

# WORST AND AVERAGE CASE HARDNESS OF DECODING VIA SMOOTHING BOUNDS

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## Code-Based Cryptography:

Hardness of decoding a random code

→ **Average**-case problem!

## Self-reducibility:

Is decoding in average (random code) as hard as decoding **all** codes?

→ **Worst-to-average case reduction**

- [BLVW19]: *Worst-case hardness for LPN and cryptographic hashing via code smoothing*, Eurocrypt '19
- [YZ21]: *Smoothing out binary linear codes and worst-case sub-exponential hardness for LPN*, CRYPTO '21

→ Both papers rely on **smoothing bounds**

- ▶ We developed general smoothing bounds to offer a greater degree of freedom when compared to [\[BLVW19,YZ21\]](#)
- ▶ We showed some inherent limitation of the worst-to-average case reduction [\[BLVW19,YZ21\]](#)
- ▶ We failed to improved parameters of [\[BLVW19,YZ21\]](#) by relying on stronger upper bounds

## DECODING A RANDOM CODE

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**(Binary linear) code:**

A  $[n, k]$ -code  $\mathcal{C}$  is a subspace of  $\mathbb{F}_2^n$   
 $n$  : length     $k$  : dimension

- Basis/Generator matrix rep.: rows of  $\mathbf{A} \in \mathbb{F}_2^{k \times n}$  form a basis,

$$\mathcal{C} = \{ \mathbf{sA} : \mathbf{s} \in \mathbb{F}_2^k \}$$

- Knapsack/Parity-check rep.:  $\mathcal{C}$  as null space of a full-rank  $\mathbf{H} \in \mathbb{F}_2^{(n-k) \times n}$ ,

$$\mathcal{C} = \{ \mathbf{c} \in \mathbb{F}_2^n : \mathbf{Hc}^T = \mathbf{0} \}$$

**Hamming weight:**

$$\forall \mathbf{x} \in \mathbb{F}_2^n, \quad |\mathbf{x}| \stackrel{\text{def}}{=} \{i \in [1, n] : x_i \neq 0\}$$

- $X \leftarrow \mathcal{S}$ :  $X$  picked uniformly at random in  $\mathcal{S}$
- $\mathbf{e} \leftarrow \text{Ber}(p)^{\otimes n}$ : the  $e_i$ 's are **independent** and  $\mathbb{P}(e_i = x) = \begin{cases} 1-p & \text{if } x = 0 \\ p & \text{if } x = 1 \end{cases}$

$\text{Ber}(p)^{\otimes n}$  concentrates over words of Hamming weight  $\approx np$

# AVERAGE DECODING PROBLEM (PRIMAL REPRESENTATION)

## DP( $n, k, t$ ) primal rep.

- **Input:**  $(\mathbf{A}, \mathbf{y} \stackrel{\text{def}}{=} \mathbf{sA} + \mathbf{e})$  where  $\mathbf{A} \leftarrow \mathbb{F}_2^{k \times n}$ ,  $\mathbf{s} \leftarrow \mathbb{F}_2^k$  and  $\mathbf{e} \leftarrow \text{Ber}(t/n)^{\otimes n}$
- **Aim:** recover  $\mathbf{s} \in \mathbb{F}_2^k$

Equivalent formulation:

- ▶ Syndrome decoding problem: given  $\mathbf{H}$  and  $\mathbf{He}^\top$  recover  $\mathbf{e} \dots$

Learning Parity with Noise (LPN): easier than DP( $n, k, t$ )

- ▶  $n$  (number of samples) can be chosen as large as we want



## WORST TO AVERAGE CASE REDUCTION

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We are given a fixed instance

$(G, xG + r)$  where the Hamming weight of  $r$  is  $w$

and we want to recover  $r$ .

**But**, we have an algorithm  $\mathcal{A}$  solving DP with probability  $\varepsilon$

(it does not solve for any  $A, s, e$ )

$$\mathbb{P}_{A,s,e} (\mathcal{A}(A, sA + e) = e) = \varepsilon$$

$$\langle \mathbf{a}, \mathbf{b} \rangle \stackrel{\text{def}}{=} \sum a_i b_i$$

Key-idea:

From  $(\mathbf{G}, \mathbf{y} \stackrel{\text{def}}{=} \mathbf{xG} + \mathbf{r})$ , build a “uniform” instance that will be fed to  $\mathcal{A}$

