

Lattice-based Obfuscation from NTRU and Equivocal LWE

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Content

Background

iO, XiO

The BDGM Template

Our Ideas

Equivocal Distribution

Primal Lattice Trapdoor

Trapdoor construction from NTRU

XiO construction

Indistinguishability Obfuscation

- ▶ Algorithms: $\text{Obf}(\Gamma) \rightarrow \tilde{\Gamma}$, $\text{Eval}(\tilde{\Gamma}, x) \rightarrow y = \Gamma(x)$
- ▶ Security: For any $\Gamma_0 \equiv \Gamma_1$, $\text{Obf}(\Gamma_0) \approx_c \text{Obf}(\Gamma_1)$
- ▶ Efficiency: $|\tilde{\Gamma}| = \text{poly}(|\Gamma|, \lambda)$
- ▶ Construction from “well-founded” assumptions by Jain, Lin, and Sahai [JLS21; JLS22], but not post-quantum secure

EXponentially-efficient iO

- ▶ Relaxed efficiency: $|\tilde{\Gamma}| = o(|\text{truth table}|) \cdot \text{poly}(\lambda)$
- ▶ [LPST16]: XiO + LWE \implies iO
- ▶ Many XiO attempts from lattices, all based on heuristics or novel/highly-tailored assumptions; most assumptions cryptanalysed [HJL21; JLLS23]

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- ▶ Many XiO attempts from lattices, all based on heuristics or novel/highly-tailored assumptions; most assumptions cryptanalysed [HJL21; JLLS23]
- ▶ Our goal: Lattice-based XiO from self-contained + reasonable assumptions
- ▶ Starting point: XiO template of Brakerski, Döttling, Garg, and Malavolta [BDGM20]

Ingredients to [BDGM20]'s XiO Template

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2. Learning with Errors (LWE)-based encoding

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 - ▶ Decrypt = evaluate linear function L_{ctxt} in s , then rounding:

$$\text{Dec}(\cdot, \text{ctxt}) : s \mapsto \text{Dec}(s, \text{ctxt}) = \text{round}(L_{\text{ctxt}} \cdot s)$$

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- ▶ Given B random wide matrix, $RB + E \bmod q \approx_c \$$ for random R , Gaussian E

$$\implies C = RB + E + \text{Encode}(s) \bmod q \approx_c \$$$

- ▶ LWE secret R allows to recover s :

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- ▶ Homomorphic for low-norm linear transforms, i.e. if L is low-norm matrix then

$$LC \approx LRB + \text{Encode}(Ls) \bmod q$$

- ▶ LWE secret R allows to recover s :

$$s = \text{Decode}(C - RB \bmod q) \quad Ls = \text{Decode}(LC - LRB \bmod q)$$

[BDGM20]’s XiO Template

- ▶ Circuit Γ , truth table \mathbf{Y} , size $|\mathbf{Y}| = h \cdot k$
- ▶ $\text{Obf}(\Gamma) \rightarrow \tilde{\Gamma} = (\text{ctxt}, \mathbf{B}, \mathbf{C}, \hat{\mathbf{R}})$
 - ▶ FHE `ctxt` encrypting Γ ; secret key = \mathbf{s}
 - ▶ \mathbf{B} : random wide matrix
 - ▶ $\mathbf{C} = \mathbf{RB} + \mathbf{E} + \text{Encode}(\mathbf{s}) \bmod q$
 - ▶ Decryption hint $\hat{\mathbf{R}}$

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 - ▶ For each input x , evaluate universal circuit $U(\cdot, x)$ on ctxt
 \rightarrow Obtain FHE $\text{ctxt}_{\Gamma(x)}$ encrypting $\Gamma(x)$
 - ▶ Evaluate linear part \mathbf{L} of $\text{FHE.Dec}(\cdot, (\text{ctxt}_{\Gamma(x)}))_x$ on \mathbf{C} , obtain
- ▶ $\mathbf{LC} \approx \underbrace{\mathbf{LR}}_{\hat{\mathbf{R}}} \mathbf{B} + \text{Encode}(\mathbf{Y}) \bmod q$
- ▶ $\text{Eval}(\tilde{\Gamma}, x)$: Re-derive $\mathbf{LC} \bmod q$ from $(\text{ctxt}, \mathbf{C})$, obtain $\text{Decode}(\mathbf{LC} - \hat{\mathbf{R}}\mathbf{B} \bmod q) = \mathbf{Y}$

