

# EXTERNAL ENCODINGS DO NOT PREVENT TRANSIENT FAULT ANALYSIS

Christophe Clavier

Gemalto, Security Labs

CHES 2007 – Vienna - September 12, 2007

## 1 INTRODUCTION

- Motivation
- Externally encoded DES
- Assumptions

## 2 DESCRIPTION OF THE ATTACK

- The principle
- The basic attack
- An improved version
- Classical counter-measures

## 3 CONCLUSION

- Lessons
- Open problems

# OUTLINE

## 1 INTRODUCTION

- Motivation
- Externally encoded DES
- Assumptions

## 2 DESCRIPTION OF THE ATTACK

- The principle
- The basic attack
- An improved version
- Classical counter-measures

## 3 CONCLUSION

- Lessons
- Open problems

## THE QUESTION

Is it possible to reveal the secret key of an **unknown** algorithm by means of transient fault analysis?

## THE QUESTION

Is it possible to reveal the secret key of an **unknown** algorithm by means of transient fault analysis?

Any known **transient fault analysis** on a cryptographic algorithm requires the **knowledge** of either the **input** or the **output**:

## THE QUESTION

Is it possible to reveal the secret key of an **unknown** algorithm by means of transient fault analysis?

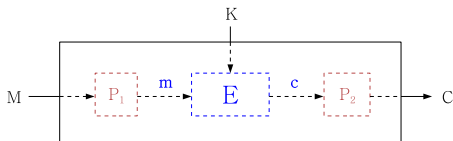
Any known **transient fault analysis** on a cryptographic algorithm requires the **knowledge** of either the **input** or the **output**:

- *Differential Fault Analysis*, DFA (Biham and Shamir, CRYPTO '97)
  - Exploits paires  $(c, \tilde{c})$  of normal and faulty ciphertexts
  - Requires the **knowledge** of the **output**
- *Collision Fault Analysis*, CFA (Hemme, CHES '04)
  - Given a faulty ciphertext  $\tilde{c}$  corresponding to some input  $m$ , try to find **another** input  $m^*$  encrypting to the same output
  - Requires the **knowledge** (even the control) of the **input**

# MOTIVATION

## A POSSIBLE DESIGN

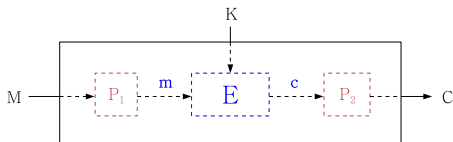
A way to design a secret (proprietary) block cipher could be to enclose a public and well studied ciphering function  $E$  (DES, AES, ...) between two secret external encodings



# MOTIVATION

## A POSSIBLE DESIGN

A way to design a secret (proprietary) block cipher could be to enclose a public and well studied ciphering function  $E$  (DES, AES, ...) between two secret external encodings



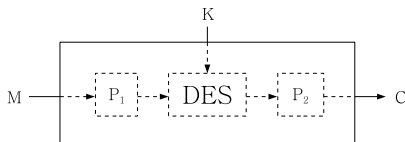
- $P_1$  and  $P_2$  are two secret and deterministic one-to-one mappings.
- The design inherits its cryptographic strength from the core function  $E$ .
- Fault analysis should be prevented by the obfuscation layers  $P_1$  and  $P_2$  which conceal inputs  $m$  and outputs  $c$  of cipher  $E$  from the attacker.



# THE CASE OF OBFUSCATED DES

## THIS PAPER

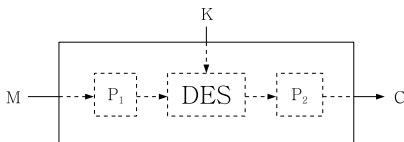
An externally encoded DES is **not secure** against transient fault analysis.



# THE CASE OF OBFUSCATED DES

## THIS PAPER

An externally encoded DES is **not secure** against transient fault analysis.



The hereafter described attack allows to recover the DES key **without any knowledge** about  $P_1$  and  $P_2$ .