1.  $\mathbf{e} \leftarrow \mathcal{D}$  (distribution **which can be chosen as we want**)
2. Compute,

$$\langle \mathbf{y}, \mathbf{e} \rangle = \langle \mathbf{xG}, \mathbf{e} \rangle + \langle \mathbf{r}, \mathbf{e} \rangle = \underbrace{\langle \mathbf{x}, \mathbf{eG}^\top \rangle}_{\text{secret}} + \underbrace{\langle \mathbf{r}, \mathbf{e} \rangle}_{\text{noise}}$$

To build a truly Decoding instance:

- ▶ We would like  $\mathbf{eG}^\top$  “very **close** to uniform”
- ▶ Need to analyze noise distribution  $e = \langle \mathbf{r}, \mathbf{e} \rangle$  (the easy part)

# CONTRADICTIONARY REQUIREMENTS

$$\langle y, e \rangle = \langle xG, e \rangle + \langle r, e \rangle = \underbrace{\langle x, eG^T \rangle}_{\text{secret}} + \underbrace{\langle r, e \rangle}_{\text{noise}}$$

→ We want  $eG^T$  “very **close** to uniform”

A first approach:

Choose each bit of  $e$  with probability  $1/2$ , then  $eG^T$  is uniform

**But**, doing this is useless:  $\langle r, e \rangle$  will be a uniform noise. . .

**Therefore, impossible to solve**  $\left( eG^T, \underbrace{\langle x, eG^T \rangle}_{\text{noise}} + \underbrace{\langle r, e \rangle}_{\text{noise}} \right)$

→ We need to carefully choose the noise!

### Statistical distance:

Given two random variables  $X, Y$  with distributions  $f, g$ ,


$$\Delta(X, Y) = \Delta(f, g) = \frac{1}{2} \sum_x |f(x) - g(x)|$$

*If an algorithm succeeds with inputs  $X$  and probability  $\epsilon$ , then it succeeds given  $Y$  with probability  $\geq \epsilon - \Delta(X, Y)$*

1. We want the following to be small:

$$\alpha \stackrel{\text{def}}{=} \Delta \left( (\mathbf{e}\mathbf{G}^\top, \langle \mathbf{x}, \mathbf{e}\mathbf{G}^\top \rangle + \langle \mathbf{r}, \mathbf{e} \rangle), \left( \underbrace{\mathbf{a}}_{\text{uniform}}, \langle \mathbf{x}, \mathbf{a} \rangle + \underbrace{e}_{\text{same distrib as } \langle \mathbf{r}, \mathbf{e} \rangle} \right) \right)$$

*True Random decoding sample*



2. Then we feed  $(\mathbf{e}\mathbf{G}^\top, \langle \mathbf{x}, \mathbf{e}\mathbf{G}^\top \rangle + \langle \mathbf{r}, \mathbf{e} \rangle)$  to the Decoding-solver  $\mathcal{A}$  with prob.  $\varepsilon$
3. If we give  $n$  samples to  $\mathcal{A}$ , it will recover  $\mathbf{x}$  with prob.  $\geq \varepsilon - n\alpha$

## A simplification for the talk:

We will target  $\Delta \left( \mathbf{e}\mathbf{G}^\top, \underbrace{\mathbf{a}}_{\text{uniform}} \right)$  to be small when  $\mathbf{G}$  is fixed but  $\mathbf{e}$  random variable

$$\text{Aim: } \Delta \left( \mathbf{eG}^T, \underbrace{\mathbf{a}}_{\text{uniform}} \right) \text{ small}$$

Which object is  $\mathbf{eG}^T$ ?

→ Let us take the code  $\mathcal{C} \subseteq \mathbb{F}_2^n$  point of view

$$\mathcal{C} = \left\{ \mathbf{c} : \mathbf{cG}^T = \mathbf{0} \right\}$$

$\mathbf{eG}^T$  defines a coset of  $\mathcal{C}$

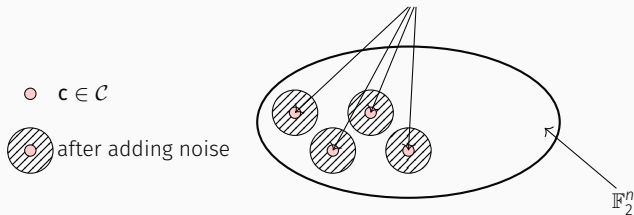
**Primal representation:**

$\mathbf{eG}^T$  uniform  $\iff$  uniform in  $\mathbb{F}_2^n / \mathcal{C}$ , i.e. uniform modulo  $\mathcal{C}$

$\mathbf{eG}^T$  uniform for  $\mathbf{e} \leftarrow \mathcal{D} \iff \mathbf{c} + \mathbf{e}$  uniform in  $\mathbb{F}_2^n$  where  $\mathbf{c} \leftarrow \mathcal{C}$  and  $\mathbf{e} \leftarrow \mathcal{D}$