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 - ▶ Decryption hint $\hat{\mathbf{R}} = \begin{matrix} \mathbf{L} & \mathbf{R} \end{matrix}$
- ▶ $|\text{Encode}(\mathbf{Y})| = O(hk) > O(h) + O(k) = |\hat{\mathbf{R}}| + |\mathbf{B}| \Rightarrow \text{Compression} \checkmark$

$$\mathbf{LC} \approx h \begin{matrix} \hat{\mathbf{R}} \\ \mathbf{B} \end{matrix} + h \begin{matrix} k \\ \text{Encode}(\mathbf{Y}) \end{matrix} \bmod q$$

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 - ▶ Give out $\hat{\mathbf{R}} \rightarrow$ Trivial attack, find \mathbf{R} from $(\mathbf{L}, \hat{\mathbf{R}} = \mathbf{LR})$, then recover \mathbf{s} from $\mathbf{C} \times$

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 - ▶ Innovative ways to mask $\hat{\mathbf{R}}$ [BDGM20; WW21; GP21; DQV+21; BDGM22]
 - Heuristic security/ Assumption cryptanalysed \times [HJL21; JLLS23]

Idea to new decryption hint

Recap:

- ▶ $\text{Obf}(\Gamma) \rightarrow \tilde{\Gamma} = (\text{ctxt}, \mathbf{B}, \mathbf{C}, ?)$
 - ▶ FHE ctxt of Γ ; $\text{sk} = \mathbf{s}$
 - ▶ \mathbf{B} : wide matrix
 - ▶ $\mathbf{C} = \mathbf{RB} + \mathbf{E} + \text{Encode}(\mathbf{s}) \bmod q$
 - ▶ $\hat{\mathbf{R}} = \mathbf{LR} \bmod q$, thus $\mathbf{LC} \approx \hat{\mathbf{RB}} + \text{Encode}(\mathbf{Y}) \bmod q$
- ▶ $\text{Eval}(\tilde{\Gamma}, x)$: Re-derive \mathbf{LC} from $(\text{ctxt}, \mathbf{C})$, obtain truth table $\text{Decode}(\mathbf{LC} - \hat{\mathbf{RB}} \bmod q) = \mathbf{Y}$
- ▶ Give out $\hat{\mathbf{R}}$ → Trivial attack \times ; Give out mask($\hat{\mathbf{R}}$) → No proof from plausible assumption \times

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- ▶ Observations:
 - ▶ Correctness needs $\hat{\mathbf{R}}$ s.t. $\mathbf{LC} \approx \hat{\mathbf{RB}} + \text{Encode}(\mathbf{Y}) \bmod q$, unique w.h.p. if \mathbf{B} uniform
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 - ▶ FHE ctxt of Γ ; $\text{sk} = \mathbf{s}$
 - ▶ \mathbf{B} : wide matrix sampled from special distribution
 - ▶ $\mathbf{C} = \mathbf{RB} + \mathbf{E} + \text{Encode}(\mathbf{s}) \bmod q$
 - ▶ $\hat{\mathbf{R}} = \mathbf{LR} \bmod q$, thus $\mathbf{LC} \approx \hat{\mathbf{R}}\mathbf{B} + \text{Encode}(\mathbf{Y}) \bmod q$
 - ▶ Sample random $\tilde{\mathbf{R}}$ s.t. $\mathbf{LC} \approx \tilde{\mathbf{R}}\mathbf{B} + \text{Encode}(\mathbf{Y}) \bmod q$
 - ▶ $\text{Eval}(\tilde{\Gamma}, x)$: Re-derive \mathbf{LC} from $(\text{ctxt}, \mathbf{C})$, obtain truth table $\text{Decode}(\mathbf{LC} - \tilde{\mathbf{R}}\mathbf{B} \bmod q) = \mathbf{Y}$
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 - ▶ Prior attempts: (randomness for) masking to $\hat{\mathbf{R}}$ leaked elsewhere in obfuscation
 - ▶ Idea: Let \mathbf{B} s.t. there are many possible $\hat{\mathbf{R}}$, give out freshly sampled random one, e.g. \mathbf{R}

