



Stealthy Dopant-Level Hardware Trojans

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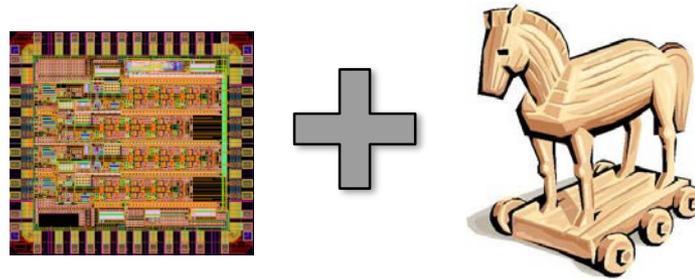
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Agenda

- **Introduction to Hardware Trojans**
- Dopant-Level Hardware Trojans
- Case study 1: RNG design
- Case study 2: Side-channel resistant Sbox
- Conclusion & future work

Hardware Trojans

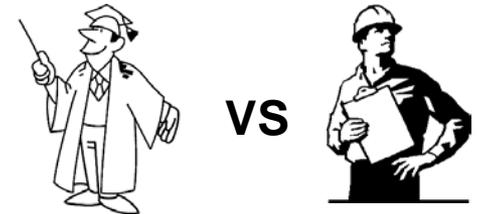


Malicious change or addition to a IC that adds or remove functionality or reduces reliability

- Can be inserted at many stages:
 - **Design stage:** 3rd party IP-cores, malicious employee, hackers etc.
 - **Manufacturing stage:** Malicious factory (often off-shore → untrusted government)
 - **Assembly and shipping:** Replace IC with a copy

Trojan designs

- No “real” Hardware Trojan found yet
- All examples from academia



- Most Trojans at the HDL level
- Often FPGAs are used for prototypes



- Yearly NYU-Poly “Embedded Systems Challenge”

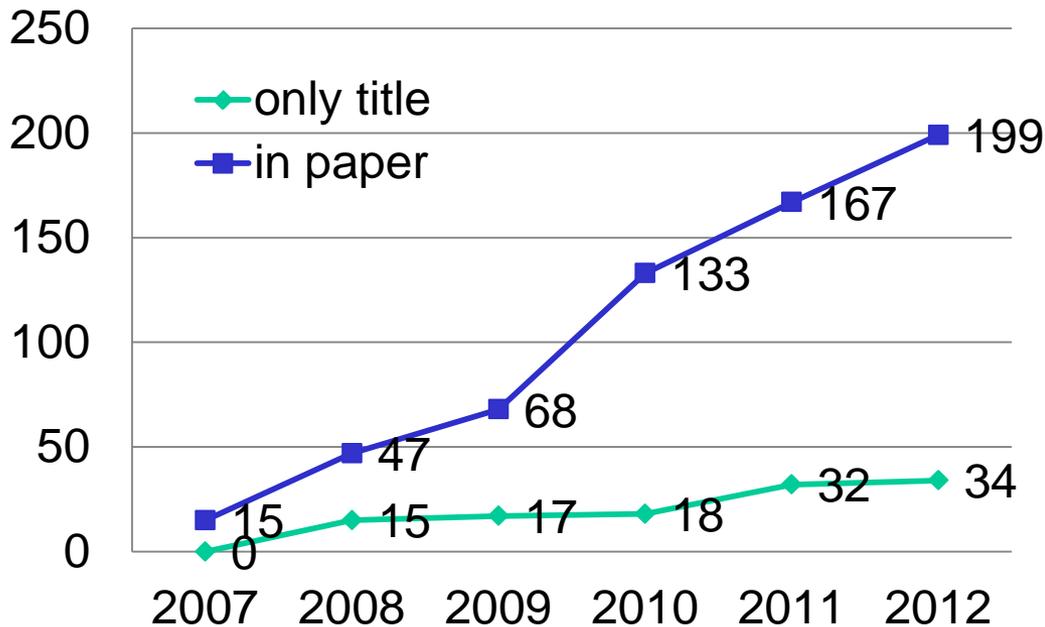


Hardware Trojans - What is the trend?



[1] Report of the defense science board task force on high performance microchip supply. Defense Science Board, US DoD, February 2005.

Published papers with „hardware Trojans“ or „malicious Hardware“
(using Google Scholar, Aug 2013)



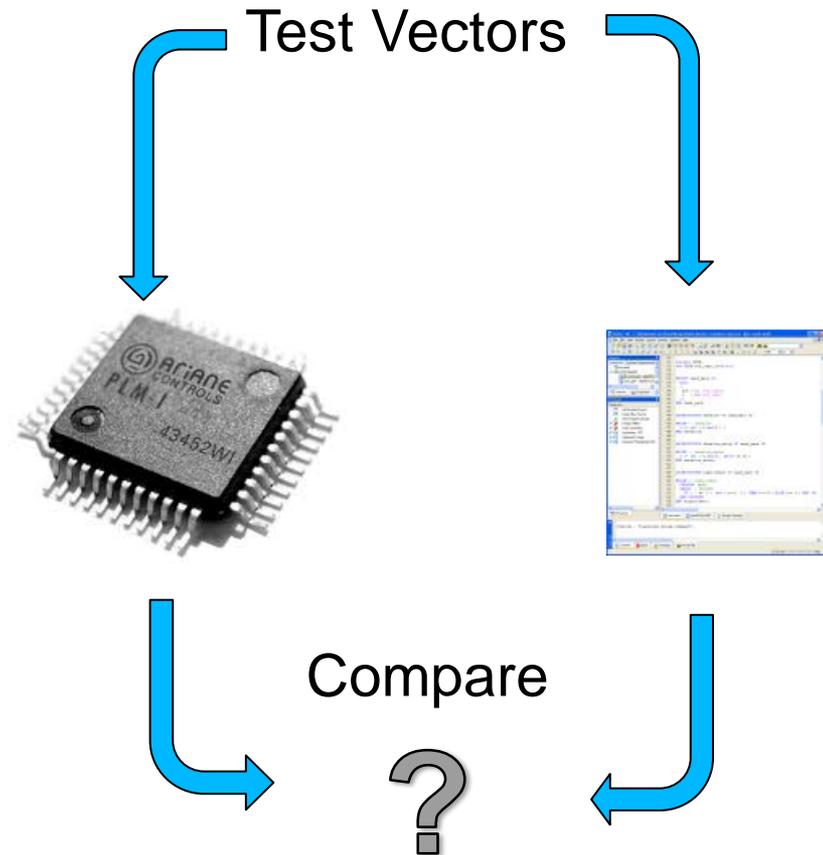
Proposed Hardware Trojan Detection Methods



- Formal verification
- Functional testing
- Optical inspection
- Side-channels
- Trojan detection circuitry

Functional testing

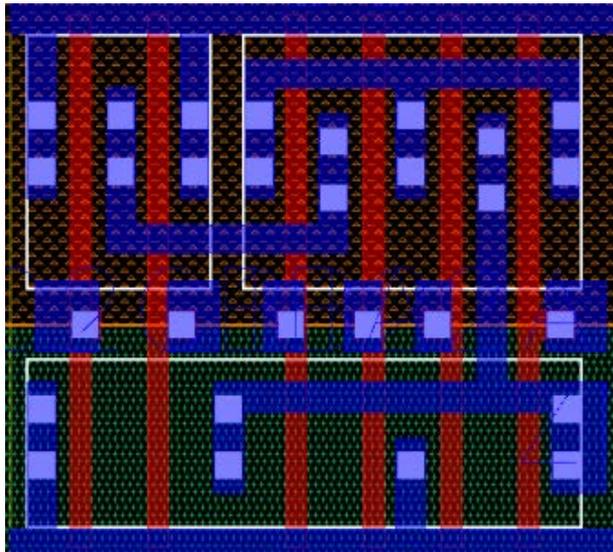
- Standard procedure
- Usually done to detect manufacturing defects
- Sometimes build-in circuitry is used (BIST)



