Efficient Verifiable Mixnets from Lattices, Revisited

Jonathan Bootle¹

Vadim Lyubashevsky¹

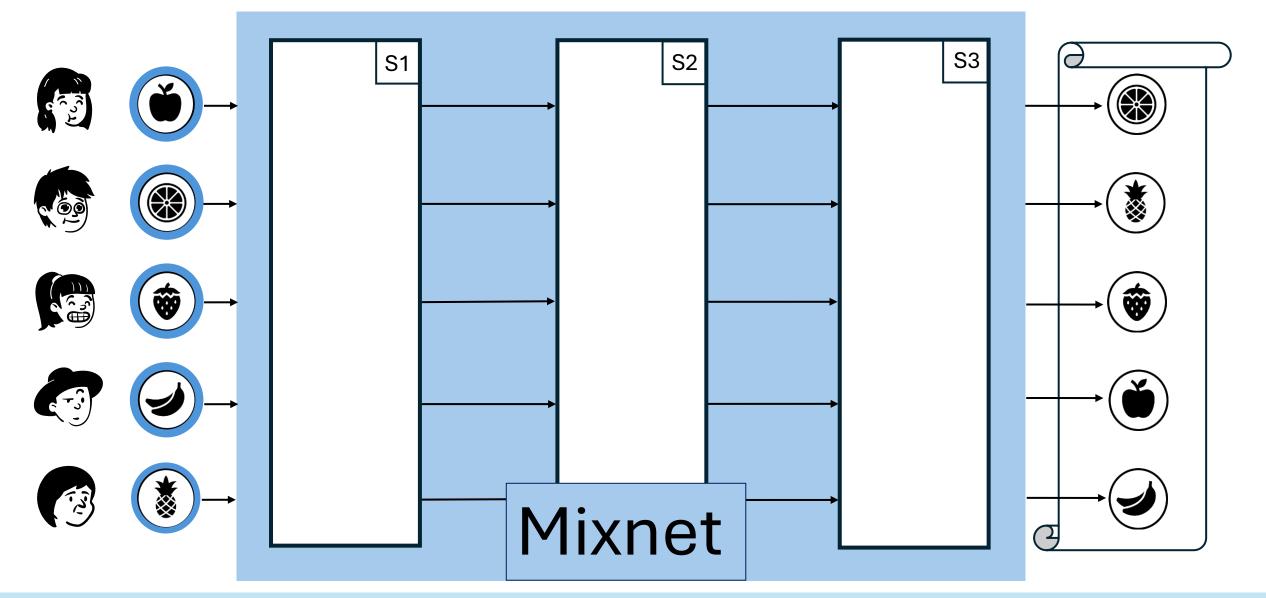
Antonio Merino-Gallardo^{1,2,3}

¹ IBM Research Europe – Zurich

² University of Potsdam, Germany

