

Quasi-linear masking against SCA and FIA, with cost amortization

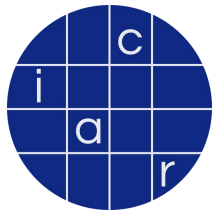
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Date: September 2024

Place: Halifax, Nova Scotia, Canada



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Introduction

2.

Protecting Cryptographic Algorithms with Random Masking

3.

Fourier Transform

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Application to AES

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Conclusions and Perspectives

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Conclusions and Perspectives

The TOE shall avert the threat “Inherent Information Leakage (T.Leak-Inherent)”, as follows:

T.Leak-Inherent

Inherent Information Leakage

An attacker may exploit information, as user data or TSF data, which is leaked from the TOE and/or the SoC interfaces while being stored and/or processed by the TOE.

Leakage may occur through **emanations, variations in power consumption**, response times, clock frequency, or similar variations in the behaviour, based on the data processed by the TOE. This leakage is related to measurement of operating parameters, which may be derived either from measurements of internal and/or external supply signals and/or measurement of emanations and/or IO signal. These operating parameters can then be matched to the specific operations inside the TOE. Examples of such attacks are Differential Power Analysis and Timing Attacks (8 in Figure 5), or analysis of emanation (7 in Figure 5).

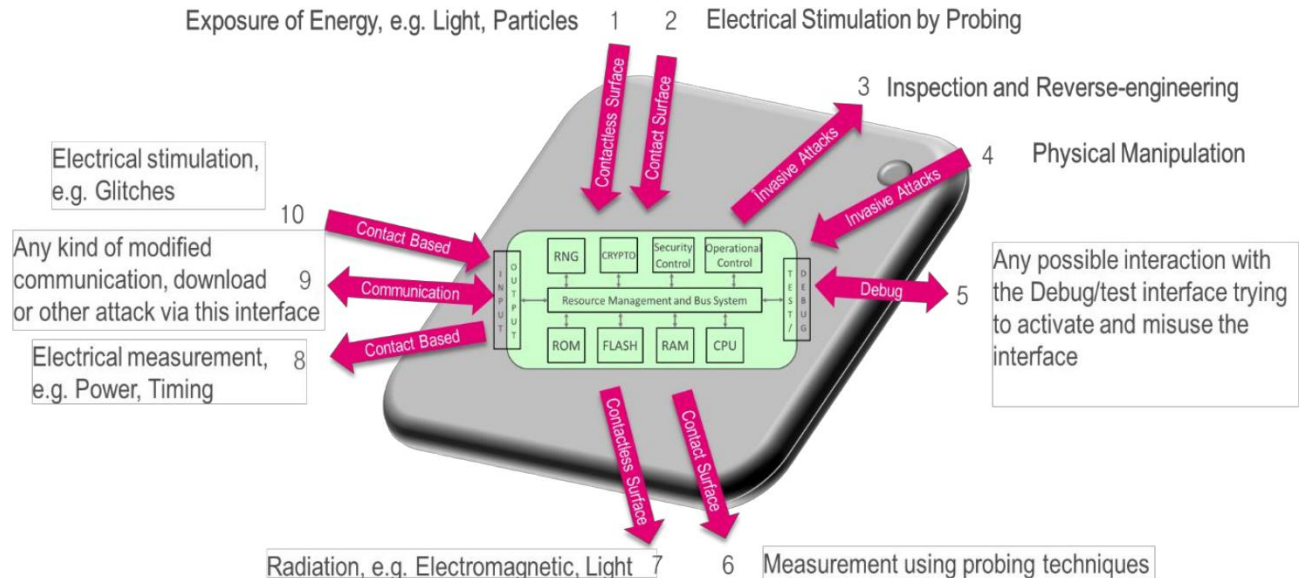


Figure 5: Attacks against the TOE

ISO/IEC 19790:2012

Information technology — Security techniques — Security requirements for cryptographic modules

Published (Edition 2, 2012)

This standard was last reviewed and confirmed in 2018. Therefore this version remains current.

→ Expected to be replaced by **ISO/IEC FDIS 19790** within the coming months.



Annex F
(normative)

UNCLASSIFIED / NON CLASSIFIÉ
ISO/IEC WD 19790:2022(E)

Approved non-invasive attack mitigation test metrics

Purpose

This Annex provides a list of the ISO/IEC approved non-invasive attack mitigation test metrics applicable to this document. This list is not exhaustive.

This does not preclude the use of approval authority approved non-invasive attack mitigation test metrics.

An approval authority may supersede this Annex in its entirety with its own list of approved non-invasive attack mitigation test metrics.

F.1.1 Non-invasive attack mitigation test metrics

- a) **ISO/IEC 17825** Information technology – Security techniques – Testing methods for the mitigation of **non-invasive attack classes** against cryptographic modules.

*** SCA: Side-Channel Analysis**

Table 1 - Summary of security requirements

	Security Level 1	Security Level 2	Security Level 3	Security Level 4
Physical Security	Production-grade components.	Tamper evidence. Opaque covering or enclosure.	Tamper detection and response for covers and doors. Strong enclosure or coating. Protection from direct probing. EFP or EFT.	Tamper detection and response envelope. EFP. Fault injection mitigation.
Mitigation of other attacks	Specification of mitigation of attacks for which no testable requirements are currently available.			Specification of mitigation of attacks with testable requirements.



Fault injection mitigation

Mitigation of other attacks

Environment Failure Testing (EFT)

Environment Failure Protection (EFP)

The TOE shall avert the threat “Forced Information Leakage (T.Leak-Forced)” as specified below.

T.Leak-Forced

Forced Information Leakage

An attacker may disclose user data or TSF data, which is leaked from the TOE when such data is processed or stored by the TOE even if the information leakage is not inherent but caused by the attacker by influencing the TOE or the hosting SoC.

This threat pertains to attacks where environmental stress or physical manipulation is applied to the TOE or the hosting SoC to cause leakage from signals which do not compromise user data or TSF data during normal operation. This threat pertains to attacks where methods described in “Malfunction due to Environmental Stress” (see T.Malfunction) and/or “Physical Manipulation” (see T.Phys-Manipulation) are used to cause leakage from signals (Numbers 5, 6, 7, 8 or 9 in Figure 5) that normally do not contain significant information about secrets.