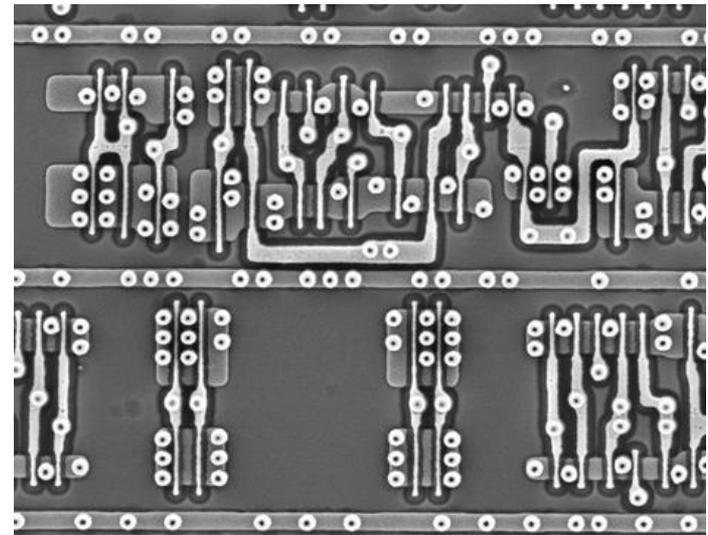
Optical Reverse-Engineering

Compare layout-mask with die-photos (e.g. SEM)

- Expensive and time consuming for large ICs
- Typically only metal, polysilicon and active area can be detected reliably!
- Destructive technique



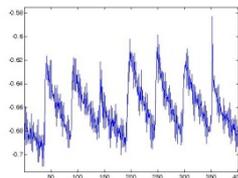
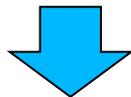
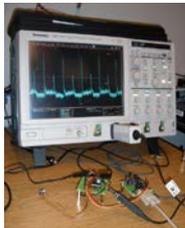
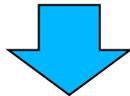
VS



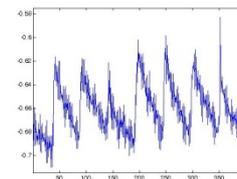
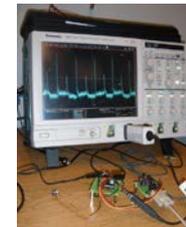
Used to detect Trojans inserted during manufacturing stage

Side-channel comparison

Reference chip
("golden model")



Suspected Chip



How to get it?

Measure side-channel

Compare





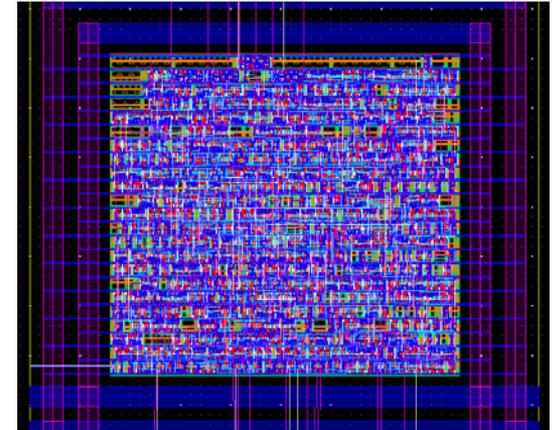
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Dopant-level Hardware Trojans

Main idea: Change the design below the transistor level.



Why Layout?

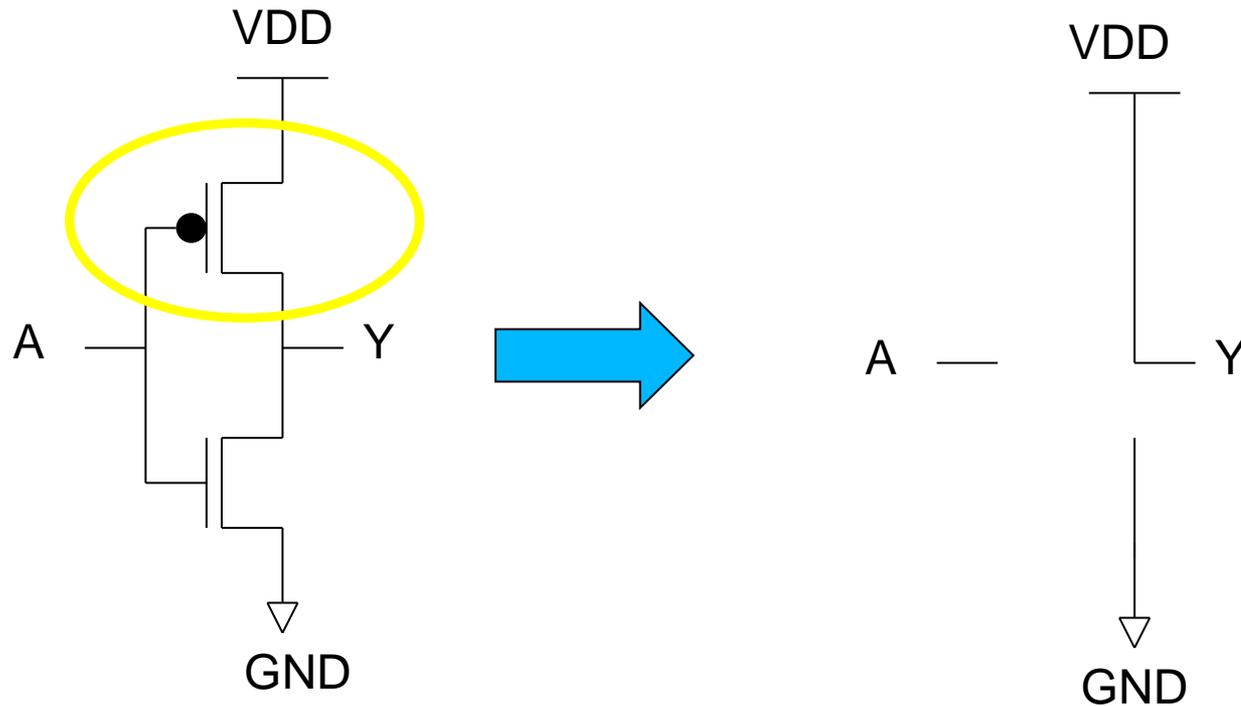
- Malicious factories one of the major concerns (factories often located in different country)
- Hardly any layout-level Trojans in the literature
- We can make the Trojans extremely stealthy with zero overhead

⇒ **Defeat optical reverse-engineering?**



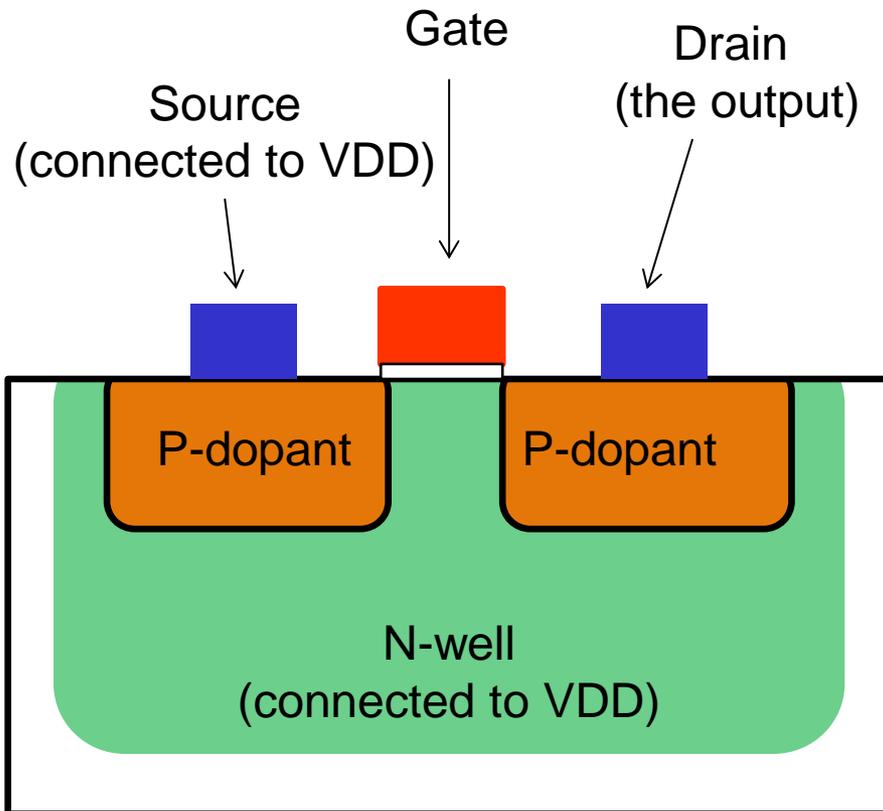
Simple Example: Inverter Trojan

Goal: Modify an Inverter so that it always outputs VDD **without visible changes.**