Lattice point of view

- ▶ For LWE sample $\mathbf{c}^T = \mathbf{r}^T \mathbf{B} + \mathbf{e}^T \bmod q$,
- LWE solution = point on primal lattice $\Lambda_q(\mathbf{B}) = \{\mathbf{x}^T : \exists \mathbf{r}, \mathbf{x}^T = \mathbf{r}^T \mathbf{B} \bmod q\}$ close to \mathbf{c}^T
- ▶ Uniform $\mathbf{B} \iff \Lambda_q(\mathbf{B})$ is “sparse” w.h.p. \iff Unique lattice point close to \mathbf{c}^T

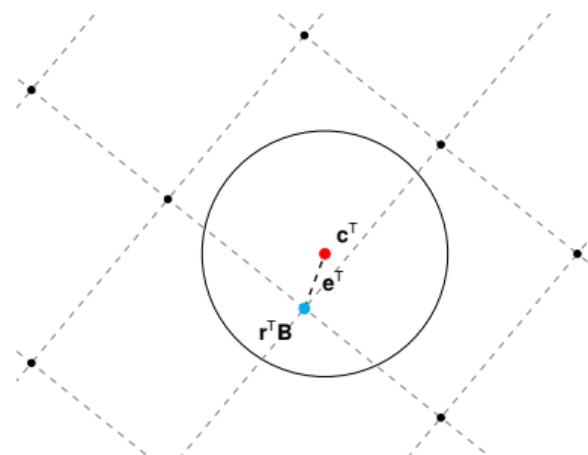


Figure: $\Lambda_q(\mathbf{B})$ for uniform \mathbf{B} . One lattice point within ball = unique LWE solution.

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- ▶ Uniform $\mathbf{B} \iff \Lambda_q(\mathbf{B})$ is “sparse” w.h.p. \iff Unique lattice point close to \mathbf{c}^T
- ▶ Idea: \mathbf{B} s.t. $\Lambda_q(\mathbf{B})$ has a “dense” sublattice

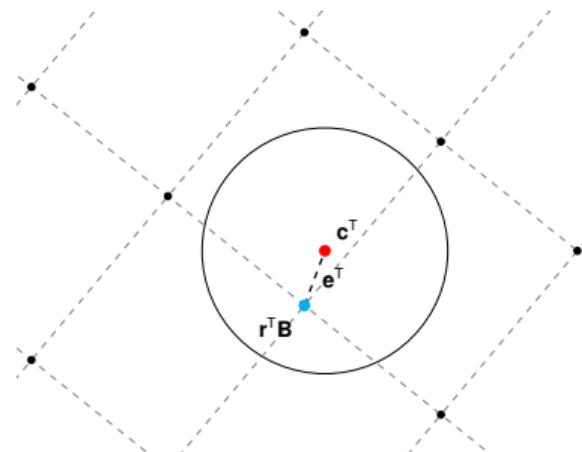


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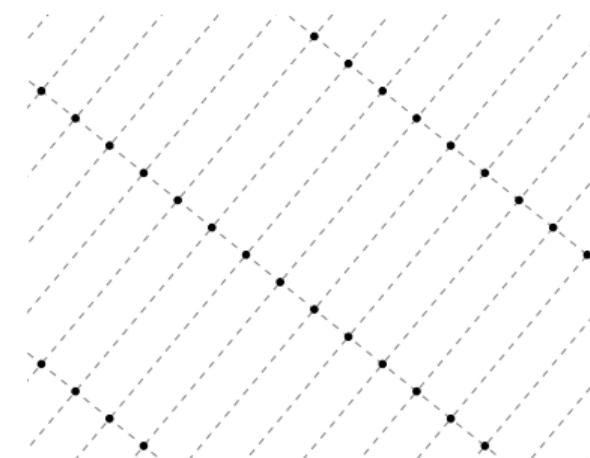


Figure: Lattice with dense sublattice.

