$  Brute-force search runtime:  $\tilde{\mathcal{O}}(|\mathcal{L}|^2)$ .

Near-Neighbor Search

### LOCALIZED SEARCH

For a suitable choice of  $\alpha$ , if  $|\mathbf{x} \wedge \mathbf{c}| = |\mathbf{y} \wedge \mathbf{c}| = \alpha$ , **x** and **y** are likely **near neighbors**.



Near-Neighbor Search

Comparisons and conclusions 000

## LOCALITY-SENSITIVE FILTERING (LSF)<sup>9</sup>



### Given $\mathcal{L} \subseteq \mathcal{S}_p^m$

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## LOCALITY-SENSITIVE FILTERING (LSF)<sup>9</sup>

# Given $\mathcal{L} \subseteq \mathcal{S}_p^m$ , set of centers $\mathcal{C}_f$ and parameter $\alpha$



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## LOCALITY-SENSITIVE FILTERING (LSF)<sup>9</sup>

Given  $\mathcal{L} \subseteq \mathcal{S}_p^m$ , set of centers  $\mathcal{C}_f$  and parameter  $\alpha$ , perform

• **bucketing phase**: for each element  $\mathbf{x} \in \mathcal{L}$ , if  $|\mathbf{x} \wedge \mathbf{c}| = \alpha$ , assign  $\mathbf{x}$  to a bucket  $\mathcal{B}_{\alpha}(\mathbf{c})$ ,



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- **checking phase**: for each  $\mathbf{c} \in C_{f}$ , check which  $(\mathbf{x}, \mathbf{y}) \in \mathcal{B}_{\alpha}(\mathbf{c}) \times \mathcal{B}_{\alpha}(\mathbf{c})$ are near neighbors and add them to the output list.



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# **Input** : Weight p, input list $\mathcal{L} \subseteq S_p^m$ , set of centers $C_f$ , and a bucketing parameter $\alpha$ .

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BUCKETING PHASE:

for  $x \in \mathcal{L}$  do

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BUCKETING PHASE:

```
for \mathbf{x} \in \mathcal{L} do
for \mathbf{c} \in FindValidCenters(\mathcal{C}_{f}, \mathbf{x}, \alpha) do
```

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\label{eq:constraint} \left[ \begin{array}{c} \mbox{for } c \in \mathcal{C}_f \mbox{ do } \\ \mbox{for } (x,y) \in \mathcal{B}_\alpha(c) \times \mathcal{B}_\alpha(c) \mbox{ do } \end{array} \right]
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```

**Input** : Weight p, input list  $\mathcal{L} \subseteq \mathcal{S}_{p}^{m}$ , set of centers  $\mathcal{C}_{f}$ , and a bucketing parameter  $\alpha$ .

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BUCKETING PHASE:

CHECKING PHASE:

 $\text{return } \mathcal{L}'$ 

## GUO, JOHANSSON AND NGUYEN [GJN] APPROACH<sup>10</sup>

**Basic idea**: For any  $(\mathbf{x}, \mathbf{y}) \in S_p^m \times S_p^m$  satisfying  $|\mathbf{x} \wedge \mathbf{y}| = p/2$ , there exists a unique  $\mathbf{c} \in S_{p/2}^m$  such that  $|\mathbf{x} \wedge \mathbf{c}| = |\mathbf{y} \wedge \mathbf{c}| = p/2$ .

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\*Initially, the approach was not presented in the locality-sensitive filtering fashion, yet it aligns with the framework.

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\*Initially, the approach was not presented in the locality-sensitive filtering fashion, yet it aligns with the framework.

Parameters:

$$C_{f} = S_{p/2}^{m}, \quad \alpha = p/2.$$

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## CODED HASHING APPROACH (HASH)

**Basic idea:** Increase the size of buckets but reduce the number of buckets efficiently.

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$$\mathcal{C}_{f} = \mathcal{S}^{m}_{\alpha} \cap \mathcal{C}_{\mathcal{H}}, \quad \alpha \leq p/2,$$

where  $\mathcal{C}_{\mathcal{H}}$  is [m,m-r] binary linear code.

## Coded hashing approach (HASH)

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where  $\mathcal{C}_{\mathcal{H}}$  is [m,m-r] binary linear code.

 $\rightarrow$  FINDVALIDCENTERS subroutine needs to perform efficient decoding.

## RANDOM PRODUCT CODES APPROACH (RPC)

**Basic idea:** Improve efficiency of FINDVALIDCENTERS subroutine using random product codes.

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Parameters:

$$\mathcal{C}_{\mathcal{H}}^{(i)} \subseteq \mathcal{S}_{v/t}^{m/t}, \quad \mathcal{C}_{\mathcal{H}} = \mathcal{C}_{\mathcal{H}}^{(1)} \times \cdots \times \mathcal{C}_{\mathcal{H}}^{(t)}, \quad \alpha, v \leq p/2 \text{ - to be optimized},$$

where t is chosen to guarantee random behavior of the  $\mathcal{C}_{\mathcal{H}}$  and an efficient decodability.

Comparisons and conclusions 000

Different approaches

## MEMORY OPTIMAL VERSIONS (HASH AND RPC MEMO-OPT)

High-level idea

We interleave the bucketing and the checking phase.

Comparisons and conclusions 000

Different approaches

## Memory optimal versions (HASH and RPC memo-opt)

### High-level idea

We interleave the bucketing and the checking phase.

### Memory optimal approach

The initial set of filters contains  $|\mathcal{C}_f|/d$  centers but we repeat the algorithm d times.

## COMPARISONS AND CONCLUSIONS



Asymptotic runtime exponent for different ISD variants for the unique-decoding regime.



Time-memory trade-off curves of different SievingISD instantiations.

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### SUMMA SUMMARUM

We introduce **sieving-based ISD** algorithms whose asymptotic runtime and memory are close to those of the state-of-the-art.



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How practical is code-sieving?

### Summa summarum

We introduce **sieving-based ISD** algorithms whose asymptotic runtime and memory are close to those of the state-of-the-art.

A new alignment of the lattice-based and code-based framework.

How practical is code-sieving?



Thank you for your attention!