- Also applies to obfuscated **Triple-DES**
- Practically **relevant** if such constructions **actually** exist

# ASSUMPTIONS FOR THE ATTACK TO WORK

## FAULT MODEL

Faulting on a XOR instruction results in a fixed and known output (assumed to be 0 in the sequel) whatever the inputs are.

# ASSUMPTIONS FOR THE ATTACK TO WORK

## FAULT MODEL

Faulting on a XOR instruction results in a fixed and known output (assumed to be 0 in the sequel) whatever the inputs are.

## ATTACKER MODEL

The attacker can choose the inputs, and knows the outputs.  
(May be somewhat relaxed)

# ASSUMPTIONS FOR THE ATTACK TO WORK

## FAULT MODEL

Faulting on a XOR instruction results in a fixed and known output (assumed to be 0 in the sequel) whatever the inputs are.

## ATTACKER MODEL

The attacker can choose the inputs, and knows the outputs.  
(May be somewhat relaxed)

## IMPLEMENTATION ASSUMPTIONS

The targeted device contains a

# ASSUMPTIONS FOR THE ATTACK TO WORK

## FAULT MODEL

Faulting on a XOR instruction results in a fixed and known output (assumed to be 0 in the sequel) whatever the inputs are.

## ATTACKER MODEL

The attacker can choose the inputs, and knows the outputs.  
(May be somewhat relaxed)

## IMPLEMENTATION ASSUMPTIONS

The targeted device contains a

- **software** implementation of an externally encoded DES,

# ASSUMPTIONS FOR THE ATTACK TO WORK

## FAULT MODEL

Faulting on a XOR instruction results in a fixed and known output (assumed to be 0 in the sequel) whatever the inputs are.

## ATTACKER MODEL

The attacker can choose the inputs, and knows the outputs.  
(May be somewhat relaxed)

## IMPLEMENTATION ASSUMPTIONS

The targeted device contains a

- software implementation of an externally encoded DES,
- on an 8-bit architecture,

# ASSUMPTIONS FOR THE ATTACK TO WORK

## FAULT MODEL

Faulting on a XOR instruction results in a fixed and known output (assumed to be 0 in the sequel) whatever the inputs are.

## ATTACKER MODEL

The attacker can choose the inputs, and knows the outputs.  
(May be somewhat relaxed)

## IMPLEMENTATION ASSUMPTIONS

The targeted device contains a

- **software** implementation of an externally encoded DES,
- on an **8-bit** architecture,
- with a **natural** (straightforward) implementation,



# ASSUMPTIONS FOR THE ATTACK TO WORK

## FAULT MODEL

Faulting on a XOR instruction results in a fixed and known output (assumed to be 0 in the sequel) whatever the inputs are.

## ATTACKER MODEL

The attacker can choose the inputs, and knows the outputs.  
(May be somewhat relaxed)

## IMPLEMENTATION ASSUMPTIONS

The targeted device contains a

- **software** implementation of an externally encoded DES,
- on an **8-bit** architecture,
- with a **natural** (straightforward) implementation,
- and **without** counter-measure (discussed later).

# OUTLINE

## 1 INTRODUCTION

- Motivation
- Externally encoded DES
- Assumptions

## 2 DESCRIPTION OF THE ATTACK

- The principle
- The basic attack
- An improved version
- Classical counter-measures

## 3 CONCLUSION

- Lessons
- Open problems

# THE ATTACK PRINCIPLE

## FAULT INJECTION AS A PROBING TOOL

By comparing the outputs of two executions (one normal, one faulty) with **same input**, one infers whether the **normal output** of a faulted XOR is **zero**.

# THE ATTACK PRINCIPLE

## FAULT INJECTION AS A PROBING TOOL

By comparing the outputs of two executions (one normal, one faulty) with **same input**, one infers whether the **normal output** of a faulted XOR is **zero**.

Assuming that the fault is certainly injected on the targeted XOR, an **identity of ciphertexts** implies that the fault was *ineffective*.

This reveals a local intermediate value equal (more precisely, equivalent) to 0.