Equivocal Distribution \mathcal{E}

- ▶ Want: Given LWE sample $\mathbf{c}^T = \mathbf{r}^T \mathbf{B} + \mathbf{e}^T \bmod q$,
 - ▶ \exists super-poly many LWE solutions $(\tilde{\mathbf{r}}, \tilde{\mathbf{e}})$ s.t. $\mathbf{c}^T = \tilde{\mathbf{r}}^T \mathbf{B} + \tilde{\mathbf{e}}^T \bmod q$
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- ▶ $\mathbf{B} \sim$ Equivocal distribution \mathcal{E} :
 1. **Dense Sublattice:** For any \mathbf{c} ,

$$\text{min-entropy}\left(\tilde{\mathbf{r}}^T \mathbf{B} \xleftarrow{\$} \text{Guassian over } \Lambda_q(\mathbf{B}) \text{ centered at } \mathbf{c}\right) \geq \omega(\log \lambda)$$

$\tilde{\mathbf{r}}$:= “equivocation of \mathbf{c} ”

2. **Pseudorandom with Leakage:** For any low-norm $(\mathbf{c}_i)_i$,

$$\left\{ \mathbf{B}, (\mathbf{l}_i)_i \middle| \begin{array}{l} \mathbf{B} \xleftarrow{\$} \mathcal{E}; \quad x_i \xleftarrow{\$} \$ \\ \tilde{\mathbf{r}}_i = \text{equivocation of } \mathbf{c}_i \\ \mathbf{l}_i = x_i \cdot \tilde{\mathbf{r}}_i \bmod q \quad / \text{leakage} \end{array} \right\} \approx_c \left\{ \mathbf{B}, (\mathbf{l}_i)_i \middle| \begin{array}{l} \mathbf{B} \xleftarrow{\$} \$; \quad \mathbf{x}_i \xleftarrow{\$} \$ \\ \hat{\mathbf{R}} \xleftarrow{\$} \$ \\ \mathbf{l}_i^T = \mathbf{x}_i^T \cdot \hat{\mathbf{R}} \bmod q \end{array} \right\}$$

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- ▶ Next: How to construct efficiently sampleable \mathcal{E} ?

Primal Lattice Trapdoor

- ▶ Two algorithms:
 - ▶ $\text{pTrapGen}(1^\lambda) \rightarrow (\mathbf{B}, \text{trapdoor})$
 - ▶ $\text{Equivocate}(\text{trapdoor}, \mathbf{r}, \mathbf{c}^T = \mathbf{r}^T \mathbf{B} + \mathbf{e}^T \bmod q) \rightarrow \tilde{\mathbf{r}} \text{ s.t. } \mathbf{c}^T = \tilde{\mathbf{r}}^T \mathbf{B} + \tilde{\mathbf{e}}^T \bmod q$

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- ▶ I.e. sample lattice points from primal lattice

$$\Lambda_q(\mathbf{B}) = \left\{ \mathbf{x}^T : \exists \mathbf{r}, \mathbf{x}^T = \mathbf{r}^T \mathbf{B} \bmod q \right\}$$

- ▶ Remark: Different from “standard” lattice trapdoor,
which samples short vectors from kernel lattice $\Lambda_q^\perp(\mathbf{B}) = \{ \mathbf{u} : \mathbf{B}\mathbf{u} = \mathbf{0} \bmod q \}$

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which samples short vectors from kernel lattice $\Lambda_q^\perp(\mathbf{B}) = \{ \mathbf{u} : \mathbf{B}\mathbf{u} = \mathbf{0} \bmod q \}$
- ▶ Desired properties:
 1. \mathbf{B} equivocal ($= \Lambda_q(\mathbf{B})$ has dense sublattice + \mathbf{B} Pseudorandom with Leakage)
 2. Equivocated LWE secret $\tilde{\mathbf{r}}$ satisfies

$$\tilde{\mathbf{r}}^T \mathbf{B} \bmod q \approx_s \text{Gaussian over } \Lambda_q(\mathbf{B}) \text{ centered at } \mathbf{c} \bmod q$$