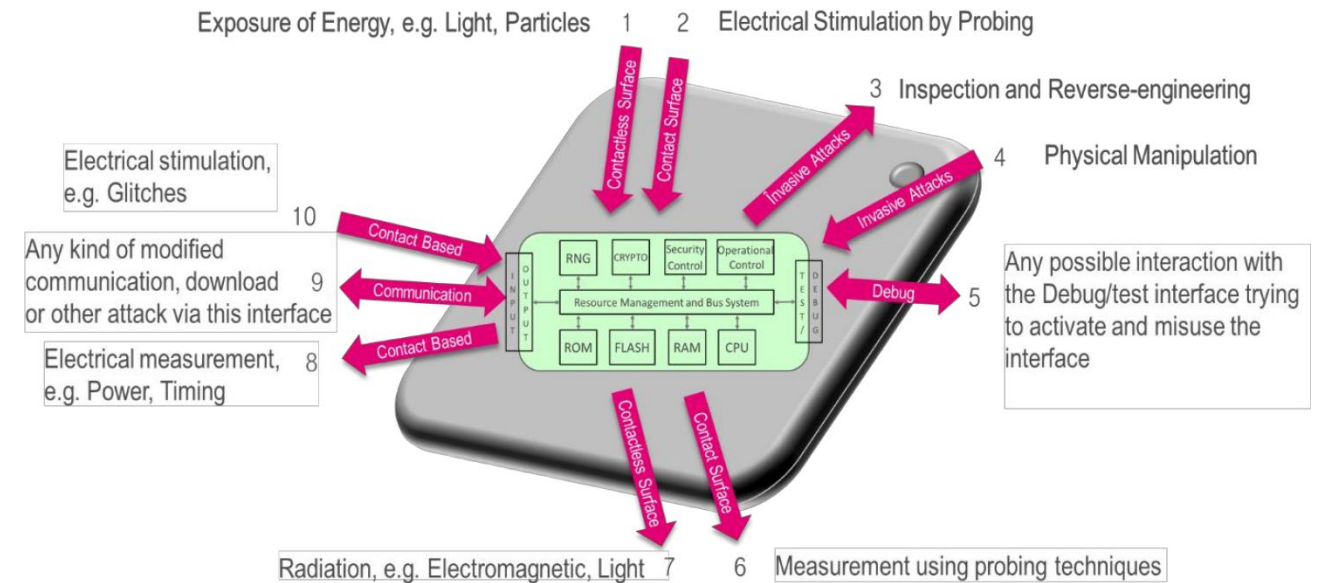


Figure 5: Attacks against the TOE

* FIA: Fault Injection Analysis

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Universal computation as extrapolation in $(\mathbb{F}_{256}, +, *)$: For example, $\text{SubBytes}(x)$

$$63 + 8f x^{127} + b5 x^{191} + 01 x^{223} + f4 x^{239} + 25 x^{247} + f9 x^{251} + 09 x^{253} + 05 x^{254} \quad [1]$$

Masking = computing on random variables:

- Sharing each byte into d bytes
 - $x \rightarrow (x_0, x_1, \dots, x_{n-1})$
- **Linear operations** are trivially computed masked:
 - $x+y \rightarrow (x_0+y_0, x_1+y_1, \dots, x_{n-1}+y_{n-1})$, and $x^2 \rightarrow (x_0^2, x_1^2, \dots, x_{n-1}^2)$ when in \mathbb{F}_q with $q = 2^m$
- **Non-linear operations**, such as multiplication, are harder to compute, and make up the bulk of the computation time

[1] Joan Daemen, Vincent Rijmen: The Rijndael Block Cipher -- AES Proposal, Document version 2, dated 03/09/99

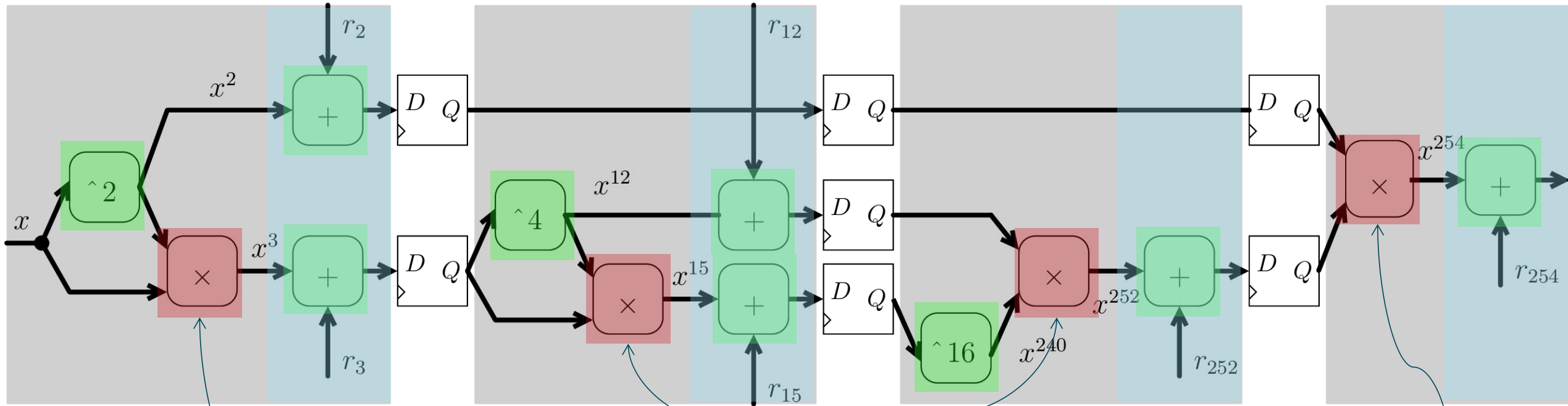
Caption:

Linear operations

Non-linear operations

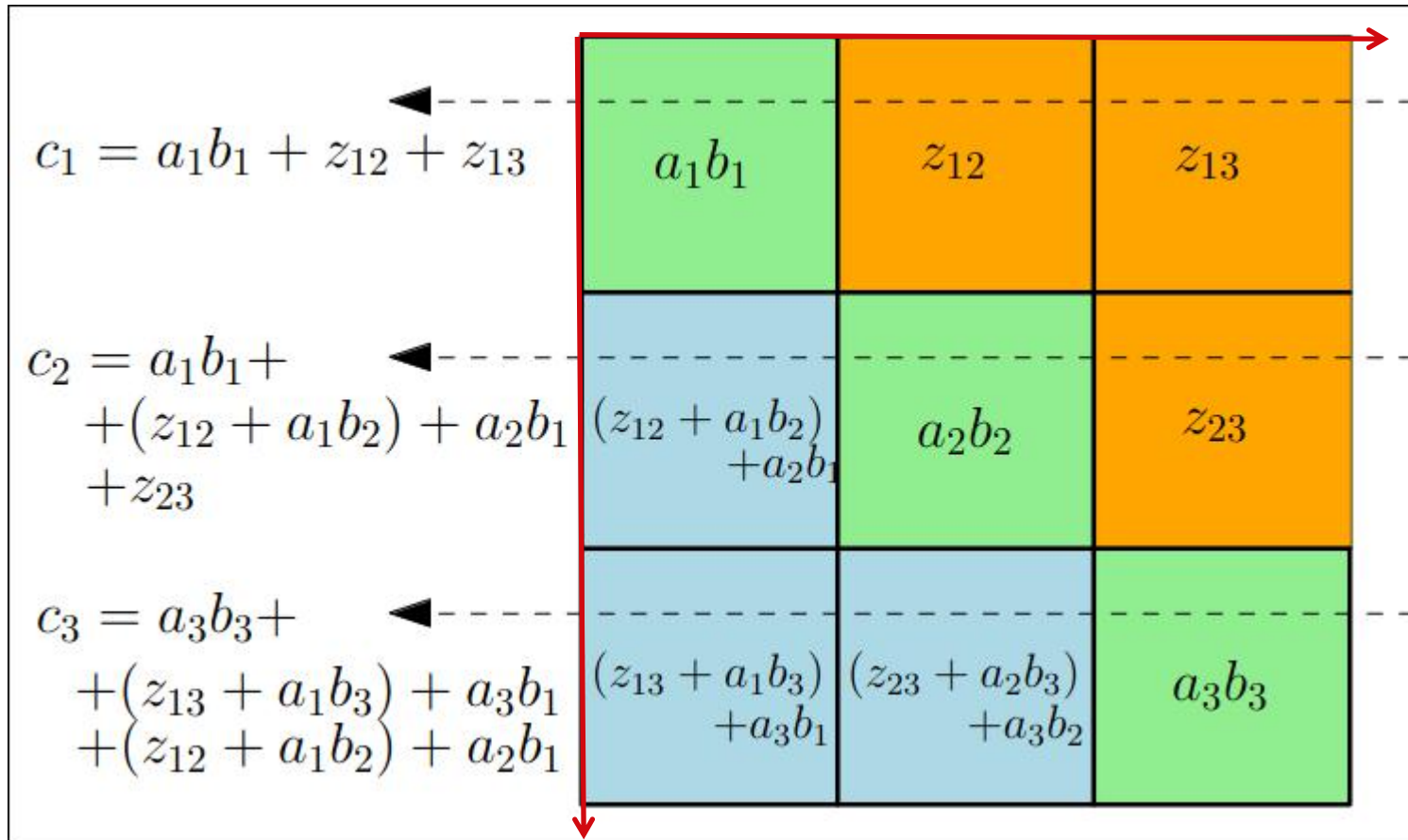
Refresh stage (addition of 0)

Function $x \rightarrow x^{-1} = x^{254}$:



Bottleneck is complexity of the multiplication operations

Implementation: all cross-product terms are computed, hence a **quadratic** complexity



ISW algorithm:

Require: s -shares a and b

Ensure: s -shares c satisfying $c = ab$

```

for  $i$  from 1 to  $s$  do
  for  $j$  from  $i + 1$  to  $s$  do
     $z_{ij} \leftarrow \text{rnd}()$ 
     $z_{ji} \leftarrow (z_{ij} \oplus a_i b_j) \oplus a_j b_i$ 
  end for
end for
for  $i$  from 1 to  $s$  do
   $c_i \leftarrow a_i b_i$ 
  for  $j$  from 1 to  $s$ ,  $j \neq i$  do
     $c_i \leftarrow c_i \oplus z_{ij}$ 
  end for
end for
  
```

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- Base field is \mathbf{F}_q , where $q = p^m$
 - e.g., $p=2$ and $m=8$ for AES, or $p=3329$ and $m=1$ for ML-KEM, etc.
- We are interested in n -point transform
- Naïve multiplication by M (Fourier transform) or M^{-1} (inverse Fourier transform) has complexity n^2

- Shape of M is:

$$M = \begin{pmatrix} 1 & 1 & 1 & \dots & 1 \\ 1 & \omega & \omega^2 & \dots & \omega^{n-1} \\ 1 & \omega^2 & \omega^4 & \dots & \omega^{2(n-1)} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & \omega^{n-1} & \omega^{2(n-1)} & \dots & \omega^{(n-1)^2} \end{pmatrix}$$

- where $\omega^n = 1$.

Roots of Unity in Finite Fields

Let $\omega \in \mathbb{F}_q$ an n th root of unity. This means that $\omega^n = 1$.

Lemma 1. Let M the $n \times n$ matrix of element $M_{i,j}$ equal to ω^{ij} . Then M^{-1} is equal to $M_{i,j}^{-1} = \frac{1}{n}\omega^{-ij}$.

Proof. Let \tilde{M} be the matrix of elements $\frac{1}{n}\omega^{-ij}$ at position (i, j) . The element at position (i, j) of matrix $M\tilde{M}$ is:

$$\sum_{k=0}^{n-1} M_{i,k} \tilde{M}_{k,j} = \sum_{k=0}^{n-1} \omega^{ik} \frac{1}{n} \omega^{-kj} = \frac{1}{n} \sum_{k=0}^{n-1} (\omega^{i-j})^k.$$

Then there are two situations:

1. $i = j$, then the sum is equal to 1;
2. $i \neq j$, then $\omega^{i-j} \neq 1$, and the sum is that of a geometric series, equal to $\frac{1}{n} \frac{1 - (\omega^{i-j})^n}{1 - \omega^{i-j}} = 0$, because $(\omega^{i-j})^n = (\omega^n)^{i-j} = 1^{i-j} = 1$.

Thus \tilde{M} coincides with M^{-1} . □

$$M = \begin{pmatrix} 1 & 1 & 1 & \dots & 1 \\ 1 & \omega & \omega^2 & \dots & \omega^{n-1} \\ 1 & \omega^2 & \omega^4 & \dots & \omega^{2(n-1)} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & \omega^{n-1} & \omega^{2(n-1)} & \dots & \omega^{(n-1)^2} \end{pmatrix} .$$

Vandermonde matrix

$$M^{-1} = \frac{1}{n} \begin{pmatrix} 1 & 1 & 1 & \dots & 1 \\ 1 & \omega^{-1} & \omega^{-2} & \dots & \omega^{-(n-1)} \\ 1 & \omega^{-2} & \omega^{-4} & \dots & \omega^{-2(n-1)} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & \omega^{-(n-1)} & \omega^{-2(n-1)} & \dots & \omega^{-(n-1)^2} \end{pmatrix} .$$

Inverse is also a
Vandermonde matrix

- We make use of special algebraic structures of field fields

Let $\vec{a} = (a_0, \dots, a_{n-1}) \in \mathbb{F}_q^n$. Then $DFT(\vec{a}) = \vec{b} = (b_0, \dots, b_{n-1}) \in \mathbb{F}_q^n$ is such that:

$$b_j = \sum_{i=0}^{n-1} a_i \omega^{ij} = \sum_{i=0}^{n-1} a_i (\omega^j)^i .$$

Let $P(X) = \sum_{i=0}^{n-1} a_i X^i$. We notice that b_j , for $0 \leq j < n$, can be rewritten as:

$$b_j = P(\omega^j) = P(X) \bmod (X - \omega^j) .$$

The complexity of computing n polynomial modular divisions is still quadratic. Indeed, a polynomial Euclidean division of a polynomial of degree $n - 1$ by a polynomial of unitary degree is n , as shown below:

$a_{n-1}X^{n-1}$	+	$a_{n-2}X^{n-2}$	+	$a_{n-3}X^{n-3}$	+ ... + a_0	$X - \omega^j$
$-a_{n-1}X^{n-1}$	+	$a_{n-1}\omega^j X^{n-2}$	-	$(a_{n-2} + a_{n-1}\omega^j)X^{n-2}$	+	$(a_{n-2} + a_{n-1}\omega^j)\omega^j X^{n-3}$
						$+ \dots \setminus$
						$+ \dots \setminus$

The colors indicate the number of products in \mathbb{F}_q . In the case of the division of one dividend of degree $n - 1$ by a divisor of degree 1, one field multiplication (colored above) is needed per reduction step, hence in total $n - 1$ multiplications are required.