# THE ATTACK PRINCIPLE

## FAULT INJECTION AS A PROBING TOOL

By comparing the outputs of two executions (one normal, one faulty) with **same input**, one infers whether the **normal output** of a faulted XOR is **zero**.

Assuming that the fault is certainly injected on the targeted XOR, an **identity of ciphertexts** implies that the fault was **ineffective**.

This reveals a local intermediate value equal (more precisely, equivalent) to 0.

If, for the same message, faults on two related XOR instructions are **both ineffective**, then the normal XOR outputs are simultaneously equal to zero. It is then possible to infer some **information about the key**.

# THE ATTACK PRINCIPLE

## FAULT INJECTION AS A PROBING TOOL

By comparing the outputs of two executions (one normal, one faulty) with **same input**, one infers whether the **normal output** of a faulted XOR is **zero**.

Assuming that the fault is certainly injected on the targeted XOR, an **identity of ciphertexts** implies that the fault was **ineffective**.

This reveals a local intermediate value equal (more precisely, equivalent) to 0.

If, for the same message, faults on two related XOR instructions are **both ineffective**, then the normal XOR outputs are simultaneously equal to zero. It is then possible to infer some **information about the key**.

Remark: 'simultaneously' means **for the same input**, not that faults are injected **on the same execution**.

# THE ATTACK PRINCIPLE

## FAULT INJECTION AS A PROBING TOOL

By comparing the outputs of two executions (one normal, one faulty) with **same input**, one infers whether the **normal output** of a faulted XOR is **zero**.

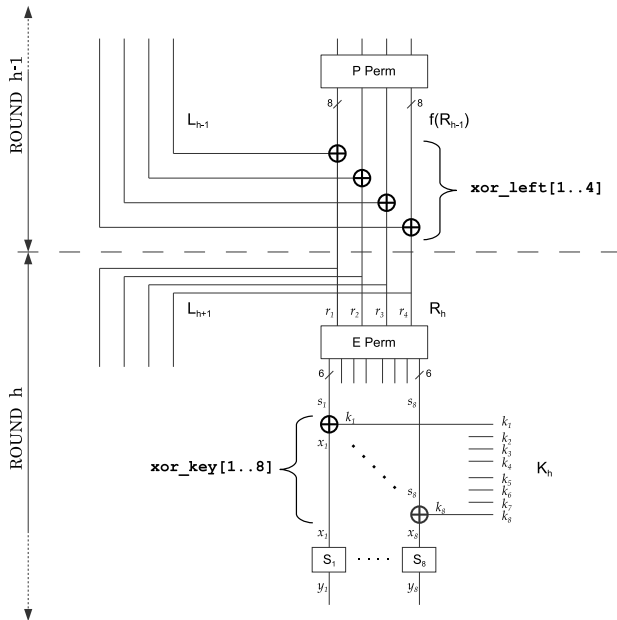
Assuming that the fault is certainly injected on the targeted XOR, an **identity of ciphertexts** implies that the fault was **ineffective**.

This reveals a local intermediate value equal (more precisely, equivalent) to 0.

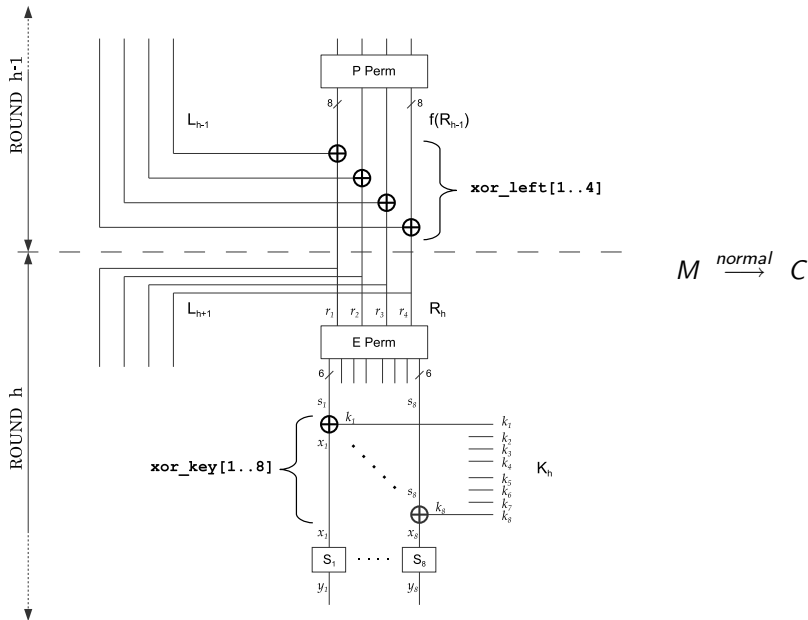
If, for the same message, faults on two related XOR instructions are **both ineffective**, then the normal XOR outputs are simultaneously equal to zero. It is then possible to infer some **information about the key**.