NTRU

(Decisional) NTRU Assumption

For $\mathbf{f} \leftarrow \mathcal{D}_{\mathcal{R}^m, \chi}$ Gaussian, $d \leftarrow \mathcal{R}_q^\times$ random (short) invertible,

$$\mathbf{b} = d^{-1} \cdot \mathbf{f} \bmod q \quad \approx_c \quad \mathbf{b} \leftarrow \text{uniform over } \mathcal{R}_q^m$$

- ▶ \mathbf{f}^\top : hidden short vector in $\Lambda_q(\mathbf{b}^\top)$
 - ▶ $\mathbf{f}^\top = d \cdot \mathbf{b}^\top \bmod q$
 - ▶ \mathbf{b} pseudorandom \Rightarrow Cannot tell if $\Lambda_q(\mathbf{b}^\top)$ has exceptionally short vectors

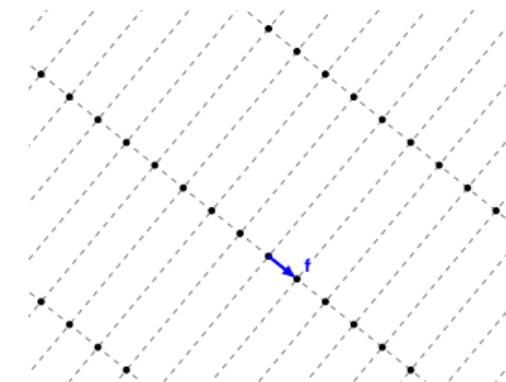
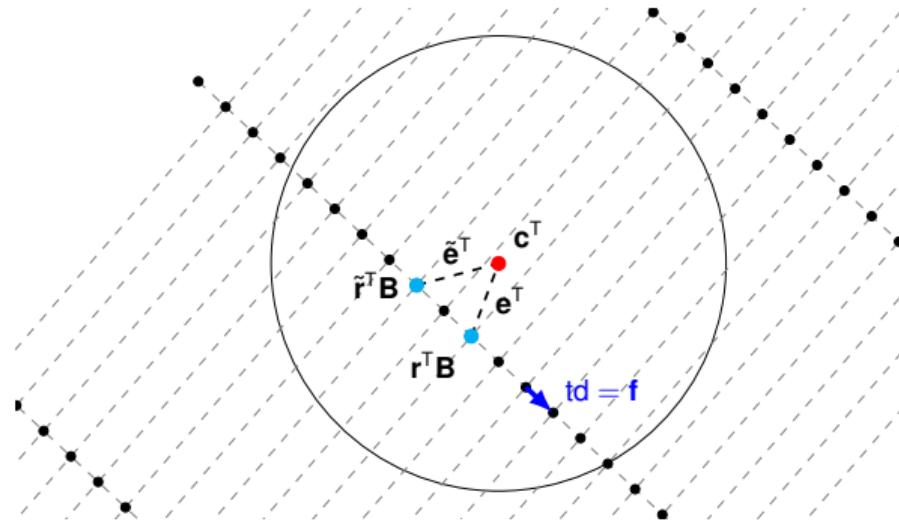


Figure: $\Lambda_q(\mathbf{b}^\top)$ for NTRU $\mathbf{b} = d^{-1} \cdot \mathbf{f} \bmod q$

Primal Lattice Trapdoor – Visualisation

- ▶ How $\Lambda_q(\mathbf{B})$ looks like:



- ▶ $(\mathbf{r}, \mathbf{e}), (\tilde{\mathbf{r}}, \tilde{\mathbf{e}})$ (and any lattice point within circle) are LWE solutions to \mathbf{c} :

$$\mathbf{c}^T = \mathbf{r}^T \mathbf{B} + \mathbf{e}^T = \tilde{\mathbf{r}}^T \mathbf{B} + \tilde{\mathbf{e}}^T \bmod q$$

