

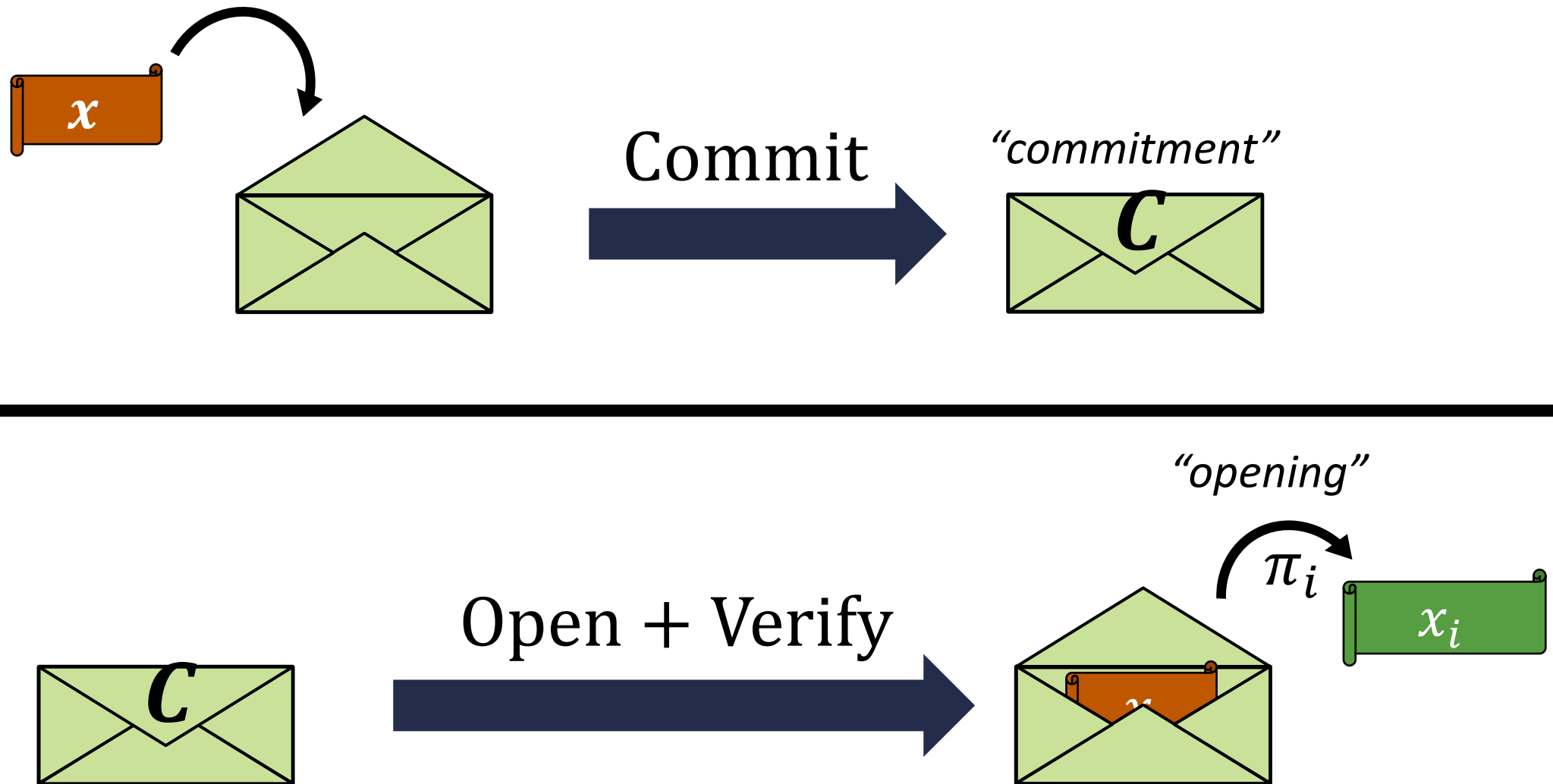
**PKC 2024**  
S y d n e y

# Updatable, Aggregatable, Succinct Mercurial Vector Commitment from Lattice

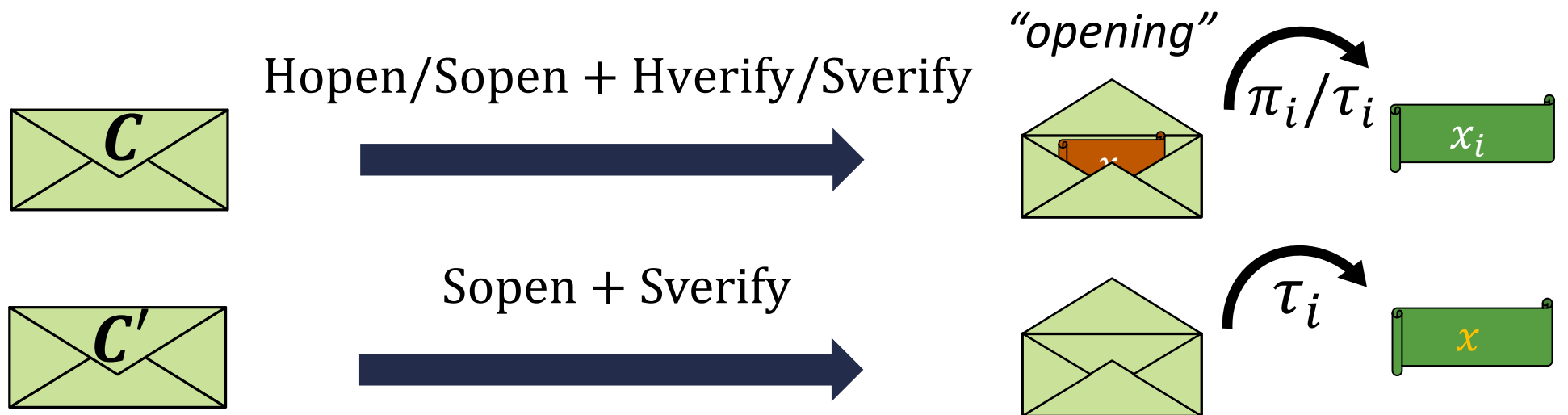
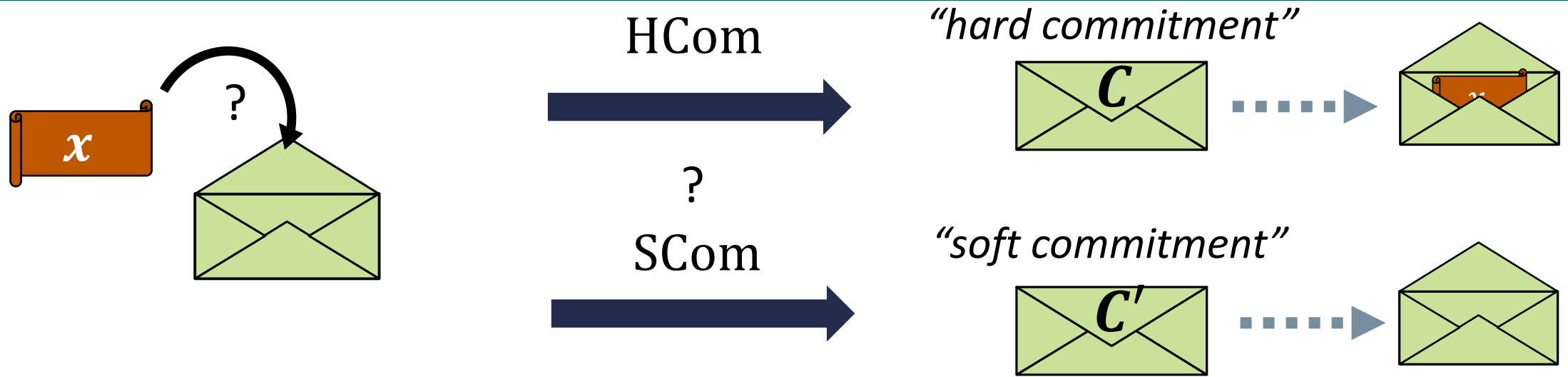
Hongxiao Wang, Siu-Ming Yiu, Yanmin Zhao, Zoe L. Jiang

April 2024

# Vector Commitment



# Mercurial Vector Commitment



# Mercurial Vector Commitment



$$HCom(\text{crs}, x) \rightarrow (C, aux)$$

Takes a **common reference string** and commits to a **vector  $x$**

Outputs a **hard commitment  $C$**  and auxiliary information  $aux$

# Mercurial Vector Commitment



$$\text{HCom}(\text{crs}, x) \rightarrow (C, aux)$$

$$\text{HOpen}(aux, i) \rightarrow \pi_i$$

Takes the auxiliary information and an index  $i$  and outputs a hard opening  $\pi_i$

$$\text{HVerify}(\text{pp}, C, (i, x_i), \pi_i) \rightarrow 0/1$$

Checks whether  $\pi_i$  is valid opening of  $C$  to value  $x_i$  at index  $i$

# Mercurial Vector Commitment



$HCom(crs, x) \rightarrow (C, aux)$

$SOpen(aux, \mathbb{H}, x_i, i) \rightarrow \tau_i$

Takes the auxiliary information, a flag  $\mathbb{H}$ , the value  $x_i$  at an index  $i$  and outputs a soft opening  $\tau_i$

$SVerify(crs, C, (i, x_i), \tau_i) \rightarrow 0/1$

Checks whether  $\tau_i$  is valid opening of  $C$  to value  $x_i$  at index  $i$