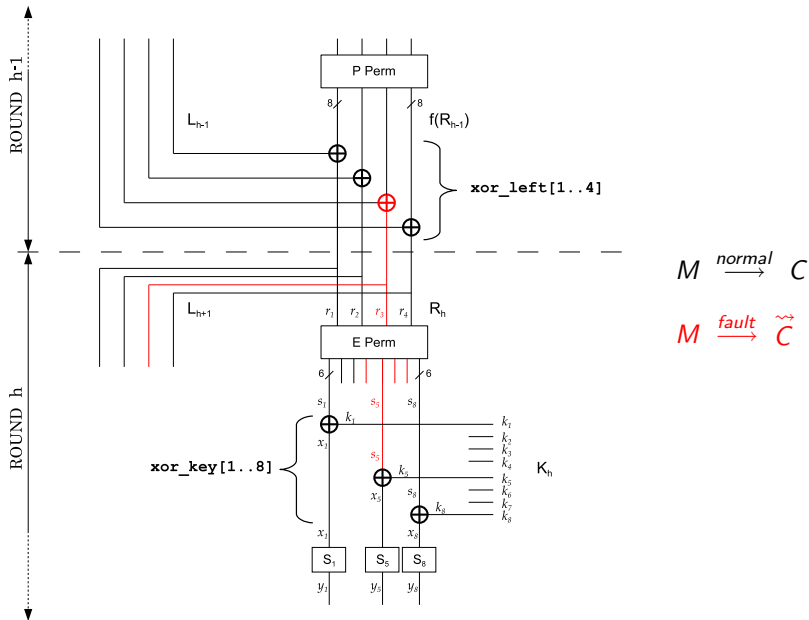
Remark: 'simultaneously' means **for the same input**, not that faults are injected **on the same execution**.

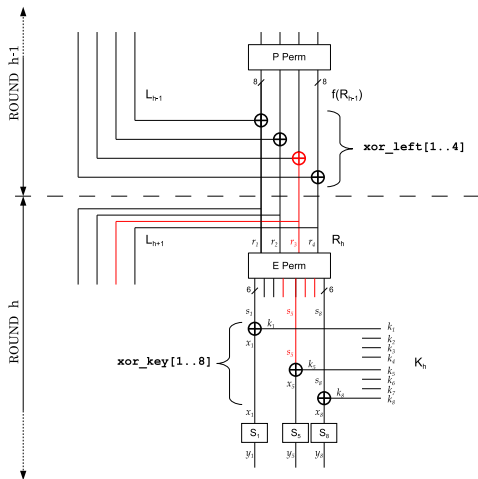
Indeed, the attacker **does not need** to inject 'multi-faults'.