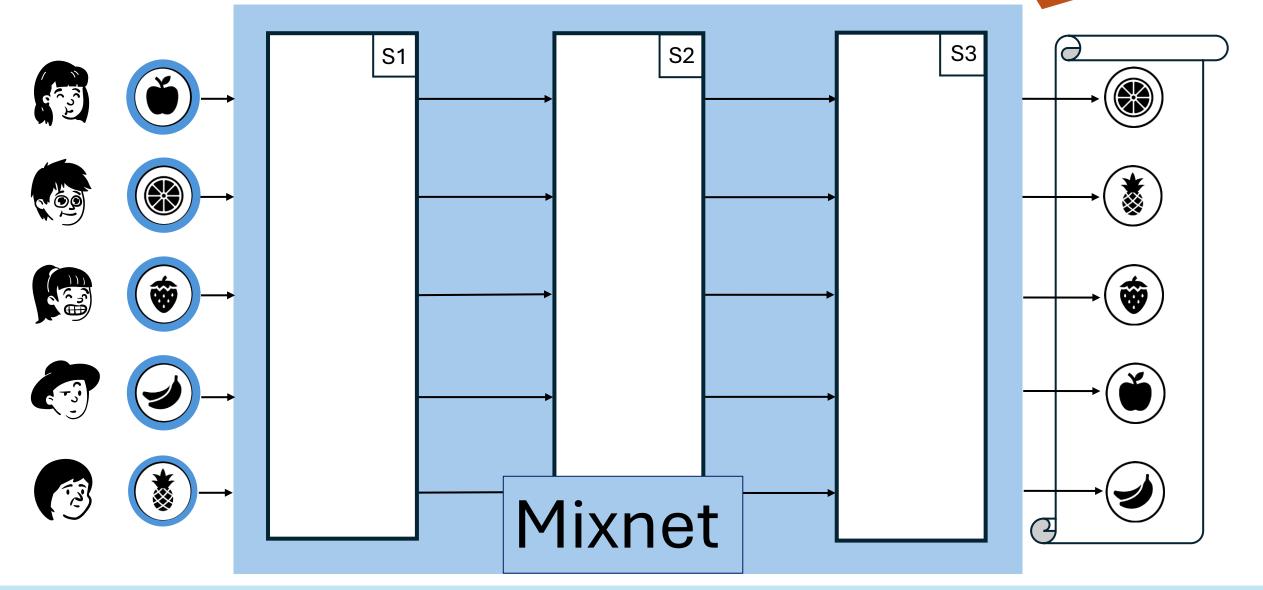
³ Work done partly while at ETH Zurich

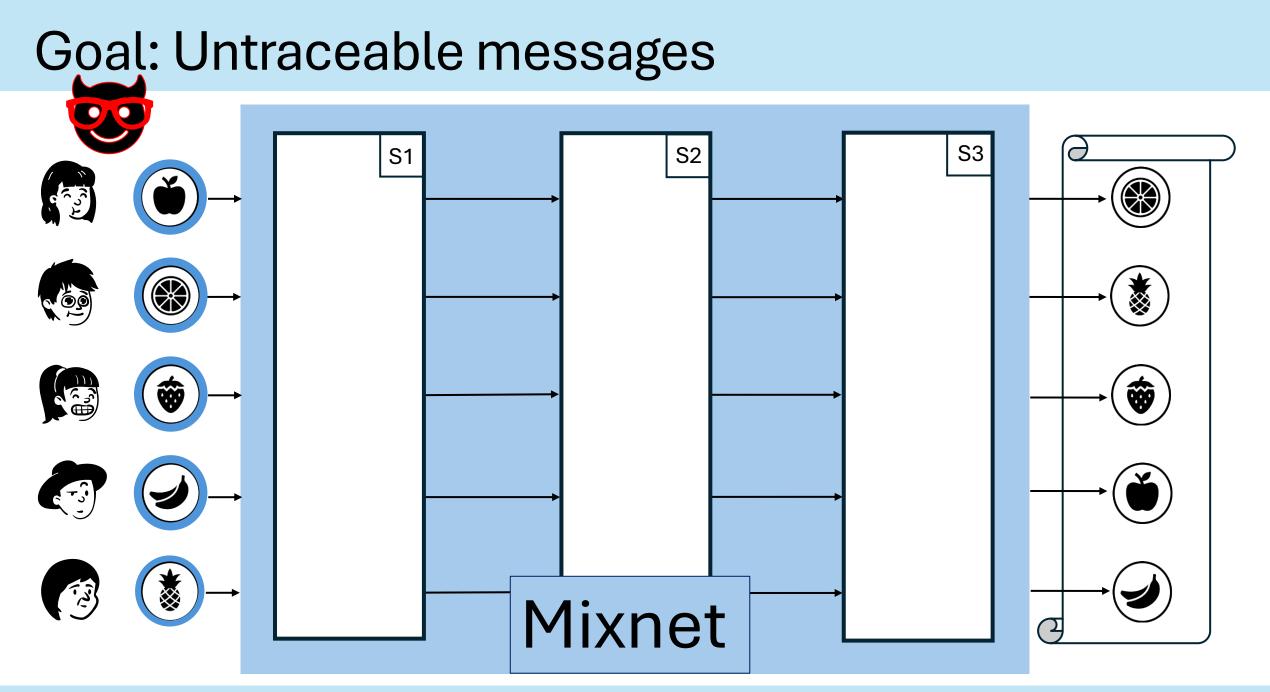
Goal: Untraceable messages

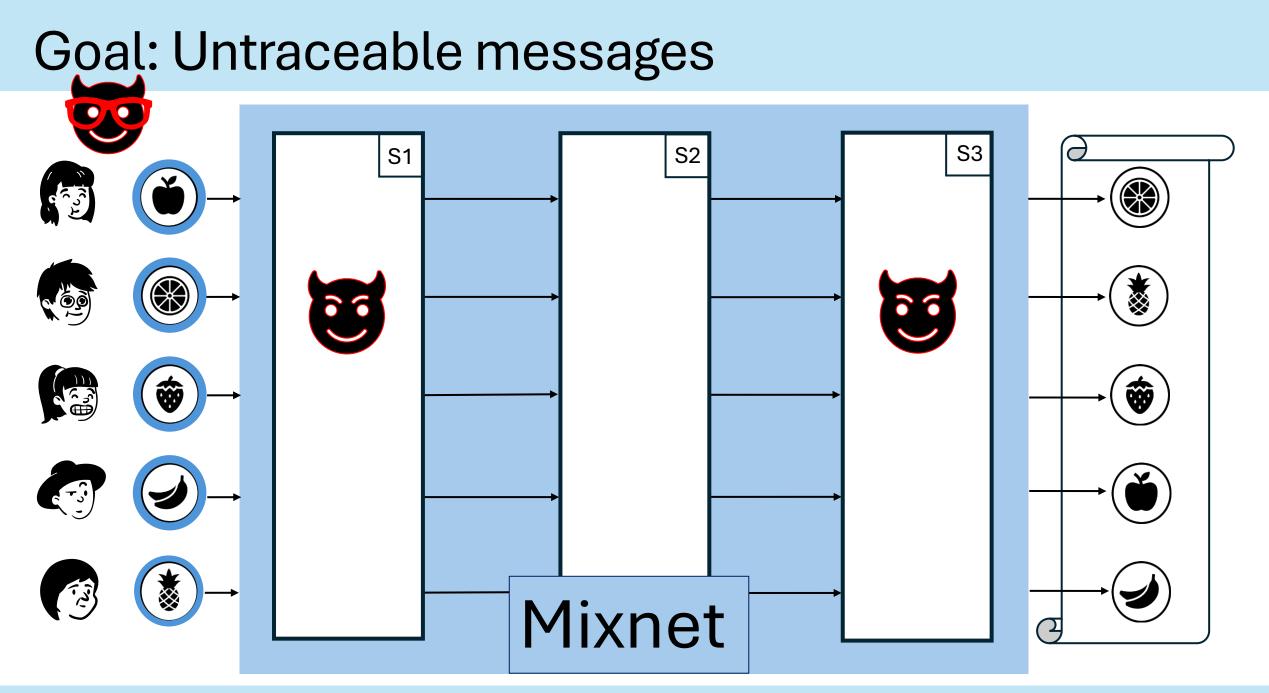