# Mercurial Vector Commitment



$$\text{HCom}(\text{crs}, x) \rightarrow (C, aux)$$

$$\text{HOpen}(aux, i) \rightarrow \pi_i$$

$$\text{SOpen}(aux, \mathbb{H}, x_i, i) \rightarrow \tau_i$$

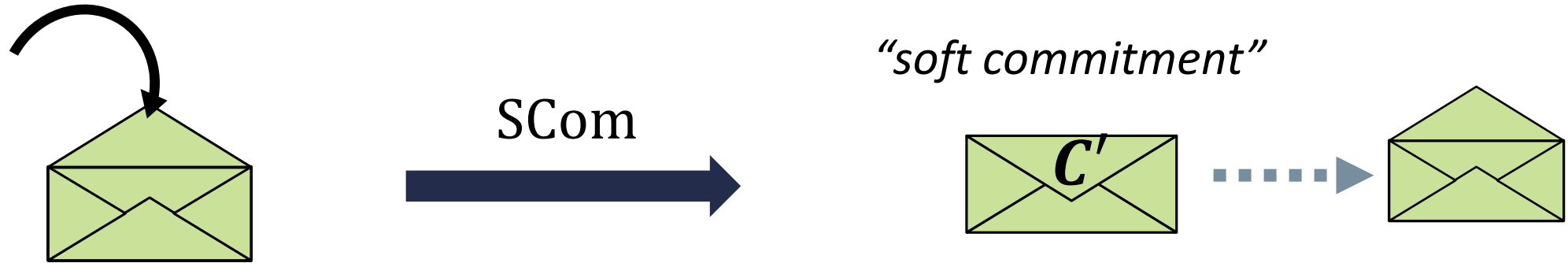
$$\text{HVerify}(\text{pp}, C, (i, x_i), \pi_i) \rightarrow 0/1$$

$$\text{SVerify}(\text{crs}, C, (i, x_i), \tau_i) \rightarrow 0/1$$

For all known constructions, soft opening  $\tau_i$  is a **proper subset** of hard opening  $\pi_i$  to the same message, so as **SVerify** and **HVerify**.

Such MVC are called **proper MVC**.

# Mercurial Vector Commitment



$SCom(\text{crs}) \rightarrow (C, aux)$

Takes a **common reference string**

Outputs **soft commitment  $C$**  and auxiliary information  $aux$



# Mercurial Vector Commitment



$SCom(crs) \rightarrow (C, aux)$

$SOpen(aux, \mathcal{S}, x, i) \rightarrow \tau_i$

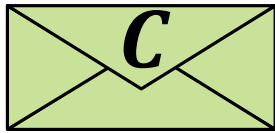
Takes the auxiliary information, a flag  $\mathcal{S}$ , a value  $x$ , and an index  $i$  and outputs a soft opening  $\tau_i$

$SVerify(crs, C, (i, x), \tau_i) \rightarrow 0/1$

Checks whether  $\tau_i$  is valid opening of  $C$  to value  $x$  at index  $i$

# Mercurial Vector Commitment

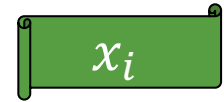
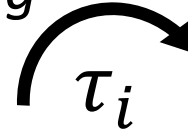
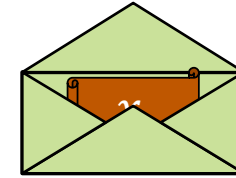
“hard commitment”



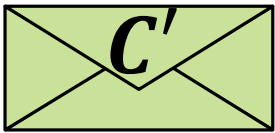
Sopen



“soft opening”



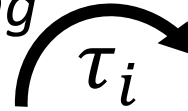
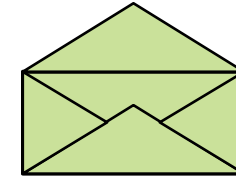
“soft commitment”