Let us recall in general how Euclidean division works:

- in the case of the division of a dividend of degree d_1 by a divisor of degree $d_2 \leq d_1$ (with unitary leading coefficient),
- the result is a quotient of degree $d_2 - d_1$ and a remainder of degree $d_1 - 1$;
- the number of steps in the Euclidean division is $d_2 - d_1$,
- and involves $d_1 - 1$ (since the leading coefficient is $= 1$) multiplications,
- hence a total of $(d_2 - d_1)(d_1 - 1)$ field multiplications.



ATTENTION

This is still quadratic!

- We make use of special algebraic structures of field fields

If the divisor has only one coefficient which is nonzero and not equal to one, then the number of field multiplication per Euclidean division step becomes only one, and therefore the overall complexity of the division is $(d_2 - d_1)$.

Hence we try to compute the reductions hierarchically, by noting that:

$$(X - 0) \prod_{i=0}^{n-1} (X - \omega^i) = X^n - X ,$$

and that:

$$(P(X) \bmod \underbrace{(X - \omega^i)(X - \omega^j)}) \bmod \underbrace{(X - \omega^j)} = P(X) \bmod \underbrace{X - \omega^j} .$$

For instance, let us consider: $n = 3$, in the field \mathbb{F}_4 . We denote:

- $q_{2,0}(X) = X^4 - X = (X - 0)(X - \omega)(X - \omega^2)(X - \omega^3)$,
- $q_{1,0}(X) = X(X - \omega)$ and $q_{1,1}(X) = (X - \omega^2)(X - \omega^3)$,
- $q_{0,0}(X) = X$, $q_{0,1}(X) = X - \omega$, $q_{0,2}(X) = X - \omega^2$ and $q_{0,3}(X) = X - \omega^3$.

Then, the computation of b_j from $P(X) = \sum_{i=0}^{n-1} a_i X^i$ can be achieved as:

- $P_{2,0}(X) = P(X) \bmod q_{2,0}(X) = P(X)$,
- $P_{1,0}(X) = P_{2,0}(X) \bmod q_{1,0}(X)$ and $P_{1,1}(X) = P_{2,0}(X) \bmod q_{1,1}(X)$,
- $P_{0,0} = P_{1,0} \bmod q_{0,0}(X)$, $P_{0,1} = P_{1,0} \bmod q_{0,1}(X)$, $P_{0,2}(X) = P_{1,1} \bmod q_{0,2}(X)$ and $P_{0,3}(X) = P_{1,1} \bmod q_{0,3}(X)$,

where we end by the following affectation:

- $b_0 = P_{0,0}$,
- $b_1 = P_{0,1}$,
- $b_2 = P_{0,2}$,
- $b_3 = P_{0,3}$.

Algorithm 1: Quasi-linear (i.e., fast) Discrete Fourier Transform

Data: Pre-computed binary tree $q_{i,j}$

Input: $a = (a_0, a_1, \dots, a_{n-1})$

Output: $(b_0, b_1, \dots, b_{n-1})$ the DFT of a

```

1  $P_{\lceil \log_2(n) \rceil, 0} \leftarrow \sum_{i=0}^{n-1} a_i X^i$ 
2 for  $i \in \{\lceil \log_2(n) \rceil - 1, \lceil \log_2(n) \rceil - 2, \dots, 0\}$  do
3   for  $j \in \{1, \dots, 2^{\lceil \log_2(n) \rceil - i}\}$  do
4      $P_{i,j} \leftarrow P_{i+1, \lfloor j/2 \rfloor} \bmod q_{i,j}$ 
5 return  $(P_{0,j})_{0 \leq j \leq n-1} = (b_0, b_1, \dots, b_{n-1})$ 

```

// Depth i of $\log_2(n)$
// Breadth of $n/2^i$
// Complexity of 2^i

Fast Fourier Transform: Quasi-Linear Complexity

Now, it is possible to reorder the leafs so that the $q_{i,j}(X)$ polynomials are *linearized* or *affine*, with all coefficients but one in \mathbb{F}_q (all others belonging to $\mathbb{F}_p = \mathbb{F}_2$) [1, main theorem, page 513].

The complexity of this hierarchical computation is given below:

Level	Number of reductions	Degree of		Complexity
		dividend	divisor	
<i>Generic</i>	r	d_1	d_2	$r \times (d_1 - d_2)$
$\lceil \log_2(n) \rceil$	1	$n - 1$	n	0
$\lceil \log_2(n) - 1 \rceil$	2	$n - 1$	$n/2 - 1$	$2 \times n/2 = n$
$\lceil \log_2(n) - 2 \rceil$	4	$n/2 - 1$	$n/4 - 1$	$4 \times n/4 = n$
\vdots	\vdots	\vdots	\vdots	\vdots
0	$2^{\lceil \log_2(n) \rceil}$	$\frac{n}{2^{\lceil \log_2(n) - 1 \rceil - 1}} - 1$	$\frac{n}{2^{\lceil \log_2(n) \rceil}} - 1$	n
Total			$n \times \lceil \log_2(n) \rceil$

[1] R. E. Blahut, Theory and Practice of Error Control Codes. Reading, MA: Addison-Wesley, 1983.

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Let ν be a primitive element of \mathbb{F}_q , that is a generator of the multiplicative group \mathbb{F}_q^* . Let n be a positive integer. We assume that n divides $q-1$, then we have that the field element $\omega = \nu^{\frac{q-1}{n}}$ is a root of the unity (i.e. $\omega^n = 1$). By construction, n is odd with q is power of two. We denote $n = 2d + 1$.