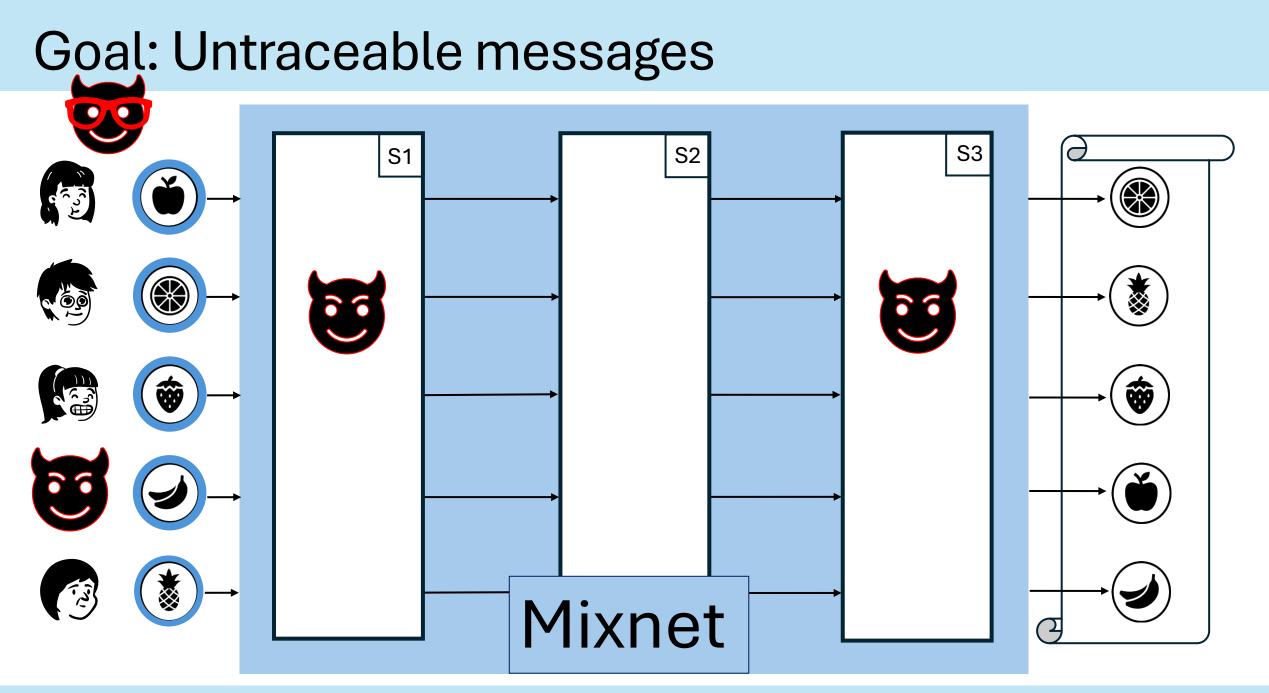
Goal: Untraceable messages

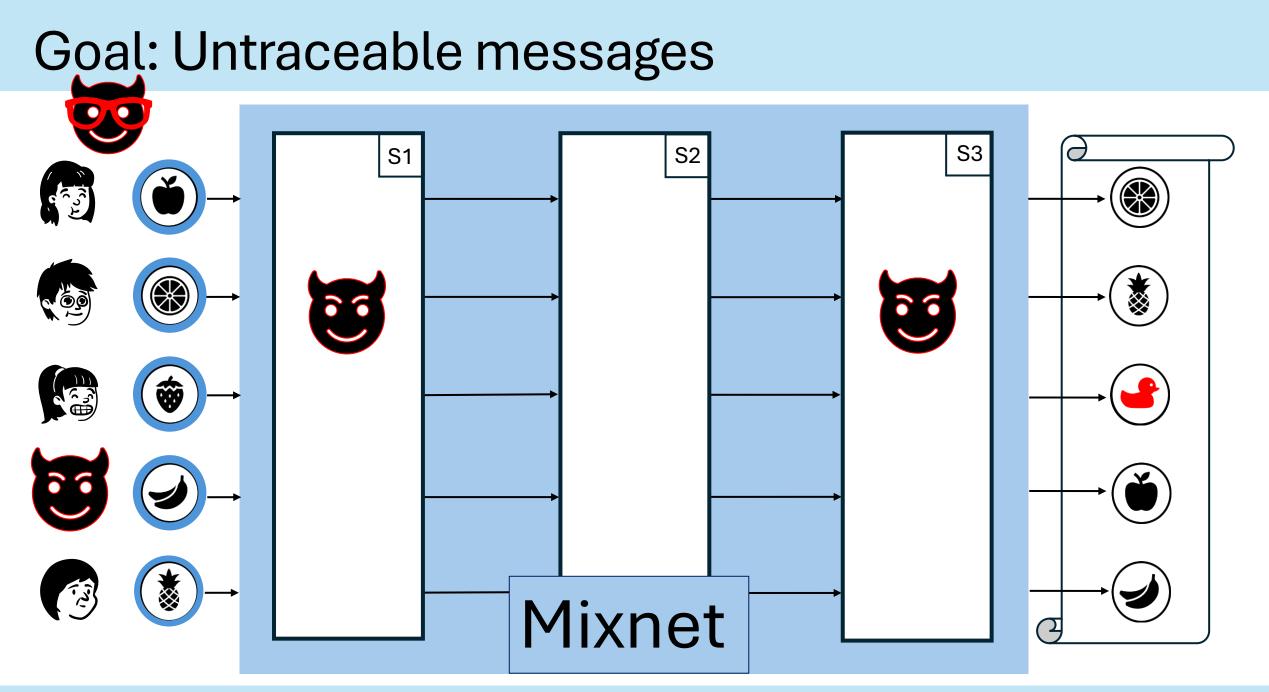
e.g., e-voting

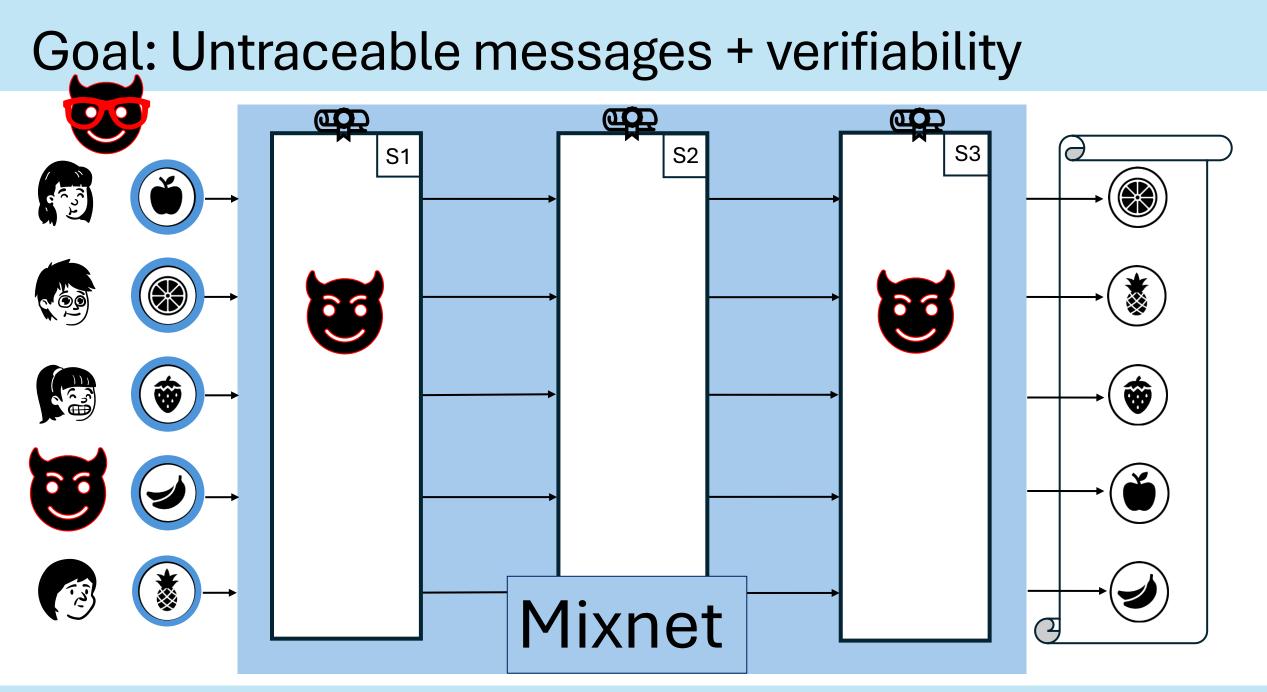








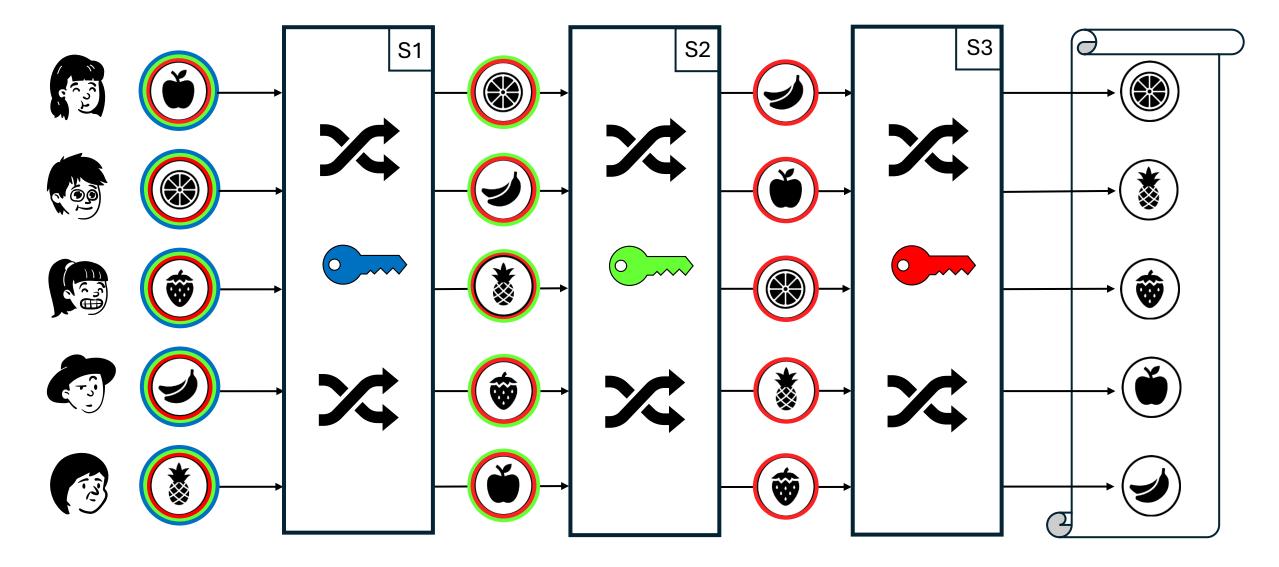




