

Improved Cryptanalysis of HFERP

PKC 2024

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In this talk, we provide two attacks on the multivariate cryptosystem HFERP



Hidden Field Equations (HFE)



Hidden Field Equations (HFE)

$\mathcal{P} = T \circ F \circ S$ where $F = \phi^{-1} \circ f \circ \phi$ and

$$f(X) = \sum_{i \leq j}^{q^i + q^j < D} \alpha_{ij} X^{q^i + q^j} + \sum_{q^i < D} \beta_i X^{q^i} + \gamma$$

$$\begin{array}{ccccc}
 & & f & & \\
 & & \mathbb{F}_{q^n} & \longrightarrow & \mathbb{F}_{q^n} \\
 & \phi & \uparrow & & \downarrow \phi^{-1} \\
 \mathbb{F}_q^n & \xrightarrow{U} & \mathbb{F}_q^n & & \mathbb{F}_q^n \xrightarrow{T} \mathbb{F}_q^n
 \end{array}$$

Jaques Patarin, "Hidden Fields Equations (HFE) and Isomorphisms of Polynomials (IP): Two New Families of Asymmetric Algorithms." Eurocrypt (1996)



Unbalanced Oil and Vinegar

$$\mathbf{x} \in \mathbb{F}_q^n \longrightarrow \mathbf{x} = \begin{pmatrix} x_1 \\ \vdots \\ x_v \\ x_{v+1} \\ \vdots \\ x_n \end{pmatrix}$$

Patarin, "The Oil and Vinegar Signature Scheme." (1997)

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$$f^{(k)}(\mathbf{x}) = \sum_{i=1}^v \sum_{j=i}^v \alpha_{ijk} x_i x_j + \sum_{i=1}^v \sum_{j=v+1}^n \beta_{ijk} x_i x_j + \sum_{i=1}^n \gamma_{ik} x_i$$

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Patarin, "The Oil and Vinegar Signature Scheme." (1997)

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Rainbow

$$P : \mathbb{F}_q^n \rightarrow \mathbb{F}_q^{(v+1)(n-v_\ell)}, \quad P = U \circ F \circ T, \quad F = \left(F^{(1)}, F^{(2)}, \dots, F^{(v+1)} \right)$$

$$f_\ell^{(k)}(\mathbf{x}) = \sum_{i=1}^{v_\ell} \sum_{j=1}^{v_\ell} \alpha_{ij\ell} x_i x_j + \sum_{i=1}^{v_\ell} \sum_{j=v_\ell+1}^n \beta_{ij\ell} x_i x_j + \sum_{i=1}^n \gamma_{i\ell} x_i + \delta_\ell$$

$$0 < v_1 < v_2 < \dots < v_L < n$$

Ding, Schmidt, "Rainbow, a New Multivariable Polynomial Signature Scheme."
 Applied Cryptography and Network Security (2005)



Plus Modifier

$$P = \begin{pmatrix} p_1 \\ \vdots \\ p_m \end{pmatrix}$$



Plus Modifier

$$P = \begin{pmatrix} p_1 \\ \vdots \\ p_m \end{pmatrix} \longrightarrow P^+ = \begin{pmatrix} p_1 \\ \vdots \\ p_m \\ p_{m+1} \\ \vdots \\ p_{m+a} \end{pmatrix}$$



HFERP

- Multivariate Encryption Scheme composed of:
 - An instance of HFE
 - A single layer Rainbow map
 - A plus modifier



Structure of HFERP



Structure of HFERP

$$n = o + d$$

$$m = o + d + s + r$$



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$$m = o + d + s + r$$

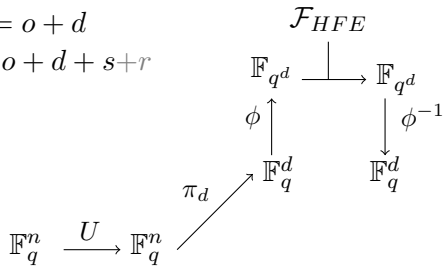
$$\mathbb{F}_q^n \xrightarrow{U} \mathbb{F}_q^n$$