Verification in MAGMA

```
F<alpha> := PolynomialRing(GF(2));
P := F ! alpha^8+alpha^4+alpha^3+alpha+1;
GF256<X> := ext< GF(2) | P >;

nu := PrimitiveElement(GF256); // X+1
omega := nu^85; // 85 = 255/3 // X^7+X^5+X^4+X^3+X^2+1

Order( nu ); // 255 = 3*5*17 = 3*85
Order( omega ); // 3 = 255/85
```

- Representation:

$$n = 2d + 1 :$$

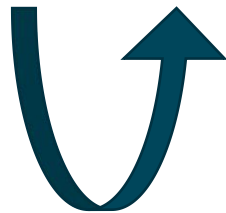
$$\vec{a} = (a_0, a_1, \dots, a_d, a_{d+1}, \dots, a_{2d})$$

$$= (\underbrace{x}_1, \underbrace{r_0, \dots, r_{d-1}}_d, \underbrace{0, \dots, 0}_d)$$

$\times M$

$\times M^{-1}$

$$\text{mask}(x) := \text{DFT}(\vec{a}) = \left(\sum_{i=0}^d a_i \omega^{ij} \right)_{j \in \{0, \dots, 2d\}} = \vec{a} \cdot M = \vec{z}$$



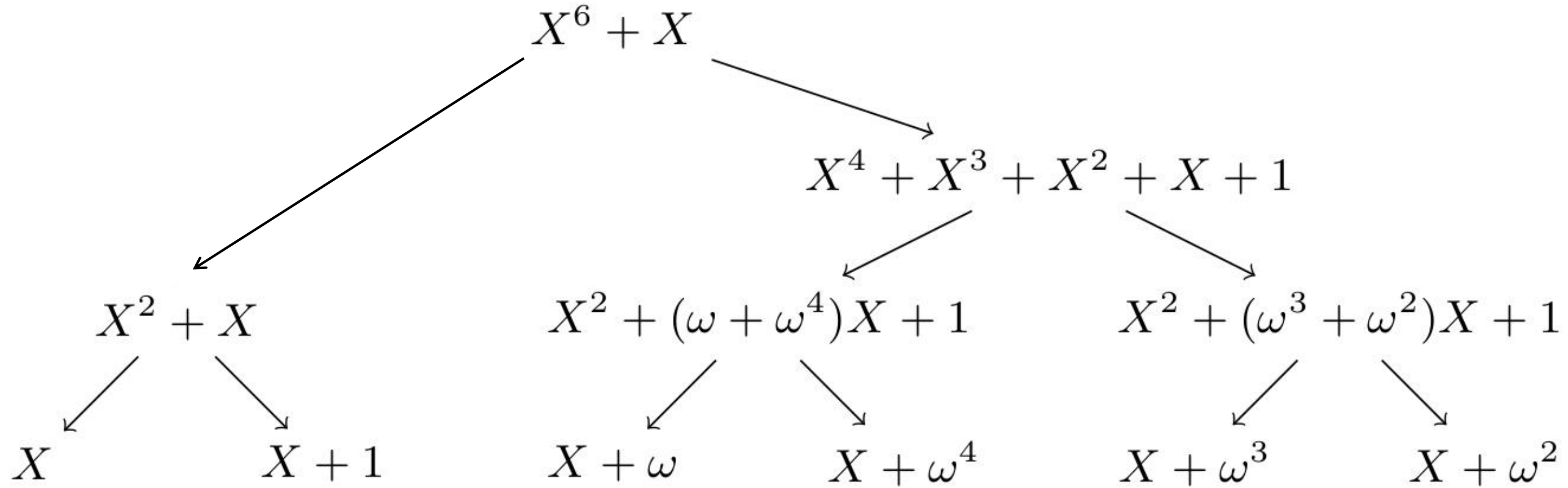
- Addition, scaling,
- Multiplication by a constant
- Multiplication

$$\text{unmask}(\vec{z}) = \text{IDFT}(\vec{z})_0 = (\vec{z} \cdot M^{-1})_0 = x$$

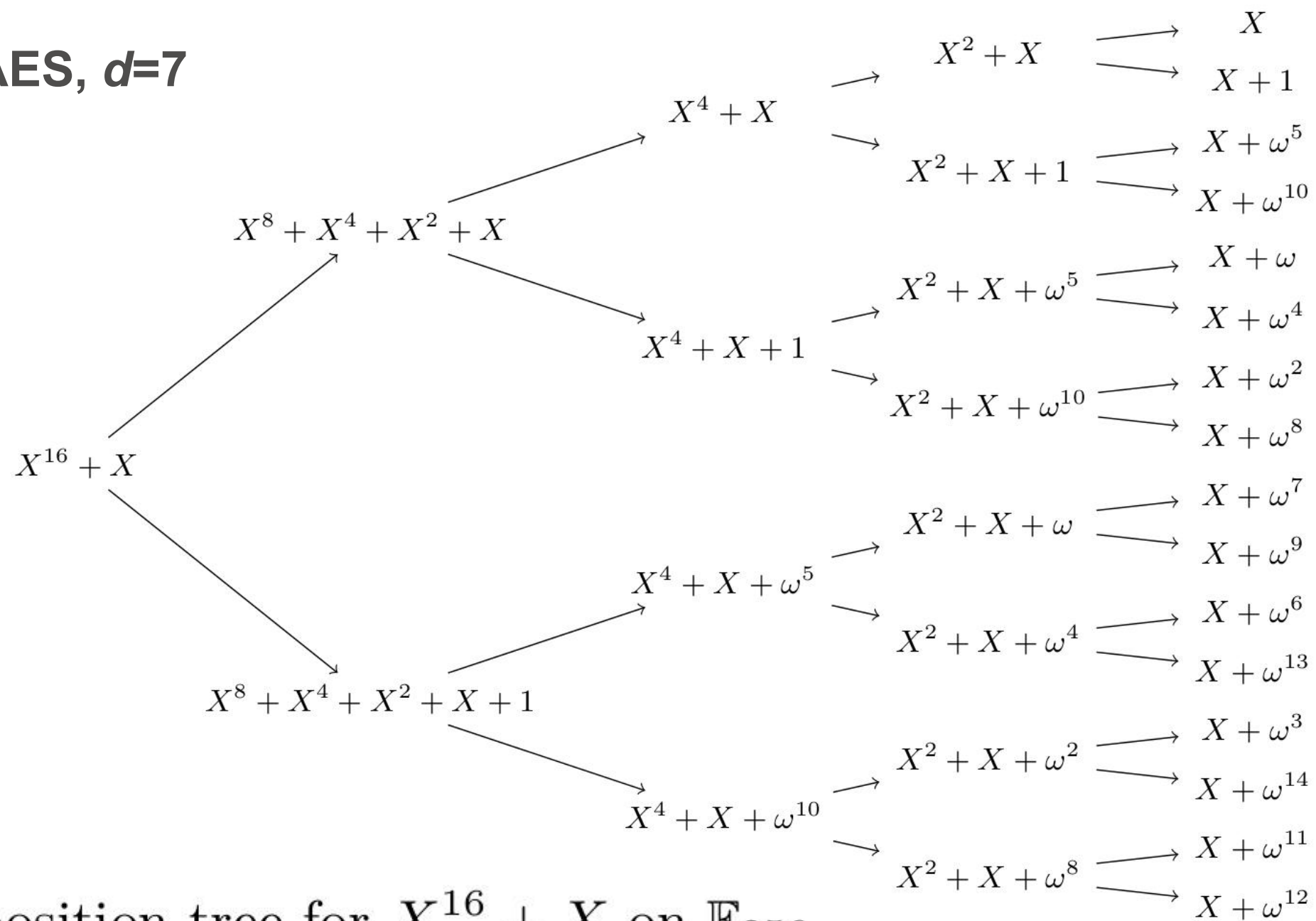
The symmetric encryption algorithm AES is a byte-oriented block cipher. Its design leverages the irreducible polynomial $X^8 + X^4 + X^3 + X + 1$. The Sbox is based on the inverse function defined over the finite field $\mathbb{F}_{2^8} = \frac{\mathbb{F}_2[X]}{(X^8 + X^4 + X^3 + X + 1)}$. The canonical basis is given by $\alpha = \overline{X}$ in \mathbb{F}_{2^8} and $1 + \alpha$ is a primitive element of this field. Then $X^{256} - X = X(X^{255} - 1)$ and $255 = 3 \times 5 \times 17$. We can consider DFT with $n = 3, 5, 15, 17, 51, 85$.