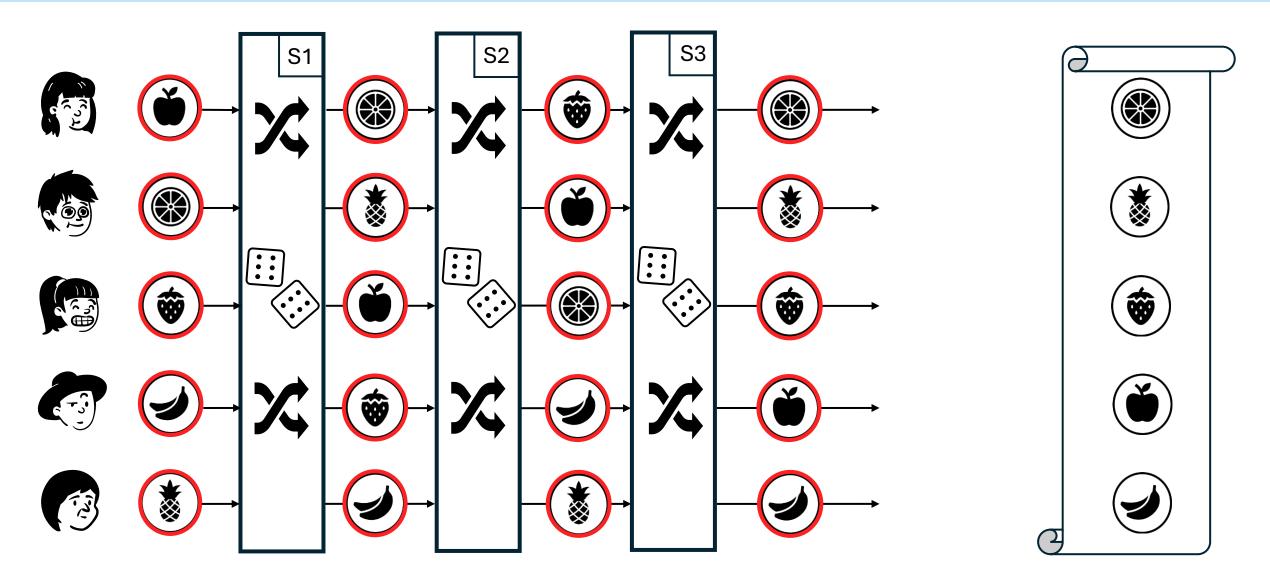
Goal: Untraceable messages + verifiability **ab** S3 S2 **S1** Ĩ لآب أ \otimes Mixnet

Decryption mixnet

[Cha81]

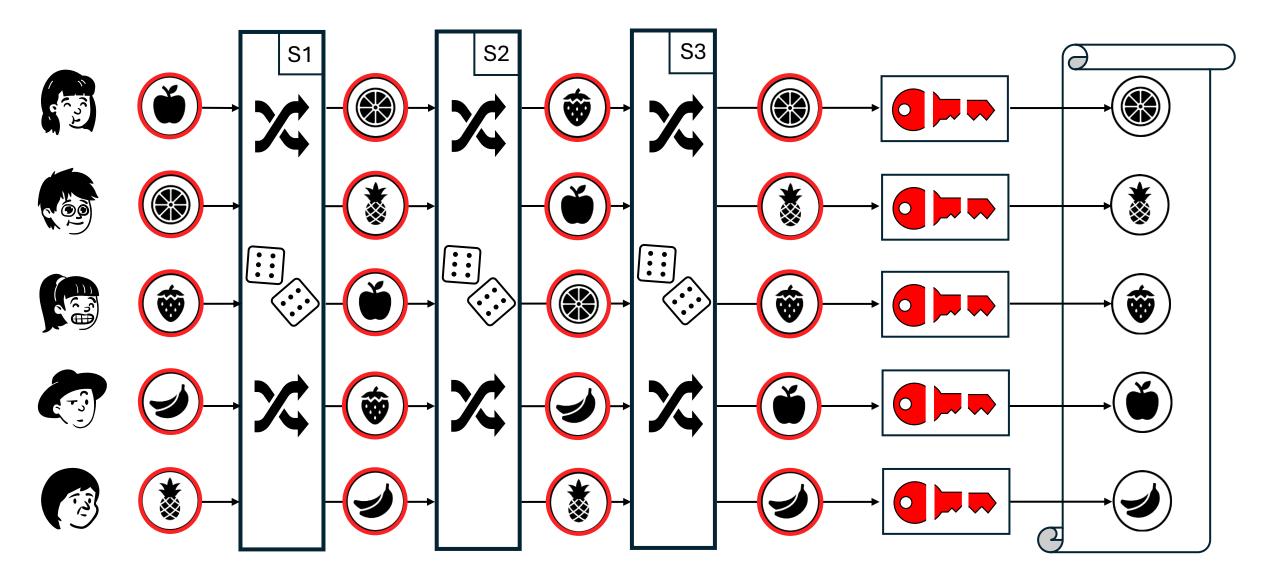


Re-encryption mixnet



[PIK94]