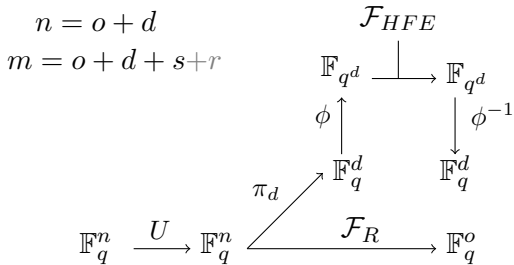
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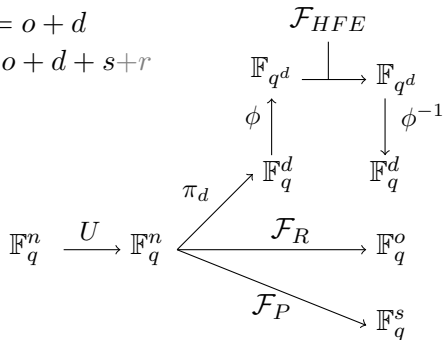
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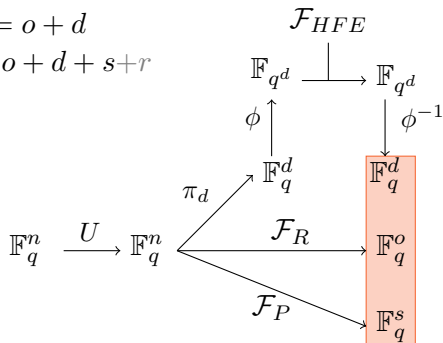
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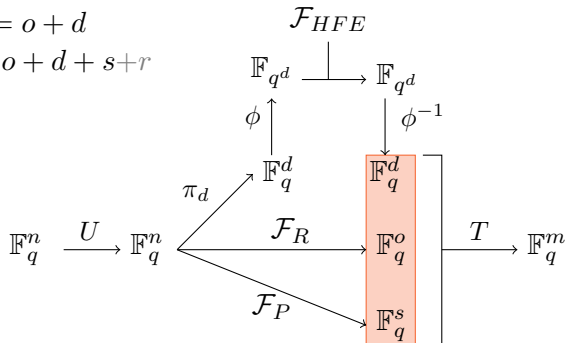
$$m = o + d + s + r$$



Structure of HFERF

$$n = o + d$$

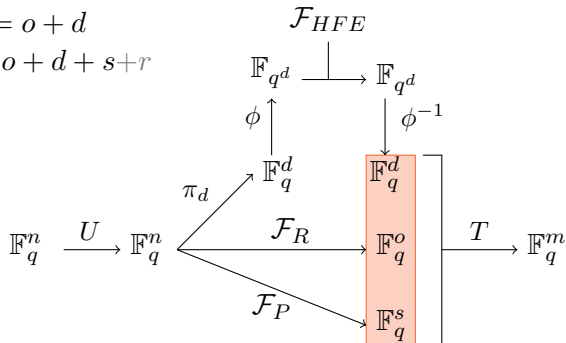
$$m = o + d + s + r$$



Structure of HFERP

$$n = o + d$$

$$m = o + d + s + r$$



$$P : \mathbb{F}_q^n \rightarrow \mathbb{F}_q^m \quad P = T \circ (\mathcal{F}_{HFE} || \mathcal{F}_R || \mathcal{F}_P) \circ U$$



MinRank Attacks

MinRank Problem: Given $A_1, \dots, A_k \in \mathbb{F}_q^{M \times N}$ and positive integer R , find $y_1, \dots, y_k \in \mathbb{F}_q$ such that

$$\text{rank} \left(\sum_{i=1}^k y_i A_i \right) \leq R.$$



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A Few MinRank Algorithms:

- Kipnis, Shamir, “Cryptanalysis of the HFE Public Key Cryptosystem by Relinearization.” Crypto, (1999)
- Faugère, Levy-dit-Vehel, Perret, “Cryptanalysis of Minrank.” Crypto (2008)
- Bardet, Bros, Cabarcas, Gaborit, Perlner, Smith-Tone, Tillich, Verbel, “Improvements of Algebraic Attacks for Solving the Rank Decoding and Minrank Problems.” Asiacrypt (2020)



HFE Central Maps



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Let $\mathbf{x} \in \mathbb{F}_q^n$, $f_i = (\phi^{-1} \circ \mathcal{F}_{HFE} \circ \phi \circ \pi_d)_i$



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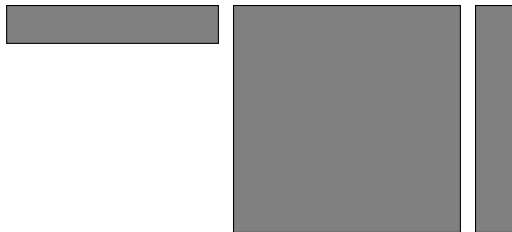
Consider matrices \mathbf{F}_i such that $f_i(x) = \mathbf{x}^\top \mathbf{F}_i \mathbf{x}$.



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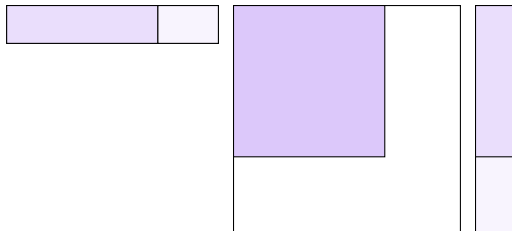
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UOV Central Maps



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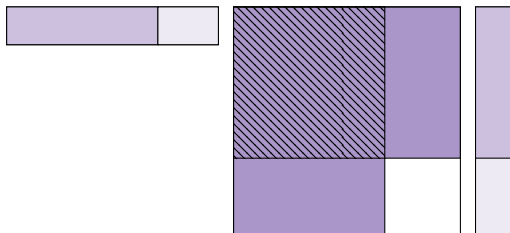
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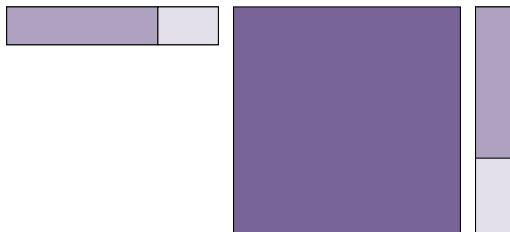
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Simple Attack of Rainbow

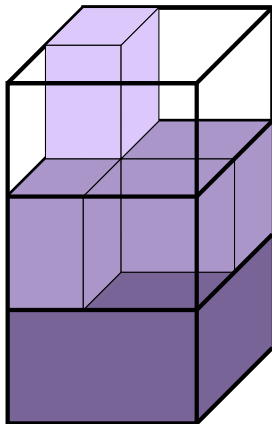
- Find a layer two oil vector y by solving:

$$\begin{cases} P(y) = 0 \\ D_x(y) = 0 \end{cases}$$

Beullens, “Breaking rainbow takes a weekend on a laptop.” Crypto (2022)



HFERP Central Maps



Simple Attack on HFERP

Finding y

- Let P_i be the matrix representation of the i^{th} public key polynomial
- Choose a random $\mathbf{z} \in \mathbb{F}_q^n$ and create the $m \times n$ matrix

$$A_{\mathbf{z}} = \begin{bmatrix} \mathbf{z}P_1 \\ \mathbf{z}P_2 \\ \vdots \\ \mathbf{z}P_m \end{bmatrix}$$