Sopen

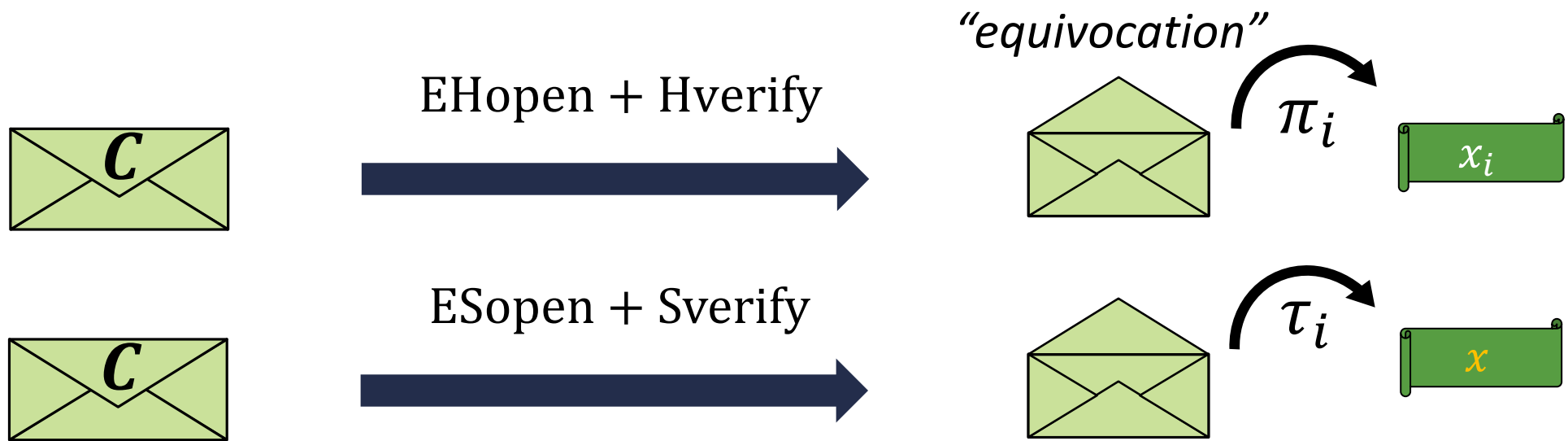
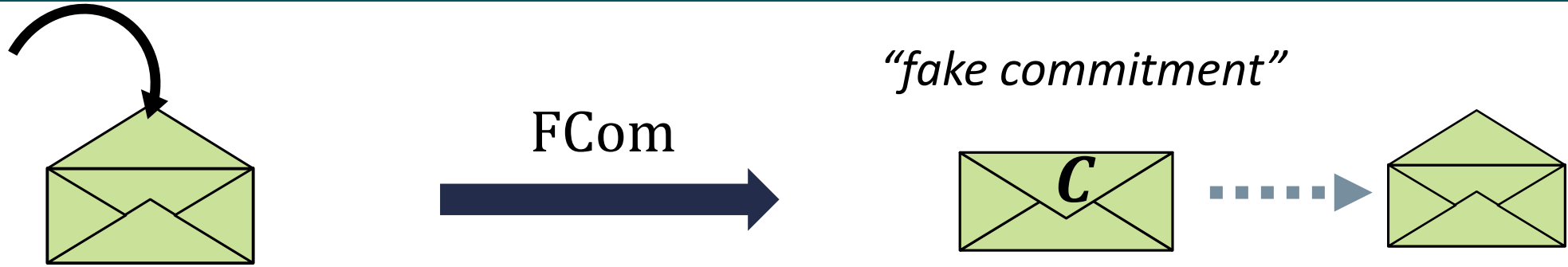


“soft opening”



**Mercurial Hiding:** efficient adversary **cannot distinguish** between hard commitment  $C$  and soft commitment  $C'$  with their **soft openings**

# Mercurial Vector Commitment



Simulating algorithms

# Mercurial Vector Commitment



$\text{FCom}(\text{crs}) \rightarrow (C, \text{aux})$

$\text{EHOpen}(\text{aux}, tk, x, i) \rightarrow \pi$

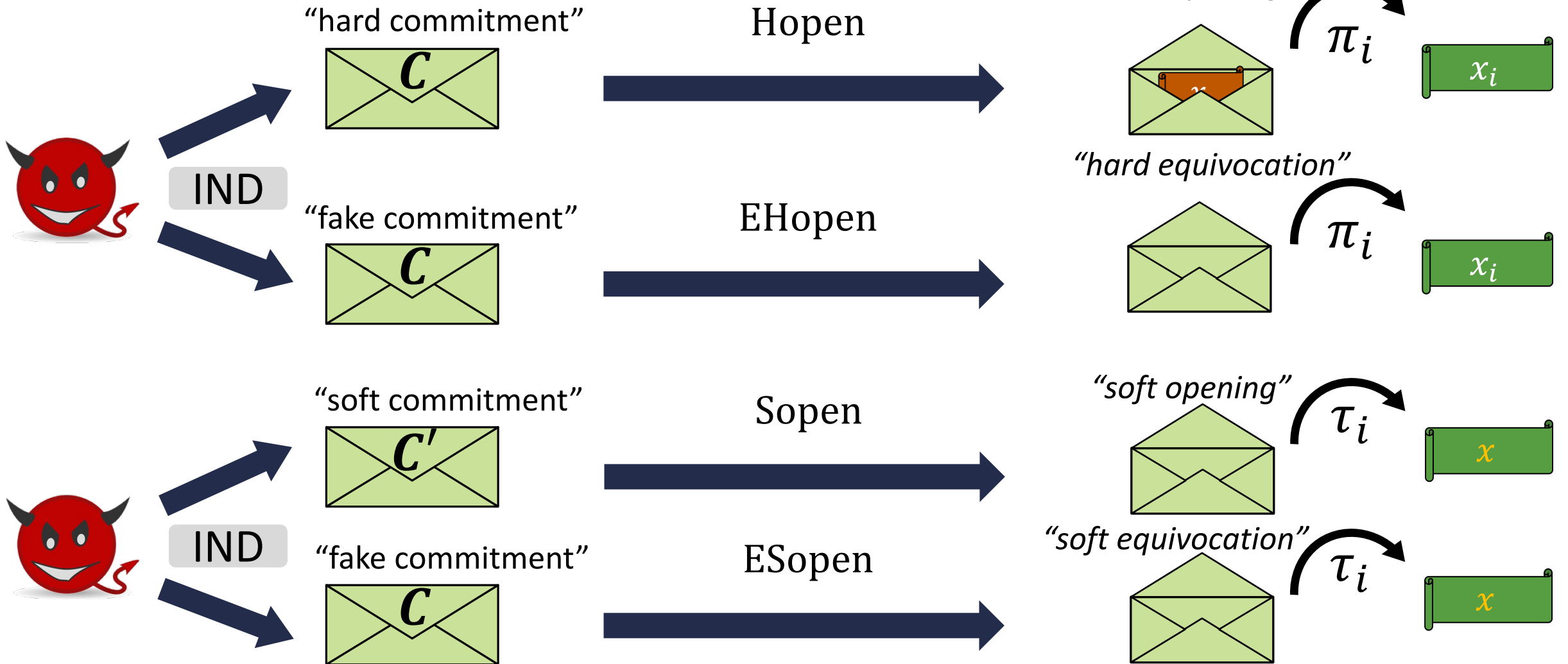
Takes the auxiliary information, the trapdoor key  $tk$ , a value  $x$ , and an index  $i$  and outputs a hard equivocation  $\pi$