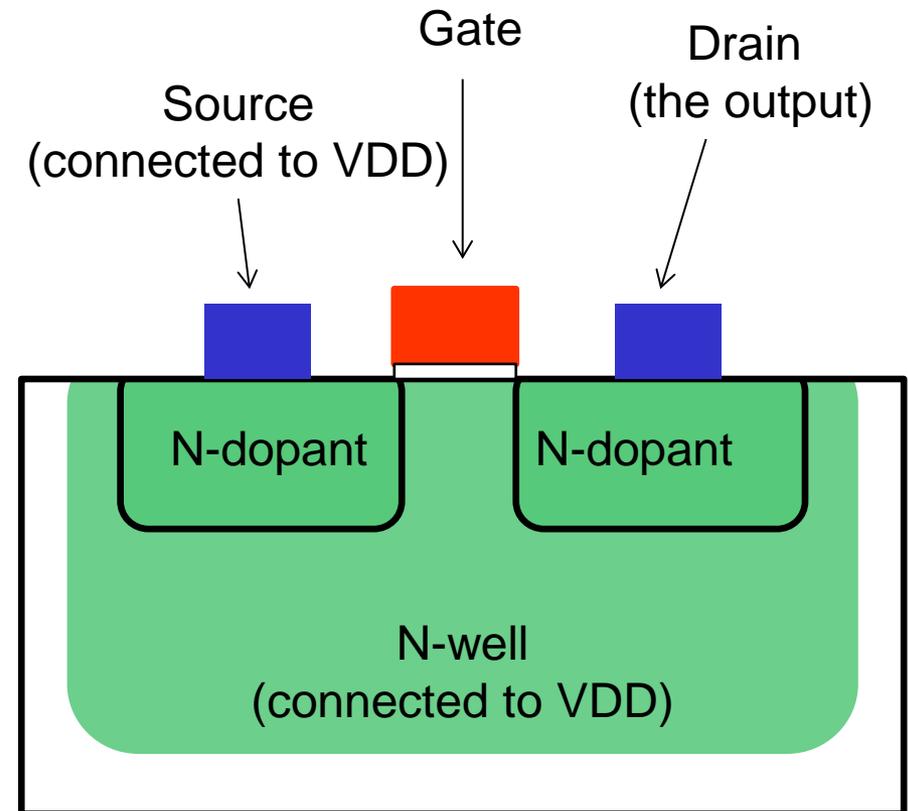




PMOS Transistor Trojan



Unmodified PMOS Transistor

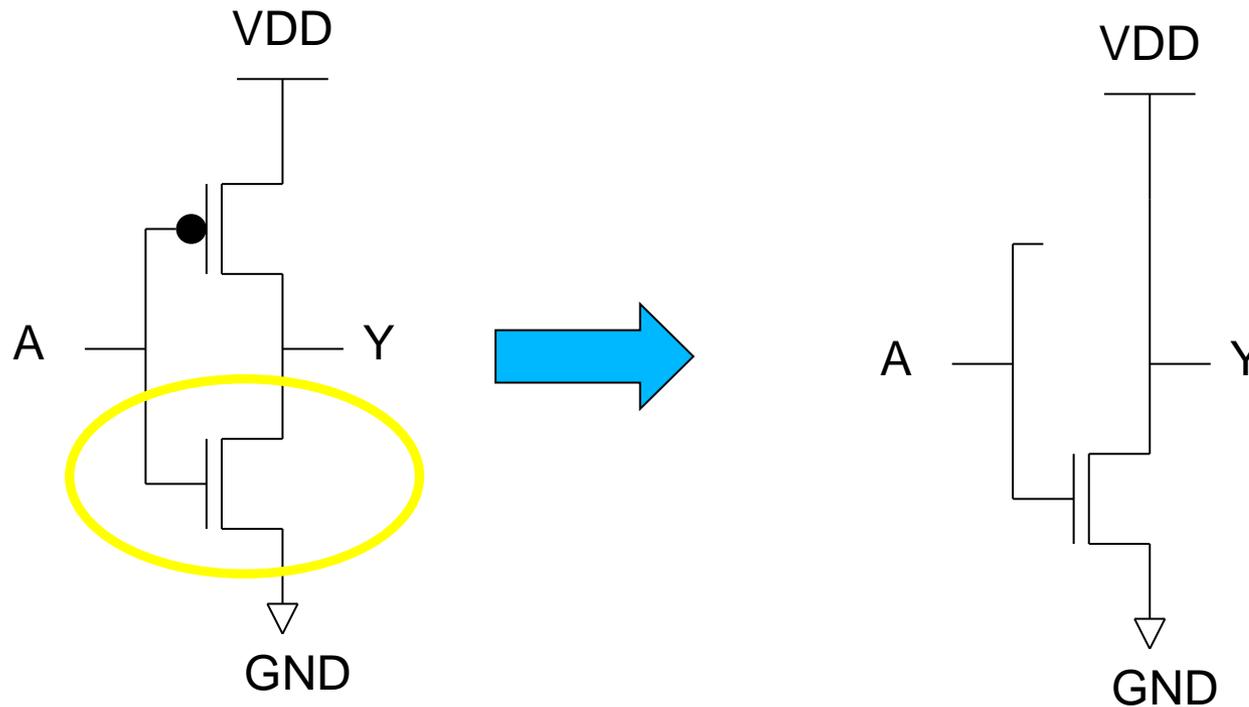


Trojan Transistor with a constant output of VDD



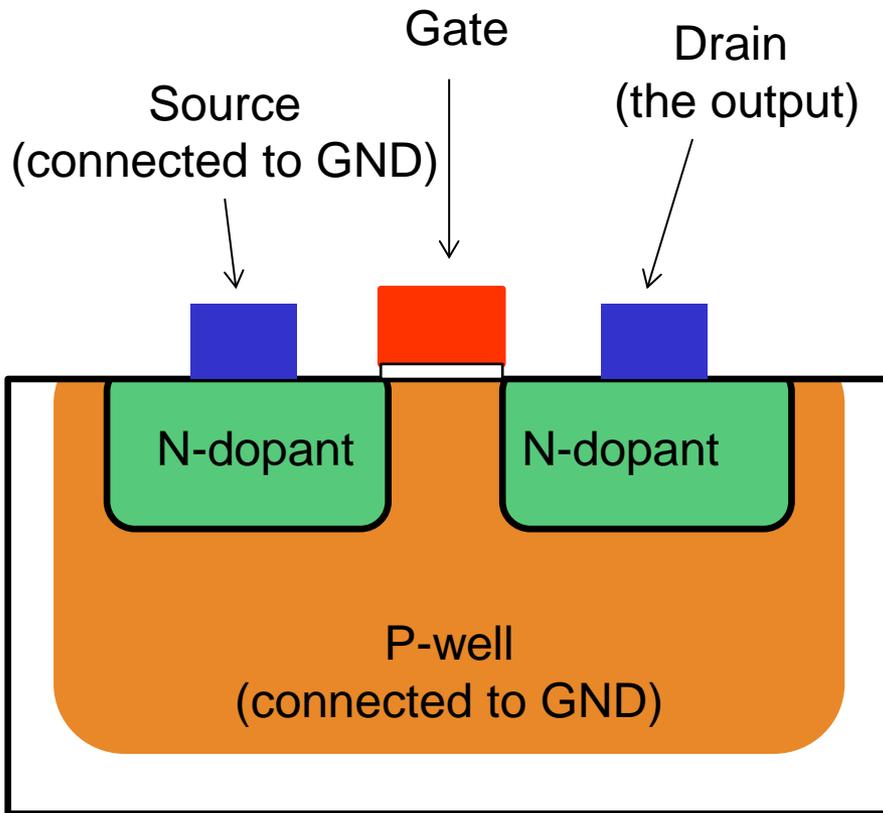
Result after modifying the PMOS:

Constant connection to VDD, but the NMOS transistor is still connected.