- Goal: Find a vector $\mathbf{y} \in \mathbb{F}_q^m$ such that

$$\begin{cases} \mathbf{y} \in \text{Ker}_L(A_{\mathbf{z}}) \\ \text{Rank}(\sum_{i=1}^m y_i P_i) \leq d. \end{cases}$$



Simple Attack on HFERP

Finding y

If we can find a $\mathbf{y} \in \mathbb{F}_q^m$, then with high probability,

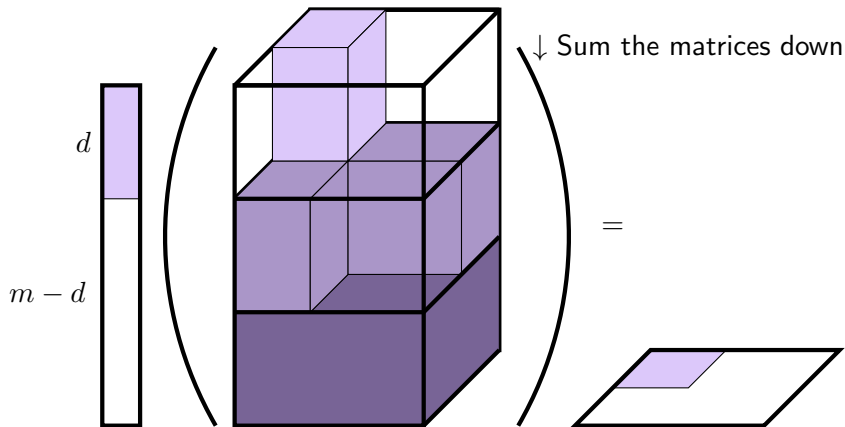
$$\mathbf{y}\mathbf{T} = (\mathbf{a}||\mathbf{b}) \implies \mathbf{y} = (\mathbf{a}||\mathbf{b})\mathbf{T}^{-1},$$

where

- \mathbf{a} is a length d vector in the kernel of the upper left $d \times d$ block of $A_{\mathbf{z}}$
- \mathbf{b} is the length $m - d = o + r + s$ zero vector.



Scalar multiply across \rightarrow



Finding the Oil and Vinegar Spaces

Simple Attack on HFERP: Inverting U

- Once we have a valid \mathbf{y} , compute the $n \times n$ matrix

$$P_{\mathbf{y}} = \sum_{i=1}^m y_i P_i.$$

- Note:

$$\begin{aligned} \text{rank}(P_{\mathbf{y}}) &\leq d \\ \text{nullity}(P_{\mathbf{y}}) &\geq o \end{aligned}$$

- Recall,

$$\begin{aligned} \text{rank}(F_{HFE}^{(i)}) &= d \\ \text{nullity}(F_{HFE}^{(i)}) &= o \end{aligned}$$

- Use basis vectors of $\text{Ker}(P_{\mathbf{y}})$, extend to a basis of \mathbb{F}_q^n .



Finding the Oil and Vinegar Spaces

Simple Attack on HFERP: Inverting U

We obtain the block matrix

$$\widehat{\mathbf{B}}\mathbf{P}_y\widehat{\mathbf{B}}^\top = \begin{bmatrix} \alpha_i \mathbf{P}_y \alpha_j^\top & 0 \\ 0 & 0 \end{bmatrix}$$

where $i, j \leq d$.



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Observe:

- Only the upper left $d \times d$ block has nonzero entries
- $\widehat{\mathbf{B}}\mathbf{P}_y\widehat{\mathbf{B}}^\top$ is a linear combination of HFE maps



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Conclusion: We found equivalent U^{-1}



Inverting \mathcal{T}

Simple Attack on HFERP

Consider the matrix representation of public key as composition of the secret keys.