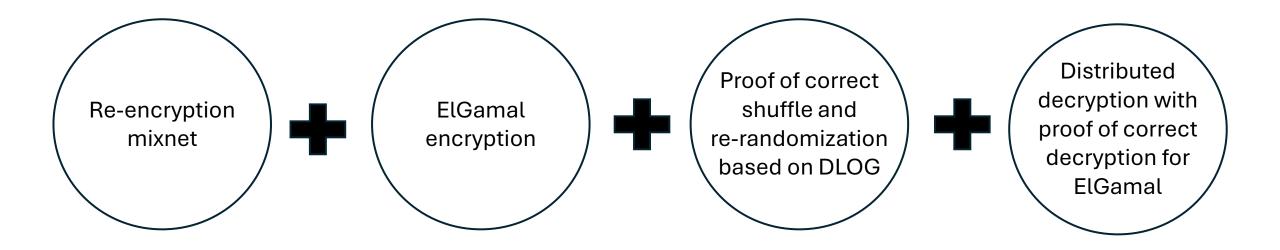
Re-encryption mixnet



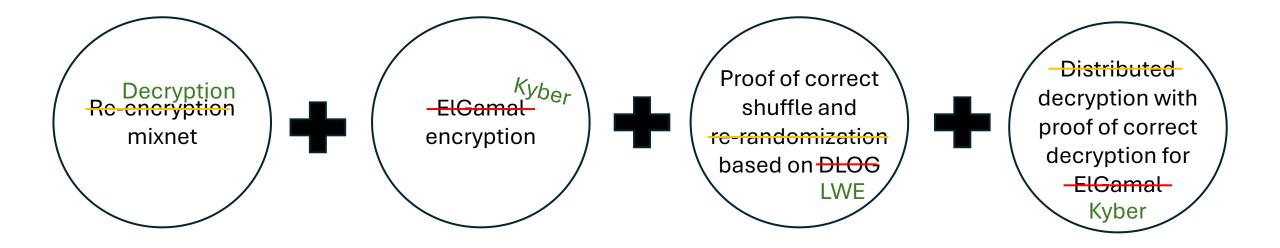
[PIK94]

What is the classical approach for constructing verifiable mixnets?

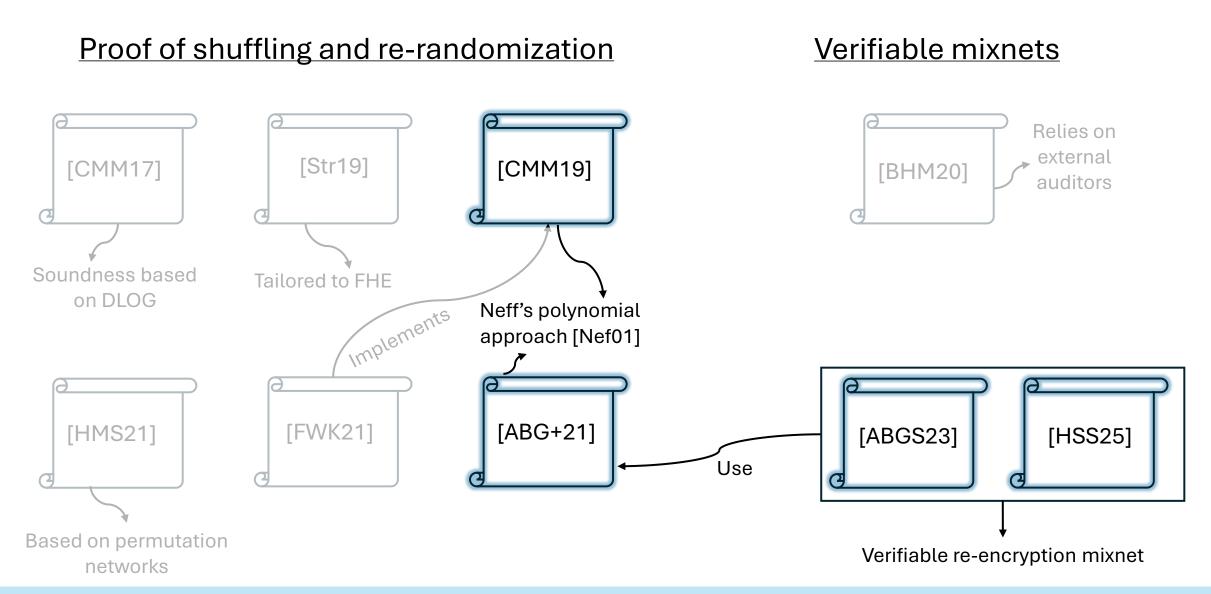
Classical mixnets



Classical mixnets Lattice-based



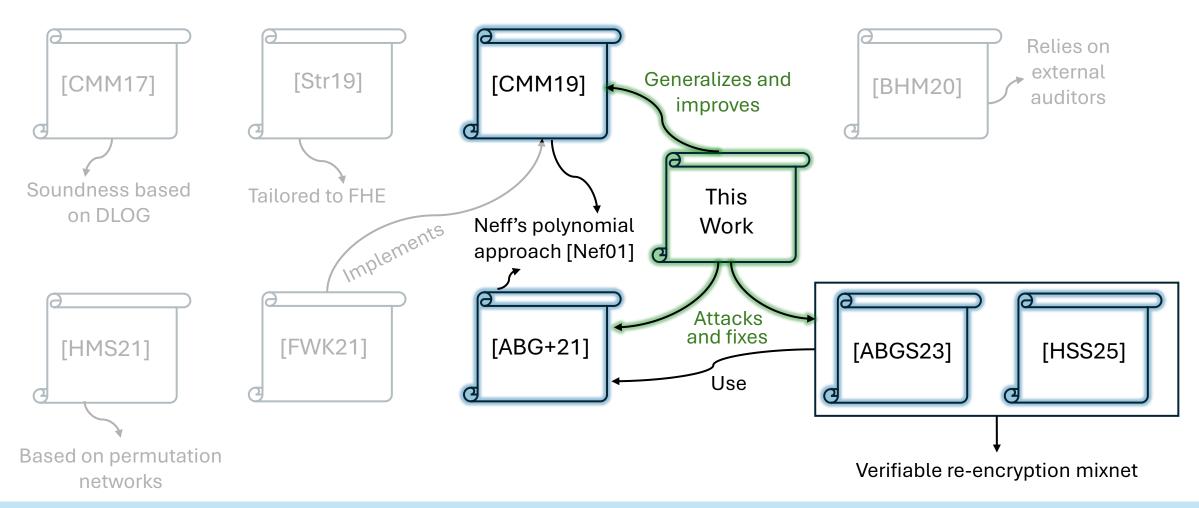
Prior work on lattice-based verifiable mixnets