$\mathbf{c} + \mathbf{e}$  uniform in  $\mathbb{F}_2^n$  where  $\mathbf{c} \leftarrow \mathcal{C}$  and  $\mathbf{e} \leftarrow \mathcal{D}$

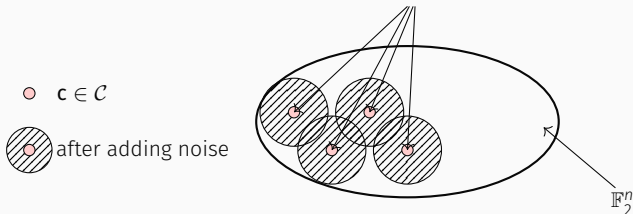
Starting from codewords and adding noise





$\mathbf{c} + \mathbf{e}$  uniform in  $\mathbb{F}_2^n$  where  $\mathbf{c} \leftarrow \mathcal{C}$  and  $\mathbf{e} \leftarrow \mathcal{D}$

Starting from codewords and adding noise



Optimal ball radius: Gilbert-Varshamov radius

$t_{\text{GV}}$  : smallest  $t$  such that  $\binom{n}{t} \cdot \#\mathcal{C} \geq 2^n = \#\mathbb{F}_2^n$

## SMOOTHING PARAMETER

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## Notation:

- unif: uniform distribution of  $\mathbb{F}_2^n$
- $1_{\mathcal{C}}$ : indicator function of  $\mathcal{C} = \{\mathbf{c} : \mathbf{c}\mathbf{G}^T = \mathbf{0}\}$
- Convolution,  $f \star g(\mathbf{x}) \stackrel{\text{def}}{=} \sum_{\mathbf{y} \in \mathbb{F}_2^n} f(\mathbf{y})g(\mathbf{x} - \mathbf{y})$

If  $\mathbf{X} \leftarrow f$  and  $\mathbf{Y} \leftarrow g$  are independent, then  $\mathbf{X} + \mathbf{Y} \leftarrow f \star g$

## Our upper bound:

$$\Delta\left(\frac{1_{\mathcal{C}}}{\#\mathcal{C}} \star f, \text{unif}\right) \leq \sqrt{2^n \sum_{u>0} N_u(\mathcal{C}^\perp) |\hat{f}(u)|^2}$$

where  $\mathcal{C}^\perp$  dual code and  $N_u(\mathcal{C}^\perp) = \#\{\mathbf{c}^\perp \in \mathcal{C}^\perp : |\mathbf{c}^\perp| = u\}$

(via Cauchy-Schwartz + Parseval)

Our dream:

If  $f$  concentrates over words of Hamming weight  $t$ , then

$\sqrt{2^n \sum_{u>0} N_u(\mathcal{C}^\perp) |\hat{f}(u)|^2}$  is negligible as soon as  $t \geq t_{\text{GV}}$

→ Optimal smoothing noise!

Upper bound in average:

$$\mathbb{E}_{\mathcal{C}^\perp} \left( \sqrt{2^n \sum_{u>0} N_u(\mathcal{C}^\perp) |\hat{f}(u)|^2} \right) \leq \sqrt{2^n \sum_{u>0} \frac{\binom{n}{u}}{2^k} |\hat{f}(u)|^2}$$

→ This “average” upper bound is only function of  $f$

- Choose  $f$  as  $\text{Ber}(t/n)^{\otimes n}$ , then our bound is negligible when

$$t \geq \frac{n}{2} \left(1 - \sqrt{2^{k/n} - 1}\right) \gg t_{\text{GV}}$$

- Choose  $f$  as uniform distribution over sphere with radius  $t$ , then our bound is negligible when

$$t \geq t_{\text{GV}}$$

### Conclusion:

Our bound enables optimal smoothing noise

We need to obtain a bound for a fixed  $\mathcal{C}$ , but how to upper-bound  $N_u(\mathcal{C}^\perp)$ ?

→ We used the best known upper-bound (second linear programming bound)

### Failed attempt:

We obtained exactly the same constraint than already known smoothing bound using implicitly the trivial bound  $N_u(\mathcal{C}^\perp) \leq \#\mathcal{C}^\perp$

## CONCLUSION

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- ▶ The worst-to-average case reduction can now be instantiated with any distribution for smoothing and our bound enables optimal parameter choice
- ▶ The Bernoulli distribution is not a good choice (unless to use a truncated argument)
- ▶ The reduction has inherent limitation due to the constraint coming from the Gilbert-Varshamov radius