$\text{ESOpen}(\text{aux}, tk, x, i) \rightarrow \tau$

Takes the auxiliary information, the trapdoor key  $tk$ , a value  $x$ , and an index  $i$  and outputs a soft equivocation  $\tau$

# Mercurial Vector Commitment

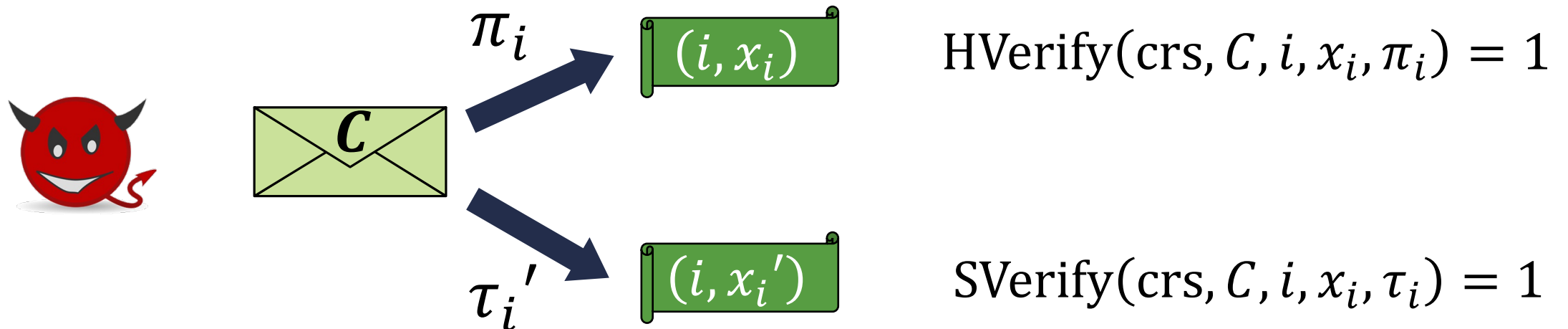
## Equivocation game for *proper* MVC



# Mercurial Vector Commitment



**Mercurial Binding:** efficient adversary cannot open a **hard commitment**  $C$  to two different values at the **same index**  $i$  **successfully**



# Mercurial Vector Commitment



**Succinctness:** all commitments and openings should be short

- **Short commitment:**  $|C| = \text{poly}(\lambda, \log \ell)$
- **Short opening:**  $|\pi_i| = \text{poly}(\lambda, \log \ell)$

# Mercurial Vector Commitment

Scheme	AS	UD	AG	$ \text{crs} $	$ \mathcal{C} $	$ \text{aux} $	$ \pi $
[8]	RSA	✓	✗	$\Theta(\lambda\ell)$	$\Theta(\lambda)$	$\Theta(\lambda\ell)$	$\Theta(\lambda)$
[17]	$\ell$ -DHE	✗	✓	$\Theta(\lambda\ell)$	$\Theta(\lambda)$	$\Theta(\lambda\ell)$	$\Theta(\lambda)$
[18]+[28]*	SIS	✗	✗	$\ell^2 \text{poly}(\lambda, \log \ell)$	$\Theta(\lambda^2 \cdot \mathcal{H})$	$\Theta(\lambda^2 \ell \cdot \mathcal{H})$	$\Theta(\lambda^2 \cdot \mathcal{H})$
<b>This work</b>	SIS	✓	✗	$\ell^2 \text{poly}(\lambda, \log \ell)$	$\Theta(\lambda^2 \cdot \mathcal{H})$	$\Theta(\lambda^2 \ell \cdot \mathcal{H})$	$\Theta(\lambda^2 \cdot \mathcal{H})$
<b>This work</b>	BASIS†	✓	✓	$\ell^2 \text{poly}(\lambda, \log \ell)$	$\Theta(\lambda^2 \cdot \mathcal{H})$	$\Theta((\lambda\ell + \lambda^2) \cdot \mathcal{H})$	$\Theta(\lambda^2 \cdot \mathcal{H})$

- $\ell$  is the input length
- $\mathcal{H} = \log^2 \lambda + \log^2 \ell$
- **UD:** scheme supports update both hard and soft commitment
- **FV:** scheme supports aggregate both hard and soft opening

\*A lattice-based MVC can be trivially built by lattice-based components (e.g. [18] and [28]) in the generic framework [8].