- ▶ Secret short vector \mathbf{f} as trapdoor, allows sampling along dense line(/hyperplane)

Primal Lattice Trapdoor from NTRU

$(\mathbf{B}, \text{td}) \leftarrow \text{pTrapGen}(1^t, 1^k, q)$	$\tilde{\mathbf{r}}^\top \leftarrow \text{Equivocate}(\text{td}, \mathbf{r}, \mathbf{c}, s)$
$\mathbf{d} \xleftarrow{\$} \mathcal{R}_q^t : \mathbf{d}^\top \mathcal{R}_q^t = \mathcal{R}_q$	$\mathbf{s} := s / \sigma(\mathbf{f}^\top \mathbf{f}) \quad / \text{ component-wise inversion}$
$\mathbf{f} \xleftarrow{\$} \mathcal{D}_{\mathcal{R}^k, \chi_f} : \mathbf{f}^\top \mathcal{R}^k = \mathcal{R}$	$\mathbf{e}_L := \text{Projection of } \mathbf{c}^\top - \mathbf{r}^\top \mathbf{B} \text{ mod } q \text{ on } \text{Span}(\mathcal{L}(\mathbf{f}^\top))$
$\mathbf{B} \xleftarrow{\$} \mathcal{R}_q^{t \times k} : \mathbf{d}^\top \mathbf{B} = \mathbf{f}^\top \text{ mod } q$	$c \cdot \mathbf{1}_k := \mathbf{e}_L / \mathbf{f} \quad / \text{ component-wise inversion}$
return $(\mathbf{B}, \text{td} = (\mathbf{B}, \mathbf{f}, \mathbf{d}))$	$p \xleftarrow{\$} \mathcal{D}_{\mathcal{R}, \mathbf{s}, c}$
	return $\tilde{\mathbf{r}}^\top := \mathbf{r}^\top + p \cdot \mathbf{d}^\top \text{ mod } q$

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$\mathbf{d} \xleftarrow{\$} \mathcal{R}_q^t : \mathbf{d}^T \mathcal{R}_q^t = \mathcal{R}_q$	$\mathbf{s} := s / \sigma(\mathbf{f}^T \mathbf{f}) \quad / \text{component-wise inversion}$
$\mathbf{f} \xleftarrow{\$} \mathcal{D}_{\mathcal{R}^k, \chi_f} : \mathbf{f}^T \mathcal{R}^k = \mathcal{R}$	$\mathbf{e}_L := \text{Projection of } \mathbf{c}^T - \mathbf{r}^T \mathbf{B} \text{ mod } q \text{ on } \text{Span}(\mathcal{L}(\mathbf{f}^T))$
$\mathbf{B} \xleftarrow{\$} \mathcal{R}_q^{t \times k} : \mathbf{d}^T \mathbf{B} = \mathbf{f}^T \text{ mod } q$	$\mathbf{c} \cdot \mathbf{1}_k := \mathbf{e}_L / \mathbf{f} \quad / \text{component-wise inversion}$
return $(\mathbf{B}, \text{td} = (\mathbf{B}, \mathbf{f}, \mathbf{d}))$	$p \xleftarrow{\$} \mathcal{D}_{\mathcal{R}, \mathbf{s}, \mathbf{c}}$
	return $\tilde{\mathbf{r}}^T := \mathbf{r}^T + p \cdot \mathbf{d}^T \text{ mod } q$

- ▶ \mathbf{B} equivocal:
 - ▶ \mathbf{f} is short vector in $\Lambda_q(\mathbf{B}) \implies \mathcal{R}\text{-span of } \mathbf{f}$ is dense sublattice
 - ▶ \mathbf{B} Pseudorandom with Leakage: proof under NTRU assumption
- ▶ $\tilde{\mathbf{r}}^T \mathbf{B} \text{ mod } q \approx \text{Gaussian over } \Lambda_q(\mathbf{B}) \text{ centered at } \mathbf{c} \text{ mod } q$: statistical proof