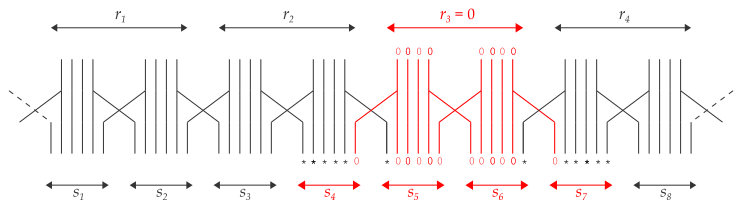




$$M \xrightarrow{\text{normal}} C$$

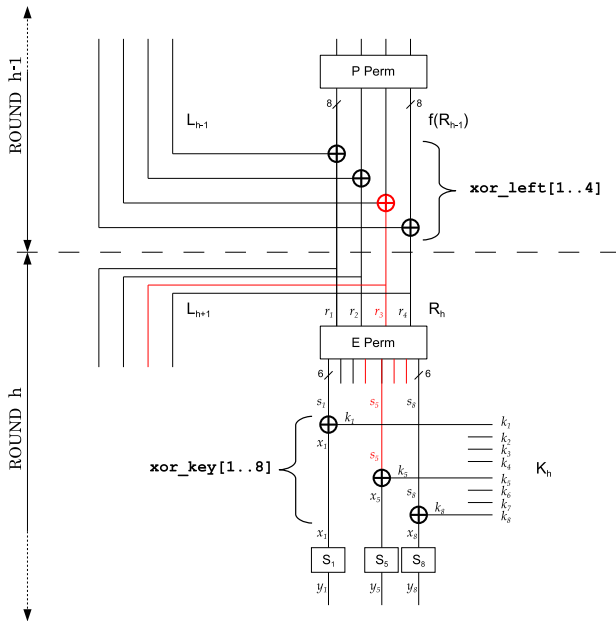
$$M \xrightarrow{\text{fault}} \tilde{C}$$

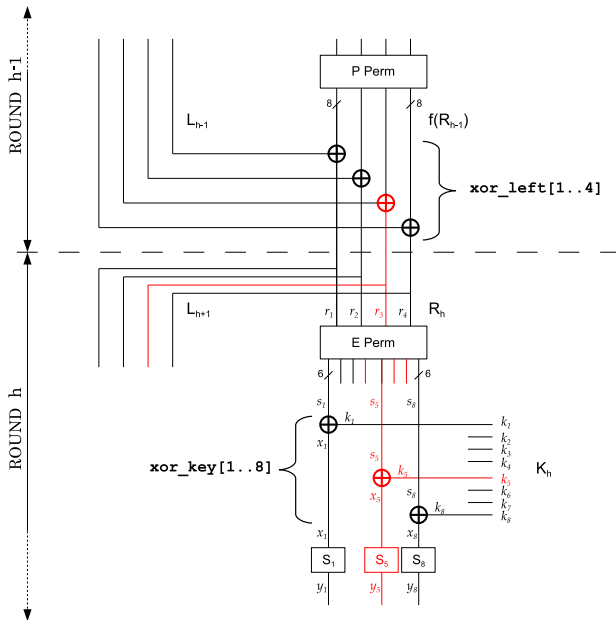
For some input  $M$ , observation that  $C = \tilde{C}_{xor\_left[3]}$  implies that  $r_3 = 0$

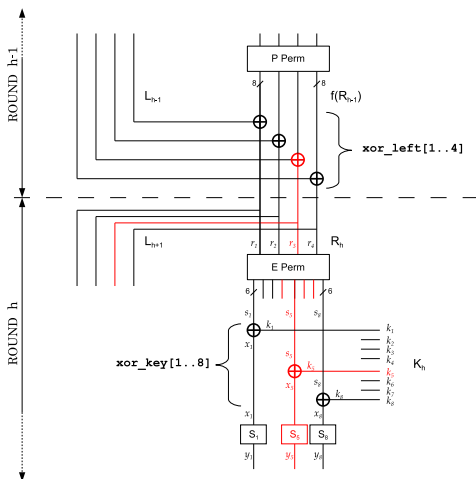


$r_3 = 0$  implies that  $s_5$  and  $s_6$  are almost zero after the expansive permutation.

Knowing that  $s_5 \approx 0$ , it may be interesting to know what happens when next XOR is also faulted:  $\tilde{x}_5 = s_5 \oplus k_5$ .