†A new falsifiable family of basis-augmented SIS assumption (BASIS) proposed by Wee and Wu (EUROCRYPT '23)



# Mercurial Vector Commitment

Scheme	AS	UD	AG	$ \text{crs} $	$ \mathcal{C} $	$ \text{aux} $	$ \pi $
[8]	RSA	✓	✗	$\Theta(\lambda\ell)$	$\Theta(\lambda)$	$\Theta(\lambda\ell)$	$\Theta(\lambda)$
[17]	$l$ -DHE	✗	✓	$\Theta(\lambda\ell)$	$\Theta(\lambda)$	$\Theta(\lambda\ell)$	$\Theta(\lambda)$
[18]+[28]	SIS	✗	✗	$\ell^2 \text{poly}(\lambda, \log \ell)$	$\Theta(\lambda^2 \cdot \mathcal{H})$	$\Theta(\lambda^2 \ell \cdot \mathcal{H})$	$\Theta(\lambda^2 \cdot \mathcal{H})$
<b>This work</b>	SIS	✓	✗	$\ell^2 \text{poly}(\lambda, \log \ell)$	$\Theta(\lambda^2 \cdot \mathcal{H})$	$\Theta(\lambda^2 \ell \cdot \mathcal{H})$	$\Theta(\lambda^2 \cdot \mathcal{H})$
<b>This work</b>	BASIS	✓	✓	$\ell^2 \text{poly}(\lambda, \log \ell)$	$\Theta(\lambda^2 \cdot \mathcal{H})$	$\Theta((\lambda\ell + \lambda^2) \cdot \mathcal{H})$	$\Theta(\lambda^2 \cdot \mathcal{H})$

The generic framework [8] of MVC including a **standard MC** and a **standard VC**

- First, generate  $\ell$  MC  $C_i$  to each entry  $x_i$  with  $\text{aux}_i$
- Second, generate a VC  $\sigma$  to the vector  $(C_1, \dots, C_\ell)$  with  $\text{aux}_\sigma$
- Third, publish  $\sigma$  as the MVC and store  **$\text{aux} = (\text{aux}_1, \dots, \text{aux}_\ell, \text{aux}_\sigma)$**
- To generate an opening, it first opens VC  $\sigma$  at index  $i$ , then opens MC  $C_i$
- To verify, it first verifies VC and then MC

Due to the generic framework, it **cannot support update and aggregate**

# This Work

This talk

*Non-black-box* mercurial vector commitment based on BASIS framework

- Mercurial vector commitments based on  $\text{BASIS}_{\text{struct}}$  with smaller auxiliary information support both update and aggregate
- Mercurial vector commitment based on SIS support update
- Redefine the property of update in mercurial vector commitment
  - Introduce new properties: stateless/differential update, updatable mercurial hiding
- Application on Zero-Knowledge Set (ZKS) and Zero-Knowledge Elementary Database(ZK-EDB)
  - Lattice-based updatable  $\ell$ -ary ZKS (ZK-EDB) with batch verification

# Starting Point: the BASIS Vector Commitment

Common reference string (CRS)

$$B_\ell = \begin{array}{|c|} \hline A_1 \in \mathbb{Z}_q^{n \times m} \\ \hline \vdots \\ \hline A_\ell \in \mathbb{Z}_q^{n \times m} \\ \hline \end{array} \quad \begin{array}{|c|} \hline G \\ \hline \vdots \\ \hline G \\ \hline \end{array} \quad \text{gadget matrix}$$

$$T = T_1 \quad \dots \quad T_\ell \quad T_G$$

trapdoor for matrix  $B_\ell$   
 $T^\top = B_\ell^{-1}(G_\ell)$

# Starting Point: the BASIS Vector Commitment

Commitment relation (for all  $i \in [\ell]$ )

The diagram illustrates the commitment relation for a given index  $i$ . It shows three main components: a commitment  $c$  (represented by an orange vertical bar), a basis vector  $e_1$  (represented by a dark blue vertical bar), and an opening  $v_i$  (represented by a purple vertical bar). The commitment  $c$  is equal to the product of a scalar  $x_i$  (shown in a box above  $e_1$ ) and the basis vector  $e_1$ , plus the product of a matrix  $A_i$  (shown in a green box above  $v_i$ ) and the opening  $v_i$ . Below the diagram, the terms are labeled: "commitment" under  $c$ , "Basis vector" under  $e_1$  with the definition  $e_1 = (1, 0, \dots, 0)^\top$ , and "opening" under  $v_i$  with the note "(vector with short entries)".

$$c = x_i e_1 + A_i v_i$$

**commitment**                      **Basis vector**                      **opening**  
 $e_1 = (1, 0, \dots, 0)^\top$                       (vector with short entries)