Putting together: XiO Construction

- ▶ $\text{Obf}(\Gamma) \rightarrow \tilde{\Gamma} = (\text{ctxt}, \mathbf{B}, \mathbf{C}, ?)$:
 - ▶ FHE **ctxt** of Γ ; $\text{sk} = \mathbf{s}$
 - ▶ **B**: random matrix
 - ▶ **C** = **R****B** + **E** + $\text{Encode}(\mathbf{s}) \bmod q$
 - ▶ $\hat{\mathbf{R}} = \mathbf{L}\mathbf{R} \bmod q$, thus $\mathbf{LC} \approx \hat{\mathbf{R}}\mathbf{B} + \text{Encode}(\mathbf{Y}) \bmod q$

Putting together: XiO Construction

- ▶ $\text{Obf}(\Gamma) \rightarrow \tilde{\Gamma} = (\text{ctxt}, \mathbf{B}, \mathbf{C}, \tilde{\mathbf{R}})$:
 - ▶ FHE ctxt of Γ ; $\text{sk} = \mathbf{s}$
 - ▶ \mathbf{B} : Equivocal, sampled by pTrapGen
 - ▶ $\mathbf{C} = \mathbf{RB} + \mathbf{E} + \text{Encode}(\mathbf{s}) \bmod q$
 - ▶ $\hat{\mathbf{R}} = \mathbf{LR} \bmod q$, thus $\mathbf{LC} \approx \hat{\mathbf{RB}} + \text{Encode}(\mathbf{Y}) \bmod q$
 - ▶ Sample random $\tilde{\mathbf{R}}$ s.t. $\mathbf{LC} \approx \tilde{\mathbf{RB}} + \text{Encode}(\mathbf{Y}) \bmod q$ by Equivocate
- ▶ $\text{Eval}(\tilde{\Gamma}, x)$: Re-derive \mathbf{LC} from $(\text{ctxt}, \mathbf{C})$, obtain truth table $\text{Decode}(\mathbf{LC} - \tilde{\mathbf{RB}} \bmod q) = \mathbf{Y}$

Putting together: XiO Construction

- ▶ $\text{Obf}(\Gamma) \rightarrow \tilde{\Gamma} = (\text{ctxt}, \mathbf{B}, \mathbf{C}, \tilde{\mathbf{R}})$:
 - ▶ FHE ctxt of Γ ; $\text{sk} = \mathbf{s}$
 - ▶ \mathbf{B} : Equivocal, sampled by pTrapGen
 - ▶ $\mathbf{C} = \mathbf{RB} + \mathbf{E} + \text{Encode}(\mathbf{s}) \bmod q$
 - ▶ $\hat{\mathbf{R}} = \mathbf{LR} \bmod q$, thus $\mathbf{LC} \approx \hat{\mathbf{RB}} + \text{Encode}(\mathbf{Y}) \bmod q$
 - ▶ Sample random $\tilde{\mathbf{R}}$ s.t. $\mathbf{LC} \approx \tilde{\mathbf{RB}} + \text{Encode}(\mathbf{Y}) \bmod q$ by Equivocate
- ▶ $\text{Eval}(\tilde{\Gamma}, x)$: Re-derive \mathbf{LC} from $(\text{ctxt}, \mathbf{C})$, obtain truth table $\text{Decode}(\mathbf{LC} - \tilde{\mathbf{RB}} \bmod q) = \mathbf{Y}$
- ▶ Security: Equivocal LWE assumption
 - ▶ Based on equivocal distribution \mathcal{E}
 - ▶ Non-interactive ✓; independent of circuit to be obfuscated ✓; no random oracle ✓
 - ▶ Hint $\tilde{\mathbf{RB}} \bmod q \sim \text{Gaussian}$ with public description ✓
 - ▶ Cryptanalysis on assumption: see paper

Summary

- ▶ Equivocal Distribution & Primal Lattice Trapdoor
- ▶ Trapdoor construction from NTRU
- ▶ Above + Equivocal LWE assumption \implies XiO
- ▶ ia.cr/2025/1129

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Thank You!

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