Contributions

Proof of shuffling and re-randomization

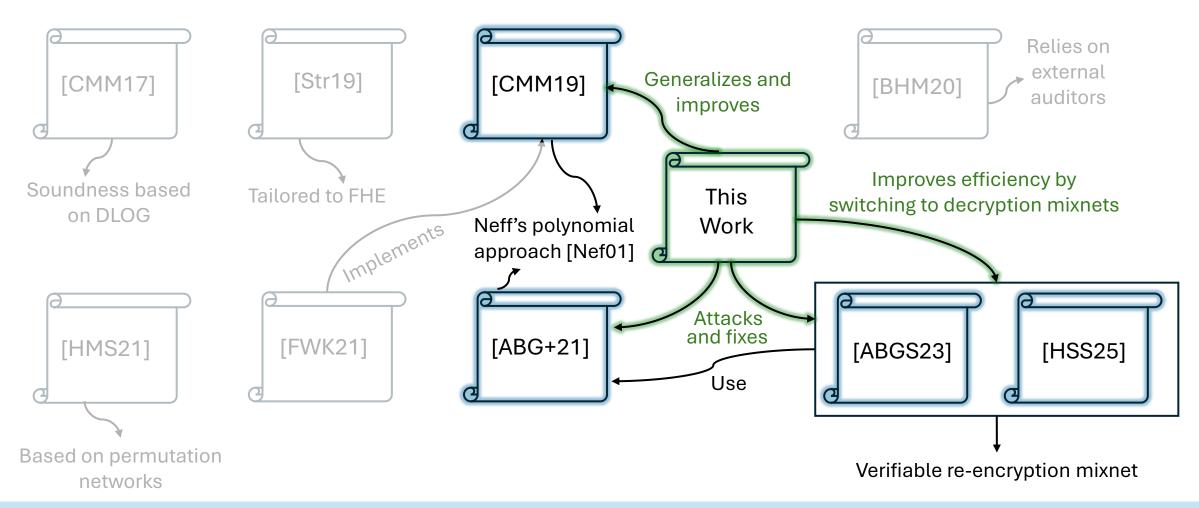
Verifiable mixnets



Contributions

Proof of shuffling and re-randomization

Verifiable mixnets



	Modulus q	Ciphertext size	Proof size (per user & server)	Total mixnet size (4 servers, per user)
[ABGS23]	$\approx 2^{78}$	80KB	290KB shuffle + 157KB decryption	2188KB
[HSS25]	$\approx 2^{59}$	15KB	115KB shuffle + 85KB decryption	875KB
This work	3301	6.5KB*	110KB*	467KB

*Average size for a mixnet with 4 layers.

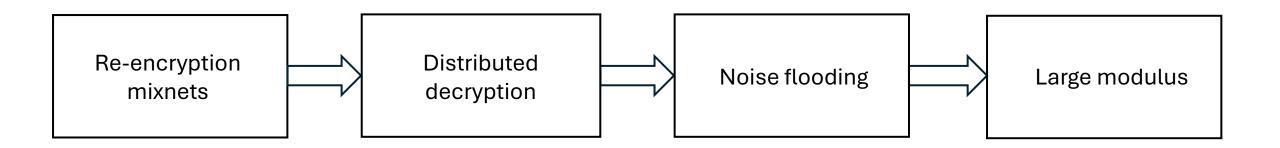
Contributions - Comparison

To be improved with succinct proofs

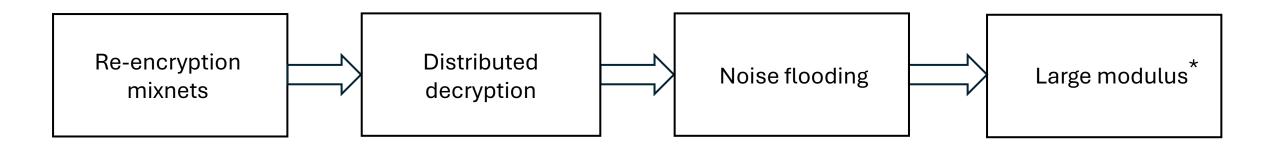
	Modulus q	Ciphertext size	Proof size (per user & server)	Total mixnet size (4 servers, per user)	
[ABGS23]	$\approx 2^{78}$	80KB	290KB shuffle + 157KB decryption	2188KB	
[HSS25]	≈ 2 ⁵⁹	15KB	115KB shuffle + 85KB decryption	875KB	
This work	3301	6.5KB*	110KB*	467KB	

*Average size for a mixnet with 4 layers.

The issue with lattice-based re-encryption mixnets



The issue with lattice-based re-encryption mixnets

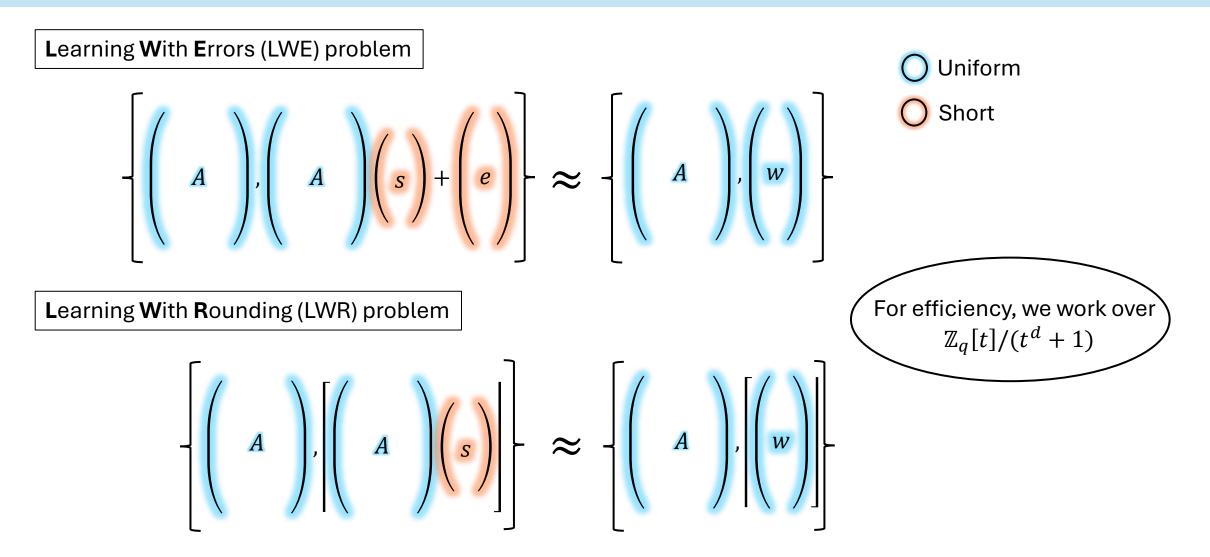


*[CSS+22, BS23, MS23] allow for polynomial modulus but with limitations:

- [CSS+22, BS23] are tailored to FHE.
- [MS23] assumes honestly distributed ciphertext noise.

Constructing a lattice-based decryption mixnet

Lattice hardness assumptions



How to perform the layered encryption?



Define Enc = KyberCPA. Enc

Attempt #1

 $\operatorname{Enc}_{pk_1}(\operatorname{Enc}_{pk_2}(m))$

How to perform the layered encryption?



```
Define Enc = KyberCPA. Enc
```

Attempt #1

```
\operatorname{Enc}_{pk_1}(\operatorname{Enc}_{pk_2}(m))
```

Really large ciphertext expansion!

How to perform the layered encryption?