We note that we have not a large choice for n if we keep this method. We will see in the next section that we can construct a DFT and its associate inverse by observing the different trees.

The SAGE code and the executable source code in C language are provided in a GitHub: https://github.com/daif-abde/FFT_masking.git.



Polynomial decomposition tree for $X^6 + X$ on \mathbb{F}_{256} .



Polynomial decomposition tree for $X^{16} + X$ on \mathbb{F}_{256} .

Homomorphic operations:

- Addition
- Scaling

Let us denote: $\vec{z} = \text{mask}(x)$ and $\vec{z}' = \text{mask}(x')$. The following properties are satisfied:

- $\text{mask}(x + x') = \vec{z} + \vec{z}'$,
- $\text{mask}(\lambda x) = \lambda \cdot \vec{z}$ for any $\lambda \in \mathbb{F}_q$.

Multiplication operation:

- Commutative diagram:

Variable	Cost
\vec{y}	n
\vec{r}''	$n + n \log(n)$
$\text{mask}(xx')$	$2n(1 + \log(n))$

Cleartext:

Masked:

$$\begin{array}{l}
 (x, r_0, \dots, r_{d-1}, 0, \dots, 0) \xrightarrow{\text{DFT}} \vec{z} = \text{mask}(x) \\
 (x', r'_0, \dots, r'_{d-1}, 0, \dots, 0) \xrightarrow{\quad\quad\quad} \vec{z}' = \text{mask}(x') \quad \Rightarrow \quad \vec{y} = (z_0 z'_0, \dots, z_{2d} z'_{2d}) \\
 \\
 \text{mask}(xx') \\
 = \vec{y} - \text{DFT}\left(0, 0, \dots, 0, \frac{1}{n} \sum_{i=0}^{2d} y_i \omega^{-i(d+1)}, \dots, \frac{1}{n} \sum_{i=0}^{2d} y_i \omega^{-i(2d)}\right) \\
 (xx', r''_0, \dots, r''_{d-1}, 0, \dots, 0) \xleftarrow{\text{IDFT}} = \vec{y} - \text{DFT}\left(0, 0, \dots, 0, \text{IDFT}(\vec{y})_{d+1, \dots, 2d}\right)
 \end{array}$$

- By reduction from Code-Based Masking (CBM):
 - Weijia Wang, Pierrick Méaux, Gaëtan Cassiers, and François-Xavier Standaert.
Efficient and private computations with code-based masking. IACR Trans. Cryptogr. Hardw. Embed. Syst., 2020(2):128–171, 2020.
- No such assumption as:

Hypothesis 1 (FFT Probing Security). *The circuits processing*

$$\text{FFT}_{\alpha} : (\mathbf{x} \parallel \mathbf{0}) \mapsto \mathbf{r} \quad \text{and} \quad \text{FFT}_{\alpha}^{-1} : \mathbf{u}' \mapsto \mathbf{t}$$

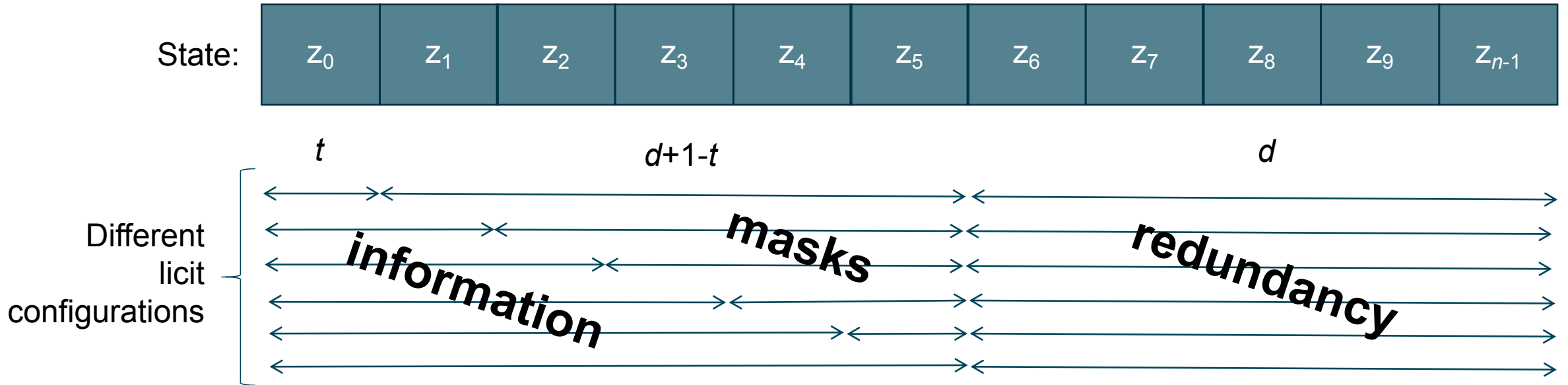
are t_n^{FFT} -probing secure w.r.t. the \mathbf{v}_{ω} -encoding and the \mathbf{v}'_{ω} -encoding respectively.