$$\mathcal{P} = \mathcal{T} \circ \begin{bmatrix} F_{HFE} \\ F_R \\ F_p \end{bmatrix} \circ \mathcal{U}$$

$$\begin{bmatrix} p_1(\mathbf{x}) \\ \vdots \\ p_m(\mathbf{x}) \end{bmatrix} = \mathcal{T} \circ \begin{bmatrix} \mathbf{x}U\mathbf{F}_1U^\top\mathbf{x}^\top \\ \vdots \\ \mathbf{x}U\mathbf{F}_mU^\top\mathbf{x}^\top \end{bmatrix}$$

$$\implies P_i = \sum_{k=1}^m t_{ik} \mathbf{U}\mathbf{F}_k\mathbf{U}^\top = \mathbf{U} \left(\sum_{k=1}^m t_{ik} \mathbf{F}_k \right) \mathbf{U}^\top$$



Inverting \mathbf{T}

$$\widehat{\mathbf{B}}P_i\widehat{\mathbf{B}}^\top = \widehat{\mathbf{B}}\mathbf{U} \left(\sum_{k=1}^m t_{ik}\mathbf{F}_k \right) \mathbf{U}^\top \widehat{\mathbf{B}}^\top \cong \sum_{k=1}^m t_{ik}\mathbf{F}_k$$



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Each $\widehat{\mathbf{B}}P_i\widehat{\mathbf{B}}^\top$ is now seen to be a linear combination of the m central maps.



Structure of each F_k




	$1 \leq k \leq d$	$d+1 \leq k \leq o+r$	$o+r+1 \leq k \leq m$
Structure of F_k			
$\text{Rank}(F_k) \leq$	d	n	n
Guaranteed zeros	Rows $d \leq i \leq m$ Columns $d \leq j \leq m$	Lower right $o \times o$ submatrix	None

Table: The table summarizes notable properties of the symmetric matrices corresponding to the F_{HFE} , F_R , and F_P polynomials.



Divide and Conquer

- We now have equivalent keys for every part of the secret map.
- Invert the HFE maps using Berlekamp's algorithm.
- We know O and V , so invert the UOV maps as they are linear in the oil variables.



Updated Security

Table: Complexity of the Simple Attack on proposed parameters of HFERP, where $D = 3^7 + 1$ is the degree bound of the HFE central map polynomial.

(q, d, o, r, s)	Claimed Sec	Simple Attack	MinRank Type
$(3, 42, 21, 15, 17)$	80 bit	$3 \cdot 3^{32} \cdot 63^\omega \approx 2^{69}$	Search
$(3, 63, 21, 11, 10)$	80 bit	$3 \cdot 3^{21} \cdot 84^\omega \approx 2^{52}$	Search
$(3, 60, o_i = 40, r_i = 23, 40)$	128 bit	$3 \cdot 3^{59} (86^\omega + 140^\omega) \approx 2^{115}$	Lin Alg



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Can apply “big-field support minors” to HFERP in way similar to break of GeMSS.



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Big-Field Support Minors

Can apply "big-field support minors" to HFERP in way similar to break of GeMSS. Makes HFERP even more broken! (Complexity does depend on D , whereas divide and conquer does not.)

(q, d, o, r, s)	Degree Bound	Claimed Sec	Update Comp	Algh Type
$(3, 42, 21, 15, 17)$	$D = 3^7 + 1$	80 bit	2^{57}	Wiedemann
$(3, 63, 21, 11, 10)$	$D = 3^7 + 1$	80 bit	2^{59}	Strassen
$(3, 85, o_i = 70, r_i = 89, 61)$	$D = 3^9 + 1$	128 bit	2^{63}	Strassen
$(3, 60, o_i = 40, r_i = 23, 40)$	$D = 3^9 + 1$	128 bit	2^{69}	Wiedemann

Baena, Briaud, Cabarcas, Perlner, Smith-Tone, Verbel, "Improving Support-Minors Rank Attacks: Applications to GeMSS and Rainbow." (2021)



Thank you for your attention!
Any questions?



<https://github.com/maxcartor/HFERP-Cryptanalysis>

rcartor@clemson.edu