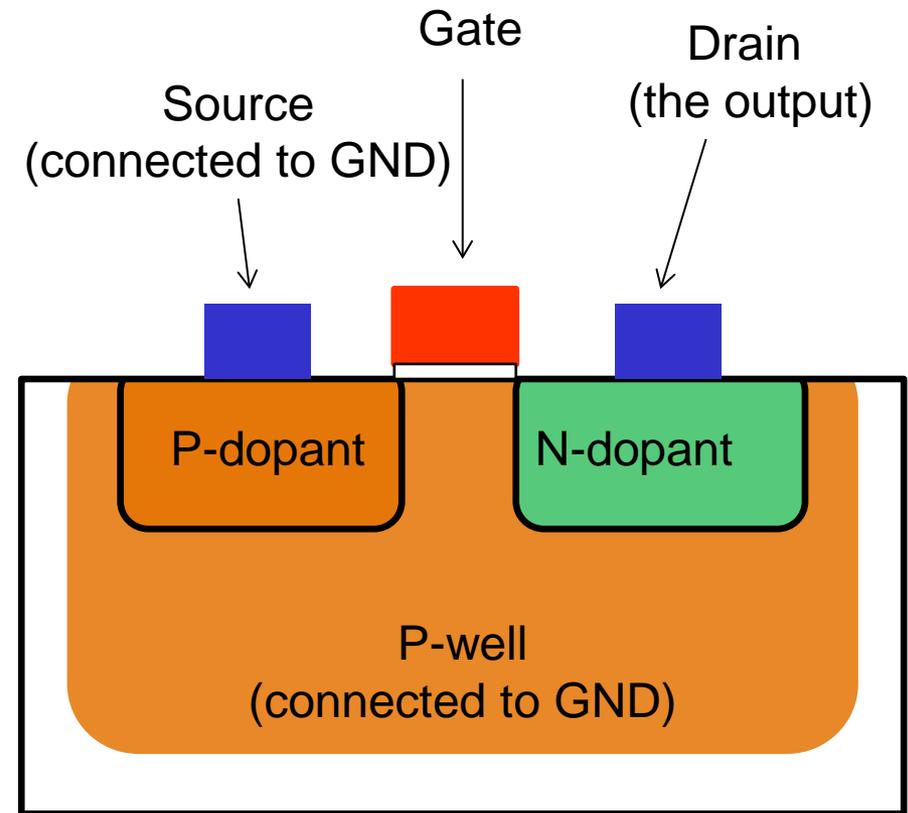




NMOS Transistor Trojan



Unmodified PMOS Transistor

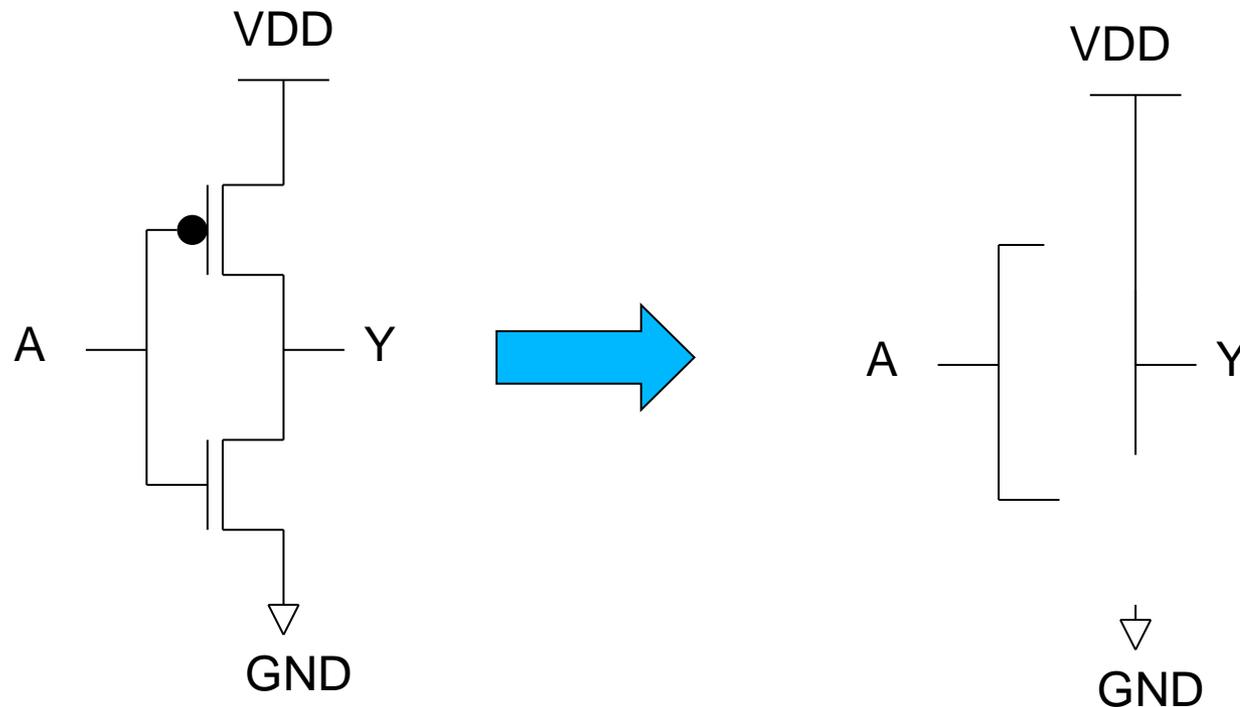


Trojan Transistor with a floating output



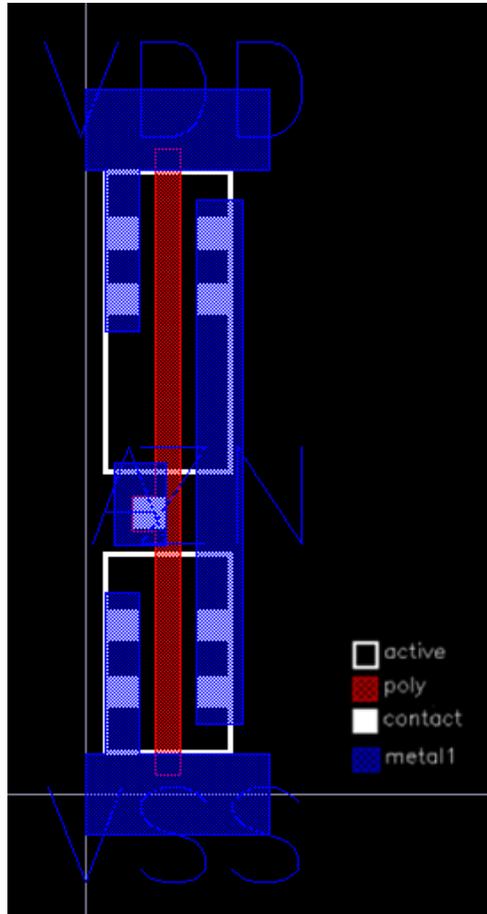
Result: Inverter Trojan

1. The PMOS transistor is replaced with a constant connection to VDD.
2. The source of the NMOS transistor is removed and hence it is floating.

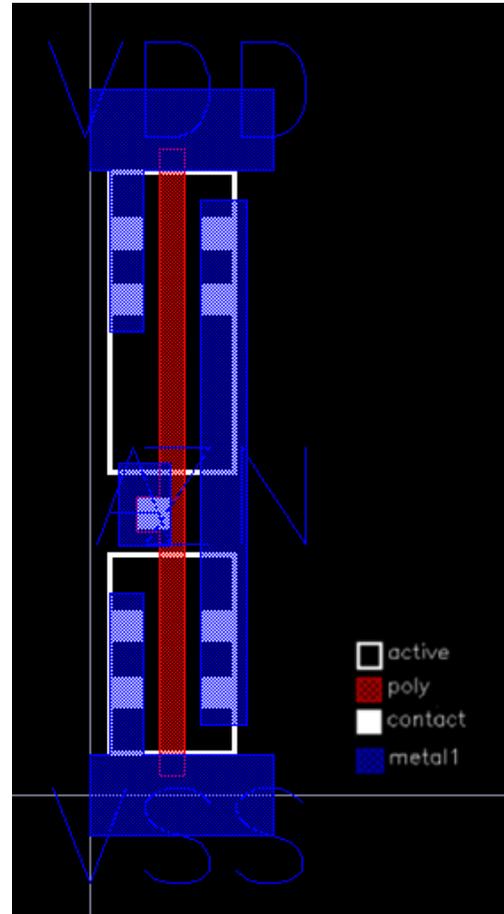


“Always One” Inverter Trojan

Original Inverter



“Always One” Trojan



Unchanged:

- All metal layers
- Polysilicon Layer
- Active area
- Wells

⇒ Dopant changes extremely difficult to detect using optical reverse-engineering!



Remaining question:

Can we build a **meaningful** Trojan using dopant modifications that passes **functional testing**?