If for the same input  $M$ , one also observes that  $C = \tilde{C}_{\text{xor\_key}[5]}$ , then:

$$x_5 \oplus s_5 = k_5 \in \mathcal{A}_5 \cup (\mathcal{A}_5 \oplus (1, 0, 0, 0, 0)) \quad (\text{with } \mathcal{A}_5 = S_5^{-1}[S_5(0)])$$

# THE BASIC ATTACK

Each such *double ineffective fault* reveals 3 bits of information about a **round subkey**. (8 remaining candidates out of 64.)

The attack consists in **gathering** this information about as much subkeys as possible. (This is key dependant)

## EXPERIMENTAL RESULTS

Based on 27 000 simulations with random DES keys, the **median residual entropy** of the key is reduced from **56 bits** to:

- **26.49 bits** after 50 000 faults
- **22.32 bits** after 100 000 faults



# AN IMPROVED VERSION

More information about the key may be obtained by analysing ineffectiveness vectors.

## DEFINITION

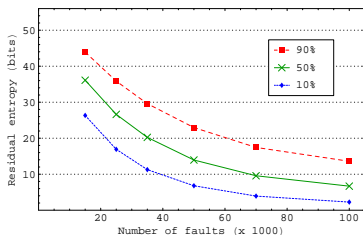
For any message  $M$  and any round  $r \leq 2$ , the *ineffectiveness vector* is the joint boolean observation of whether each of the `xor_left[i]` (at round  $r - 1$ ) and `xor_key[j]` (at round  $r$ ) instructions is ineffective or not.

It is possible to compute for each key its *a posteriori probability* given the values of all ineffectiveness vectors observed so far. (See the paper for details.)

# EXPERIMENTAL RESULTS

Based on 10 000 simulations, the **median residual entropy** is reduced to:

- **13.95 bits** after 50 000 faults (instead of 26.49)
- **6.68 bits** after 100 000 faults (instead of 22.32)



**Problem:** Final exhaustive search of the key is **not** possible, except if the attacker has access to an **open device** implementing the unknown function.

# CLASSICAL COUNTER-MEASURES

What is the influence of classical counter-measures?

# CLASSICAL COUNTER-MEASURES

What is the influence of classical counter-measures?

- **Data masking** should thwart the attack.  
(Except possibly in the 'multi-faults' model.)

# CLASSICAL COUNTER-MEASURES

What is the influence of classical counter-measures?

- **Data masking** should thwart the attack.  
(Except possibly in the 'multi-faults' model.)
- **Random delays** and **random order** should make it very difficult.  
(*Random order only* should be breakable, see paper.)

# CLASSICAL COUNTER-MEASURES

What is the influence of classical counter-measures?

- **Data masking** should thwart the attack.  
(Except possibly in the 'multi-faults' model.)
- **Random delays** and **random order** should make it very difficult.  
(*Random order only* should be breakable, see paper.)
- **Double execution and verification** has no effect on the attack.

# OUTLINE

## 1 INTRODUCTION

- Motivation
- Externally encoded DES
- Assumptions

## 2 DESCRIPTION OF THE ATTACK

- The principle
- The basic attack
- An improved version
- Classical counter-measures

## 3 CONCLUSION

- Lessons
- Open problems

# LESSONS

Our result: it is **possible** to retrieve a DES key by transient fault analysis, even if its inputs/ouputs are **not known** by the attacker.



# LESSONS

Our result: it is **possible** to retrieve a DES key by transient fault analysis, even if its inputs/ouputs are **not known** by the attacker.

## LESSON 1

Just because access to **inputs** and **outputs** of an algorithm is not possible, don't assume it is **fault analysis immune**.

# LESSONS

Our result: it is **possible** to retrieve a DES key by transient fault analysis, even if its inputs/ouputs are **not known** by the attacker.

## LESSON 1

Just because access to **inputs** and **outputs** of an algorithm is not possible, don't assume it is **fault analysis immune**.

## LESSON 2

**Secret specifications** **does not always prevent** **key recovery**.

## OPEN PROBLEMS

Is it possible to devise similar fault attacks:

- based on other fault models?
- applicable to other externally encoded algorithms? (e.g. AES)

THANK YOU FOR YOUR ATTENTION !

QUESTIONS ?