Define Enc = KyberCPA. Enc

Attempt #2

$$\operatorname{Enc}_{pk_1}(k_1) \left\| \left(\operatorname{AES}_{k_1} \left(\operatorname{Enc}_{pk_2}(k_2) \right\| \operatorname{AES}_{k_2}(m) \right) \right) \right\|$$

• Linear ciphertext expansion \checkmark

How to perform the layered encryption?

Define Enc = KyberCPA. Enc

Attempt #2

Not ZKP-friendly: different algebras

$$\operatorname{Enc}_{pk_1}(k_1) \left\| \left(\operatorname{AES}_{k_1}\left(\operatorname{Enc}_{pk_2}(k_2) \right) \right\| \operatorname{AES}_{k_2}(m) \right) \right\|$$

- Linear ciphertext expansion \checkmark

How to perform the layered encryption?



Define Enc = KyberCPA. Enc

Attempt #3

$$\operatorname{Enc}_{pk_1}(k_1) \left\| \left(\left[A_1 \cdot k_1 \right] + \left(\operatorname{Enc}_{pk_2}(k_2) \right] \left(\left[A_2 \cdot k_2 \right] + m \right) \right) \right\|$$

- Linear ciphertext expansion
- Friendly to lattice proofs \checkmark

How to perform the layered encryption?

Define Enc = KyberCPA. Enc

Attempt #3

9KB

$$\int \operatorname{Enc}_{pk_1}(k_1) \left\| \left(\left[A_1 \cdot k_1 \right] + \left(\operatorname{Enc}_{pk_2}(k_2) \right] \right\| \left(\left[A_2 \cdot k_2 \right] + m \right) \right) \right)$$

- Linear ciphertext expansion
- Friendly to lattice proofs \checkmark

Need k_i large and e.g. ternary for LWR to be hard over Kyber ring (12-bit modulus)

<u>Goal</u>: make k_i as small as possible

How to perform the layered encryption?

Define Enc = KyberCPA. Enc

Attempt #4 🗸

$$2.3KB$$

$$Enc_{pk_1}(k_1) \left\| \left((A_1 \cdot \underbrace{s_1}_{1} + \underbrace{e_1}_{1}) + \left(Enc_{pk_2}(k_2) \right) \right\| \left((A_2 \cdot \underbrace{s_2}_{2} + \underbrace{e_2}_{2}) + m \right) \right) \right)$$
Derive from [A₁ · k₁]
Derive from [A₂ · k₂]

Solution: LWR over small ring with modulus e.g. 64

How to prove a shuffle?

[Neff01]

Lemma 4.1 Let \mathbb{F} be a field and $N \in \mathbb{N}$. Let $(a_1, \ldots, a_N), (b_1, \ldots, b_N) \in \mathbb{F}^N$. If

$$\prod_{i=1}^{N} (a_i - X) = \prod_{i=1}^{N} (b_i - X)$$
(4.1)

over $\mathbb{F}[X]$, then

 $(a_1,\ldots,a_N)\sim_P (b_1,\ldots,b_N).$

Proof of shuffle in rings?

[ABG+21]

 $R_q = \mathbb{Z}_q[t]/(t^d + 1)$ **Lemma 4.2** Let F be a field and $N \in \mathbb{N}$. Let $(a_1, \ldots, a_N), (b_1, \ldots, b_N) \in \mathbb{P}^N$. If

$$\prod_{i=1}^{N} (a_i - X) = \prod_{i=1}^{N} (b_i - X)$$
(4.1)

 $R_q^N[X]$ over $\mathbb{F}[X]$, then

 $(a_1,\ldots,a_N)\sim_P (b_1,\ldots,b_N).$

Proof of shuffle in rings?

[ABG+21]

 $R_q = \mathbb{Z}_q[t]/(t^d + 1)$ **Lemma 4.1** Let F be a field and $N \in \mathbb{N}$. Let $(a_1, \ldots, a_N), (b_1, \ldots, b_N) \in \mathbb{F}^N$. If

$$\prod_{i=1}^{N} (a_i - X) = \prod_{i=1}^{N} (b_i - X)$$

$$(4.1)$$
over $\mathbb{F}[X]$, then
$$(a_1, \dots, a_N) \sim_P (b_1, \dots, b_N).$$

- R_q is not a field for any choice of q. There is no unique factorization.
- Credits to Katerina Sotiraki for the observation that this approach does not work.

Proof of shuffle in rings?

[ABG+21]

 $R_q = \mathbb{Z}_q[t]/(t^d + 1)$ **Lemma 4.2** Let F be a field and $N \in \mathbb{N}$. Let $(a_1, \ldots, a_N), (b_1, \ldots, b_N) \in \mathbb{P}^N$. If

$$\prod_{i=1}^{N} (a_i - X) = \prod_{i=1}^{N} (b_i - X)$$
(4.1)

 $R_q^N[X]$ *over* $\mathbb{F}[X]$ *, then*

$$(a_1,\ldots,a_N)\sim_P (b_1,\ldots,b_N).$$



- R_q is not a field for any choice of q. There is no unique factorization.
- Credits to Katerina Sotiraki for the observation that this approach does not work.

Proof of shuffle in rings – This work

- $\mathfrak{R} \coloneqq \mathfrak{R}_1 \times \cdots \times \mathfrak{R}_k$, with \mathfrak{R}_i integral domains
- $D \subset \Re$ with invertible differences

- $g: \{1, \dots, N\} \to D$ injective
- $(a_1, \ldots, a_N), (b_1, \ldots, b_N) \in \Re^N$

Proof of shuffle in rings – This work

- $\mathfrak{R} \coloneqq \mathfrak{R}_1 \times \cdots \times \mathfrak{R}_k$, with \mathfrak{R}_i integral domains
- $D \subset \Re$ with invertible differences

• $g: \{1, \dots, N\} \to D$ injective

•
$$(a_1, \dots, a_N), (b_1, \dots, b_N) \in \Re^N$$

Lemma 4.2 If there exist $(\sigma_1, ..., \sigma_N) \in D^N$ such that

$$\prod_{i=1}^{N} (a_i + g(i) \cdot X_1 - X_2) = \prod_{i=1}^{N} (b_i + \sigma_i \cdot X_1 - X_2)$$

over $\Re[X_1, X_2]$, then

 $(a_1,\ldots,a_N)\sim_P (b_1,\ldots,b_N).$

Proof of shuffle in rings – This work

- $\mathfrak{R}\coloneqq\mathfrak{R}_1 imes\cdots imes\mathfrak{R}_k$, with \mathfrak{R}_i integral domains
- $D \subset \Re$ with invertible differences

• $g: \{1, \dots, N\} \to D$ injective

•
$$(a_1, \dots, a_N), (b_1, \dots, b_N) \in \Re^N$$

Lemma 4.2 If there exist $(\sigma_1, ..., \sigma_N) \in D^N$ such that

$$\prod_{i=1}^{N} (a_i + g(i) \cdot X_1 - X_2) = \prod_{i=1}^{N} (b_i + \sigma_i \cdot X_1 - X_2)$$

over $\Re[X_1, X_2]$, then

$$(a_1,\ldots,a_N) \sim_P (b_1,\ldots,b_N).$$

Generalization of [CMM19], whose product expression comes from [BG12].
 We use the product directly on the messages.