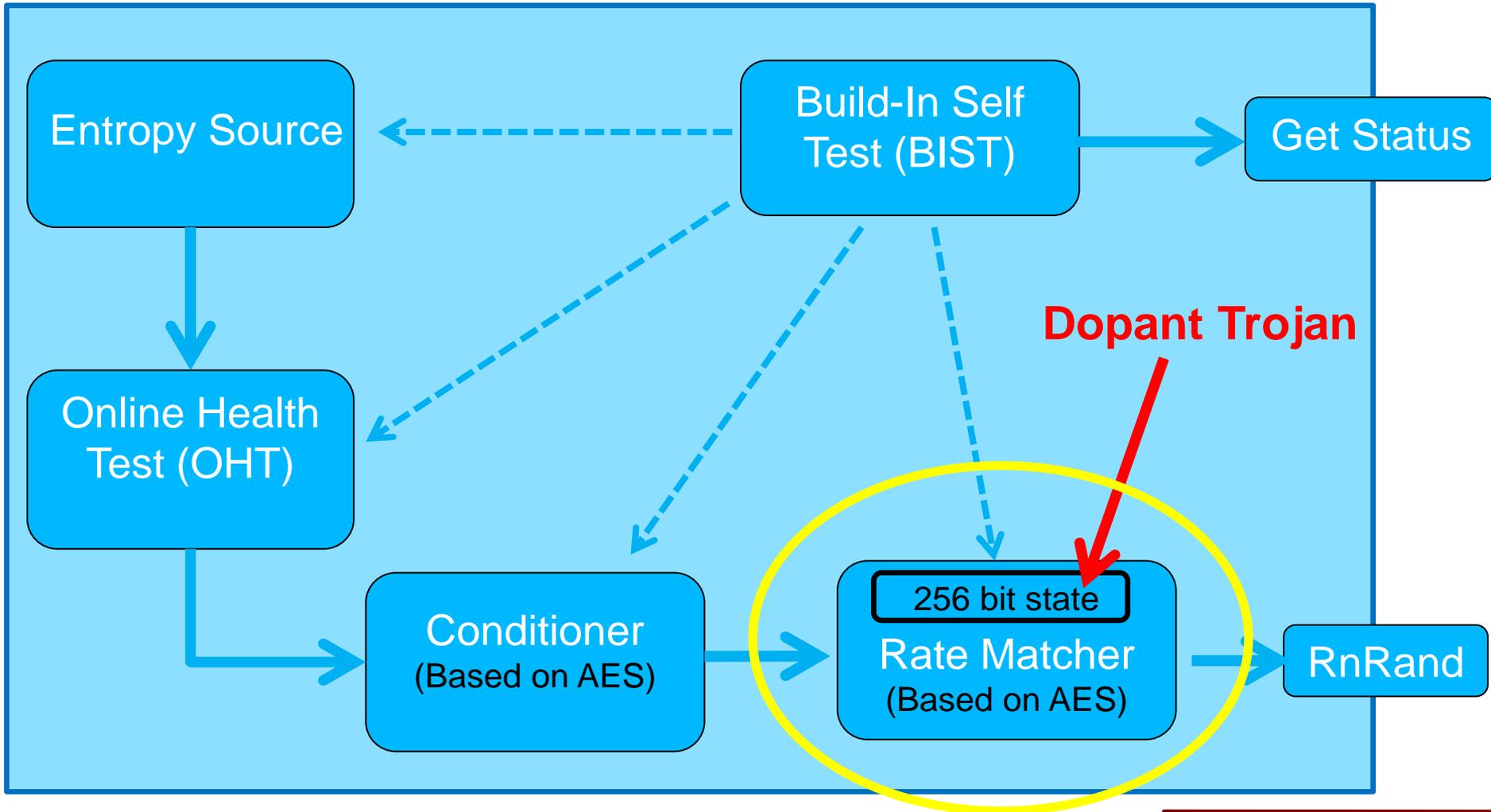


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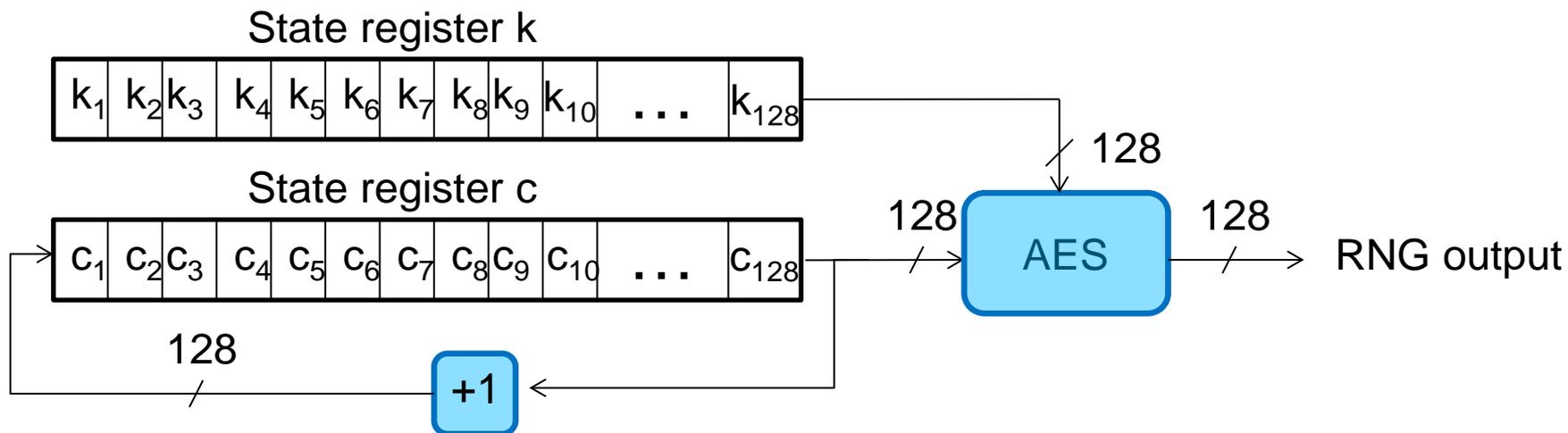


Intel's Ivy Bridge RNG design





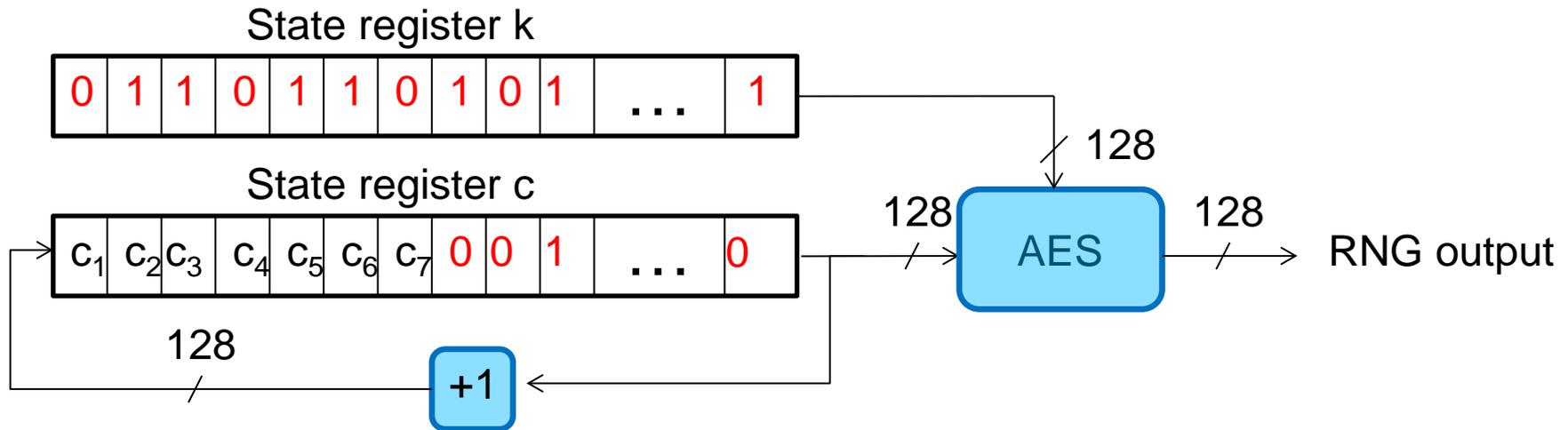
Simplified view of the Rate Matcher



- Rater Matcher uses AES in counter mode
- Stage registers k and c contain truly random numbers
- Stage registers k and c are updated after iteration