- In: Probing Security through Input-Output Separation and Revisited Quasilinear Masking. (2021). IACR Transactions on Cryptographic Hardware and Embedded Systems, 2021(3), 599-640. <https://doi.org/10.46586/tches.v2021.i3.599-640>

Cost amortization: tradeoff between

- Side-channel order $d+1-t$
 - *versus*
- Amount of processed information simultaneously: t

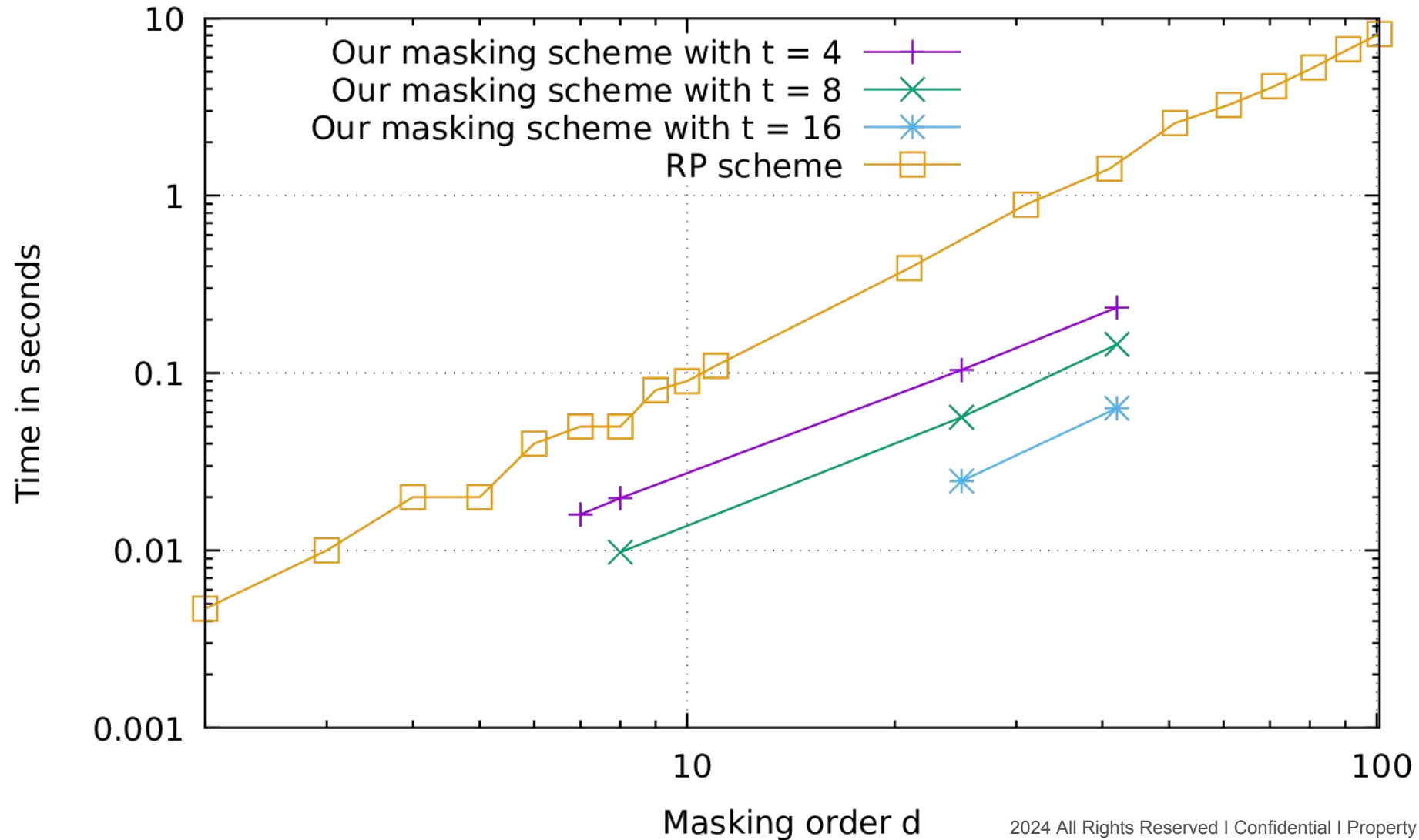
Fault detection: checking the d MSBs are null



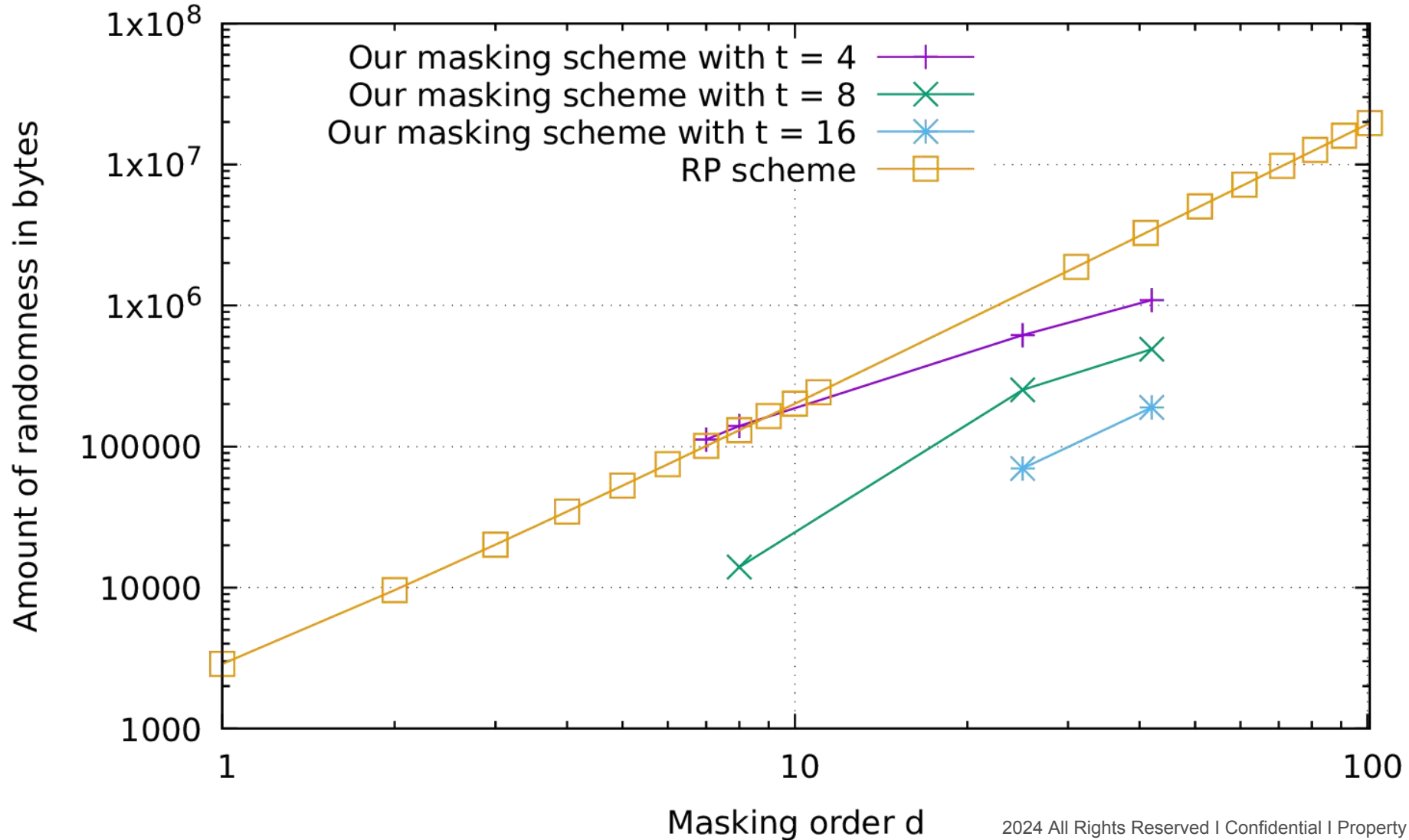
Side-Channel Security Order *versus* Fault Detection / Correction, in F_{256}

n	d	t	SCA order ($d + 1 - t$)	Nb. of detected faults	Nb. of corrected faults
5	2	1	2	2	0
		2	1		
15	7	1	7	7	3
		2	6		
		⋮	⋮		
		7	1		
17	8	1	8	8	3
		2	7		
		⋮	⋮		
		8	1		

- Computation time for 50 times AES calculation, with pre-calculated multiplication.