Commitment to  $\ell$ -dimensional vector  $x \in \mathbb{Z}_q^\ell$

Trapdoor in CRS allows for joint sampling of  $(c, v_1, \dots, v_\ell)^\top$  by  $\text{SampPre}(\mathbf{B}_\ell, \mathbf{T}^\top, -x \otimes e_1, s_1)$

**Private opening:** the commitment  $c$  is statistically close to uniform over  $\mathbb{Z}_q^n$ , for all  $i \in [\ell]$ , the opening  $v_i$  is statistically close to  $A_i^{-1}(c - x_i e_1)$

# Our Approach: Extension to BASIS Framework

Commitment to  $\ell$ -dimensional vectors  $\mathbf{x} \in \mathbb{Z}_q^\ell$

Trapdoor in CRS allow for joint sampling of  $(\mathbf{c}, \mathbf{v}_1, \dots, \mathbf{v}_\ell)^\top$  by  $\text{SampPre}(\mathbf{B}_\ell, \mathbf{T}^\top, -\mathbf{x} \otimes \mathbf{e}_1, s_1)$

**Private opening:** the commitment  $\mathbf{c}$  is statistically close to uniform over  $\mathbb{Z}_q^n$ , for all  $i \in [\ell]$ , the opening  $\mathbf{v}_i$  is statistically close to  $A_i^{-1}(\mathbf{c} - x_i \mathbf{e}_1)$

## Observation 1:

The private opening implies **simulating algorithms** that can generate a “fake” commitment  $\mathbf{c}'$  **without any message** and its equivocation opening  $\mathbf{v}_i'$  to  $x_i$  **with the trapdoor of  $A_i$** . The distribution of them is **statistically close to the real one**.

# Our Approach: Extension to BASIS Framework

$$B_{\ell}' = \left[ \begin{array}{c|c} A_1 & D_1 \\ \vdots & \vdots \\ A_{\ell} & D_{\ell} \end{array} \right] \parallel \begin{array}{c} G \\ \vdots \\ G \end{array}$$

## Observation 2:

If we extend  $B_{\ell}$  to  $B_{\ell}'$  with any  $(D_1, \dots, D_{\ell})$ , the trapdoor  $T'$  of  $B_{\ell}'$  can be naturally extended and the properties of correctness, binding, and **private opening** still hold under the BASIS assumption

$$T' = \left[ \begin{array}{c|c} T_1 & \mathbf{0} \\ \vdots & \vdots \\ T_{\ell} & \mathbf{0} \end{array} \right] \parallel T_G$$

$$B_{\ell}'(T')^{\top} = G_{\ell}, \|T\| = \|T'\|$$

# Our Approach: Mercurial Vector Commitment

Mercurial Vector commitment ( $\mathbf{c}, \mathbf{D} = (\mathbf{D}_1, \dots, \mathbf{D}_\ell)$ )

Commitment relation, for all  $i \in [\ell]$ ,  $\mathbf{c} = [\mathbf{A}_i | \mathbf{D}_i] \mathbf{v}_i + x_i \mathbf{e}_1$

In hard commitment, for all  $i \in [\ell]$ ,  $\mathbf{D}_i = \mathbf{A}_i \mathbf{R}_i$ , the opening  $\mathbf{v}_i$  can be joint sampled by  $\text{SampPre}(\mathbf{B}'_\ell, (\mathbf{T}')^\top, -\mathbf{x} \otimes \mathbf{e}_1, s)$

In soft commitment, for all  $i \in [\ell]$ ,  $\mathbf{D}_i = \mathbf{G} - \mathbf{A}_i \mathbf{R}'_i$ , the opening  $\mathbf{v}_i$  can be sampled by  $\text{SampPre}([\mathbf{A}_i | \mathbf{D}_i], \mathbf{R}'_i, \mathbf{c} - x_i \mathbf{e}_1, s)$

- Since  $\mathbf{R}_i, \mathbf{R}'_i$  are randomly sampled over  $\{0, 1\}^{m \times m'}$ ,  $\mathbf{D}_i$  is **indistinguished** in hard commitment and soft commitment
- The **(soft) opening**  $\mathbf{v}_i$  from **both** hard and soft commitment is **statistically close** to  $[\mathbf{A}_i | \mathbf{D}_i]^{-1}(\mathbf{c} - x_i \mathbf{e}_1)$
- $\mathbf{R}_i$  as an additional part in **hard opening** to check  $\mathbf{D}_i = \mathbf{A}_i \mathbf{R}_i$  in hard commitment

# Our Approach: Mercurial Vector Commitment

Mercurial Vector commitment  $(\mathbf{c}, \mathbf{D} = (\mathbf{D}_1, \dots, \mathbf{D}_\ell))$

$$|\mathbf{D}| = \Theta(\ell)$$

Commitment relation, for all  $i \in [\ell]$ ,  $\mathbf{c} = [\mathbf{A}_i | \mathbf{D}_i] \mathbf{v}_i + x_i \mathbf{e}_1$