Trojan Rate Matcher



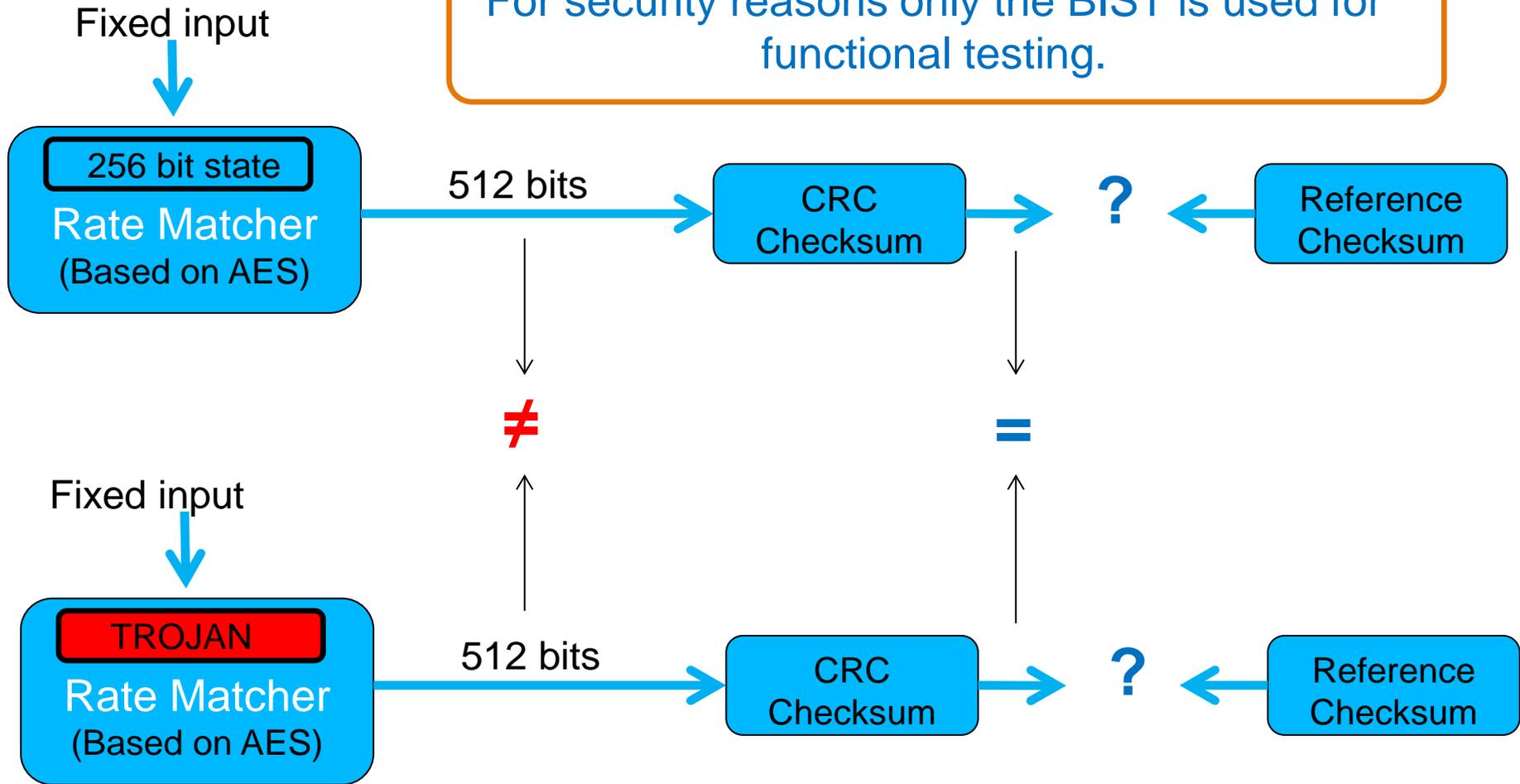
- Modify registers of k so that they output a constant
 - Modify 128-n registers of c in the same way
- ⇒ The output of the RNG depends only on n random bits!
- ⇒ For n=32 the RNG still passes NIST random number test suit

Secret keys generated using this Trojan RNG insecure



Built-In Self Test

For security reasons only the BIST is used for functional testing.





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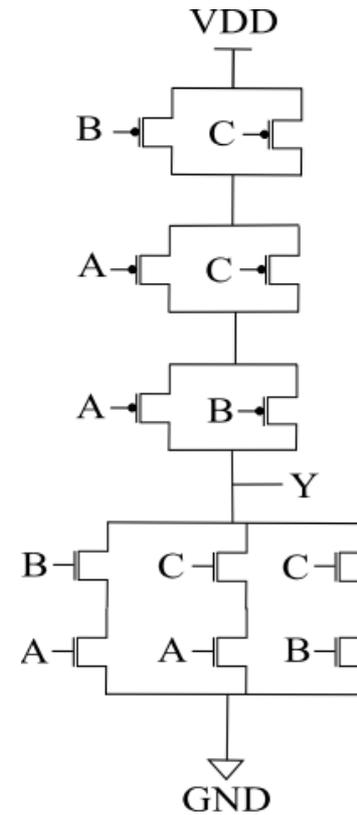
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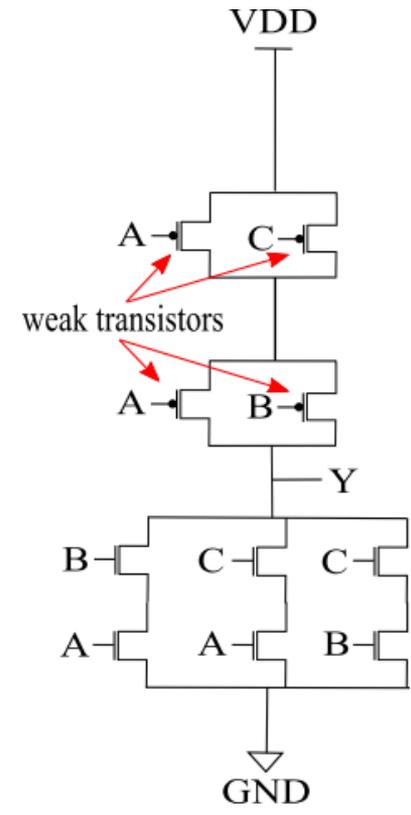
Target: AES Sbox in side-channel resistant logic style (iMDPL)

- Change the power consumption of only two majority gates of the target design
 - No modification to the logic functionality of the entire design!
- ⇒ Trojan design passes function testing
- ⇒ Created hidden side-channel that reveals secret key
- ⇒ Trojan design still resistant against many common side-channel attacks (due to clever placing of the Trojan)

Majority Gate



Trojan Gate





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Conclusion

- Meaningful Hardware Trojans that can pass functional testing can be build by only modifying the dopant.
- Optical-Inspection does not guarantee a Trojan free design!
- Dopant Trojans are flexible, not only logic behavior can be changed but performance such as power consumption or timing as well
- Finding a suitable location the most important part of inserting a Trojan
- Reverse-engineering the design and getting knowledge of the test procedure probably the limiting factor in practice.
- Build-In Self Tests good for detecting defects but not for detecting Trojans



Thank you very much!

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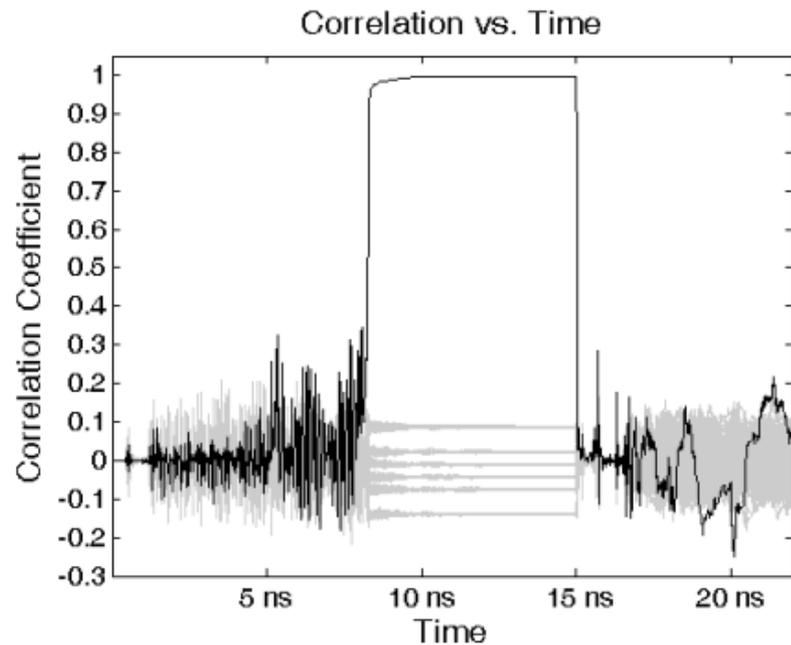
*I am graduating this year ...
... looking for jobs!*