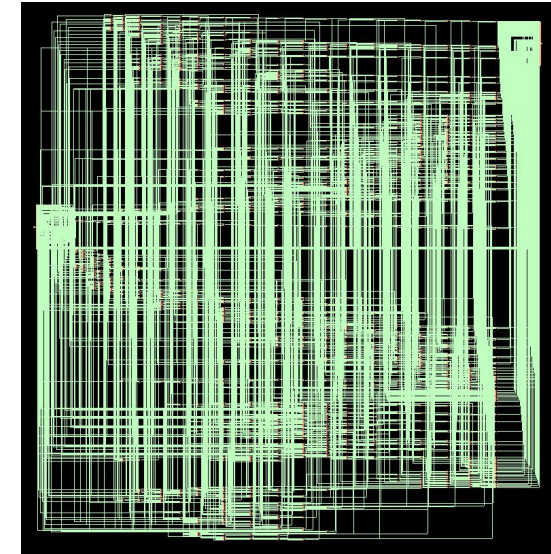
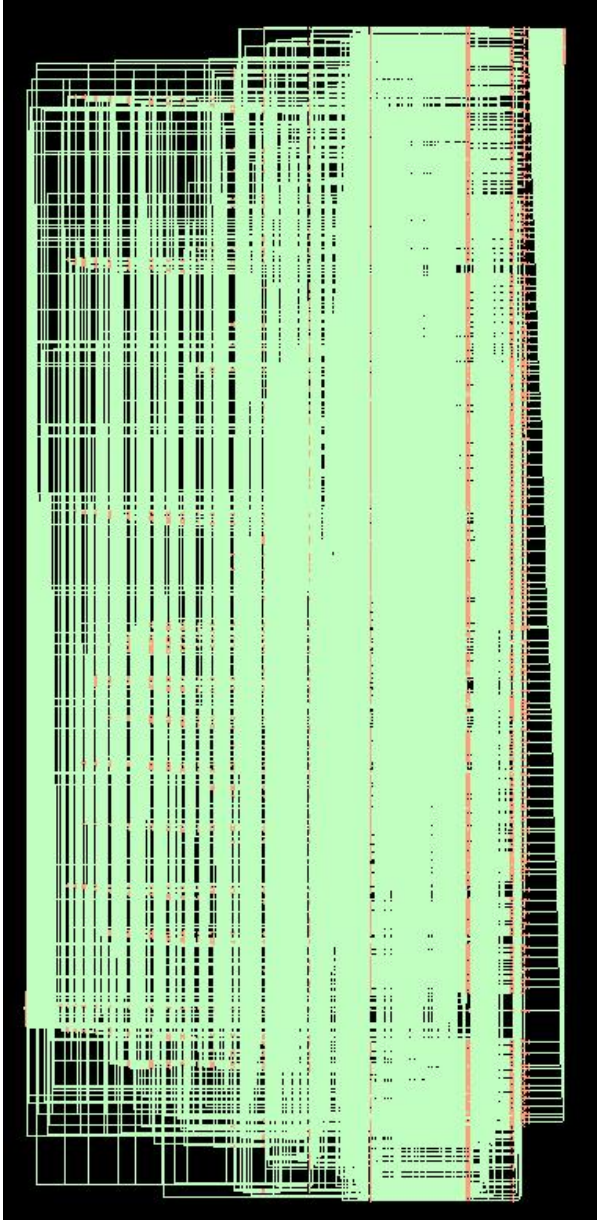


- The amount of randomness generated in terms of bytes.



Area saving on F_{256} with Cost Amortization

- ASIC synthesis, using VHDL in 130 nm technology



Comparison of our masking scheme with the state of the art

Scheme name	Side-channel protection		Fault protection		Field
	Complexity	Cost am.	End-to-end	Detection	
ParTI [SMG16]	Quadratic ($\mathcal{O}(d^2)$)	No	Yes	At checkpoints	\mathbb{F}_2
CAPA [RMB ⁺ 18]	Quadratic ($\mathcal{O}(d^2)$)	No	Yes	At checkpoints	\mathbb{F}_2
GJR [GJR18]	Quasi-linear ($\mathcal{O}(d \log d)$)	No	No	N/A	\mathbb{F}_p
M&M [MAN ⁺ 19]	Quadratic ($\mathcal{O}(d^2)$)	No	Yes	Infective	\mathbb{F}_2
DOMREP [GPK ⁺ 21]	Quadratic ($\mathcal{O}(d^2)$)	No	Yes	At checkpoints	\mathbb{F}_2
GJR+ [GPRV21]	Quasi-linear ($\mathcal{O}(d \log d)$)	No	No	N/A	\mathbb{F}_q
CINI MINIS [FRSG22]	Quadratic ($\mathcal{O}(d^2)$)	No	Yes	At checkpoints	\mathbb{F}_2
RTIK [Pla22]	Polynomial ($\mathcal{O}(d^{\log_2 3})$)	No	No	N/A	\mathbb{F}_2
SotA / laOla [BEF ⁺ 23]	Quadratic ($\mathcal{O}(d^2)$)	No	Yes	At checkpoints	\mathbb{F}_q
Our work	Quasi-linear ($\mathcal{O}(d \log d)$)	Yes	Yes	At checkpoints	\mathbb{F}_q

1.

Introduction

2.

Protecting Cryptographic Algorithms with Random Masking

3.

Fourier Transform

4.

Application to AES

5.

Conclusions and Perspectives

We achieve such masking protection:

- Minimizing the number of multiplications
- Cost amortization and fault detection capability
- Quasi-linear masking complexity
- Code-Based Masking (CBM) compliant

Code available online:

- https://github.com/daif-abde/FFT_masking 

Perspectives:

- Application to Crystals Kyber ($q = 3329$):
 - The values of n are $\{2^i, 2 \leq i \leq 8\} \cup \{13 \cdot 2^i, 0 \leq i \leq 7\}$.

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THANK YOU FOR YOUR ATTENTION



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