Future work and open questions

- Instantiate mixnets with succinct zero-knowledge proofs.
- Upgrade to IND-CCA security via the Naor-Yung paradigm.
- Analyze if recent techniques to achieve distributed decryption with polynomial modulus can be adapted to mixnets.

Thank you!

References

- [ABG⁺21] Diego F. Aranha, Carsten Baum, Kristian Gjøsteen, Tjerand Silde, and Thor Tunge. Latticebased proof of shuffle and applications to electronic voting. In Kenneth G. Paterson, editor, *CT-RSA 2021*, volume 12704 of *LNCS*, pages 227–251. Springer, Cham, May 2021.
- [ABGS23] Diego F. Aranha, Carsten Baum, Kristian Gjøsteen, and Tjerand Silde. Verifiable mix-nets and distributed decryption for voting from lattice-based assumptions. In *Proceedings of the* 2023 ACM SIGSAC Conference on Computer and Communications Security, CCS '23, page 1467–1481, New York, NY, USA, 2023. Association for Computing Machinery.
- [BG12] Stephanie Bayer and Jens Groth. Efficient zero-knowledge argument for correctness of a shuffle. In David Pointcheval and Thomas Johansson, editors, *EUROCRYPT 2012*, volume 7237 of *LNCS*, pages 263–280. Springer, Berlin, Heidelberg, April 2012.
- [BHM20] Xavier Boyen, Thomas Haines, and Johannes Müller. A verifiable and practical lattice-based decryption mix net with external auditing. In Liqun Chen, Ninghui Li, Kaitai Liang, and Steve A. Schneider, editors, ESORICS 2020, Part II, volume 12309 of LNCS, pages 336–356. Springer, Cham, September 2020.
- [BS23] Katharina Boudgoust and Peter Scholl. Simple threshold (fully homomorphic) encryption from LWE with polynomial modulus. In Jian Guo and Ron Steinfeld, editors, ASIACRYPT 2023, Part I, volume 14438 of LNCS, pages 371–404. Springer, Singapore, December 2023.
- [Cha81] David L. Chaum. Untraceable electronic mail, return addresses, and digital pseudonyms. Commun. ACM, 24(2):84–90, feb 1981.
- [CMM17] Nuria Costa, Ramiro Martínez, and Paz Morillo. Proof of a shuffle for lattice-based cryptography. In Helger Lipmaa, Aikaterini Mitrokotsa, and Raimundas Matulevičius, editors, NordSec 2017, volume 10674 of LNCS, pages 280–296, Cham, 2017. Springer.
- [CMM19] Núria Costa, Ramiro Martínez, and Paz Morillo. Lattice-based proof of a shuffle. In Andrea Bracciali, Jeremy Clark, Federico Pintore, Peter B. Rønne, and Massimiliano Sala, editors, FC 2019 Workshops, volume 11599 of LNCS, pages 330–346. Springer, Cham, February 2019.
- [CSS⁺22] Siddhartha Chowdhury, Sayani Sinha, Animesh Singh, Shubham Mishra, Chandan Chaudhary, Sikhar Patranabis, Pratyay Mukherjee, Ayantika Chatterjee, and Debdeep Mukhopadhyay. Efficient threshold FHE with application to real-time systems. Cryptology ePrint Archive, Report 2022/1625, 2022. https://eprint.iacr.org/2022/1625.

- [FWK21] Valeh Farzaliyev, Jan Willemson, and Jaan Kristjan Kaasik. Improved lattice-based mix-nets for electronic voting. In Jong Hwan Park and Seung-Hyun Seo, editors, *ICISC 21*, volume 13218 of *LNCS*, pages 119–136. Springer, Cham, December 2021.
- [HM20] Thomas Haines and Johannes Müller. SoK: Techniques for verifiable mix nets. In Limin Jia and Ralf Küsters, editors, CSF 2020 Computer Security Foundations Symposium, pages 49–64. IEEE Computer Society Press, 2020.
- [HMS21] Javier Herranz, Ramiro Martínez, and Manuel Sánchez. Shorter lattice-based zero-knowledge proofs for the correctness of a shuffle. In Matthew Bernhard, Andrea Bracciali, Lewis Gudgeon, Thomas Haines, Ariah Klages-Mundt, Shin'ichiro Matsuo, Daniel Perez, Massimiliano Sala, and Sam Werner, editors, FC 2021 Workshops, volume 12676 of LNCS, pages 315–329. Springer, Berlin, Heidelberg, March 2021.
- [HSS25] Patrick Hough, Caroline Sandsbråten, and Tjerand Silde. More efficient lattice-based electronic voting from NTRU. *IACR Communications in Cryptology*, 1(4), 2025.
- [MS23] Daniele Micciancio and Adam Suhl. Simulation-secure threshold PKE from LWE with polynomial modulus. Cryptology ePrint Archive, Paper 2023/1728, 2023.
- [Nef01] C. Andrew Neff. A verifiable secret shuffle and its application to e-voting. In Michael K. Reiter and Pierangela Samarati, editors, ACM CCS 2001, pages 116–125. ACM Press, November 2001.
- [PIK94] Choonsik Park, Kazutomo Itoh, and Kaoru Kurosawa. Efficient anonymous channel and all/nothing election scheme. In Tor Helleseth, editor, EUROCRYPT'93, volume 765 of LNCS, pages 248–259. Springer, Berlin, Heidelberg, May 1994.
- [Str19] Martin Strand. A verifiable shuffle for the GSW cryptosystem. In Aviv Zohar, Ittay Eyal, Vanessa Teague, Jeremy Clark, Andrea Bracciali, Federico Pintore, and Massimiliano Sala, editors, FC 2018 Workshops, volume 10958 of LNCS, pages 165–180. Springer, Berlin, Heidelberg, March 2019.

Extra: Proof of shuffle from [ABG+21] - Attack

- Suppose $R_q \cong \mathbb{Z}_q[t]/p_1 \times \mathbb{Z}_q[t]/p_2$
- Let $a_1, a_2 \in R_q$ and denote

• Then in general $(a_1, a_2) \not\sim_P (b_1, b_2)$ but

$$\begin{bmatrix} a_1 \equiv (a_{11}, a_{12}) \\ a_2 \equiv (a_{21}, a_{22}) \end{bmatrix}$$

$$(a_1 - X)(a_2 - X) = (b_1 - X)(b_2 - X)$$

 $b_2 \equiv (a_{21}, a_{12})$

• We construct $\mathbf{b}_1, \mathbf{b}_2 \in R_q$ as

$$\begin{bmatrix}
b_1 \equiv (a_{11}, a_{22}) \\
b_2 \equiv (a_{21}, a_{12})
\end{bmatrix}$$