Backup slides

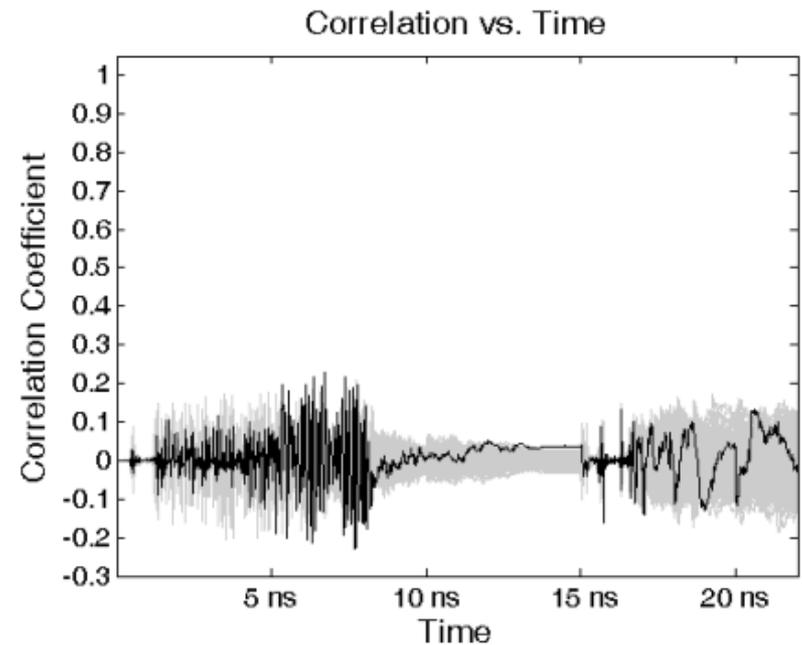




Exploiting the Trojan



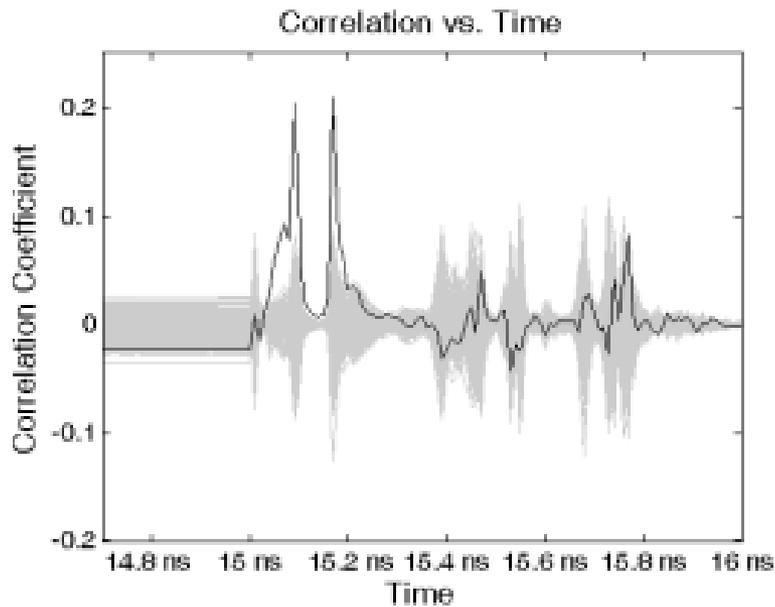
(a) Trojan design



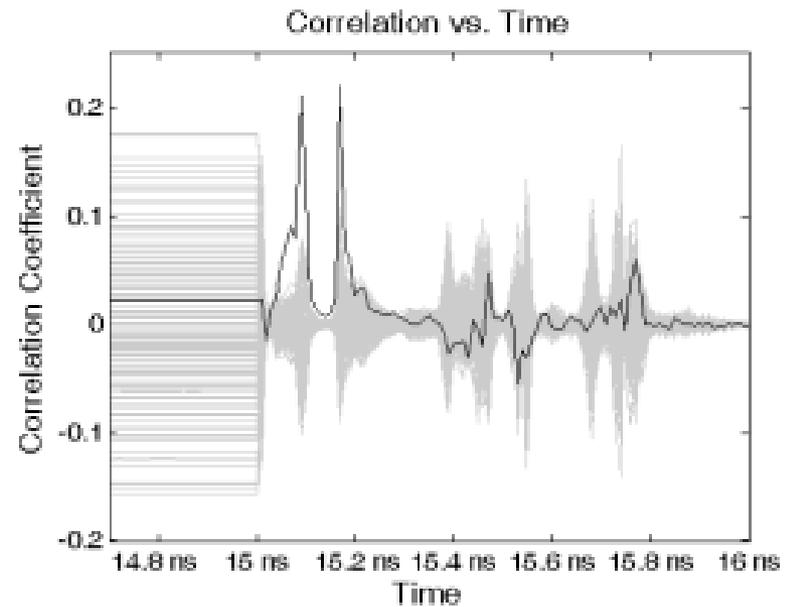
(b) Trojan-free design



8-bit CPA on output of SBox



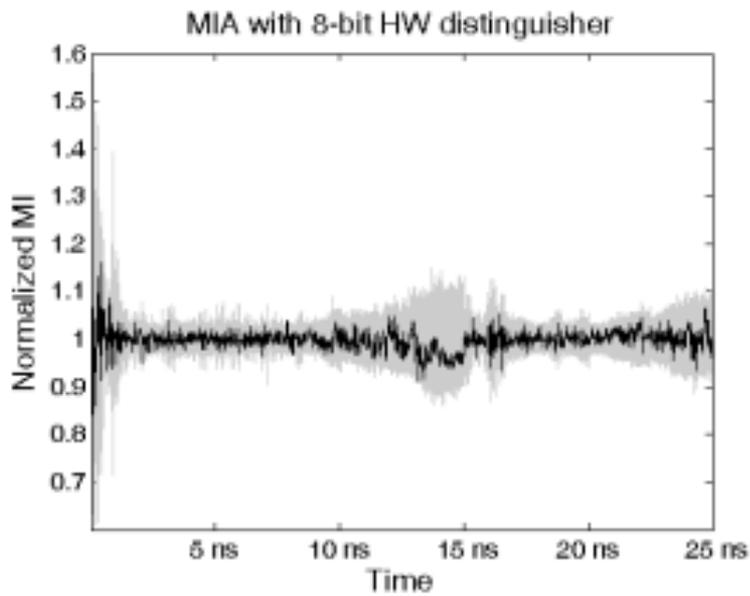
(a) Trojan-free design



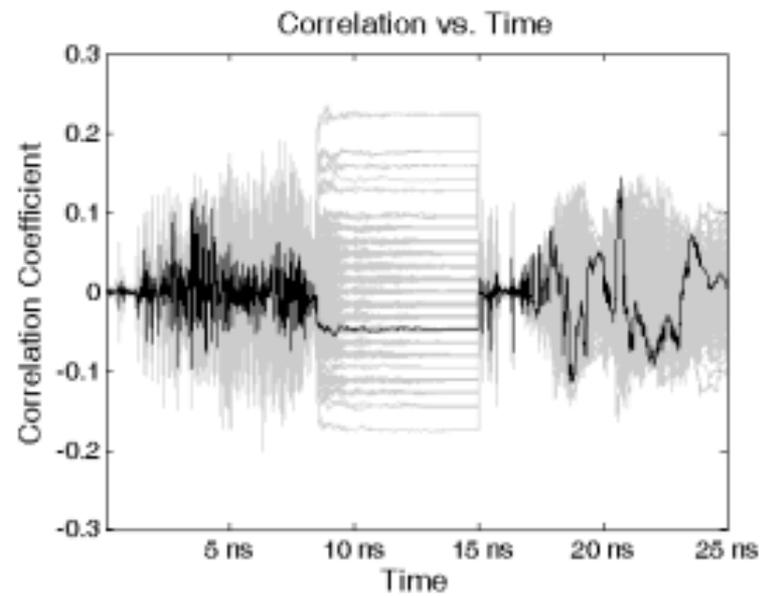
(b) Trojan design



Other attacks



(a) MIA attack



(b) 1-Bit CPA



Trojan iMDPL Gate:

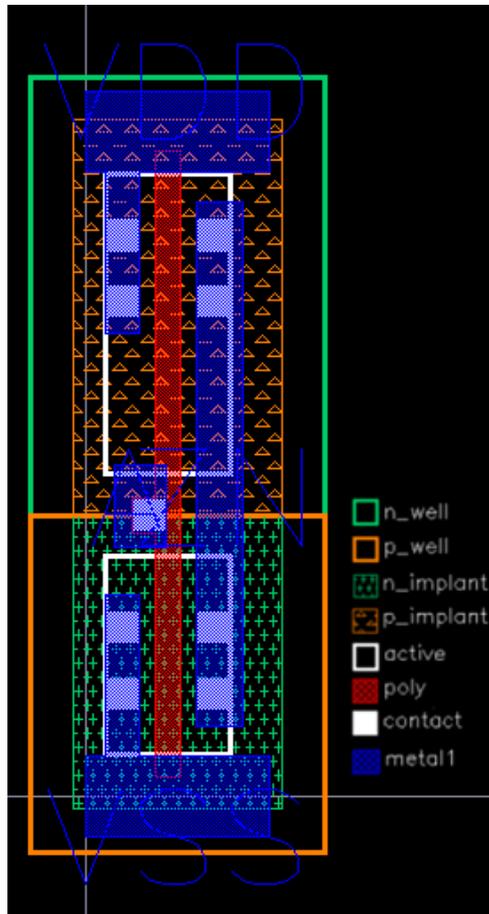
Power consumption of an iMDPL-AND gate

| A | B | M | Unmodified iMDPL-AND | Trojan iMDPL-AND |
|---|---|---|----------------------|------------------|
| 0 | 0 | 0 | 65.61 fJ | 63.36 fJ |
| 0 | 0 | 1 | 61.26 fJ | 59.31 fJ |
| 0 | 1 | 0 | 66.89 fJ | 63.79 fJ |
| 0 | 1 | 1 | 65.34 fJ | 62.50 fJ |
| 1 | 0 | 0 | 68.48 fJ | 121.47 fJ |
| 1 | 0 | 1 | 66.70 fJ | 119.92 fJ |
| 1 | 1 | 0 | 63.19 fJ | 61.57 fJ |
| 1 | 1 | 1 | 64.43 fJ | 62.63 fJ |

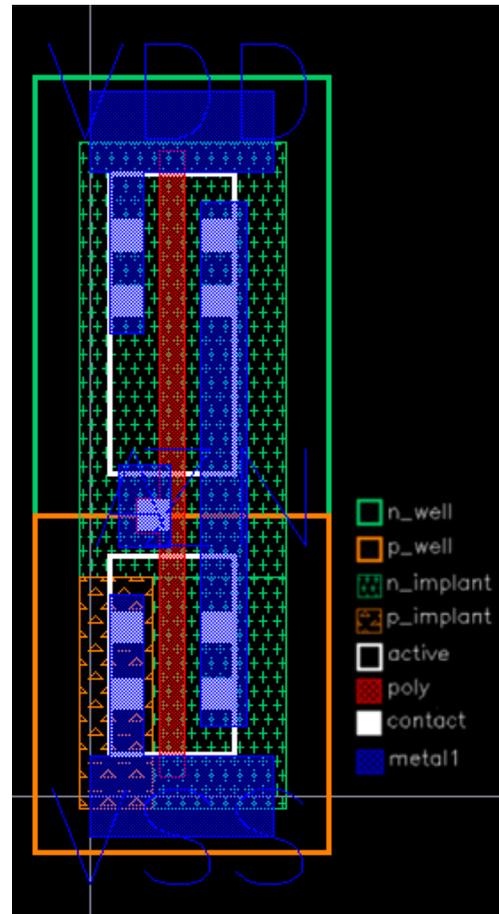
Logic behavior is unchanged!

“Always One” Inverter Trojan

Original Inverter



“Always One” Trojan



The PMOS Transistor
 Replaced the P-type dopant with N-type dopant
 ⇒ The contacts are now connected to the N-Well know
 ⇒ Drain and Source are both connected to VDD

The NMOS Transistor
 Replaced the N-type dopant of the source contact with P-type dopant
 ⇒ The source contact is now connected to the P-well
 ⇒ The NMOS transistor is “cut off” from GND

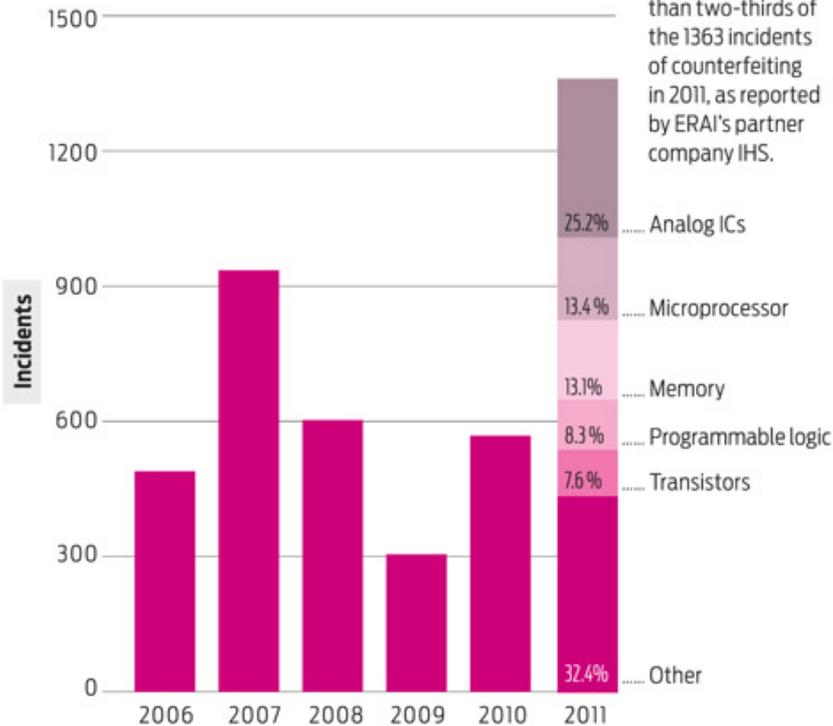


Counterfeit ICs

Dubious Chips Double

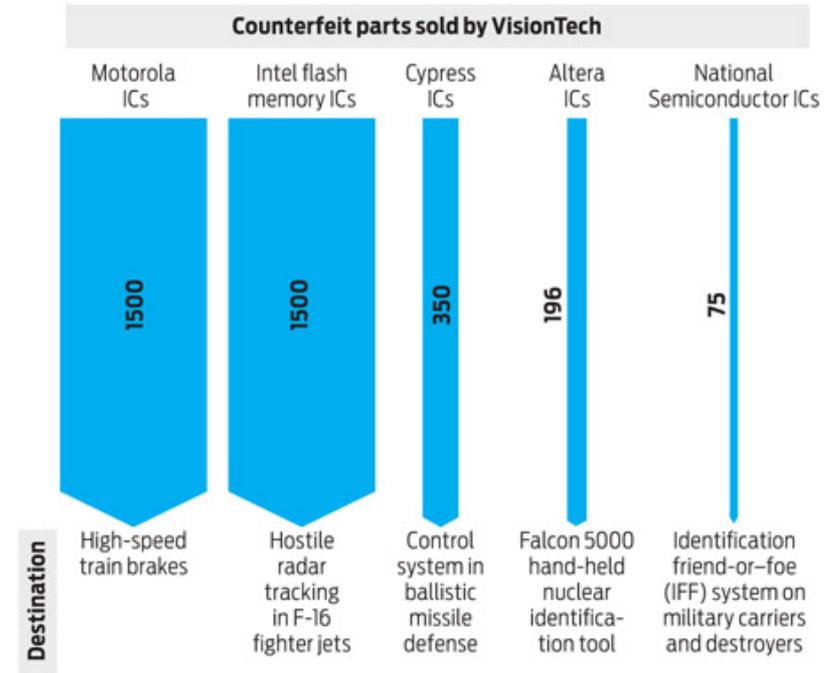
Semiconductor businesses report some fakes to ERAI, a private group that tracks and fights counterfeits.

Five types of semiconductors accounted for more than two-thirds of the 1363 incidents of counterfeiting in 2011, as reported by ERAI's partner company IHS.



A Case Study in Fake Chips

In 2010 the United States prosecuted its first case against a counterfeit-chip broker. The company, VisionTech, sold thousands of fake chips, many of which were destined for military products.



Source: Sentencing memo, *United States of America v. Stephanie A. McCloskey*, filed 7 September 2011

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<http://spectrum.ieee.org/computing/hardware/counterfeit-chips-on-the-rise>