In hard commitment, for all  $i \in [\ell]$ ,  $\mathbf{D}_i = \mathbf{A}_i \mathbf{R}_i$ , the opening  $\mathbf{v}_i$  can be joint sampled by  $\text{SampPre}(\mathbf{B}'_\ell, (\mathbf{T}')^\top, -\mathbf{x} \otimes \mathbf{e}_1, s)$

In soft commitment, for all  $i \in [\ell]$ ,  $\mathbf{D}_i = \mathbf{G} - \mathbf{A}_i \mathbf{R}'_i$ , the opening  $\mathbf{v}_i$  can be sampled by  $\text{SampPre}([\mathbf{A}_i | \mathbf{D}_i], \mathbf{R}'_i, \mathbf{c} - x_i \mathbf{e}_1, s)$

- Since  $\mathbf{R}_i, \mathbf{R}'_i$  are randomly sampled over  $\{0, 1\}^{m \times m'}$ ,  $\mathbf{D}_i$  is **indistinguished** in hard commitment and soft commitment
- The **(soft) opening**  $\mathbf{v}_i$  from **both** hard and soft commitment is **statistically close** to  $[\mathbf{A}_i | \mathbf{D}_i]^{-1}(\mathbf{c} - x_i \mathbf{e}_1)$
- $\mathbf{R}_i$  as an additional part in **hard opening** to check  $\mathbf{D}_i = \mathbf{A}_i \mathbf{R}_i$  in hard commitment



# Our Approach: Instantiation on $\text{BASIS}_{\text{struct}}$

Mercurial Vector commitment  $(\mathbf{c}, \mathbf{D} = (\mathbf{D}_1, \dots, \mathbf{D}_\ell))$

In hard commitment, for all  $i \in [\ell]$ ,  $\mathbf{D}_i = \mathbf{A}_i \mathbf{R}_i$

In soft commitment, for all  $i \in [\ell]$ ,  $\mathbf{D}_i = \mathbf{G} - \mathbf{A}_i \mathbf{R}_i'$

In  $\text{BASIS}_{\text{struct}}$  assumption,  $\mathbf{A}_1, \dots, \mathbf{A}_\ell$  are structured by  $\mathbf{A}_i = \mathbf{W}_i \mathbf{A}$ , where  $\mathbf{W}_i$  is a **public** random invertible matrix for all  $i \in [\ell]$  and  $\mathbf{A} \in \mathbb{Z}_q^{n \times m}$  is sampled randomly.

So,  $(D_1, \dots, D_\ell)$  can be structured by  $\mathbf{D}_i = \mathbf{W}_i \hat{\mathbf{D}}$  for all  $i \in [\ell]$ , where

$$\hat{\mathbf{D}} = \mathbf{A} \mathbf{R} \text{ or } \hat{\mathbf{D}} = \mathbf{G} - \mathbf{A} \mathbf{R},$$

where  $\mathbf{R}$  is **randomly sampled** over  $\{0, 1\}^{m \times m}$ .

Therefore, the commitment can be compressed to  $(\mathbf{c}, \hat{\mathbf{D}})$ .

# Our Approach: Instantiation on SIS

Mercurial Vector commitment  $(\mathbf{c}, \mathbf{D} = (\mathbf{D}_1, \dots, \mathbf{D}_\ell))$

In hard commitment, for all  $i \in [\ell]$ ,  $\mathbf{D}_i = \mathbf{A}_i \mathbf{R}_i$

In soft commitment, for all  $i \in [\ell]$ ,  $\mathbf{D}_i = \mathbf{G} - \mathbf{A}_i \mathbf{R}_i'$

Unlike BASIS<sub>struct</sub> assumption,  $\mathbf{A}_1, \dots, \mathbf{A}_\ell$  are randomly sampled **independently**, so  $\mathbf{D}_1, \dots, \mathbf{D}_\ell$  are **independent** as well.

We solve the problem using a **standard vector commitment**: we commit  $(\mathbf{D}_1, \dots, \mathbf{D}_\ell)$  to  $\sigma$ , and then publish  $(\mathbf{c}, \sigma)$  instead of  $(\mathbf{c}, \mathbf{D} = (\mathbf{D}_1, \dots, \mathbf{D}_\ell))$ .

Although this method will cause the **same size of the auxiliary information** as the generic framework, we want to emphasize this it can **support update** due to its *non-black-box* construction

# This Work

This talk

*Non-black-box* mercurial vector commitment based on BASIS framework

- Mercurial vector commitments based on  $\text{BASIS}_{\text{struct}}$  with **smaller auxiliary information**  
support **both update and aggregate** *[see paper for details]*
- Mercurial vector commitment based on SIS support **update**
- **Redefine** the property of update in mercurial vector commitment
  - Introduce **new properties**: stateless/differential update, updatable mercurial hiding
- Application on Zero-Knowledge Set (ZKS) and Zero-Knowledge Elementary Database (ZK-EDB)
  - Lattice-based **updatable  $\ell$ -ary** ZKS (ZK-EDB) with **batch verification**



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**Thank you !**